

LIVE VIRTUAL BOARD MEETING



TO VIEW VIA WEB



TO PROVIDE PUBLIC COMMENT

You may submit a request to speak during Public Comment or provide a written comment by emailing PublicComment@lacera.com. If you would like to remain anonymous at the meeting without stating your name, please let us know.

Attention: Public comment requests must be submitted via email to PublicComment@lacera.com.

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION
300 N. LAKE AVENUE, SUITE 650, PASADENA, CA

A REGULAR MEETING OF THE BOARD OF RETIREMENT
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, OCTOBER 6, 2021*

This meeting will be conducted by the Board of Retirement by teleconference under California Government Code Section 54953(e).

Any person may view the meeting online at
<https://LACERA.com/leadership/board-meetings>

*The Board may take action on any item on the agenda,
and agenda items may be taken out of order.*

- I. CALL TO ORDER
- II. APPROVAL OF MINUTES
 - A. Approval of the Minutes of the Regular Meeting of September 1, 2021
- III. PUBLIC COMMENT

(Written Public Comment - You may submit written public comments by email to PublicComment@lacera.com. Correspondence will be made part of the official record of the meeting. Please submit your written public comments or documentation as soon as possible and up to the close of the meeting.

Verbal Public Comment - You may also request to address the Board PublicComment@lacera.com. We will contact you with information and instructions as to how to access the meeting as a speaker. If you would like to remain anonymous at the meeting without stating your name, please let us know.)
- IV. OTHER COMMUNICATIONS
 - A. For Information
 - 1. August 2021 All Stars
 - 2. Chief Executive Officer's Report
(Memo dated September 20, 2021)

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

VI. CONSENT ITEMS

- A. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated September 28, 2021)
- B. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeals and request for an administrative hearing for applicant Camille Padilla and Alejandro Salinas. (Memo dated September 23, 2021)
- C. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Frank D. Dominguez's appeal for a service-connected disability retirement. (Memo dated September 21, 2021)
- D. Recommendation as submitted by Shawn R. Kehoe, Chair, Operations Oversight Committee: That the Board authorize staff to issue a Request for Proposal for software and consulting services to procure, configure, customize, and implement an enterprise Case Management System, which remains an important strategic initiative for LACERA's operational Divisions. (Memo dated September 22, 2021)

VII. EXCLUDED FROM CONSENT ITEMS

VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That the Board approves that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of the Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that the Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute. (Memo dated September 27, 2021)

VIII. NON-CONSENT ITEMS (Continued)

- B. Recommendation as submitted by James C. Beasley, Jr., Supervising Administrative Assistant II: That the Board approve the purchase of Cyber Liability Insurance effective October 9, 2021, with insurance carriers Tokio Marine HHC, Brit/Ambridge (London), Miller/Hamilton (London), and Accredited Specialty Insurance Company at a total cost of \$484,132. (Memo dated September 22, 2021)

IX. REPORTS

- A. For Discussion Purposes as submitted by Alan J. Bernstein, Board of Retirement Chair and Keith Knox, Board of Investments Chair, that the Boards provide feedback and approve the key terms that will serve as a template and basis for creating a policy to implement the selection of board officers through a seniority-based systems; and direct the Chief Executive Officer and staff to prepare a final policy for implementation prior to the selection of board officers by January 2022. (Memo dated September 28, 2021)
- B. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated September 23, 2021)
- C. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding Monthly Status Report on Legislation. (Memo dated September 27, 2021)
- D. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:
 - Monthly Travel and Education Reports for August 2021
(Public Memo dated September 22, 2021)
(Confidential Memo dated September 22, 2021 – Includes Anticipated Travel)
- E. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the September 2021 Fiduciary Counsel Contact and Billing Report. (Memo dated September 24, 2021) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

X. ITEMS FOR STAFF REVIEW

XI. GOOD OF THE ORDER
(For information purposes only)

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability

B. Disability Retirement Appeals

C. Staff Recommendations

1. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board approve the service provider invoice for Elizabeth Moreno.
(Memo dated September 21, 2021)

XIII. EXECUTIVE SESSION

A. Conference with Legal Counsel – Existing Litigation
(Pursuant to Paragraph (1) of Subdivision (d) of California Government Code Section 54956.9)

1. Ramos v. LACERA
2. Edward V. Marquez v. LACERA

B. Conference with Legal Counsel – Anticipated Litigation
Significant Exposure to Litigation (Pursuant to Paragraph (2) of Subdivision (d) of California Government Code Section 54956.9)

1. Administrative Appeal of Michele Jordan

C. Conference with Legal Counsel – Initiation of Litigation
(Pursuant to Paragraph (4) of Subdivision (d) of Section 54956.9)

Number of Cases: 1

XIV. ADJOURNMENT

**Although the meeting is scheduled for 9:00 a.m., it can start anytime thereafter, depending on the length of the Committee meeting preceding it.*

Documents subject to public disclosure that relate to an agenda item for an open session of the Board of Retirement that are distributed to members of the Board of Retirement less than 72 hours prior to the meeting will be available for public inspection at the time they are distributed to a majority of the Board of Retirement Trustees at LACERA's offices at 300 N. Lake Avenue, Suite 820, Pasadena, CA 91101, during normal business hours of 9:00 a.m. to 5:00 p.m. Monday through Friday.

Requests for reasonable modification or accommodation of the telephone public access and Public Comments procedures stated in this agenda from individuals with disabilities, consistent with the Americans with Disabilities Act of 1990, may call the Board Offices at (626) 564-6000, Ext. 4401/4402 from 8:30 a.m. to 5:00 p.m. Monday through Friday or email PublicComment@lacera.com, but no later than 48 hours prior to the time the meeting is to commence.

MINUTES OF THE REGULAR MEETING OF THE BOARD OF RETIREMENT
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, SEPTEMBER 1, 2021

This meeting was conducted by the Board of Retirement by teleconference under the Governor's Executive Order No. N-29-20.

PRESENT: Alan Bernstein, Chair

Vivian H. Gray, Vice Chair

JP Harris (Alternate Retired)

Shawn R. Kehoe

Keith Knox

Wayne Moore

Ronald Okum

Herman Santos

ABSENT: William Pryor (Alternate Safety)

Les Robbins

Gina Zapanta, Secretary

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer

Luis A. Lugo, Deputy Chief Executive Officer

John Popowich, Assistant Executive Officer

Steven P. Rice, Chief Counsel

Jon Grabel, Chief Investment Officer

STAFF ADVISORS AND PARTICIPANTS (Continued)

Dr. Glenn Ehresmann, Medical Advisor

Allan Cochran, Member Services Division Manager

Carlos Barrios, Benefits Division Manager

Ted Granger, Interim Chief Financial Officer

Carly Ntoya, Ph.D., Human Resources Director

Allan Cochran, Member Services Division Manager

Carlos Barrios, Benefits Division Manager

Roberta Van Nortrick, Interim Administrative Division Manager

Kathy Delino, Interim Systems Manager

Barry W. Lew, Legislative Affairs Officer

Francis J. Boyd, Senior Staff Counsel

Tamara Caldwell, Disability Retirement Specialist Supervisor

Vickie Neely, Disability Retirement Specialist Supervisor

Kerri Wilson, Disability Retirement Specialist Supervisor

Hernan Barrientos, Disability Retirement Specialist Supervisor

Ricardo Salinas, Disability Retirement Specialist Supervisor

Vincent Lim, Disability Litigation Manager

Allison E. Barrett, Senior Staff Counsel

Eugenia W. Der, Senior Staff Counsel

Jason E. Waller, Senior Staff Counsel

STAFF ADVISORS AND PARTICIPANTS (Continued)

Richard Bendall, Chief Audit Executive

Leisha Collins, Principal Internal Auditor

Christina Logan, Principal Internal Auditor

Louis Gittens, Section Head, Benefits Division

Kevin Hawkins, Section Head, Benefits Division

James Beasley, Supervising Assistant, Administrative Division

Cookie Jaranilla, Systems Division

Don Wellington, Fiduciary Counsel

Jenni Kregel, Tax Counsel

Jolene Williams, Karcher Campbell & Associates Insurance Brokerage

Penni Campbell, Karcher Campbell & Associates Insurance Brokerage

I. CALL TO ORDER

The meeting was called to order virtually by Mr. Bernstein at 9:00 a.m.

II. APPROVAL OF MINUTES

A. Approval of the Minutes of the Regular Meeting of August 4, 2021

Mr. Okum made a motion, Mr. Kehoe seconded, to approve the minutes of the regular meeting of August 4, 2021. The motion passed (roll call) with Messrs. Knox, Santos, Moore, Okum, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

II. APPROVAL OF MINUTES (Continued)

B. Approval of the Minutes of the Regular Meeting of August 12, 2021

Mr. Okum made a motion, Ms. Gray seconded, to approve the minutes of the regular meeting of August 12, 2021. The motion passed (roll call) with Messrs. Knox, Santos, Moore, Okum, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

III. PUBLIC COMMENT

There were no requests from the public to speak.

IV. OTHER COMMUNICATIONS

A. For Information

1. July 2021 All Stars

Mr. Popowich announced the winners for the month of July: Indee Brooke, Courtney Cook, Joe Aguilar, and Summy Voong. The Rideshare winner was Liliana Ronquillo.

2. Chief Executive Officer's Report
(Memo dated August 20, 2021)

Mr. Kreimann provide an update on the General Member election; staff return to work plans and Covid vaccination requirements. Furthermore, he shared the hiring of Assistant Executive Officer, Laura Guglielmo who will be starting in mid-September.

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement
Service-Connected Disability Applications

On a motion by Mr. Kehoe, seconded by Mr. Okum, the Board of Retirement approved a service-connected disability retirement for the following named employees

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement (Continued)
Service-Connected Disability Applications

who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
527D	HUGO ROMAN
528D	CARONDELETTE D. JENKINS
529D	KATHERINE R. ZUBO
530D	SCOTT T. RODRIGUEZ
531D	CHARLES T. OELAND
532D	MICHAEL S. BODILY
533D*	MARK C. YRIARTE
534D	JESUS A. LOPEZ
535D	MICHAEL J. WHITE
536D	JORGE PADILLA
537D	KATHLEEN L. GALLAGHER
538D	AMYLYNN HANSON
539D**	MATTHEW SEIER (DEC'D)

*Granted SCD – Retroactive

**Granted SCD – Survivor Benefit

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Fire, Lifeguards

Service-Connected Disability Applications

On a motion by Mr. Okum, seconded by Mr. Santos, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
1389B	WALTER T. DRIGHT
1390B	FERNANDO GONZALEZ
1391B	CARL H. LINZNER
1392B	KENNETH M. WILES
1393B	ROBERT PARTIDA
1394B	RUBEN MONTANEZ
1395B	JONATHAN R. HAUS
1396B*	JOHN R. TODD
1397B	STEVE P. TOLEDO

*Granted SCD – Employer Cannot Accommodate

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

General Members

Service-Connected Disability Applications

On a motion by Ms. Gray, seconded by Mr. Okum, the Board of Retirement made a motion to approve a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
2265C	SALVADOR GORROCINO
2266C*	LIEM T. NGUYEN
2267C*	MARY J. FRAZIER-MCNEAL
2268C**	NANCY J. RIGGS
2269C***	PHILIP T. RODGERS, JR.
2270C****	ERIC E. GONZALEZ
2271C***	ISABEL MARTIN
2272C*****	KIESHA D. DUNN-TOLLIVER
2273C***	HOSSAM Z. GHALY
2274C	DENISE K. LACROSSE

*Granted SCD – Employer Cannot Accommodate

**Granted SCD – Retroactive

***Granted SCD – Salary Supplement

****Granted SCD – Retroactive Since the Employer Cannot Accommodate

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

General Members (Continued)
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
2275C*	WADIE S. TADROS
2276C	RAMON SOLORZANO, JR.

General Members
Nonservice-Connected Disability Applications

On a motion by Ms. Gray, seconded by Mr. Okum, the Board of Retirement made a motion to approve a nonservice-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
4407**	MARLENE E. BOJORQUEZ

VI. CONSENT ITEMS

Mr. Okum made a motion, Mr. Knox seconded, to approve Consent Items A-G. The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

- A. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated August 24, 2021)

*Granted SCD – Employer Cannot Accommodate
**Granted SCD – Retroactive

VI. CONSENT ITEMS (Continued)

- B. Recommendation as submitted by Tamara L. Caldwell, Acting Division Manager, Disability Retirement Services: That the Board grant the appeals and request for an administrative hearing for applicant Fernando Therezon. (Memo dated August 20, 2021)
- C. Recommendation as submitted by Tamara L. Caldwell, Acting Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Rachel A. Barbosa's appeal for Survivor Benefits (Service-Connected Death). (Memo dated August 20, 2021)
- D. Recommendation as submitted by Tamara L. Caldwell, Acting Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Xochitl Mercado's appeal for a service-connected disability retirement. (Memo dated August 23, 2021)
- E. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits, and Legislative Committee: That the Board adopt a "Support" position on H.R. 2337, which would enact the "Public Servants Protection and Fairness Act of 2021." (Memo dated August 19, 2021)
- F. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits, and Legislative Committee: That the Board adopt a "Support" position on S. 1302, which would enact the "Social Security Fairness Act." (Memo dated August 19, 2021)
- G. Recommendation as submitted by Shawn R. Kehoe, Chair, Operations Oversight Committee: That the Board 1) Approve the purchase of Fiduciary Insurance from the carrier as listed below effective October 9, 2021; 2) Approve the purchase of Cyber Liability Insurance effective October 9, 2021, in an amount not to exceed \$350,000 from the carriers listed below, and 3) Moving forward, authorize presenting insurance options directly to the Board of Retirement to approve all Insurance renewals by bypassing the Operations Oversight Committee due to the timeliness of insurance bids and the close deadlines for renewals. (Memo dated August 12, 2021)
- H. Recommendation as submitted by Shawn R. Kehoe, Chair, Operations Oversight Committee: That the Board review, and consider for approval, the revised Teleconference Meeting Policy. (Memo dated August 17, 2021)

This Item was pulled and held after VII. B. for further discussion.

VI. CONSENT ITEMS (Continued)

Mr. Kehoe made a motion, Mr. Santos seconded, to approve Consent Items H. The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

VII. NON-CONSENT ITEMS

- A. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits, and Legislative Committee: That the Board adopt a “Watch” position on Assembly Bill 826, which would define compensation earnable to include any form of remuneration, whether paid in cash or as an in-kind benefit, if specified requirements are met. (Memo dated August 19, 2021) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product – Memo dated August 23, 2021)

Mr. Kehoe made a motion, Mr. Harris seconded, to approve staff’s recommendation. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes; and Mr. Santos voting no.

- B. Recommendation as submitted by JJ Popowich, Assistant Executive Officer: That the Board authorize staff to engage and contract with SDI Presence for consulting services to assist LACERA to review and revise our budget process, identify a software solution and implementation vendor, and assist in the implementation of an enterprise budget application at a cost not to exceed \$200,000. (Memo dated August 17, 2021)

Mr. Knox made a motion, Mr. Kehoe seconded, to approve staff’s recommendation. The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

VIII. REPORTS

- A. For Discussion Purposes as submitted by Alan J. Bernstein, Board of Retirement Chair and Keith Knox, Board of Investments Chair, that the Boards discuss and provide input on the Options for Election of Board Officers. (Memo dated August 19, 2021)

Messrs. Lew, Rice, and Kreimann were available to answer questions from the Board. The Board provided feedback and provided direction.

- B. For Information Only as submitted by Tamara L. Caldwell, Acting Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated August 24, 2021)

Ms. Caldwell was present to answer questions from the Board.

- C. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding Monthly Status Report on Legislation. (Memo dated August 22, 2021)

Mr. Lew was present to answer questions from the Board.

- D. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the 2022 Supplemental Targeted Adjustment for Retirees (STAR) Cost-of-Living-Adjustment (COLA) Program. (Memo dated August 18, 2021)

Mr. Granger was present to answer questions from the Board.

- E. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the Semi-Annual Interest Crediting for Reserves as of June 30, 2021 (Unaudited). (Memo dated July 26, 2021)

Mr. Granger was present to answer questions from the Board.

- F. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:

Monthly Education and Travel Reports for July 2021

(Public Memo dated August 18, 2021)

(Confidential Memo dated August 18, 2021 – Includes Anticipated Travel)

VIII. REPORTS (Continued)

4th Quarter Education & Travel Expenditure Reports
(Memo dated August 18, 2021)

Mr. Granger was present to answer questions from the Board.

- G. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the August 2021 Fiduciary Counsel Contact and Billing Report. (Memo dated August 16, 2021) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

Mr. Rice was present to answer questions from the Board.

IX. ITEMS FOR STAFF REVIEW

The Board requested that if there is new information regarding trustee election to update the Board, as well as any marketing aspects of elections that can be enhanced.

In addition, the Board requested that in regard to Item VII. B. that staff share any feedback from the consultant regarding their view on current findings and outlook for the future as it pertains to the budget.

- X. GOOD OF THE ORDER
(For information purposes only)

There was nothing to report.

XI. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

- A. Applications for Disability

APPLICATION NO. & NAME

BOARD ACTION

5203B – VERNITTA PHINISEE

Ms. Gray made a motion, Mr. Kehoe seconded, to grant a nonservice-connected disability retirement without prejudice pursuant to Government Code Sections 31720 since employer cannot accommodate.

XI. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5203B – VERNITTA PHINISEE (Continued)

The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

5204B – CAROLINA GONZALEZ*

Ms. Gray made a motion, Mr. Moore seconded, to grant a service-connected disability retirement.

Mr. Bernstein made a substitute motion, Mr. Santos seconded, to deny a service-connected disability retirement and find the applicant not permanently incapacitated.

Without objection, the makers of the motion amended their motion to deny a service-connected disability retirement and find the applicant not permanently incapacitated without prejudice. The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

5205B – ARAMIS P. ALMAGUER

This application was pulled for further development.

5206B – PATRICK J. JORDAN

(Mr. Harris recused himself from voting on this application)

Mr. Kehoe made a motion, Mr. Santos seconded, to deny a service-connected disability retirement without prejudice.

*Applicant Present

XI. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5206B – PATRICK J. JORDAN (Continued)

The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Bernstein, and Ms. Gray voting yes.

5207B – JULIA HUANG

Mr. Okum made a motion to grant a nonservice-connected disability retirement pursuant to Government Code Section 31720 and 31724 since the employer cannot accommodate but failed due to a lack of a second.

Mr. Kehoe made a motion, Ms. Gray seconded, to grant a service-connected disability retirement pursuant to Government Code Section 31720 and 31724 since the employer cannot accommodate based on LACERA's Medical Advisor opinion. The motion passed (roll call) with Messrs. Knox, Santos, Okum, Moore, Kehoe, Harris, Bernstein, and Ms. Gray voting yes.

XII. EXECUTIVE SESSION

A. Conference with Legal Counsel – Anticipated Litigation Significant Exposure to Litigation (Pursuant to Paragraph (2) of Subdivision (d) of California Government Code Section 54956.9) and Initiation of Litigation (Pursuant to Paragraph (4) of Subdivision (d) of California Government Code Section 54956.9)

No. Of Cases: 1

The Board met in Executive Session pursuant to California Government Code

September 1, 2021

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XII. EXECUTIVE SESSION (Continued)

Section 54956.9. There was nothing to report.

XIII. ADJOURNMENT

There being no further business to come before the Board, the meeting was adjourned at 12:15 p.m.

Supplemental Information (Information provided to the Trustees prior to the meeting)

1. Conference with Legal Counsel – Anticipated Litigation
(Confidential Supplemental Memo dated August 30, 2021)
(Pursuant to California Government Code Section 54956.9)

GINA ZAPANTA, SECRETARY

ALAN BERNSTEIN, CHAIR



September 20, 2021

TO: Each Trustee,
Board of Retirement
Board of Investments

FROM: Santos H. Kreimann *SHK*
Chief Executive Officer

SUBJECT: **CHIEF EXECUTIVE OFFICER'S REPORT – OCTOBER 2021**

The following Chief Executive Officer's Report highlights key operational and administrative activities that have taken place during the past month.

Our Ongoing COVID-19 Response and Return to Office

Our Human Resources office continues to review and reconcile the responses from LACERA staff members regarding COVID-19 vaccination status. Approximately 329 staff members and 24 temporary staff, or 90.88% of LACERA staff, have reported they are fully vaccinated. There are 31 regular and temporary employees who are not vaccinated and 29 of those unvaccinated employees have already or plan to submit exemption requests. The numbers have been adjusted from the last report following Human Resources confirming responses; therefore, the number of vaccinated staff members will continue to be adjusted pending sufficient proof of vaccination.

We are actively engaged in securing a COVID 19 testing logistics vendor for staff members approved for vaccination exceptions and hope to have a repository solution to securely capture and report test results. To ensure we have adequate time to efficiently secure and orderly rollout a testing solution, we have decided to delay our staff Return-to-Office date of October 1, 2021. Once we have properly secured our testing protocols, we will provide our staff with two weeks advance notice on the new Return-to-Office date.

We continue to take a proactive approach in maintaining the health and safety of our staff and LACERA members. Our staff continue to follow established safety protocols when visiting our building, allowing us to continue to meet member expectations and deliver the high level of service we strive to always provide.

LACERA COVID-19 Incidents

The following chart shows the number of LACERA staff members who were exposed (had direct close contact) to a person who tested positive for COVID-19; the number of LACERA staff members who tested positive for COVID-19; and the number of staff members who were in the office 14 days before testing positive for COVID-19.

August 20, 2021 - September 20, 2021

Exposed	Confirmed Positive	In Office Before Positive Test
10	5	2

LACERA continues to follow the Public Health guidelines as they change and evolve, including the City of Pasadena’s requirement to use masks indoors. Management continues to limit the number of staff members physically in our offices daily. Based on the most recent numbers, we are averaging approximately 16% of our staff in the office on any given day. Staff members have the option to be vaccinated during working hours and may apply for Supplemental Paid Sick Leave (SPSL) for COVID-19 and vaccine related absences. The SPSL is currently available through September 30, 2021, for staff members to use.

Recruitment Updates

To provide a more complete reporting of LACERA’s recruitment, assessment, and hiring efforts to reduce the number of vacancies, provided below is an expanded summary of Human Resources (HR) activities.

Development

Before the position is advertised and applications are accepted, Human Resources (HR) works with the Division(s) to review the classification description, create an ideal candidate profile, and discuss the assessment process. This is used to create the job bulletin and recruiting brochure (management positions only). HR is currently working on several recruitments/assessments in development: Data Systems Analyst I, Principal Investment Officer, (Corporate Governance), Administrative Services Analyst I, Administrative Services Analyst II, and Administrative Services Analyst III. There is currently two (2) Principal Investment Officer; three (3) Data Systems Analyst I; one (1) Administrative Services Analyst I; two (2) Administrative Services Analyst II; and two (2) Administrative Services Analyst III vacancies.

Recruiting

The HR team is recruiting qualified internal and external candidates to fill vacancies. Finding the right candidate(s) who will add value to the organization and help move us forward in meeting our goals is a top priority of our Human Resources Division. LACERA is currently accepting applications for:

- Data Systems Analyst II Promotional Examination: Bulletin posted on August 19, 2021 and closed on September 2, 2021.
- Competitive candidates are encouraged to apply for Senior Accountant, Senior Staff Counsel, and Staff Counsel. The recruiting period remains open though early applicants are continuing to participate in the assessment process.

Assessment

Having received a pool of qualified candidates, HR is administering assessments for the below recruitments. Examples of assessments include multiple choice tests, interviews, job simulations, and technical projects.

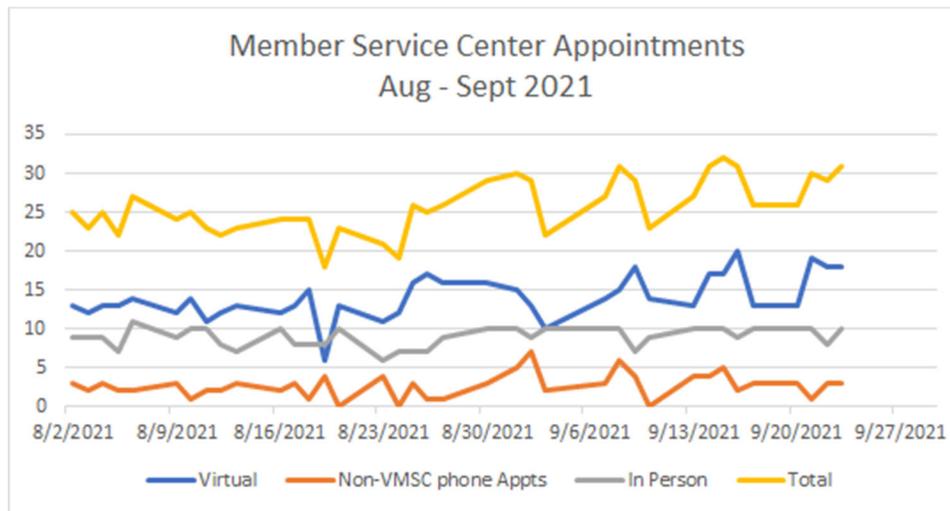
- Data Systems Analyst II
- Media Artist
- Procurement and Supply Clerk
- Retirement Benefits Specialist (RBS) I
- Senior Accountant
- Senior Staff Counsel
- Staff Counsel

Upon completing the above assessments, HR staff creates the eligible lists from which Divisions may hire. Lastly, once offers have been made, HR staff have the capacity to start the development process for other recruitments/assessments.

Based on the current requests the next classifications for exam development are, Data Systems Coordinator and Human Resources Analyst.

Member Service Center (MSC) Update

Member Services increased the number of appointments offered daily in mid-September. We are currently offering approximately 32 appointments a day – 22 virtual appointments and 10 in-person appointments and a small number of phone only appointments. The chart below shows the growth in the number of appointments offered.



While we schedule an average of 32 appointments per day, after no shows and cancellations, we are averaging 28 counseling sessions per day. This cancellation rate is on par with what we would see pre-COVID-19.

We continue to remain open and focused on serving our members in person, even in the face of an increase in the number of COVID-19 cases throughout LACERA this month. Staff remain vigilant in following the protocols (face coverings always required, gloves for handling documents, social distancing practices, and routine cleaning of work areas). Members have also been adhering to those protocols and we have not had any incidents with non-compliant members this month. The safety protocols we put in place seem to be working.

As reported in the August 2021 CEO Report, the number of appointments offered is dictated by the available staff to see members. Last month, we provided a breakdown of the available staff. Since then, one staff member has resigned for personal reasons, and we are aware of two additional staff members who may be going out on leave.

We remain focused on ensuring we can provide as many appointments as possible, even in light of the reduced staff available. To this end, the Executive and MS Management team are looking at the possibility of increasing the number of appointments we can offer by moving staff assigned to the Call Center to the MSC and offering overtime, where feasible, until we can complete planned recruitments and the required training. This is a careful balancing act as moving staff from one area to another can cause additional delays in another area. It also requires additional training and preparation of staff. Looking long term, the team estimates it will not be until mid to late 2022 that MSC staffing is restored to full capacity as we train new Member Services staff. It should be noted this delay may have some impacts to the services we provide across the board, but we intend to mitigate them as described above.

In the meantime, we will continue to monitor the member demand through all channels and adjust as needed to provide the highest level of service possible with the current staffing levels.

Retiree Healthcare (RHC) Update

As of August 31, 2021, the Retiree Healthcare Staff has completed the March Madness enrollment forms with a balance of zero work objects in the March Madness queue (1,700 work objects received in April 2021). In addition to clearing the March Madness queue, RHC staff was able to complete other critical assignments such as processing other healthcare enrollment forms, responding to member correspondence and inquiries, completing healthcare-related service requests, processing Medicare Part B verifications and assisting the Retiree Healthcare Call Center Unit as needed. The team will now focus its efforts on the 2022 Medicare Part B Premium Verification annual project.

We appreciate the teams effort in working through enrollment backlogs. RHC is committed to improving our customer service and overall service delivery, which includes reducing our enrollment processing time.

Communications

Intranet Redesign

Now that LACERA.com has launched, the Systems and Communications divisions are pivoting to their next major web project: redesigning LACERA's intranet in conjunction with an outside vendor. With new content management and hosting capabilities, the intranet will be based on the

style created for LACERA.com. Communications Division will soon begin working with division managers to develop or streamline their content, with the goal of improving usability for staff members.

LACERA.com: Post-Launch Statistics and User Analytics

The new LACERA.com launch on July 30th has been a huge success. We have received overwhelmingly positive user feedback and seen significantly increased web traffic thanks to our email and newsletter promotional efforts.

Following are some of the initial post-launch statistics (7/30/21–8/31/21, except where noted):

Jump in user traffic:

LACERA.com	Traffic
First Time Visitors	42,365
Average Visitors per Day	1,283
% Increase (average daily visitors)	98%

Top Five LACERA.com Page Views:

LACERA Pages	Views
LACERA Homepage	59,015
Search Results	7,643
Board Meeting	2,899
LACERA Plan D Center	2,656
Retirement Planning	2,548

My LACERA Signups:

With 2,030 views, the Sign Up for My LACERA page (linked from a homepage tile) contributed to a substantial increase in account sign-ups, since we have not had any additional marketing campaigns.

From 8/1/21–9/1/21, 811 members signed up for the My LACERA portal—an increase of about 23% over June, which had 660 sign-ups, and about 46% over July, which had 555 sign-ups.

Our Communications Division continues to make minor edits to the site and add new content, while intently assessing the user analytics. We will be using the analytics on an ongoing basis to identify needs, prioritize or develop improvements, and measure and report on site performance.

SHK:ll

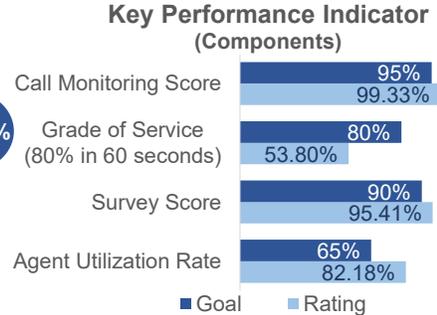
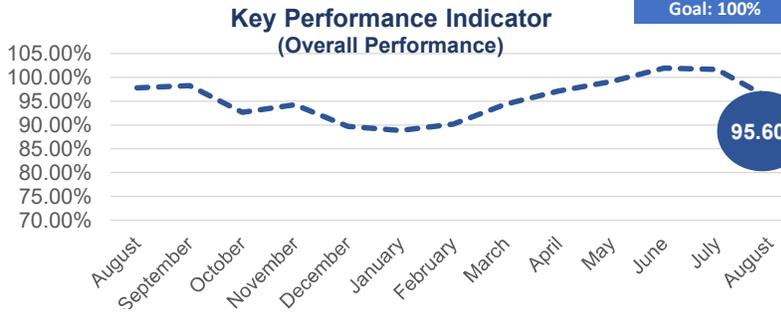
CEO report Oct.2021.doc

Attachments

Striving for Excellence in Service

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: August 2021

<p>Outreach Attendance 761 1,623 Year-to-Date</p>	<p>Outreach Events 24 42 Year-to-Date</p>	<p>Outreach Satisfaction N/A Change Since Last Mo</p>	<p>MSC Satisfaction N/A - Change Since Last Mo</p>	<p>Member Services Calls 14,459 13,215 3 Mo. Avg.</p>
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Member Services



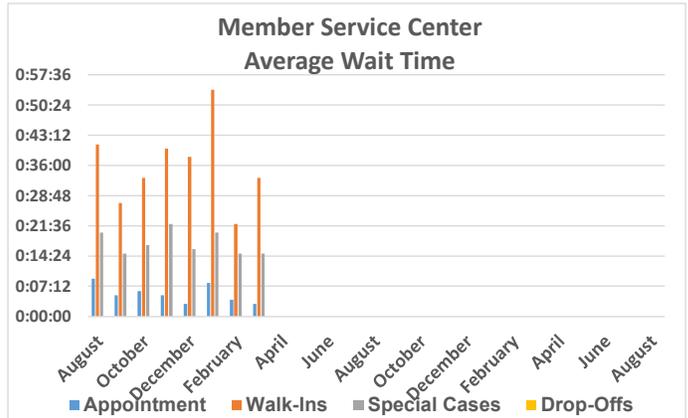
Top Calls

1. Retirement Counseling: Process Overview
2. MyLACERA Portal Login Issues
3. Retirement Counseling: Estimate

Emails 779
24:00 hours
Avg. Response Time (ART)



Secure Messages 775



*Drop Off Wait Time: No Waiting



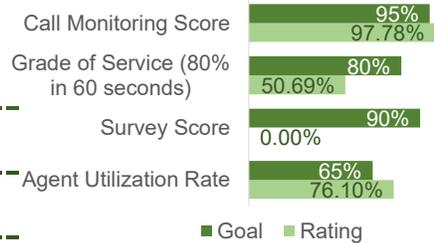
Retiree Healthcare

Total RHC Calls: 6,670



Top Calls

1. Medical/Dental Enrollments
2. Medical Benefits
3. General Inquiries



Emails 315
10 Days
Avg. Response Time (ART)



Secure Messages 274

Striving for Excellence in Service (Continued)

Disability

Applications

698

In Process As Of: 8/31/2021

705 Pending on: 7/31/2021
43 Received
81 Year-to-Date
0 Re-Opened
0 Year-to-Date
47 To Board - Initial
88 Year-to-Date
3 Closed
6 Year-to-Date

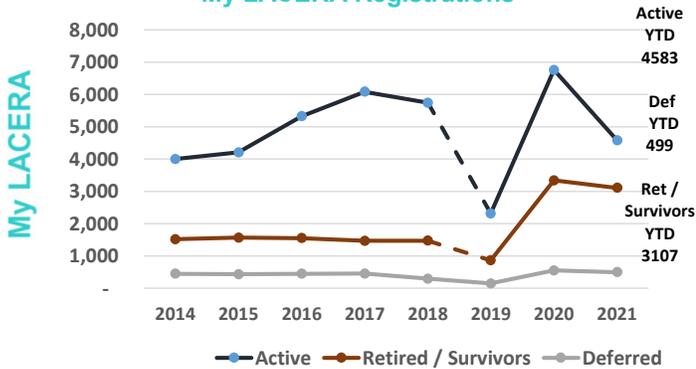
Appeals

93

In Process As Of: 8/31/2021

95 Pending on: 7/31/2021
2 Received
7 Year-to-Date
4 Admin Closed/Rule 32
8 Year-to-Date
0 Referee Recommended
2 Year-to-Date
0 Revised/Reconsidered for Granting
0 Year-to-Date

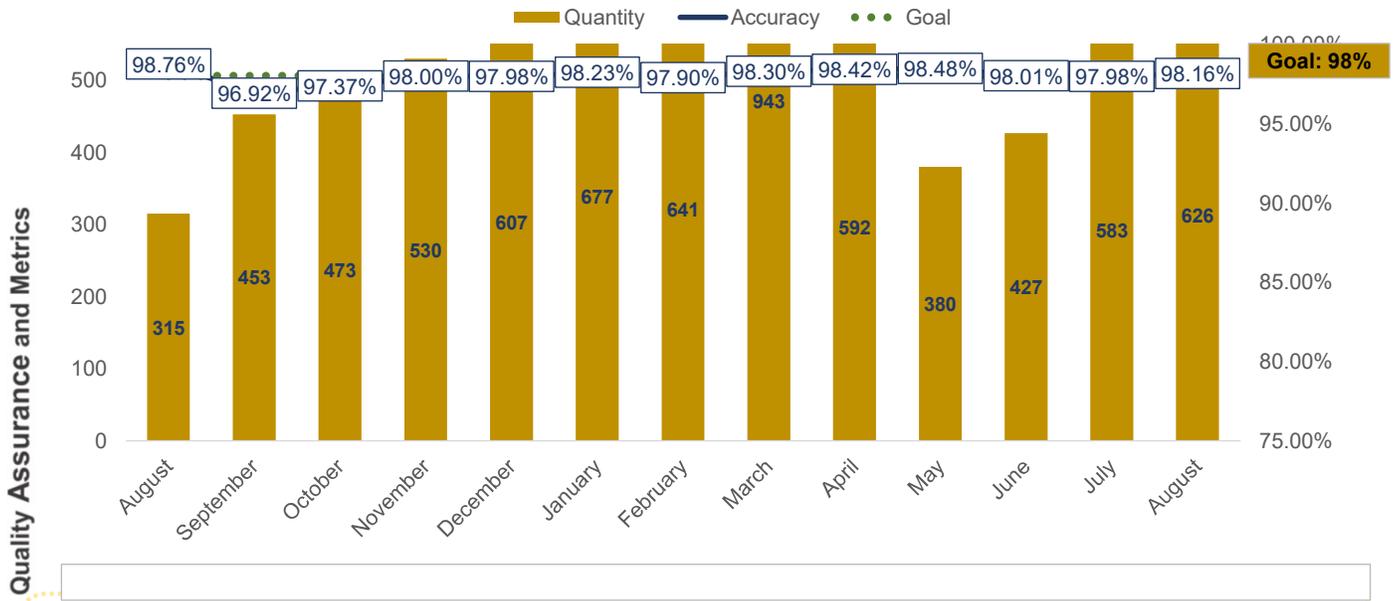
My LACERA Registrations



COVID-19 NOTE
 Some Member Services, Retiree Healthcare, and Quality Assurance statistics became unavailable for a short time beginning in April 2020 due to COVID-19 impacts. Most of the data contained herein is up to date and based on current production both virtually and in the office. However, some data points such as surveys are based on six month average for survey scores prior to the pandemic.

Striving for Excellence in Quality

Audits of Retirement Elections, Payment Contracts, and Data Entry Completed by QA



August

98.16%



Retirement Elections

283 Samples
97.03% Accuracy

Payment Contracts

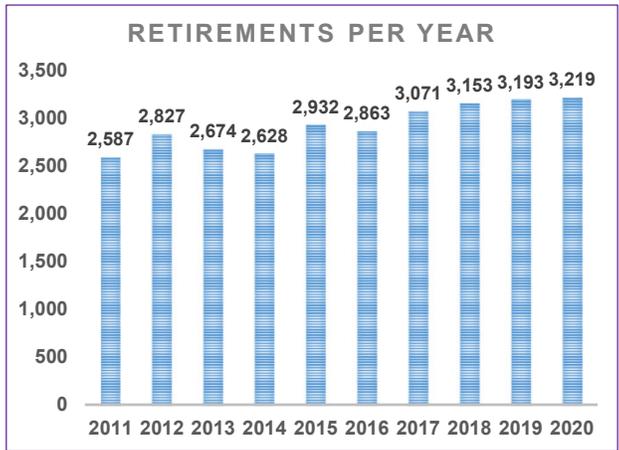
253 Samples
97.81% Accuracy

Data Entry

90 Samples
99.63% Accuracy

Member Snapshot

	Members as of 09/16/2021				
	Plan	Active	Retired	Survivors	Total
General	Plan A	70	14,690	4,253	19,013
	Plan B	19	656	65	740
	Plan C	21	425	65	511
	Plan D	37,862	18,436	1,705	58,003
	Plan E	15,043	14,313	1,418	30,774
	Plan G	32,491	120	10	32,621
	Total General	85,506	48,640	7,516	141,662
Safety	Plan A	2	4,745	1,633	6,380
	Plan B	8,592	6,969	349	15,910
	Plan C	4,515	15	1	4,531
	Total Safety	13,109	11,729	1,983	26,821
TOTAL MEMBERS	98,615	60,369	9,499	168,483	
% by Category	59%	36%	6%	100%	



Average Monthly Benefit Allowance Distribution September 23, 2021

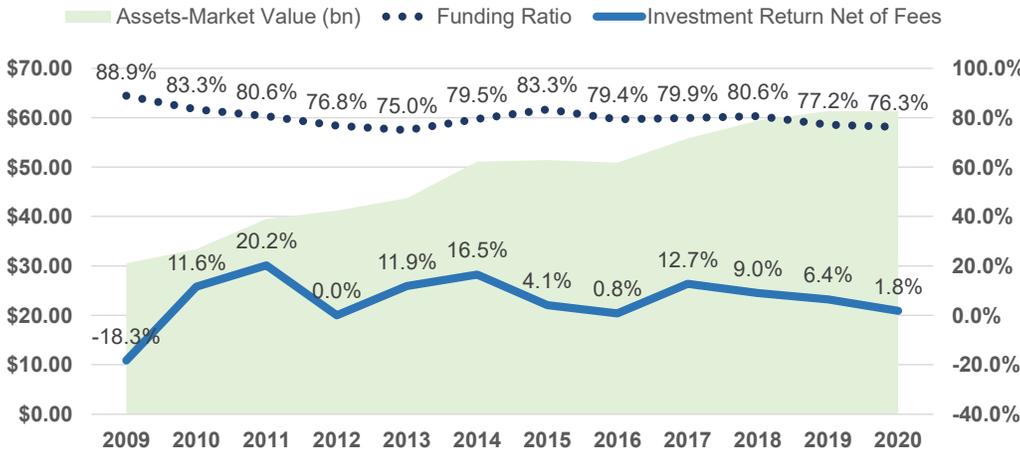
	General	Safety	Total	%
\$0 to \$3,999	29,935	1,701	31,636	52.51%
\$4,000 to \$7,999	13,465	3,469	16,934	28.11%
\$8,000 to \$11,999	3,662	4,259	7,921	13.15%
\$12,000 to \$15,999	1,000	1,792	2,792	4.63%
\$16,000 to \$19,999	328	347	675	1.12%
\$20,000 to \$23,999	99	114	213	0.35%
\$24,000 to \$27,999	24	27	51	0.08%
> \$28,000	20	3	23	0.04%
Totals	48,533	11,712	60,245	100%

Average Monthly Benefit Allowance: \$ 4,616.00

Healthcare Program		Healthcare Enrollments	
(Mo. Ending: 08/31/2021)		(Mo. Ending: 08/31/2021)	
	Employer	Member	
Medical	96.95	7.21	Medical 52,952
Dental	7.70	744,595	Dental 54,414
Part B	13.46	0	Part B 36,334
			LTC 564
Total	118.11	7.95	Total 144,264

Key Financial Metrics

Fiscal Year End Financial Update (as of 06/30/2020)



Funding Metrics (as of 6/30/20)

Employer NC	10.89%
UAAL	14.85%
Assumed Rate	7.00%
Star Reserve	\$614m
Total Assets	\$58.5b

Contributions (as of 6/30/20)

	Employer	Member
Annual Add	\$1.8b	\$659.3m
% of Payroll	24.64%	7.80%

TOTAL FUND RETURN (Net of Fees)

5 YR: 6.1% 10 YR: 8.2%

Retired Members Payroll

(As of 08/31/2021)

Monthly Payroll	\$334.97m
Payroll YTD	\$0.7b
New Retired Payees Added	333
Seamless %	95.80%
New Seamless Payees Added	704
Seamless YTD	94.32%
By Check %	2.00%
By Direct Deposit %	98.00%

Retiree Payroll by Year





QUIET PERIOD LIST
Current Active Quiet Period List for Non-Investments

RFP/RFI Name	Issuing Division	RFP Issued	Status*	Quiet Period for RFP Respondents*
Search for Classification and Compensation Study Services (HR)	Human Resources	May 24, 2021	Bid Review	<ul style="list-style-type: none"> • Koff and Associates • Magnova Consultant • Grant Thornton • Reward Strategy Group
Search for Classification and Compensation Study Services (RHC)	Human Resources	May 24, 2021	Bid Review	<ul style="list-style-type: none"> • Koff and Associates • Magnova Consultant • Grant Thornton • Reward Strategy Group
Agenda Management, Livestream, Recording, and Archiving	Board Offices	April 16, 2021	Vendor Selection	<ul style="list-style-type: none"> • Granicus • Diligent iCompass • PrimeGov • eSCRIBE • Hyland • Provox Systems
Contract Lifecycle Management Application	Administrative Services/ Systems	February 16, 2021	Vendor Review	<ul style="list-style-type: none"> • Icertis • Cobblestone • Agiloft • Iron Clad • SmartSheet
External Quality Assessment	Internal Audit	August 9, 2021	Solicitation Process	<ul style="list-style-type: none"> • No bids received at this time
Strategic Planning Consultant	Board Offices	August 23, 2021	Solicitation Process	<ul style="list-style-type: none"> • No bids received at this time

*Subject to change

**Does not include Investments related vendor searches

Date	Conference
October, 2021	
11-15	Investment Strategies & Portfolio Management (<i>prev. Pension Fund & Investment Mgmt.</i>) Wharton School, University of Pennsylvania
17-20	IFEBC (International Foundation of Employment Benefit Plans) Annual Employee Benefits Conference Denver, CO
17-20	Milken Institute Global Conference Los Angeles, CA
25-27	PREA (Pension Real Estate Association) Annual Institutional Investor Conference Chicago, IL
25-27	ICGN (International Corporate Governance Network) Annual Conference Toronto, Canada
29	CALAPRS (California Association of Public Retirement Systems) Round Table – Trustees Virtual (<i>subject to change in venue</i>)
November, 2021	
7-10	CRCEA (California Retired County Employees Association) Conference Long Beach, CA
9-11	Institutional Limited Partners Association (ILPA) General Partner Summit Virtual
9-12	SACRS Fall Conference Hollywood, CA
9-12	2021 SuperReturn Berlin Conference Berlin, Germany
December, 2021	
13-14	2021 Global Investors Annual Meeting New York, NY
January, 2022	
23-25	NCPERS (National Conference on Public Employee Retirement Systems) Legislative Conference Washington, D.C.



Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**



September 28, 2021

TO: Trustees, Board of Retirement

FOR: Board of Retirement Meeting on October 6, 2021

SUBJECT: Ratification of Service Retirement and Survivor Benefit Application Approvals

The attached report reflects service retirements and survivor benefit applications received as of the date of this memo, along with any retirement rescissions and/or changes approved at last month's Board meeting. Any retirement rescissions or changes received after the date of this memo up to the date of the Board's approval, will be reflected in next month's report.

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MONTY R. BUCKALLEW	SHERIFF Dept.#SH	09-30-2021	25 YRS 09 MOS
MARY M. CENOVICH	DISTRICT ATTORNEY Dept.#DA	09-24-2021	17 YRS 03 MOS
JOHN A. COOK	SHERIFF Dept.#SH	09-30-2021	26 YRS 11 MOS
ALEJANDRO COVIAN JR	SHERIFF Dept.#SH	10-01-2021	20 YRS 08½ MOS
RICHARD W. DEBRUIJN	SHERIFF Dept.#SH	09-30-2021	32 YRS 02 MOS
DOUGLAS R. DECESARE	SHERIFF Dept.#SH	08-29-2021	29 YRS 08 MOS
ROGER DIAZ	SHERIFF Dept.#SH	10-02-2021	25 YRS ½ MOS
MARK A. GITTENS	SHERIFF Dept.#SH	08-31-2021	31 YRS 06½ MOS
ROBERT C. HARRIS	SHERIFF Dept.#SH	09-30-2021	36 YRS 05 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
NANCY IWATA	SHERIFF Dept.#SH	09-30-2021	31 YRS 07 MOS
RICHARD J. LAFLIN	SHERIFF Dept.#SH	09-30-2021	29 YRS 07 MOS
DENNIS J. MISSEL	SHERIFF Dept.#SH	08-28-2021	30 YRS 00 MOS
SALVADOR NARRO JR	L A COUNTY FIRE DEPT Dept.#FR	08-28-2021	27 YRS 01 MOS
DAVID J. PACHECO	L A COUNTY FIRE DEPT Dept.#FR	08-31-2021	32 YRS 08½ MOS
SAM L. PADILLA	L A COUNTY FIRE DEPT Dept.#FR	10-01-2021	29 YRS 04½ MOS
DALE W. RYKEN	SHERIFF Dept.#SH	09-30-2021	33 YRS 00 MOS
JUDITH A. SMALL	SHERIFF Dept.#SH	09-30-2021	31 YRS 11 MOS
YANCY L. WALDEN	SHERIFF Dept.#SH	10-15-2021	37 YRS 05½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RICHARD G. WALTERS	SHERIFF Dept.#SH	09-25-2021	31 YRS 02 MOS
ROBERT F. WILLIAMS JR	SHERIFF Dept.#SH	09-25-2021	30 YRS 01 MOS
JAMES J. WRIGHT	L A COUNTY FIRE DEPT Dept.#FR	09-30-2021	30 YRS 11 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BEATRIZ R. ACOSTA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-30-2021	14 YRS 08 MOS
PATRICIA I. AGUILAR	PUBLIC DEFENDER Dept.#PD	09-30-2021	32 YRS 06 MOS
CARMEN A. ALBERIO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-30-2021	38 YRS 05 MOS
MARIA ALEMANY	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-20-2021	16 YRS 04 MOS
SANDRA Y. ALLEN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-31-2021	26 YRS 06½ MOS
GLORIA ALVAREZ	MENTAL HEALTH Dept.#MH	08-28-2021	42 YRS 00 MOS
FRANK ALVAREZ	PUBLIC HEALTH PROGRAM Dept.#PH	10-01-2021	12 YRS 03½ MOS
BARBARA A. ANTHONY	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2021	39 YRS 10 MOS
LUNINGNING A. AQUINO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-30-2021	17 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MELLODEE A. ARNOLD	AMBULATORY CARE NETWORK Dept.#HN	09-30-2021	30 YRS 05 MOS
KU'ULEI S. ARREDONDO	CHILD SUPPORT SERVICES Dept.#CD	10-29-2021	26 YRS 04 MOS
PEDRO ARROYO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-01-2021	22 YRS 08½ MOS
DAVID ATKINSON	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	08-25-2021	18 YRS 08½ MOS
MARIA G. BADULIS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	24 YRS 03 MOS
ANA BAISLEY	CHILDREN & FAMILY SERVICES Dept.#CH	09-30-2021	27 YRS 08 MOS
ARISTEO S. BANICO	CHILDREN & FAMILY SERVICES Dept.#CH	09-30-2021	28 YRS 00 MOS
PATRICIA K. BARNARD	CHIEF EXECUTIVE OFFICE Dept.#AO	09-30-2021	29 YRS 10 MOS
NATALIE P. BIRMAN	MENTAL HEALTH Dept.#MH	08-29-2021	06 YRS 08 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LINDA BLACK-CASTIL	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	08-28-2021	23 YRS 08 MOS
KATHY L. BONDAR	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	40 YRS 07 MOS
PAUL BONILA	PUBLIC HEALTH PROGRAM Dept.#PH	11-27-2021	41 YRS 08 MOS
FAWZY S. BOTROS	PUBLIC HEALTH PROGRAM Dept.#PH	09-20-2021	16 YRS 09½ MOS
GUY F. BROWN	PROBATION DEPARTMENT Dept.#PB	08-31-2021	35 YRS 06 MOS
KIM K. BROWN	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2021	30 YRS 09 MOS
SHERWEN R. BUMPUS	ASSESSOR Dept.#AS	08-31-2021	21 YRS 11 MOS
DIANE M. BUTKO	PUBLIC DEFENDER Dept.#PD	08-31-2021	38 YRS ½ MOS
GAY BUTLER	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	41 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LORRI A. BYRD	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-08-2021	16 YRS 05½ MOS
CHRISTIE NU CAO	CHIEF EXECUTIVE OFFICE Dept.#AO	09-30-2021	39 YRS 01 MOS
NANCY O. CARBAJAL	REG-RECORDER/COUNTY CLERK Dept.#RR	09-30-2021	29 YRS 04 MOS
CARMEN CARLSON	PUBLIC HEALTH PROGRAM Dept.#PH	09-22-2021	35 YRS 09 MOS
LINDA D. CARTER	SHERIFF Dept.#SH	09-22-2021	04 YRS 11½ MOS
RAYMOND CHAVEZ	PUBLIC WORKS Dept.#PW	08-26-2021	43 YRS 11 MOS
THOMAS C. CHEN	PROBATION DEPARTMENT Dept.#PB	08-28-2021	22 YRS 00 MOS
HWEE K. CHEN	CHILD SUPPORT SERVICES Dept.#CD	10-30-2021	20 YRS 00 MOS
LINDA M. CITRON	SHERIFF Dept.#SH	08-29-2021	21 YRS 03 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DOYCE CLAIBORNE	INTERNAL SERVICES Dept.#IS	09-30-2021	15 YRS 10½ MOS
TARA P. CLARKE-MARIN	INTERNAL SERVICES Dept.#IS	08-16-2021	21 YRS 07 MOS
LYDIA M. COLCOL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-30-2021	25 YRS 00 MOS
ROBERT M. CONLEY	ALTERNATE PUBLIC DEFENDER Dept.#AD	09-30-2021	25 YRS 11 MOS
NORJATA CORTES	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	34 YRS 11 MOS
JOYCE E. COULTER	PROBATION DEPARTMENT Dept.#PB	09-30-2021	17 YRS 00 MOS
TRACY J. CRANDALL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-23-2021	29 YRS 03 MOS
JORGE CRUZ	MENTAL HEALTH Dept.#MH	10-29-2021	30 YRS 05 MOS
LIDA T. CRUZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-31-2021	33 YRS 03½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
VANIK DABAGHIAN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-30-2021	19 YRS 06 MOS
ANAHID DANIELIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	30 YRS 00 MOS
VIRGINIA T. DAVID	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	22 YRS 05 MOS
CYNTHIA DAVIS	PROBATION DEPARTMENT Dept.#PB	08-13-2021	42 YRS 02½ MOS
DIANA DEL CARLO	MENTAL HEALTH Dept.#MH	10-31-2021	25 YRS 04 MOS
ARACELI DELGADO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	30 YRS 08 MOS
ANNA L. DICKEY	TREASURER AND TAX COLLECTOR Dept.#TT	08-28-2021	35 YRS 00 MOS
SUSAN DISTEFANO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-30-2021	23 YRS 07 MOS
GREGORY H. DOLLINGER	INTERNAL SERVICES Dept.#IS	11-27-2021	25 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JAMES C. DREW	PROBATION DEPARTMENT Dept.#PB	09-13-2021	36 YRS 07½ MOS
LILI A. DURETT	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-18-2021	28 YRS 03 MOS
CAROL J. EGERER	SUPERIOR COURT/COUNTY CLERK Dept.#SC	08-04-2021	16 YRS 04½ MOS
BREKITA P. ELLIS	SHERIFF Dept.#SH	08-31-2021	33 YRS 11 MOS
LOUIX A. ESCOBAR MATU	PUBLIC LIBRARY Dept.#PL	09-30-2021	12 YRS 03 MOS
EDILBERTO B. ESMUNDO	ASSESSOR Dept.#AS	10-30-2021	32 YRS 00 MOS
RENE M. EVANKO	GRAND JURY Dept.#GJ	09-15-2021	38 YRS 03½ MOS
JOHN B. EVANS	DISTRICT ATTORNEY Dept.#DA	09-30-2021	31 YRS 05 MOS
ALMA LAFRAN FLEMISTER YO	CHILDREN & FAMILY SERVICES Dept.#CH	09-30-2021	25 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ALFRED A. FLORES	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-30-2021	33 YRS 11 MOS
CAROL A. FLOYD	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2021	30 YRS 08½ MOS
MARGARITA M. FORTIN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-28-2021	43 YRS 10 MOS
YOLONDA D. FREEMAN	CHILD SUPPORT SERVICES Dept.#CD	09-25-2021	23 YRS 04 MOS
CARMEN A. FULLER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-31-2021	30 YRS 09½ MOS
JUNE P. FUNG	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-28-2021	22 YRS 00 MOS
ARMENUI GALSTYAN	DISTRICT ATTORNEY Dept.#DA	09-11-2021	24 YRS 06½ MOS
GLORIA G. GARCIA	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	09-01-2021	24 YRS 01½ MOS
IRA GARIBYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	21 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LORRAINE E. GEE	PROBATION DEPARTMENT Dept.#PB	11-27-2021	32 YRS 02 MOS
ROSA M. GERRITSEN	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	09-30-2021	10 YRS 08½ MOS
BANG B. GIANG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	33 YRS 03 MOS
WAFSA S. GIRGIS	ASSESSOR Dept.#AS	09-07-2021	29 YRS 06½ MOS
JOHN D. GLOUD	ASSESSOR Dept.#AS	10-01-2021	35 YRS ½ MOS
MARICELA GOMEZ	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2021	33 YRS 05 MOS
RENATO M. GOMEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	13 YRS 07½ MOS
JOANNE G. GONZALES	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-30-2021	21 YRS 05 MOS
GILBERT J. GONZALEZ	PUBLIC WORKS Dept.#PW	11-07-2021	30 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DEIDRE D. GOROSPE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-30-2021	25 YRS 05½ MOS
EVELYN D. GOSOCO	SHERIFF Dept.#SH	09-10-2021	15 YRS 01½ MOS
ELMA G. GRANGER	TREASURER AND TAX COLLECTOR Dept.#TT	09-30-2021	31 YRS 07 MOS
LUCINA I. GUILLEN	SHERIFF Dept.#SH	08-23-2021	29 YRS 02½ MOS
JEFFREY GUTERMAN	HEALTH SERVICES ADMINISTRATION Dept.#HS	09-16-2021	34 YRS 02 MOS
VALETA HAGAN	HEALTH SERVICES ADMINISTRATION Dept.#HS	08-27-2021	30 YRS 08 MOS
JAMES R. HALL	INTERNAL SERVICES Dept.#IS	09-30-2021	22 YRS 00 MOS
LYNETTE HAMILTON	PROBATION DEPARTMENT Dept.#PB	07-31-2021	38 YRS 08 MOS
PATRICK G. HAMMOND	PROBATION DEPARTMENT Dept.#PB	09-30-2021	23 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RANDOLPH V. HARDEMAN	CHILDREN & FAMILY SERVICES Dept.#CH	08-24-2021	19 YRS 08½ MOS
SHERRY D. HARPER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	40 YRS 07½ MOS
PAMELA B. HARPER	CHILD SUPPORT SERVICES Dept.#CD	10-01-2021	46 YRS 04½ MOS
LOUIE C. HART II	SHERIFF Dept.#SH	08-28-2021	40 YRS 10 MOS
NATHANIEL R. HEMEDES	MENTAL HEALTH Dept.#MH	09-25-2021	25 YRS 01 MOS
JOAQUIN HERNANDEZ	PROBATION DEPARTMENT Dept.#PB	09-20-2021	30 YRS 01 MOS
MAGDALENA HERRERA	HEALTH SERVICES ADMINISTRATION Dept.#HS	09-28-2021	45 YRS 03 MOS
SANDY HO	MENTAL HEALTH Dept.#MH	10-30-2021	28 YRS 04 MOS
MERCEDES HOWARD	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-31-2021	38 YRS 01½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
KATHY S. IVERSON	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2021	32 YRS 09 MOS
GLEND A. JACKSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	09 YRS 08 MOS
PATRICIA E. JAMES	MENTAL HEALTH Dept.#MH	09-25-2021	20 YRS 09 MOS
VIRGINIA L. JAMISON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-18-2021	36 YRS 06 MOS
JESUS JAMORA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-30-2021	20 YRS 01 MOS
ERICA JANG	CORRECTIONAL HEALTH Dept.#HC	10-30-2021	32 YRS 06 MOS
MAYDA J. JIMENEZ	MENTAL HEALTH Dept.#MH	09-30-2021	14 YRS 00 MOS
EVA C. JOHNSTON	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-30-2021	34 YRS 05 MOS
DAI J. JOO	CHILDREN & FAMILY SERVICES Dept.#CH	10-30-2021	19 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
EVELYN D. JORDAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-28-2021	22 YRS 04½ MOS
SEDA KESHISHIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-28-2021	23 YRS 02 MOS
ANIK KHANBEKYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-23-2021	15 YRS 09 MOS
MONICA S. KIM	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	09-24-2021	14 YRS 01 MOS
NELIA A. KUSHINER	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	08-31-2021	10 YRS 04½ MOS
JULIETA Y. LABAYNA	CORRECTIONAL HEALTH Dept.#HC	08-31-2021	12 YRS 06½ MOS
MARILYN LAKE	CHILDREN & FAMILY SERVICES Dept.#CH	09-21-2021	34 YRS 11½ MOS
LILIANE L. LEBAS	MENTAL HEALTH Dept.#MH	09-16-2021	10 YRS 11½ MOS
CHRISTINE P. LEE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	20 YRS 00 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TIMOTHY B. LEGGS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-31-2021	40 YRS 02½ MOS
CHARLES LENNON	MENTAL HEALTH Dept.#MH	09-30-2021	29 YRS 00 MOS
GLORIA E. LEPE	AMBULATORY CARE NETWORK Dept.#HN	09-30-2021	34 YRS 07 MOS
CONSTANCE C. LEWIS	CHILDREN & FAMILY SERVICES Dept.#CH	09-01-2021	41 YRS 04 MOS
CYNTHIA LIZARRAGA	AMBULATORY CARE NETWORK Dept.#HN	11-27-2021	06 YRS 07½ MOS
ALMA B. LLANILLO	SHERIFF Dept.#SH	10-30-2021	40 YRS 06 MOS
OLIVER W. LLOYD	PUBLIC WORKS Dept.#PW	08-31-2021	33 YRS 03½ MOS
MARY LOPEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-01-2021	42 YRS 05½ MOS
STEPHANIE C. LOWE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-24-2021	31 YRS 01 MOS

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GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PENNY Y. LUC	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	10-16-2021	33 YRS 04 MOS
FLORA LUJAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-28-2021	35 YRS 05½ MOS
SYLVIA LUNA	MENTAL HEALTH Dept.#MH	09-25-2021	37 YRS 08 MOS
ANNE LY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-04-2021	27 YRS 06½ MOS
BARBARA A. MALINOWSKI	MENTAL HEALTH Dept.#MH	09-21-2021	02 YRS 09½ MOS
PHILLIP D. MANUEL	PUBLIC WORKS Dept.#PW	09-24-2021	31 YRS 09½ MOS
LUDMILA K. MARKOVSKY	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	08-31-2021	12 YRS 11½ MOS
DEBBIE MARTIN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-07-2021	35 YRS 07½ MOS
JOHNNETTA M. MARTIN	MENTAL HEALTH Dept.#MH	10-01-2021	26 YRS 10½ MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
WILLIAM J. MATTEO	SHERIFF Dept.#SH	09-03-2021	22 YRS 08½ MOS
JACQUELYN L. MATTHEWS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	08-28-2021	26 YRS 01 MOS
MARCIA MCGRAW	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	31 YRS 10 MOS
LOURDES M. MEAD	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	30 YRS 00 MOS
ALEJANDRO MEDINA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-27-2021	30 YRS 01 MOS
ANTONIETTA MEDRANO-BAIL	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-28-2021	40 YRS 08 MOS
HOWARD S. MILLER	PUBLIC DEFENDER Dept.#PD	09-28-2021	27 YRS 08 MOS
ORAPAN MINCHAINUNT	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	10-30-2021	23 YRS 00 MOS
COSTANCE MOISIN	CHILDREN & FAMILY SERVICES Dept.#CH	09-30-2021	23 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SUSSY O. MORDI	CORRECTIONAL HEALTH Dept.#HC	09-30-2021	15 YRS 01 MOS
DANIEL J. MORENO	PROBATION DEPARTMENT Dept.#PB	09-30-2021	40 YRS 10 MOS
WALTER H. MUELLER	DISTRICT ATTORNEY Dept.#DA	08-31-2021	37 YRS 11½ MOS
PHYLLIS A MURILLO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-25-2021	41 YRS 10 MOS
SOTHEAVY NAVA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	29 YRS 06 MOS
FREDERICK NEAL	PROBATION DEPARTMENT Dept.#PB	10-01-2021	12 YRS 01½ MOS
WATAESSIA NELSON-JONES	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-16-2021	41 YRS 07½ MOS
CUC NGO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-01-2021	24 YRS 03½ MOS
PAUL NGUYEN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	29 YRS 07 MOS

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GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LECHI T. NGUYEN	CORRECTIONAL HEALTH Dept.#HC	09-30-2021	15 YRS 00 MOS
SON T. NGUYEN	SHERIFF Dept.#SH	09-30-2021	38 YRS 06 MOS
CYNTHIA NOEL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-26-2021	34 YRS 03 MOS
CHERYL D. NOLAND	BOARD OF SUPERVISORS Dept.#BS	08-30-2021	35 YRS 06 MOS
MANUEL L. NUVAL JR	ASSESSOR Dept.#AS	10-30-2021	35 YRS 01 MOS
MARCEL C. OBI	SHERIFF Dept.#SH	09-30-2021	21 YRS 00 MOS
MARIBEN L. OBISPO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-30-2021	23 YRS 05 MOS
PHYLLIS L. OCHOA	SHERIFF Dept.#SH	09-24-2021	31 YRS 11 MOS
EMMANUEL OKOLO	PUBLIC WORKS Dept.#PW	09-30-2021	34 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PATRICIA A. OLIVER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	34 YRS 07 MOS
ANITA ORH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-10-2021	26 YRS 07½ MOS
DORA E. OROZCO	CHILDREN & FAMILY SERVICES Dept.#CH	09-30-2021	41 YRS 06 MOS
EUGENE H. OROZCO	SHERIFF Dept.#SH	09-25-2021	31 YRS 09 MOS
TREASURE A. ORTEGA	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	09-20-2021	10 YRS 10½ MOS
AUGUSTINA N. OSUNWA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	29 YRS 01½ MOS
FELIX L. OZAETA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-23-2021	47 YRS 04 MOS
TERRY PALACIOS	SHERIFF Dept.#SH	08-31-2021	43 YRS 10½ MOS
CRISTY E. PAREDES	AMBULATORY CARE NETWORK Dept.#HN	09-30-2021	20 YRS 06 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JENNIFER E. PARK	INTERNAL SERVICES Dept.#IS	09-30-2021	41 YRS 00 MOS
ALMA J. PARKS	CHILDREN & FAMILY SERVICES Dept.#CH	09-30-2021	26 YRS 08 MOS
ANDREA E. PATTERSON	CHILDREN & FAMILY SERVICES Dept.#CH	09-30-2021	05 YRS 04 MOS
MIRIAM A. PENA	HEALTH SERVICES ADMINISTRATION Dept.#HS	09-15-2021	35 YRS ½ MOS
MARIETA M. PEREZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-27-2021	21 YRS 03 MOS
HELEN Y. PEREZ	AMBULATORY CARE NETWORK Dept.#HN	09-30-2021	25 YRS 05 MOS
MAYRA A. PINAUD	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-30-2021	21 YRS 02 MOS
DEBRA PINEDA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-30-2021	35 YRS 06 MOS
ANITA R. POLASEK	AMBULATORY CARE NETWORK Dept.#HN	09-30-2021	18 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PAULO T. POON	HEALTH SERVICES ADMINISTRATION Dept.#HS	09-30-2021	20 YRS 05 MOS
CALVIN POWELL	CHILDREN & FAMILY SERVICES Dept.#CH	09-25-2021	28 YRS 00 MOS
MANOLO D. QUIANZON	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-30-2021	24 YRS 08 MOS
OFELIA P. QUINONEZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-30-2021	39 YRS 01 MOS
JOANN QUIRARTE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	15 YRS 05 MOS
JEROME E. RENE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-30-2021	21 YRS 05½ MOS
COLMAN ROBERSON JR	INTERNAL SERVICES Dept.#IS	08-05-2021	60 YRS 05½ MOS
SYLVIA P. RUBAL	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2021	30 YRS 01 MOS
PERLA R. RUBI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	15 YRS 02 MOS

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GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROSIE O. RUIZ	REGIONAL PLANNING Dept.#RP	08-27-2021	47 YRS 02 MOS
GEORGE H. SABOUNJIAN	MENTAL HEALTH Dept.#MH	10-07-2021	27 YRS 08½ MOS
JOHN M. SALCERO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-27-2021	11 YRS 05½ MOS
JOYCE SAMIA	ASSESSOR Dept.#AS	11-27-2021	31 YRS 07 MOS
LADDA SANAPANYA	L A COUNTY FIRE DEPT Dept.#FR	09-30-2021	38 YRS 01 MOS
DORA SANCHEZ	SHERIFF Dept.#SH	08-25-2021	18 YRS 11½ MOS
VANESSA L. SANDERS	SHERIFF Dept.#SH	08-30-2021	29 YRS 04½ MOS
GREGORY B. SANDERS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	34 YRS 01 MOS
ROBERTO G. SANIDAD	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	05-29-2021	10 YRS 11½ MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ARMANDO B. SEDILES	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	09-30-2021	16 YRS 05 MOS
LESLIE SEIDMAN	ANIMAL CONTROL Dept.#AN	09-01-2021	35 YRS ½ MOS
RIKKI L. SENDER	PUBLIC DEFENDER Dept.#PD	09-25-2021	16 YRS 11 MOS
DAVID P. SMITH	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-29-2021	26 YRS 07 MOS
PAUL J. SONG	ASSESSOR Dept.#AS	09-30-2021	16 YRS 01 MOS
JEFFREY G. SPENCER	PUBLIC WORKS Dept.#PW	09-30-2021	17 YRS 05 MOS
JACQUELINE M. SWITZLER	L A COUNTY FIRE DEPT Dept.#FR	09-30-2021	35 YRS 08 MOS
RUDY T. TANG	SHERIFF Dept.#SH	08-02-2021	21 YRS 10½ MOS
RICHARD J. THRALL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	09-01-2021	30 YRS 03½ MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GLORIA TORRES	SHERIFF Dept.#SH	09-28-2021	18 YRS 00 MOS
MARSHA L. TOWNSEND	SHERIFF Dept.#SH	09-30-2021	31 YRS 10 MOS
LOC D. TRAN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-30-2021	33 YRS 02 MOS
MIGUEL A. TRUJILLO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	21 YRS 03 MOS
ESTHER TSAO	ASSESSOR Dept.#AS	09-25-2021	33 YRS 04 MOS
JEANNE M. UMANA	PUBLIC HEALTH PROGRAM Dept.#PH	09-30-2021	31 YRS 11 MOS
MARIA L. VARGAS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	08-31-2021	35 YRS 10½ MOS
RICHARD D. VELASQUEZ	BOARD OF SUPERVISORS Dept.#BS	09-02-2021	31 YRS 09 MOS
ELLEN WAI	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-01-2021	33 YRS 04½ MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DARLENE R. WELLS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-19-2021	22 YRS 02 MOS
JUDY M. WHITE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	08-28-2021	43 YRS 09 MOS
RODNEY WHITFIELD	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-10-2021	27 YRS 05 MOS
JO ANN WILLIAMS	CHILDREN & FAMILY SERVICES Dept.#CH	09-01-2021	20 YRS 07½ MOS
JACQUELINE H. WILLIAMS	AMBULATORY CARE NETWORK Dept.#HN	09-30-2021	22 YRS 10 MOS
CHERYL D. WILLIAMS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	09-30-2021	42 YRS 02½ MOS
KAM M. WONG	TREASURER AND TAX COLLECTOR Dept.#TT	10-31-2021	15 YRS 04 MOS
BARBARA WOODFORD POW	PUBLIC HEALTH PROGRAM Dept.#PH	09-13-2021	18 YRS 02½ MOS
LATRINA R. WOODS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	10-14-2021	19 YRS 09½ MOS

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GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LISA WYMAN	ASSESSOR Dept.#AS	09-30-2021	21 YRS 01 MOS
STELLA YATAR	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-30-2021	13 YRS 02 MOS
MARIA D. ZEPEDA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-30-2021	30 YRS 07 MOS

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BENEFIT APPROVAL LIST

SAFETY SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LILIANA TOLBERT	L A COUNTY FIRE DEPT Dept.#FR	05-20-2019	30 YRS 05 MOS
WIFE of MARK A TOLBERT dec'd on 05-19-2019, Sect. #31781.3			

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GENERAL SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
REY V. ALCANTARA	CORRECTIONAL HEALTH Dept.#HC	05-16-2021	23 YRS 03½ MOS
HUSBAND of CARMEN ALCANTARA dec'd on 05-15-2021, Sect. #31781.3			
KANDYCE CAMPBELL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-09-2014	12 YRS 06½ MOS
DAUGHTER of WILLIAM T CAMPBELL dec'd on 01-08-2014, Sect. #31781.3			
ALAYSIA M. CARTER	AMBULATORY CARE NETWORK Dept.#HN	11-12-2018	17 YRS 03½ MOS
DAUGHTER of IRWIN N CARTER dec'd on 11-11-2018, Sect. #31781.3			
CALEB J. MCMANN	PROBATION DEPARTMENT Dept.#PB	08-02-2019	24 YRS 01 MOS
SON of PATRICK J MC MANN dec'd on 08-01-2019, Sect. #31781.3			

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SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
VICTOR L. LOCKLIN	SHERIFF Dept.#SH	08-24-2021	26 YRS ½ MOS
RUTH O. MIRAMONTES	SHERIFF Dept.#SH	08-01-2021	05 YRS 00 MOS
RICARDO A. PENARANDA	SHERIFF Dept.#SH	07-23-2021	02 YRS 01 MOS
MATTHEW T. SAIDLEMAN	SHERIFF Dept.#SH	09-09-2021	04 YRS 04 MOS

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GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GINA AHARONOFF	CHIEF EXECUTIVE OFFICE Dept.#AO	08-05-2021	06 YRS 07 MOS
SHIRLEY E. BAUTISTA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-01-2021	15 YRS 06 MOS
THERASA A. BRANDT	MENTAL HEALTH Dept.#MH	09-30-2021	17 YRS 08½ MOS
CHRISTINE K. CARDONA	SHERIFF Dept.#SH	09-15-2021	06 YRS 03½ MOS
NISHA N. CARRAWAY ROB	SUPERIOR COURT/COUNTY CLERK Dept.#SC	08-12-2021	32 YRS 01 MOS
SYLVIA S. CAVAZOS	CALIFORNIA CHILDREN'S SERVICES Dept.#PS	10-03-2020	11 YRS 08 MOS
REX A. CHAMBERLAIN	Dept.#365	03-01-2020	08 YRS 01 MOS
PATRICIA A. CHAVARRIA	SHERIFF Dept.#SH	08-17-2021	10 YRS 07 MOS
FRANK CHENG	INTERNAL SERVICES Dept.#IS	09-16-2021	25 YRS 01½ MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
WINSTON F. COLE	PUBLIC WORKS Dept.#PW	08-01-2021	04 YRS 04 MOS
PATRICIA M. DAHLSTROM	PUBLIC HEALTH PROGRAM Dept.#PH	09-01-2021	06 YRS 00 MOS
ADRIENNE B. DIGGS	SOUTHWEST CLUSTER (MLK JR MC) Dept.#HK	07-07-2021	30 YRS 06 MOS
ROZELL E. DYKES	PARKS AND RECREATION Dept.#PK	08-23-2021	12 YRS 07½ MOS
LORI P. ELLETT	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	08-31-2021	15 YRS 02 MOS
LINDA D. FOSTER	SUPERIOR COURT/COUNTY CLERK Dept.#SC	08-21-2021	08 YRS 06 MOS
RANDOLPH FREEMAN	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-01-2016	13 YRS 06 MOS
ASTRID GALINDO	DISTRICT ATTORNEY Dept.#DA	08-29-2021	17 YRS 08½ MOS
FERNANDO GALVAN JR	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	09-01-2021	19 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DOLORES M. GARCIA	PARKS AND RECREATION Dept.#PK	06-07-2021	09 YRS 09 MOS
JOAN M. GIOVANNI	CHILDREN & FAMILY SERVICES Dept.#CH	09-15-2021	10 YRS 08 MOS
LINDA J. GONZALES	SHERIFF Dept.#SH	03-01-2020	16 YRS 10 MOS
RONETTE L. GOODWIN	CORRECTIONAL HEALTH Dept.#HC	08-31-2021	12 YRS 06 MOS
CYNTHIA R. GRIFFETH	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-14-2021	11 YRS 03 MOS
BRUCE A. HALL	MENTAL HEALTH Dept.#MH	10-01-2021	04 YRS 07½ MOS
ANDREA D. HARKLESS	SOUTHWEST CLUSTER (MLK JR MC) Dept.#HK	10-24-2021	15 YRS 05 MOS
SHERRI L. HULL	AMBULATORY CARE NETWORK Dept.#HN	09-08-2021	10 YRS 03½ MOS
VIANKA C. INIGO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-10-2021	04 YRS 03 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PRISCILLA A. JONES	CHILDREN & FAMILY SERVICES Dept.#CH	08-02-2021	36 YRS 07 MOS
COLLEEN R. KOZOHARA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-01-2021	11 YRS 07 MOS
MYRTLE E. LEWIS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2019	11 YRS 00 MOS
RICHARD C. LINDER	PROBATION DEPARTMENT Dept.#PB	08-01-2021	10 YRS 08 MOS
DEBORAH LOWELL	PUBLIC HEALTH PROGRAM Dept.#PH	07-21-2021	39 YRS 03 MOS
MARGO E. MARTINET	SHERIFF Dept.#SH	09-01-2021	08 YRS 04 MOS
ALBERTHA MCCARTER	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	08-15-2021	12 YRS 05 MOS
RALPH MCCORD	AMBULATORY CARE NETWORK Dept.#HN	08-11-2021	26 YRS 01 MOS
GERALD C. MORA	PUBLIC DEFENDER Dept.#PD	08-29-2021	40 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
KHARUNYA PATTANACHAM	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	09-30-2021	06 YRS 01½ MOS
EVELYN PORTIS	SOUTHWEST CLUSTER (MLK JR MC) Dept.#HK	03-01-2011	10 YRS 09 MOS
MARIA C. POWER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	08-27-2021	11 YRS 05 MOS
MAHINDA RAM	PUBLIC WORKS Dept.#PW	09-20-2021	10 YRS 01 MOS
SANDRA E. SCHICKEL	CHILDREN & FAMILY SERVICES Dept.#CH	10-25-2020	01 YRS 03 MOS
LORRAINE H. SHIPLEY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-25-2021	30 YRS 10 MOS
JOAN M. SPENCER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	08-13-2021	12 YRS 03 MOS
NANCY M. STORTI	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	09-10-2021	32 YRS 03 MOS
ESTHER V. TINOCO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2019	12 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
KARALYN M. WALLENSAK	MENTAL HEALTH Dept.#MH	09-01-2021	20 YRS 06 MOS
ALLAN L. WHITE	PROBATION DEPARTMENT Dept.#PB	07-09-2021	12 YRS 10 MOS
SEDA YEPREMYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	08-02-2021	21 YRS 02 MOS

**BOARD OF RETIREMENT MEETING OF OCTOBER 6, 2021
RESCISSIONS/CHANGES FROM BENEFIT APPROVAL LIST
APPROVED ON SEPTEMBER 1, 2021**

SAFETY MEMBER APPLICATIONS FOR SERVICE RETIREMENT

NAME	DEPARTMENT	UPDATE
DENISE O ESCOBEDO FUCHS	SHERIFF	CHANGE OF DATE TO September 30, 2021

GENERAL MEMBER APPLICATIONS FOR SERVICE RETIREMENT

NAME	DEPARTMENT	UPDATE
THOMAS PARYS	PUBLIC HEALTH PROGRAM	RESCINDED RETIREMENT
CHRISTINE V MURPHY	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO September 18, 2021
FRED M WIMBERLEY	ASSESSOR	RESCINDED RETIREMENT
LOUISE R DODSON	PROBATION DEPARTMENT	CHANGE OF DATE TO August 30, 2021
NEMA S SAADALLA	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO November 15, 2021
ANDRANIK S KHACHIAN	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO July 31, 2021
DAHLIA B GESTOPA	AMBULATORY CARE NETWORK	CHANGE OF DATE TO October 2, 2021



September 23, 2021

TO: Each Trustee
Board of Retirement

FROM: Ricki Contreras, Division Manager
Disability Retirement Services

SUBJECT: **APPEALS FOR THE BOARD OF RETIREMENT'S MEETING
OF OCTOBER 6, 2021**

IT IS RECOMMENDED that the Board of Retirement grant the appeals and requests for administrative hearing received from the following applicants, and direct the Disability Retirement Services Manager to refer each case to a referee:

5192B	Camille Padilla	In Pro Per	Deny SCD – Grant NSCD Employer Cannot Accommodate
5196B	Alejandro Salinas	Michael Treger	Deny SCD

RC:kw
Memo.New
Appeals.docx



September 21, 2021

TO: Each Trustee
Board of Retirement

FROM: Ricki Contreras, Manager 
Disability Retirement Services Division

FOR: October 6, 2021 Board of Retirement Meeting

SUBJECT: **DISMISS WITH PREJUDICE THE APPEAL OF FRANK D. DOMINGUEZ**

Mr. Frank D. Dominguez applied for a service-connected disability retirement on December 9, 2019. On June 10, 2021, the Board denied his application for service-connected disability retirement.

Mr. Dominguez's attorney filed a timely appeal. On August 26, 2021, the applicant's attorney advised LACERA that his client did not wish to proceed with his appeal.

IT IS THEREFORE RECOMMENDED THAT THE BOARD:

Dismiss with prejudice Frank D. Dominguez's appeal for a service-connected disability retirement.

FJB: RC: mb

Dominguez, Frank D.docx

Attachment

NOTED AND REVIEWED:


Francis J. Boyd, Sr. Staff Counsel

Date: 9/21/21

September 22, 2021

TO: Each Trustee,
Board of Retirement

FROM: Operations Oversight Committee
Shawn R. Kehoe, Chair
Herman B. Santos, Vice Chair
Ronald A. Okum
Les Robbins
Vivian H. Gray, Alternate

FOR: October 6, 2021, Board of Retirement Meeting

SUBJECT: Request for Proposal: Case Management System

RECOMMENDATION

It recommended the Board of Retirement authorize staff to issue a Request for Proposal for software and consulting services to procure, configure, customize, and implement an enterprise Case Management System, which remains an important strategic initiative for LACERA's operational Divisions.

EXECUTIVE SUMMARY

Seven divisions have expressed interest in a case management system:

1. Benefits
2. Member Services
3. Quality Assurance & Metrics (QA)
4. Retiree Healthcare (RHC)
5. Disability Retirement Services (DRS)
6. Disability Litigation Office (DLO)
7. Legal Office

While each division has unique case management needs and processes, they all work together to provide service to our members. Cases often start in one division and circle through several others before completion. A case management system would help ensure each division works together to improve compliance, quality, and enhance the overall member experience.

DISCUSSION

What is Case Management?

A case is an incident of interest to an organization. Law enforcement organizations have crimes. Software development projects have defect reports. Mortgage companies

have loan applications. Crimes, defect reports, and loan applications are numbered, tracked, and managed by these organizations.

A case is comprised of multiple pieces, such as the staff assigned, a history of actions taken, workflows and specific tasks to be performed, relevant documents, deadlines, reminders, status reports, and a resolution.

Case workers control and process the various pieces of a case depending upon his/her assignment and/or the stage of the case. That's how crimes get solved, mortgages loaned, defects fixed, and pension benefits paid. Supervisors and managers oversee case processing by tracking the progress of cases as they progress, intervening when necessary to keep them on track based on established protocols and service levels. Effective organizations monitor the data related to their cases. Mining this collective data helps the organization improve. How many cases were processed last month? How long does each step in the process taken and how long does it take to close a case? Is the organization meeting established service levels? Do certain types of cases require additional resources?

Case management is how an organization manages a case and its many parts. Case management is how the case workers track, document, and resolve cases. Case management helps the organization review past performance and optimize future performance to drive a positive member experience.

Case Management for LACERA

A case management system would allow Specialists throughout the organization to track, document, and resolve members' multiple, concurrent, life-changing requests, ensuring we provide comprehensive counseling from an interdisciplinary team of benefit professionals, while remaining in compliance with regulations and expectations. For example, a member may be considering service retirement pending a disability retirement. Their situation may be complicated by having an outstanding service purchase contract. They may also have reciprocal membership with a second or third agency. Other items to consider include the timing of obtaining retiree healthcare. All these factors must be discussed prior to the member taking any (often permanent) action. Any time retirement decisions are made, multiple LACERA teams are involved in the processing of each step.

Member Services is responsible for counseling the member, often jointly with Disability Retirement Services and Retiree Healthcare. The Benefits Division is responsible for processing the service purchase, the service retirement, and the disability retirement once the BOR grants a benefit. Quality Assurance is tasked with validating actions taken comply with established business rules, regulations, and laws. All these tasks are performed by no less than four different units within the Member Operations Group. Communications with the Legal team are often necessary to resolve complex matters related to a member who is challenged by one or more of the above-listed factors. Tracking, documenting, and properly resolving each issue in a timely manner is essential for LACERA to provide an exceptional member experience.

A complex, information-dominated, and fast-paced environment requires adaptable and flexible tools which allow for effective communication, collaboration, and expedient resolution of the major life events that face our members. A case management system is a tool that can enhance LACERA's delivery of benefits and services and increase operational efficiency.

What are the benefits of case management software?

Based on preliminary research, we have identified some of the potential benefits of using case management software:

- Deadlines. Case management software manages deadlines and milestones so important dates are not missed and promised service levels are met.
- Assisted Automated Processing: Many member requests follow an established workflow for routine requests. Many of these requests have multiple requirements and work rules that may need to be applied depending on the circumstances. A case management system can help staff ensure that all the steps are followed and can help them choose which automated workflow needs to occur at different stages.
- Automated Time Tracking. Case management systems track the amount of time staff spends working on a particular case or a task within the case. This information will help gauge performance levels and make informed decisions about staffing and assignments. Our personnel resources can be better optimized with this type of data. Automated processes tend to provide more accurate results than manual data compilation and tracking.
- Process Transparency for Members. Case management systems track where a case is within a specific process. For example, a previous service application may require five processing steps for completion. One of these steps may be an exchange of information with another agency. With the use of case management, LACERA will be able to track the detail status of a case and share this information with our members on My LACERA. This information will provide transparency to our members so they will know if LACERA is actively working on their request, waiting for a verification from another agency, or even waiting for a response from the member.
- Improved Process Management. Customizable dashboards provide real-time insight into the current state of active processes at any level. In addition to improved access to personal workflow components, other benefits include visibility of milestones and metrics that report how other personnel are executing their responsibilities. These reports can identify bottlenecks and drive improvements. By having this information, we can reassign tasks to improve the workflow and processes.
- Process Improvement. Tracking all aspects of a member's request and comparing the data collected over time to established metrics and protocols will allow LACERA to identify areas of improvement. For example, a process that routinely misses service levels can be reviewed to determine which steps within the process are missing

milestones. Management can then focus on those specific areas to improve the overall experience and restore the process to meet expectations.

What is the difference between case management and workflow?

LACERA currently has a linear workflow system that is integrated with our Pension Administration System. Our existing workflow system is a mission critical system that automates many routine tasks. The key word is routine: workflow is perfect for routine, linear tasks. Workflow will continue to be a core technology at LACERA to support our high volume, routine task. At the same time, Case Management software can also be integrated with our Pension Administration System to support more collaborative, dynamic processes that span multiple divisions. It provides flexibility, allows the user to decide on the next step in the business process, and provides a conceptual case that can be used across divisions. It can also be extended to the Member Portal giving LACERA staff, management, and members access to the status and progress of individual and collective cases.

CASE MANAGEMENT SOLUTION REQUIREMENTS

LACERA seeks a low code/no code Case Management Software solution with the following capabilities:

- Seamless integration with LACERA's Pension Administration System, Workspace
- Ability to create a case through Workspace and Member Portal
- Virtual case file containing multiple documents, process steps, and milestones
- Pre-defined, user-customizable process steps, milestones, and cases
- Pre-defined, user-customizable user roles (staff, supervisor, manager, auditor, etc.)
- Granular identification of cases and tasks with a unique case id and unique tracking number for each process step within a case
- Granular security to allow case status transparency without revealing medical or disability-related data
- Checklists, Action Items
- Reminders, Alerts, including the ability to define escalation protocols tied to roles, positions, and established service levels and/or business rules
- Version control on selected, internally generated documents
- Ability to track the life cycle of a case both internally by staff and online within LACERA's secure member portal by members
- Ability to track progress and define unique service level expectations for each process step, milestone, and case
- Ability to view and reopen completed cases
- Ability to upload documents to a case
- Ability to prioritize cases
- Ability to re-assign cases to staff based on resource capacity planning
- Ability to define parallel and serial tasks/milestones within a case
- Ability to generate, edit, and save letters, forms, and board agendas, including the ability to assemble these letters, forms, and agendas from multiple documents stored in a member document library

- Ability to complete all work tasks through the virtual file
- Ability to schedule, view, analyze appointments using a calendaring function
- Ability to customize operation staff's dashboard and work queue based on staffs' roles and responsibilities

Case Management Software Solution should contain the following tools:

- Query tool with user-defined data points
- Metadata search function
- E-mail Integration for notification and correspondence purposes
- Dashboard for monitoring performance at both the individual and management level
- Dashboard for monitoring both elapsed and active processing time for cases and phases within a case.
- Dashboard to delegate tasks and monitor progress across membership operations
- Electronic exchange of documents with third parties
- Remote access to Case Management System and individual documents
- Ability to track and audit cases and case components

Case Management System Integration requirements:

- Workspace, Pension Administration System (JAVA Based)
- IBM DB2
- IBM P8 Workflow
- Microsoft SQL Server
- Web Services
- Microsoft Power BI
- Microsoft Active Directory Services
- Microsoft Outlook
- Microsoft Dynamics Great Plains

PROPOSED RFP TIMELINE

Event Description	Date
RFP release date	10/06/2021
Intent to Respond closing date	10/15/2021
Vendor Conference	10/29/2021
Written questions from Respondents due date	11/05/2021
LACERA's response to Respondents' questions	11/12/2021
Proposal due date	11/19/2021
Finalist Interviews	12/06/2021
Selection Notification	12/17/2021
Commencement of work	Upon contract execution

CONCLUSION AND RECOMMENDATION

LACERA seeks to improve service to our members and increase operational efficiency by implementing a Case Management Software Solution that integrates with our existing Pension Administration System, Workspace. Seven divisions have expressed an interest in such a solution and have identified the requirements of the system.

IT IS THEREFORE RECOMMENDED THAT THE BOARD OF RETIREMENT authorize staff to issue a Request for Proposal for software and consulting services to procure, configure, customize, and implement an enterprise Case Management System, which remains an important strategic initiative for LACERA's operational Divisions.

c: Santos H. Kreimann
Luis A. Lugo
JJ Popowich
Laura Guglielmo
Kathy Delino
Celso Templo
Iveta Brecko

September 1, 2021



REQUEST FOR PROPOSAL

Case Management Software Solution

Celso Templo
LACERA Systems Project Management Office
casemanagementRFP@lacera.com
300 NORTH LAKE AVENUE, SUITE 750
PASADENA, CA 91101

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**LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION
REQUEST FOR PROPOSAL (RFP)
CASE MANAGEMENT SOFTWARE SOLUTION
OCTOBER 6, 2021**

1. INTRODUCTION

1.1. Purpose of Request

The Los Angeles County Employees Retirement Association (LACERA), a \$70 billion public pension fund, is seeking proposals from qualified firms to provide a Case Management Software Solution. LACERA invites qualified firms to respond to this RFP (Respondent).

1.2. Background Information

LACERA is a tax-qualified defined benefit public pension fund established to administer retirement, disability, and death benefits for the employees of the County of Los Angeles and other participating agencies pursuant to the County Employees Retirement Law of 1937 (CERL) (California Government Code Section 31450, et seq.), the California Public Employees' Pension Reform Act of 2013 (PEPRA) (California Government Code Section 7522, et seq.), LACERA's Bylaws and Board Policies, and other applicable California law. LACERA also administers the County's medical and dental retiree health benefits program.

LACERA operates as an independent governmental entity separate and distinct from Los Angeles County and the other participating agencies. LACERA has approximately 186,300 members and payees, including 100,000 active members, 16,600 deferred and inactive members that are eligible for future retirement benefits, 60,200 retired members, and 9,500 survivors. About 56,000 retired members and survivors take part in the medical and dental retiree healthcare programs. In addition to benefits administration, the fund invests in assets to support payment of the promised pension benefits as well as additional sums to support the retiree healthcare program.

The Respondent(s) selected through this RFP process will be recommended to LACERA's Board of Retirement (BOR) for final selection and approval. The BOR has responsibility over administration of pension and healthcare benefits and other fund administrative issues. The BOR comprises four Trustees elected by the general, safety, and retired members, four Trustees appointed by the County's Board of Supervisors, and the sitting County Treasurer and Tax Collector as an ex officio Trustee. The BOR also has two alternate Trustees, one elected by safety members, and one elected by retired members.

The Boards and their Trustees have fiduciary duties as defined in Article XVI, Section 17 of the California Constitution and CERL, with duties owed to the plan members and their beneficiaries taking precedence over any other duties.

LACERA is inviting vendors to submit proposals to implement a low code Case Management Software Solution that seamlessly integrates with LACERA's Pension Administration System.

1. SCOPE OF SERVICES

1.3. Project Overview

LACERA's services begin when an individual is hired by Los Angeles County. Each new hire becomes both a Los Angeles County employee and a member of LACERA. LACERA membership continues throughout the employee's career and retirement.

LACERA strives to improve services that we offer our members as well as increase operational efficiency by modernizing our Information Technology solutions. A Case Management Solution is a top priority of LACERA's IT Modernization effort.

The Case Management Software will be implemented across seven LACERA divisions and will support 220 users.

1.4. Project Goals

The goals of the Case Management Software Solution (CMSS) Project are to:

- Facilitate collaboration between LACERA divisions that provide service to our members
- Increase operational efficiency of our business processes
- Improve visibility into our business processes to increase productivity
- Improve accuracy by reducing manual processing

1.5. Project Scope

LACERA has identified twenty-three (23) processes that need case management automation. These processes have been divided into four phases. This Request for Proposal is for the first phase and includes the following processes:

Phase 1

- **First Payment:** a process that starts with Retirement Application submission and ends when a new retiree receives benefit payment.
- **Disability Retirement:** a process that starts with a Disability Retirement Application submission and includes the following components:
 - Application submission and review
 - Records Ordering and evidence gathering
 - Investigative Process including communications with physicians, attorneys, and the member
 - Board Process that includes disability application review and approval by legal department and management and submission to the Board of Retirement for approval
 - Vendor Invoicing and Payments for records ordering, medical appointments, and other services
 - Appeals processing, including correspondence and deadlines tracking
 - Writ tracking

To assist with the quote accuracy, LACERA will conduct a vendor conference prior to the RFP submission and will demonstrate existing business processes to the Vendors. LACERA will provide flowcharts for the Phase one processes and a diagram of software applications supporting these business processes. Vendors must sign a Non-Disclosure Agreement (NDA) to attend the vendor conference.

Processes listed below will be included in subsequent phases and will be handled within a separate procurement. Phases 2 through 5 are *out of scope* for this RFP:

Phase 2 will focus on insurance enrollment and on member appeals resolution processes

- Legal Review
- Insurance Appeals and Escalations
- Insurance Enrollment
- Administrative Appeals and Escalation

Phase 3 will address research and analysis of members' records

- Case Analysis
- Benefit Protection Unit Cases
- Account Settlements

Phase 4 will improve accuracy in calculations and records processing

- In-Line Quality Control
- Post Processing, Quality Control Audit
- Benefit Recalculations

Phase 5 will focus on cases that track payments to members and survivors

- Service Credit Purchases
- Death Processing
- Withdrawals
- Special Payments

1.6. Project Deliverables

1.6.1. Project Management

LACERA Project Management Office (PMO) will be leading the Case Management Software Solution implementation project in collaboration with the Vendor Project Manager who will provide reliable development effort estimates and completion dates. Project execution shall be in Agile-Scrum/Hybrid-Waterfall framework.

1.6.2. Project Management Documentation

The Vendor Project Manager shall create and maintain the Project Plan as well as the Project Control Document.

1.6.3. Case Management Software Solution

The Vendor shall procure, configure, and customize the solution so that it satisfies the requirements outlined in 2.5 Case Management Solution Requirements for the processes included in Phase I outlined 2.3 Project Scope.

1.6.4. Case Management Architectural Diagram

One of the critical success factors of the project is the integration with LACERA's existing Pension Administration System. The Vendor shall work with LACERA's SMEs to determine the integration points of the two systems. The integration should allow a seamless user experience and the ability of the Pension Administration System to create new cases as well as display case status data. The Vendor shall detail the integration between the Case Management and Pension Administration systems in an architectural diagram that shows integration points.

1.6.5. Executive Overviews

In collaboration with LACERA PMO, the Vendor shall conduct Executive Overviews to the LACERA Information Technology Coordination Council (ITCC).

1.6.6. Systems Integration Testing

The Vendor shall conduct system integration testing for all the functionalities provided in deliverable 2.4.3. Case Management Software Solution.

1.6.7. End User Acceptance Testing

The Vendor shall support end user acceptance testing for all the functionalities provided in deliverable 2.4.3. Case Management Software Solution.

1.6.8. End User Documentation

The Vendor shall work with LACERA's Subject Matter Experts, End Users, Quality Assurance Division, and Project Management Office to develop user training documentation, quick reference guides, and on-line help files for all LACERA staff members.

1.6.9. Performance Testing

The Vendor shall conduct performance testing for all the functionalities provided in deliverable 2.4.3. Case Management Software Solution

1.6.10. Multiple solution regions

The Vendor shall establish five distinct regions of the Case Management Software Solution source code, configuration, and data: Development, Quality Assurance, Training, Beta, and Production.

1.6.11. Disaster Recovery Plan

In collaboration with LACERA's Business Continuity and Disaster Recovery SMEs, the selected Vendor shall develop a Disaster Recovery strategy utilizing a high availability solution that will minimize downtime if a disruption with LACERA's CMSS should occur.

1.6.12. Transitional Technical Training

The selected Vendor shall conduct a skillset assessment of LACERA's application development staff members and provide adequate training for any gaps in skills needed to support the new system. The assessment and training should include developers, business analysts, and system administrators.

1.6.13. Systems Administration and Operations Manual

The Vendor shall develop system administration and operational procedures.

1.7. Case Management Solution Requirements

Provide low code / no code Case Management Software Solution with the following capabilities:

- Seamless integration with LACERA's Pension Administration System, Workspace
- Ability to create a case through Workspace and Member Portal
- Virtual case file containing multiple documents, process steps, and milestones
- Pre-defined, user-customizable process steps, milestones, and cases
- Pre-defined, user-customizable user roles (staff, supervisor, manager, auditor, etc.)
- Granular identification of cases and tasks with a unique case id and unique tracking number for each process step within a case
- Granular security to allow case status transparency without revealing medical or disability-related data
- Checklists, Action Items
- Reminders, Alerts, including the ability to define escalation protocols tied to roles, positions, and established service levels and/or business rules
- Version control on selected, internally generated documents
- Ability to track the life cycle of a case both internally by staff and online within LACERA's secure member portal by members
- Ability to track progress and define unique service level expectations for each process step, milestone, and case
- Ability to view and reopen completed cases
- Ability to upload documents to a case
- Ability to prioritize cases
- Ability to re-assign cases to staff based on resource capacity planning
- Ability to define parallel and serial tasks/milestones within a case
- Ability to generate, edit, and save letters, forms, and board agendas, including the ability to assemble these letters, forms, and agendas from multiple documents stored in a member document library
- Ability to complete all work tasks through the virtual file
- Ability to schedule, view, analyze appointments using a calendaring function

- Ability to customize operation staff's dashboard and work queue based on staffs' roles and responsibilities

Case Management Software Solution should contain the following tools:

- Query tool with user-defined data points
- Metadata search function
- E-mail Integration for notification and correspondence purposes
- Dashboard for monitoring performance at both the individual and management level
- Dashboard for monitoring both elapsed and active processing time for cases and phases within a case.
- Dashboard to delegate tasks and monitor progress across membership operations
- Electronic exchange of documents with third parties
- Remote access to Case Management System and individual documents
- Ability to track and audit cases and case components

Case Management System Integration requirements:

- Workspace, Pension Administration System (JAVA Based)
- IBM DB2
- IBM P8 Workflow
- Microsoft SQL Server
- Web Services
- Microsoft Power BI
- Microsoft Active Directory Services
- Microsoft Outlook
- Microsoft Dynamics Great Plains

2. **MINIMUM QUALIFICATIONS**

All respondents must clearly show and document in the proposal the following minimum qualifications:

- Prospective Vendor should have a minimum of five years recent experience developing and implementing Case Management software solutions, including Project Management.
- Prospective Vendor should provide a project manager with a minimum of seven years' experience managing enterprise software implementation for at least 200 users.
- Prospective Vendor should be licensed to do business in California.

3. **INSTRUCTIONS TO RESPONDENTS**

3.1. **RFP Important Dates**

The following table provides a tentative schedule of important dates and deadlines for this RFP. All dates are subject to modification by LACERA without notice.

Event Description	Date
RFP release date	10/06/2021
Intent to Respond closing date	10/15/2021
Vendor Conference	10/29/2021
Written questions from Respondents due date	11/05/2021
LACERA's response to Respondents' questions	11/12/2021
Proposal due date	11/19/2021
Finalist Interviews	12/06/2021
Selection Notification	12/17/2021
Commencement of work	Upon contract execution

4.2. Response Requirements and Formatting

4.2.1 Respondents to this RFP must submit the following for delivery no later than **5:00 PM PDT on November 19, 2021**, to the Point of Contact specified in Section 4.2.6 below.

4.2.2. Response to this RFP should be submitted as an electronic copy in PDF format via email to casemanagementRFP@lacera.com. To the extent applicable, submissions should also include an **electronic copy** marked "**REDACTED**". The redacted copy should exclude all material from the proposal that the respondent believes in good faith is exempt from disclosure under the California Public Records Act, (California Government Code section 6250 et seq. the "Act"). Individual redactions should appear as blacked out material. Large sections, tables, or entire blank page(s) shall include the word "REDACTED" or "PROPRIETARY" inserted. Each respondent must indicate the basis for each redaction under the Act (defined below) with a citation to the code section and subsection relied upon. Please see the **Section 5** below "*Notice to Respondents Regarding the Public Records Act AND RALPH M. BROWN ACT*" for further information, including but not limited to LACERA's right to determine, in its sole discretion, whether any information in the response, regardless of how labeled, is subject to public production.

4.2.3. Submittals shall be double sided with text in at least 10-point font, but no larger than 12-point font limited to 25 pages, including Exhibits. Submittals shall address all RFP sections in the same order presented and be responsive to each section. Optional material such as brochures or company information may be included as an attachment but will not be counted as responsive to this RFP and will not be used in scoring.

4.2.4. All proposals made in response to this RFP must remain open and in effect for a period of not less than 180 days after the submission deadline. Responses to this RFP may be changed or withdrawn in writing if modifications are received prior to the submission deadline.

Modification to or withdrawal of a proposal received after the submission deadline will not be considered. Responses received after the specified deadline may be considered for evaluation solely at the discretion of LACERA. In addition, LACERA reserves the right to request more information or clarifications from respondents, or to allow corrections of errors or omissions.

4.2.5. All responses to this RFP should follow the outline of the RFP requirements and should fully respond to each section as appropriate and to all questions in Exhibit C. Proposals shall be organized with the corresponding numbering of this RFP, include a Table of Contents, and Fee Schedule. Proposals not following these instructions or not including complete information as requested may result in a lower evaluation score or the proposal being declared non-responsive. For each part of the response, restate the RFP item immediately above the response. Pages in the proposal shall be numbered. When asked, please provide details and state all qualifications or exceptions. All information supplied should be concise and relevant to qualifications.

4.2.6. Contacts with LACERA Personnel

Contacts with LACERA personnel about this RFP, and all inquiries and requests for information shall be directed to the Point of Contact identified below:

Celso Templo
Head of the PMO
LACERA

Gateway Plaza
300 North Lake Avenue, Suite 750
Pasadena, CA 91101-4199

Email:
casemanagementRFP@lacera.com
Phone: 626-564-6000 ext. 4690

4.2.7. Quiet Period

To ensure that prospective Respondents to this RFP have equal access to information about the RFP and communications related to the RFP are consistent and accurate so that the selection process is efficient and fair, a quiet period will be in effect from the date of issuance of this RFP until the selection of one or more respondents is completed and announced.

This RFP and other relevant information related to the RFP, including addenda, modifications, answers to questions, and other updates, will be available to the public at lacera.com. Each respondent to this RFP will be subject to the same terms and conditions and will receive the same information.

During the quiet period, respondents are not allowed to communicate with any LACERA staff member or Board Trustees regarding this RFP except through the point of contact named herein. Respondents violating the quiet period may be disqualified at LACERA's discretion.

Respondents who have existing relationships with LACERA must limit their communications between LACERA staff and Board Trustees to the subject of the existing services provided by them.

4.2.8. RFP Scoring and Selection Criteria

RFPs will be scored according to Exhibit G, Selection Criteria. LACERA staff may select Respondents based upon the selection criteria or using a combination of onsite interviews and reference verification. LACERA will select respondents for interview or for the final contract based on a holistic evaluation in its sole discretion, and not necessarily based only the Selection Criteria or on the highest score on such criteria.

Selected Respondents may be invited to an interview by LACERA's Board(s). At LACERA's discretion, selected Respondents may be offered the opportunity to present their materials to the Board(s).

4.2.9. Intent to Respond

If your firm chooses to respond to this RFP, please send the Intent to Respond, Exhibit A, via email to casemanagementRFP@lacera.com, by 5:00 p.m. PDT, October 15, 2021. Failure to send your Intent to Respond may disqualify your firm from submitting a response to this RFP.

4. **NOTICE TO RESPONDENTS REGARDING THE PUBLIC RECORDS ACT AND THE RALPH M. BROWN ACT**

The information submitted in response to this RFP will be subject to public disclosure pursuant to the California Public Records Act (California Government Code Section 6250, et. seq., the "Act"). The Act provides generally that all records relating to a public agency's business are open to public inspection and copying unless specifically exempted under one of several exemptions set forth in the Act. If a respondent believes that any portion of its proposal is exempt from public disclosure or discussion under the Act, the respondent must provide a full explanation and mark such portion "TRADE SECRETS," "CONFIDENTIAL" or "PROPRIETARY," and make it readily separable from the balance of the response. Proposals marked "TRADE SECRETS," "CONFIDENTIAL" or "PROPRIETARY" in their entirety will not be honored, and LACERA will not deny public disclosure of all or any portion of proposals so marked.

By submitting a proposal with material marked "TRADE SECRETS," "CONFIDENTIAL" or "PROPRIETARY," a respondent represents it has a good faith belief that the material is exempt from disclosure under the Act; however, such designations will not necessarily be conclusive, and a respondent may be required to justify in writing why such material should not be disclosed by LACERA under the Act. Fee and pricing proposals are not considered "TRADE SECRET," "CONFIDENTIAL" or "PROPRIETARY".

If LACERA receives a request pursuant to the Act for materials that a respondent has marked "TRADE SECRET," "CONFIDENTIAL" or "PROPRIETARY," and if LACERA agrees that the material requested is not subject to disclosure under the Act, LACERA will, if times permits, either notify the respondent so that it can seek a protective order at its own cost and expense, or LACERA will deny disclosure of those materials. LACERA will not be held liable, however,

for disclosure of such materials, data, and information or for disclosure of such materials if deemed appropriate in LACERA's sole discretion. LACERA retains the right, in its sole discretion, to disclose all information provided by a respondent, regardless of how such information is labeled.

If LACERA denies public disclosure of any materials designated as "TRADE SECRETS," "CONFIDENTIAL" or "PROPRIETARY", the respondent agrees to reimburse LACERA for, and to indemnify, defend and hold harmless LACERA, its Boards, officers, fiduciaries, employees and agents from and against:

1. All claims, damages, losses, liabilities, suits, judgments, fines, penalties, costs, and expenses, including without limitation attorneys' fees, expenses, and court costs of any nature whatsoever (collectively, Claims) arising from or relating to LACERA's non-disclosure of any such designated portions of a proposal; and

2. All Claims arising from or relating to LACERA's public disclosure of any such designated portions of a proposal if LACERA determines disclosure is deemed required by law, or if disclosure is ordered by a court of competent jurisdiction.

If LACERA staff recommends any respondent to the Boards for hiring, such recommendation, the reasons for the recommendation and the relevant proposal(s) will appear on a publicly posted agenda and in supporting materials for public meetings of the Boards.

Nothing in this RFP requires LACERA to withhold any documents from production under the Act.

5. NOTICE TO RESPONDENTS REGARDING LACERA DATA PROTECTION

LACERA, its consultants, vendors, and contractors have a duty to protect all LACERA data, including without limitation, information related to members and beneficiaries, finances, systems, and operations.

Depending upon the scope of work, the finalist selected through this procurement may have access to sensitive information protected by LACERA's internal policies, state law, and federal law. In such a case, by submitting a proposal, respondent agrees to subject itself to certain contractual terms designed to protect such information, including without limitation cyber liability insurance, SOC-2 reports (or, in the alternative, a Security Controls Report as per Exhibit E), systems penetration testing, and HIPAA Business Associate agreements, as the case may be. Respondents shall inform LACERA in their response if they have any limitations to agreeing to such terms. Respondents that do not make reservations shall lose their right to do so at the contracting phase.

6. CONTRACT NEGOTIATIONS

Upon Board approval, staff will enter contract negotiations with the approved Respondent(s). LACERA may end negotiations, at its sole discretion, if it believes a satisfactory agreement cannot be negotiated. LACERA reserves the right to award a contract based upon proposals received; you should not rely upon the opportunity to alter your proposal (e.g., services to be provided, fees, etc.) during contract negotiations.

The final contract must allow LACERA to terminate a) for its convenience, b) if funds are not appropriated for the services to be provided, and c) for default.

The general form of the contract LACERA intends to use for this engagement is attached as **Exhibit D**. By sending a proposal without comment on the general form contract, Respondent agrees to each term in the contract, and will not seek any modifications to the contract. LACERA has the right to change or negotiate contract terms different than those in Exhibit E in our sole discretion.

Respondents are required to clarify, identify and explain any exception that it desires to take to any of the terms and conditions of this RFP. In addition, a respondent will be deemed to have agreed to each clause in the retainer agreement (and not to seek inclusion of additional clauses), unless the respondent identifies an objection or inclusion, sets forth the basis for the objection or inclusion, and provides substitute language to make the clause acceptable to the respondent or to address an issue the respondent feels is not addressed by the agreement in its response to this RFP. If a satisfactory agreement cannot be negotiated with one or more of the firms, LACERA may, at its sole discretion, terminate such negotiations. LACERA, may then, at its option, initiate fee negotiations with another firm, and so on.

At LACERA's discretion, the term of the contract entered under this RFP may be for an initial period of up to five (5) years, beginning from the date of final execution. There may be two (2) one-year extensions under the same terms and conditions at LACERA's option. All contracts may be terminated at LACERA's convenience at any time.

7. RESERVATIONS BY LACERA

In addition to the other provisions of this RFP, LACERA reserves the right to:

- 8.1. Cancel or modify this RFP, in whole or in part, at any time.
- 8.2. Make such investigation as it deems necessary to determine the respondent's ability to furnish the required services, and the respondent agrees to furnish all such information for this purpose as LACERA may request.
- 8.3. Reject the proposal of any respondent who is not currently in a position to perform the contract, or who has previously failed to perform similar contracts properly, or in a timely manner or for any other reason in LACERA's sole discretion.
- 8.4. Waive irregularities, to negotiate in any manner necessary to best serve the public interest, and to make a whole award, multiple awards, a partial award, or no award.
- 8.5. Award a contract, if at all, to the firm which will provide the best match to the requirements of the RFP and the service needs of LACERA in LACERA's sole discretion, which may not be the proposal offering the lowest fees or achieving the

highest score, and to change the terms of the proposed agreement attached as Exhibit D.

- 8.6. Request additional documentation or information from respondents. Requested information may vary by respondent. LACERA may ask questions of any respondent to seek clarification of a proposal or to ensure the respondent understands the scope of the work or other terms of the RFP.
- 8.7. The right to choose to not enter into an agreement with any of the respondents to this RFP or negotiate for the services described in this RFP with a party that did not submit a proposal.
- 8.8. Determine the extent, without limitation, to which the services of a successful respondent are or are not actually utilized.
- 8.9. Defer selection of a winning bidder to a time of LACERA's choosing.
- 8.10. Consider information about a respondent in addition to the information submitted in the response or interview.
- 8.11. Add terms and conditions during contract negotiations.

The information that a respondent submits in response to this RFP becomes the exclusive property of LACERA. LACERA will not return any proposal or reimburse proposal preparation expenses.

LACERA shall not be liable for any costs respondents incur in connection with the preparation or submission of a proposal.

(The rest of this page is left intentionally blank)

EXHIBIT A

PROPOSAL COVER PAGE AND CHECK LIST (TO BE SUBMITTED ON RESPONDENT'S LETTERHEAD)

Respondent Name:

Respondent Address:

By submitting this response, the undersigned hereby affirms and represents that they have reviewed the proposal requirements and have submitted a complete and accurate response to the best of their knowledge. By signing below, I hereby affirm that the respondent has reviewed the entire RFP and intends to follow all requirements.

Respondent specifically acknowledges the following facts:

1. Respondent has the required technical expertise and has sufficient capacity to provide the services outlined in the RFP.
2. Respondent has no unresolved questions about the RFP and believes that there are no ambiguities in the scope of work.
3. The fee schedule or price proposal sent in response to the RFP is for the entire scope of work and no extra charges or expenses will be paid by LACERA.
4. Respondent has completely disclosed to LACERA all facts bearing upon any possible interests, direct or indirect, that Respondent believes any member of LACERA, or other officer, agent or employee of LACERA presently has, or will have, in this contract, or in the performance thereof, or in any portion of the profits thereunder.
5. Materials contained in proposals and all correspondence and written questions sent during the RFP process may be subject to disclosure pursuant to the Act.
6. Respondent has no conflicts of interest in the selection process or in the performance of the work.
7. Respondent is not currently under investigation by any state or federal regulatory agency for any reason.
8. The signatory below is authorized to bind the respondent contractually.

[/s]

EXHIBIT B

**MINIMUM QUALIFICATIONS CERTIFICATION
(TO BE SUBMITTED ON RESPONDENT'S LETTERHEAD)**

All Respondents must sign and return this attachment, along with written evidence of how you meet each qualification. The undersigned hereby certifies that the Respondent submitting this response fulfills the minimum qualifications outlined below, as well as the requirements contained in the RFP.

Minimum Qualifications include:

- The Vendor shall have at least five consecutive years' experience developing and implementing Case Management software solutions.
- The Vendor shall provide a project manager with a minimum of seven years' experience managing software implementation projects for at least 200 users.
- The Vendor shall be licensed to do business in California.

The undersigned hereby certifies that they are an individual authorized to bind the Firm contractually, and said signature authorizes verification of this information.

Authorized Signature

Date

Name and Title (please print)

Name of Firm

EXHIBIT C
QUESTIONNAIRE

Provide the name and address of your firm along with the name, title, address, telephone, email address and fax numbers of the contact person from your firm whom LAERA may contact with questions regarding your response. This individual must be authorized to negotiate contracts regarding the scope, terms, and pricing outlined in the proposal.

1. Describe how your firm will manage the project and work with LACERA's Project Management Office. Please provide information on the following:
 - a. Project Initiation/Kick-Off
 - b. Project Management Methodology
 - c. Communication Plan
 - d. Change Management
 - e. Change Orders

2. What Case Management Software Solution do you propose?

3. Describe if and how the proposed solution satisfies each of the requirements outlined in section 2.5.

4. Describe how the proposed solution will be integrated with LACERA's Pension Administration System. Please include details on user interface and case management data integration.

5. If selected as a finalist, you will have an opportunity to present proof of concept to the LACERA Evaluation Team to show how your Case Management software solution satisfies the requirements.

6. Provide details of the training required for the different level LACERA specialists to be able to support and develop the software. Specify training that your company is able to conduct.

7. Describe duration of Support and Maintenance that your company will provide to LACERA, location of support staff and hours of operation.

8. Provide a ten-year cost of ownership table for the proposed solution. The cost should include license fees, professional service fees, maintenance fees, miscellaneous fees for each of the first ten years of ownership.

9. Provide a list of at least three (3) government, public, or private organizations for which your company has provided similar services. Provide the name of the organization, a point of contact, postal address, telephone number, and e-mail address for each reference.

10. Please describe any known or perceived conflicts in the selection process, including any contact during the process or the twelve months preceding issuance of the RFP with LACERA staff or Board Trustees, or which may result if your organization were engaged to perform the services herein requested, including any known relationships the organization or any staff has with any member of LACERA's Board of Retirement, management, staff, or the County of Los Angeles.

11. Please list all gifts given to members of LACERA's Board of Retirement and Staff within the twelve months immediately prior to the date of this RFP. Include the name of the person receiving the gift, and the date the gift was promised or given (the earlier of the two).

EXHIBIT D

AGREEMENT FOR SERVICES

THIS IS THE GENERAL FORM AND CONTENT OF THE CONTRACT LACERA INTENDS TO USE. IN SUBMITTING A PROPOSAL WITHOUT COMMENT ON THE CONTRACT, THE BIDDER WILL BE DEEMED TO HAVE AGREED TO EACH CLAUSE IN THE AGREEMENT BELOW (AND TO NOT SEEK ANY MODIFICATIONS TO THE AGREEMENT), UNLESS BIDDER'S PROPOSAL IDENTIFIES AN OBJECTION OR INCLUSION, SETS FORTH THE BASIS FOR THE OBJECTION OR INCLUSION, AND PROVIDES SUBSTITUTE LANGUAGE TO MAKE THE CLAUSE ACCEPTABLE TO BIDDER.

LACERA RESERVES THE UNILATERAL RIGHT IN ITS SOLE DISCRETION TO MAKE CHANGES TO THE CONTRACT PRIOR TO EXECUTION, WHICH CHANGES WILL NOT PROVIDE BIDDER WITH AN OPPORTUNITY TO MAKE FURTHER CHANGES TO THE OTHER TERMS OF THE CONTRACT.

CONTRACT FOR CASE MANAGEMENT SOFTWARE SOLUTION IMPLEMENTATION

This Contract for Case Management Software Solution Implementation ("Contract") is made and entered into by and between Los Angeles County Employees Retirement Association ("LACERA") and [NAME] ("Vendor") and is effective as of the date shown in Section 5.

Recitals

LACERA seeks the services of a company that offers a low code Case Management Software Solution that seamlessly integrates with LACERA's Pension Administration System.

Vendor represents that they offer the Case Management Software Solution that LACERA seeks.

Contract

1. Services to be Provided.

1. Vendor agrees to perform the services ("Services") described in the Statement of Work ("Statement of Work") attached to this Contract as Attachment A.

2. Vendor agrees to perform the Services at LACERA's offices, and with LACERA's consent, via telephone or email, and when appropriate, at a location of Vendor's choice.

3. All writings prepared or furnished by Vendor to LACERA in the performance of this Contract shall be the exclusive property of LACERA and may be used by LACERA, as LACERA deems appropriate.

4. Vendor's quality of service will be at least equivalent to that which Vendor provides to other clients it serves in the same capacity. Vendor will be held to the same standard of care, skill, prudence, and diligence that applies to other experts practicing in a like enterprise.

2. Independent Contractor.

1. Vendor agrees to perform the Services as an independent contractor and agrees they will be acting at all times as such. Neither party intends, and this Contract may not be construed, to create any relationship of agent, servant, employee, partnership, joint venture or association between Vendor and LACERA. Vendor is not, and will not be deemed to be for any purpose (including, without limitation, Workers' Compensation) an employee of Los Angeles County (the "County"). Vendor is not entitled to any rights, benefits, or privileges of County employees. Vendor is not eligible to participate in any insurance, savings, pension or deferred compensation offered by LACERA or the County.

2. Vendor has no power or authority to assume or create any obligation or responsibility, express or implied, on behalf of LACERA or the County, or to bind LACERA or the County in any way whatsoever.

3. Vendor accepts full and complete responsibility for filing all tax returns and paying all taxes, which may be required, or due for payments received from LACERA under this Contract. LACERA will memorialize payments for Vendor's services on a Form 1099.

4. Vendor represents and warrants that they comply with all applicable federal, state, and local laws, including without limitation, those laws respecting business licenses, withholding, reporting, and payment of taxes. Vendor further represents and warrants that they will report any income accruing to him from this Contract to the appropriate taxing authorities.

3. LACERA's Project Management Office.

LACERA's Project Management Office, composed of the PMO Head and Project Manager has responsibility for determining whether the Services are performed to LACERA's satisfaction. LACERA's PMO Head is Celso Templo and Project Manager is Iveta Brecko. Correspondence can be sent to the following email address caseManagementRFP@lacera.com.

4. Indemnification and Insurance.

4.1 Vendor shall indemnify, defend and save harmless LACERA, its agents, officers and employees from and against any and all liability, damage, suit, cost of suit, or expense, including defense costs and attorney's fees, arising out of or connected with claims for damages of any nature whatsoever arising from or connected with Vendor's operations or its services, including, without limitation, claims for bodily injury, death, personal injury, or property damage, including damage to Vendor's property.

4.2. Without limiting Vendor's obligations to indemnify LACERA, Vendor will provide and maintain at its own expense during the term of this Contract the programs of insurance programs specified in this Contract. Such insurance will be primary and not contributing with any other insurance of self-insurance programs maintained by LACERA, and Vendor agrees to provide and maintain such insurance at its own cost and expense.

4.2.1 Certificate(s) or other evidence of coverage satisfactory to LACERA shall be delivered to prior to commencing services under this Contract and annually thereafter to:

Contract Administrator
LACERA
300 N. Lake Avenue, Suite 650
Pasadena, CA 91101-4199

4.3 Such certificates or other evidence shall:

4.3.1 Specifically identify this Contract.

4.3.2 Clearly evidence all coverages required in this Contract.

4.3.3. Contain the express condition that LACERA is to be given written notice by mail at least 45 days in advance of cancellation for all policies, or, alternatively, in the event the insurers that otherwise provide satisfactory insurance hereunder do not assume third-party notification provisions, Vendor hereby agrees to notify LACERA at least 45 days in advance of any cancellation of any of the policies provided for herein.

4.3.4 Include copies of the additional insured endorsement to the commercial general liability policy, adding that LACERA, its trustees, officers and employees as insureds for all activities arising from this Contract.

4.3.5 Self-Insured Retentions must be declared to and approved by the LACERA. LACERA may require Vendor to purchase coverage with no retention or provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention will be satisfied by the named Vendor

4.3.6 LACERA reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

4.4 Insurer Financial Ratings. Insurance is to be provided by an insurance company acceptable to LACERA with an A.M. Best rating of not less than A-, X, unless otherwise approved by LACERA.

4.5 Failure to Maintain Coverage. Vendor's failure Vendor to maintain the required insurance, or to provide evidence of insurance coverage acceptable to LACERA, shall constitute a material breach of the contract upon which LACERA may immediately terminate

or suspend this Contract. LACERA, at its sole option, may obtain damages from Vendor resulting from said breach.

4.6 Compensation for LACERA Costs. In the event that Vendor fails to comply with any of the indemnification or insurance requirements of this Contract, and such failure to comply results in any costs to LACERA, Vendor shall pay full compensation for all costs incurred by LACERA.

4.7 Cooperation Regarding Insurance. LACERA may elect to procure insurance against loss or damage it may sustain in connection with Vendor's performance under this Contract. Vendor will promptly cooperate with any reasonable request for information regarding Vendor which is required to obtain such insurance.

4.8 Survival of Obligations. Vendor's obligations under this Section 4 shall survive expiration or termination of this Contract.

4.9 Commercial General Liability. Vendor shall provide and maintain a Commercial General Liability insurance policy, which names LACERA as additional insured. Such policy shall cover legal liability for bodily injury and property damage arising out of Vendor's business operations and services that Vendor provides pursuant to this Contract. Such policy shall include, without limitation, endorsements for Property Damage, Premises-Operations, Products/Completed Operations, Contractual, and Personal/Advertising Injury with a limit of at least \$1,000,000 per occurrence and an annual aggregate of at least 2,000,000. If such insurance is written on a Claims Made Form, such insurance shall be endorsed providing an extended reporting period of not less than five (5) years following termination or expiration of this Contract.

4.10 Auto Liability. Vendor shall provide and maintain a comprehensive auto liability insurance policy endorsed for all "owned", "non-owned", and "hired" vehicles, or coverage for any "auto", with a combined single limit of not less than One Million Dollars (\$1,000,000) per accident.

4.11 Workers' Compensation. Vendor shall bear sole responsibility and liability for furnishing Workers' Compensation benefits to Vendor's employees for injuries arising from or connected with any services provided to LACERA under this Contract. Vendor shall provide and maintain a program of Workers' Compensation, in an amount and form to meet all applicable statutory requirements. In all cases, worker's compensation insurance also shall include Employer's Liability Insurance with limits of not less than \$1,000,000, each accident, and \$1,000,000, disease, covering all of Vendor's employees.

4.12 Errors and Omissions. Vendor shall provide and maintain insurance covering liability arising from any error, omission, negligent or wrongful act of the Vendor, its officers, employees or Agents, with limits of at least \$1,000,000 per claim and an annual aggregate of at least \$2,000,000. The coverage also shall provide an extended one-year reporting period commencing upon termination or cancellation of this Contract.

4.13 Cyber Liability Insurance. Without limiting any of the obligations or liabilities of Vendor, Vendor shall carry and maintain, at its own expense including any applicable deductibles or retention, Cyber Liability insurance with limits of not less than \$2,000,000 for

each occurrence and an annual aggregate of \$5,000,000 covering claims involving privacy violations, information theft, damage to or destruction of electronic information, intentional and/or unintentional release of private information, alteration of electronic information, extortion and network security. The policy coverage shall include, but not be limited to:

4.13.1 Privacy Liability Coverage. This coverage shall include LACERA and its members for breaches of their private information in the case of a data breach.

4.13.2 Notification Costs. This coverage shall cover the costs of notifying third parties and LACERA members potentially affected by a data breach.

4.13.3 Crisis Management. This coverage shall include the costs of managing the public relations outfall from most data breach scenarios.

4.13.4 Credit/Identity Monitoring. This coverage shall include coverage for affected members for at least 24 months or the minimum legally required period, whichever is longer.

4.13.5 Theft and Fraud Coverage. This coverage shall include the costs of theft or destruction of the LACERA's data and theft of funds.

4.13.6 Network and Business Interruption. This coverage shall include any expense due to an intentional interruption of the LACERA's computer systems.

4.13.7 Data Loss and Restoration. This coverage shall include the costs of diagnosing and repairing the cause of the loss and restoring all data.

5. Term.

The term of this Contract begins on [DATE] (the "Start Date"), and unless terminated for convenience, ends on the earlier of (i) full performance of the Services by Vendor and acceptance by LACERA or [ONE YEAR] after the Start Date. This Contract automatically renews up to four times for subsequent and successive one-year periods under the same terms, conditions and compensation, unless either party delivers its written request for changes not less than ninety (90) days prior to the end of the then current term of the Contract. Neither party is required to renew or extend this Contract.

6. Non-Exclusive Services.

This Contract is not exclusive. Vendor has the right to perform services for others during the term of this Contract, but Vendor agrees not to engage in any business, work or services of any kind under contract, or otherwise, for any person, organization or agency, which in the opinion of LACERA is detrimental to the interests of LACERA or that would materially interfere with the performance of the Services. Vendor agrees to disclose such information regarding business, work or services they perform on behalf of any person, organization or agency as LACERA may reasonably require verifying Vendor's compliance with this Section.

7. Compensation.

LACERA agrees to pay Vendor according to the Fee Schedule attached as Attachment B for performing the Services. Vendor's expenses are included in the compensation described in Attachment B and therefore Vendor is not entitled to any separate reimbursement for any expenses incurred by it in discharging its duties under this Contract, unless otherwise agreed by LACERA.

8. Invoices.

Vendor agrees to submit invoices to LACERA's Project Manager, in arrears, by the tenth day of each calendar month for Services performed during the previous calendar month. Each invoice must (a) describe in detail the Services performed and expenses incurred by Vendor during the invoice period, (b) show the cumulative charges year-to-date (based on a fiscal year beginning July 1) for all Services and expenses, and (c) include such other information as LACERA may reasonably request. Each invoice will be payable within thirty days of receipt by LACERA. If LACERA's Project Manager disputes any portion of an invoice, however, LACERA will pay the undisputed portion only and notify Vendor in writing of the disputed portion. Vendor and LACERA agree to act in good faith to resolve such disputes.

9. Contract Not Assignable.

Vendor may not assign any of its rights, duties, or obligations under this Contract without the prior written consent of LACERA, which LACERA may grant or withhold in its sole discretion.

10. Confidentiality.

10.1 Confidential Information. Vendor understands that, during the performance of this Contract, it will have access to confidential and proprietary LACERA information, policies and procedures, benefits, business practices, and technology concerning LACERA's operations, as well as sensitive confidential member information and business critical non-member information (collectively, "Confidential Information"). For clarity, Confidential Information includes all information of any and every kind provided to Vendor, regardless of whether it may previously have been disclosed by LACERA or others in other contexts, in that LACERA needs to know to whom, when, where, and how all of its information has been disseminated and reserves to itself the right to determine to whom, when, where, and how such information is released. Confidential Information further includes all information related in any way to LACERA provided to Vendor.

Confidential Information may be provided to Vendor or generated or stored by Vendor in written, electronic, verbal, and all others forms. Vendor understands and agrees that:

10.1.1 Vendor shall not disclose Confidential Information to any person within its organization except those persons required to perform the services of the Contract.

10.1.2 Vendor shall not disclose Confidential Information to any third party without LACERA's advance written approval.

10.1.3 Vendor's agreement not to disclose Confidential Information includes an agreement not to disclose information even on a no-names basis.

10.1.4 Vendor will use best efforts, including but not limited to the highest level of care Vendor accords to its own most sensitive information and the most sensitive information of its other clients, to secure and maintain the confidential nature of the Confidential Information.

10.1.5 Vendor will not use the Confidential Information for any purpose other than to perform the services required by this Contract. This confidentiality provision will survive the termination of the Contract.

11. Nondiscrimination.

Vendor hereby promises and agrees that it will comply with Subchapter VII of the Civil Rights Act of 1964, 43USC Section 2000e through 2000e (17), to the end that no person shall, on grounds of race, creed, color, sex, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract, or under any project, program or activity supported by this Contract.

Vendor shall take affirmative action to ensure that applicants and employees are treated in an unbiased manner without regard to their race, color, religion, sex, age, ancestry, or national origin, physical or mental handicap, marital status, or political affiliation. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.

12. Compliance with Laws.

Vendor shall comply with all applicable Federal, State and local laws, rules, regulations, ordinances, and directives, and all provisions required to be included in this Contract are incorporated by this reference. Vendor shall indemnify and hold LACERA harmless from any loss, damage or liability resulting from a violation by Vendor of any such laws, rules, regulations, ordinances, and directives.

13. Conflict of Interest.

No officer or employee of LACERA whose position enables him or her to influence the award of this Contract or any competing agreement, and no spouse or economic dependent of such officer or employee shall be employed in any capacity or in any way remunerated by Vendor or have any direct or indirect financial interest in this Contract or in Vendor.

14. Modifications.

Any modification to this Contract must be in writing, signed by Vendor and LACERA, to be effective.

15. Termination for Default.

Services performed under this Contract may be terminated in whole or in part by LACERA providing to Vendor a written Notice of Default if (1) Vendor fails to perform the services within the time specified in this Contract or any extension approved by LACERA, or (2) Vendor fails to perform any other covenant or condition of this Contract, or (3) Vendor fails to make progress so as to endanger its performance under this Contract.

Vendor shall have ten (10) calendar days from the date of the Notice of Default in which to cure the Default(s), however, in its sole discretion, LACERA may extend this period or authorize a longer period for cure.

Without limitation of any additional rights or remedies to which it may be entitled, if LACERA terminates all or part of the services for Vendor's Default, LACERA, in its sole discretion, may procure replacement services and Vendor shall be liable for all excess costs incurred by LACERA in connection with those replacement services, as determined by LACERA in its sole discretion.

If it is determined that Vendor was not in Default under the provisions of this Contract, or that the Default was excusable, then the rights and obligations of the parties shall be the same as if the Notice of Termination had been issued under Section 16. Termination for Convenience.

16. Termination for Convenience.

Services performed under this Contract may be terminated in whole or in part at any time LACERA or Vendor deems that termination is in its best interest. LACERA or Vendor shall terminate services by delivering a written Termination Notice which specifies the extent to which services are terminated and the effective termination date.

After receiving a Termination Notice under this section, and unless otherwise expressly directed by LACERA, Vendor shall take all necessary steps and shall stop services on the date and to the extent specified in the Termination Notice and shall complete services not so terminated.

17 SOC-2 Type 2

SOC-2 Type 2 Report. The Vendor shall have an annual audit performed by an independent audit firm. The audits shall include the Vendor's and any subcontractor's handling of Confidential Information and shall address all areas relating to information technology security and operational processes to provide such security. The audits shall be performed in accordance with the guidance set forth in Reporting on Controls at a Service Organization Relevant to Security, Availability, Processing Integrity, Confidentiality, or Privacy (SOC-2 Type 2), as published by the American Institute of Certified Public Accountants (AICPA) and as updated from time to time, or according to the most current audit guidance promulgated by the AICPA or similarly recognized professional organization, as agreed to in writing by LACERA. The audit shall assess the security of information technology security and operational process to provide such security as follows:

17.1 The type of audit to be performed in accordance with the Guidance is a SOC 2 Type 2 Audit (referred to as the "SOC 2 Audit" or "SOC 2 Report"). The initial SOC 2 Audit shall be scheduled and completed within six months of executing the Contract. All subsequent SOC 2 Audits that are arranged after this first audit shall be performed and submitted annually.

17.2 The SOC 2 Audit shall report in writing on the Vendor's and any subcontractor's system(s) and the suitability of the design and operating effectiveness of controls of the information functions and/or processes to meet the requirements of the Contract, including the security requirements.

17.3 The scope of the SOC 2 Report shall include work performed by any subcontractors that provide essential support to the Vendor for the information functions or processes for the services offered to LACERA under the Contract. The Vendor shall ensure the audit includes all subcontractors operating in the performance of the Contract.

17.4 All SOC 2 Audits, including those of the Vendor and any subcontractors, shall be performed at no additional expense to LACERA.

17.5 The Vendor and all relevant subcontractors shall promptly provide a complete copy of the final SOC 2 Report(s) to the Project Manager upon completion of each SOC 2 Audit engagement.

17.6 The Vendor shall provide to LACERA, within 30 calendar days of the issuance of each SOC 2 Report, a documented corrective action plan that addresses each audit finding or exception contained in a SOC 2 Report. The corrective action plan shall identify in detail the required remedial action by the Vendor or subcontractor(s) along with the implementation date(s) for each remedial action.

17.7 If the Vendor or any subcontractor fails to obtain an annual SOC 2 Report, LACERA shall have the right to retain an independent audit firm to perform an audit engagement of a SOC 2 Report. The audit will include the information functions and processes utilized or provided by the Vendor and any relevant subcontractor under the Contract. The Vendor and any subcontractor agree to allow the independent audit firm to access its facilities for purposes of conducting this audit engagement. They will provide the necessary support and cooperation to the independent audit firm that is required to perform the audit engagement of the SOC 2 Report. LACERA will invoice the Vendor for the expense of the SOC 2 Report(s) or deduct the cost from future payments to the Vendor.

18. Disaster Recovery & Business Continuity

Vendor will implement and maintain disaster recovery and business continuity procedures that are reasonably designed to recover data processing systems, data communications facilities, information, data and other business-related functions of LACERA in a manner and time frame consistent with legal, regulatory and business requirements applicable to LACERA.

19. Data Breach Verification

19.1 Vendor shall provide an annual written, signed attestation that to the best of its knowledge, no data breach, hacking, or incidental divulging of Member Records has occurred

and that no Member Record has been compromised. The attestation shall verify that adequate internal policies and procedures exist to prevent data theft and unauthorized access.

19.2 Vendor shall provide an annual system penetration test in support of the attestation made in item A above. Vendor shall provide the results of penetration tests to LACERA.

19.3 Vendor shall comply with California Civil Code § 1798.29(e) and California Civ. Code § 1798.82(f). In the event of a security breach of more than 500 records, the Vendor shall electronically submit a single sample copy of that security breach notification, excluding any personally identifiable information, to the Attorney General.

19.4 Vendor shall notify any California resident whose unencrypted personal information, as defined, was acquired, or reasonably believed to have been acquired, by an unauthorized person as required by California Civil Code §1798.29(a) and California Civ. Code §1798.82(a).

19.5 Notwithstanding the legal notification requirements in the preceding paragraphs, Vendor will immediately notify LACERA upon its discovery of any incident or data breach.

20. Entire Contract and Severability.

This document (including Attachments A and B) constitutes the final, complete, and exclusive statement of the terms of the Contract between LACERA and Vendor for the services to be performed and supersedes all prior and contemporaneous understandings or Contracts of the parties. The provisions of this Contract are severable, and if any one or more provisions may be determined to be illegal or otherwise unenforceable, in whole or in part, the remaining provisions or parts thereof shall nevertheless be binding and enforceable and the invalid, illegal or unenforceable provision shall be replaced by a mutually acceptable valid, legal and enforceable provision which comes closest to the intent of the parties.

21. Governing Law and Venue.

21.1 This Contract shall be governed by, and construed and enforced in accordance with, the laws of the State of California without regard to principles of conflicts of laws.

21.2 Any party bringing a legal action or proceeding against any other party arising out of or relating to this Contract or the transactions it contemplates (whether in contract, tort, equity, or otherwise), shall bring the legal action or proceeding in either the United States District Court or in any court of the State of California sitting in Los Angeles County.

21.3 Each party to this Contract consents to the exclusive personal and subject matter jurisdiction of any United States District Court sitting in the County of Los Angeles and any court of the State of California sitting in the County of Los Angeles, and their appellate courts for the purpose of all legal actions and proceedings arising out of or relating to this Contract or the transactions it contemplates, including all claims of any nature or type, whether in contract, tort, statutory, equitable, legal, or otherwise.

22. Attorney's Fees.

In the event of litigation between the parties concerning this Contract, the prevailing party shall be entitled to recover reasonable costs and expenses incurred therein, including without limitation attorney's fees. These expenses shall be in addition to any other relief to which the prevailing party may be entitled and shall be included in and as part of the judgment or decision rendered in such proceeding.

23. Interpretation.

Vendor acknowledges they have been given the opportunity to have counsel of their own choosing to participate fully and equally in the review and negotiation of this Contract. The language in all parts of this Contract shall be construed in all cases according to its fair meaning, and not strictly for or against any party hereto. Any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in interpreting this Contract.

24. Waiver.

No waiver of a breach, failure of any condition, or any right or remedy contained in or granted by the provisions of this Contract shall be effective unless it is in writing and signed by the party waiving the breach, failure, right, or remedy. No waiver of any breach, failure, right or remedy shall be deemed a waiver of any other breach, failure, right or remedy, whether or not similar, or preceding or subsequent, nor shall any waiver constitute a continuing waiver unless the writing so specifies.

Remainder of Page Intentionally Left Blank

IN WITNESS WHEREOF, Vendor has signed this Contract, and the [SIGNATORY TITLE] of LACERA has signed this Contract, effective as of the date indicated in Section 5.

LACERA: [Vendor Name]:

Los Angeles County Employees
Retirement Association

By: _____
[TITLE] [Authorized Signatory Title]

Address for notices: Address for notices:

[NAME]
LACERA
300 N. Lake Avenue, Suite [XXX]
Pasadena, CA 91101

Approved as to form:

John Harrington
LACERA Staff Counsel

EXHIBIT E

IT SECURITY CONTROLS

If selected through this RFP process respondent shall provide an initial Security Controls Report in the form attached hereto prior to executing an agreement with LACERA. All subsequent Security Controls Reports that are required after this first report shall be performed and submitted annually. The questionnaires are to focus on security as it applies to the technologies impacting services provided in relation to the scope of work. If a control is found to be inadequate, respondent will develop a remediation plan within 30 days. Respondent will implement the plan and inform LACERA of the change within a mutually agreed upon and reasonable time.

The Security Controls Reports shall report in writing on the respondent's system(s) and the suitability of the design and operating effectiveness of controls, information functions, and/or processes applicable to the environment in which the respondent receives and maintains LACERA records, including the security requirements.

Respondent shall provide to LACERA, within 30 calendar days of the issuance of each Security Controls Report, a documented corrective action plan that addresses each audit finding or exception contained therein. The corrective action plan shall show in detail the required remedial action by respondent along with the implementation date(s) for each remedial action.

If respondent does not obtain an annual Security Controls Report, LACERA shall have the right to retain an independent audit firm to perform such an audit engagement for such a report. The audit will include the controls, information functions, and processes used or provided by respondent. Respondent agrees to allow the independent audit firm to access its facilities for purposes of conducting this audit engagement. They will provide the necessary support and cooperation to the independent audit firm.

The independent audit firm will be engaged by LACERA's legal department and subject to the same confidentiality requirements supported in this agreement, and any disclosure will be on a need-to-know basis only for the purpose of the Security Controls Report. LACERA will invoice respondent for the expense of the report(s) or deduct the cost from future payments to the respondent.

IT Security Controls - LACERA Environment

Control Section	Control Name	Control Description	Control Validation Test/ Review Performed	Control Validation Results
Access Control	Access control policy	An access control policy shall be established, documented, and reviewed based on business and information security requirements.		
	Access to networks and network services	Users shall only be provided with access to the network and network services that they have been specifically authorized to use.		
	User registration and de-registration	A formal user registration and de-registration process shall be implemented to enable assignment of access rights.		
	User access provisioning	A formal user access provisioning process shall be implemented to assign or revoke access rights for all user types to all systems and services.		
	Review of user access rights	Asset owners shall review users' access rights at regular intervals.		
	Removal or adjustment of access rights	The access rights of all employees and external party users to information and information processing facilities shall be removed upon termination of their employment, contract, or agreement, or adjusted upon change.		
	Use of password information	Users shall be required to follow consultant's practices in the use of password information.		
	Secure log-on procedures	Where required by the access control policy, access to systems and applications shall be controlled by a secure log-on procedure.		
	Password management	Password management systems shall be interactive and shall ensure quality passwords.		
Physical and Environmental Security	Physical security perimeter	Security perimeters shall be defined and used to protect areas that contain either sensitive, critical information or information processing facilities.		
	Physical entry controls	Secure areas shall be protected by appropriate entry controls to ensure that only authorized personnel are allowed access.		
	Protecting against external and environmental threats	Physical protection against natural disasters, malicious attacks, or accidents shall be designed and applied.		

	Supporting utilities	Equipment shall be protected from power failures and other disruptions caused by failures in supporting utilities.		
	Equipment maintenance	Equipment shall be correctly maintained to ensure its continued availability and integrity.		
Network Security Management	Network controls	Networks shall be managed and controlled to protect information in systems and applications.		
	Security of network services	Security mechanisms, service levels, and management requirements of all network services shall be identified and included in network services agreements, whether these services are provided in-house or outsourced.		
	Information transfer policies and procedures	Formal transfer policies, procedures, and controls shall be in place to protect the transfer of information using all types of communication facilities.		
Operational	Documented operating procedures	Operating procedures shall be documented and made available to all users who need them.		
	Change management	Changes to consultant, business processes, information processing facilities and systems that affect information security shall be controlled.		
	Capacity management	The use of resources shall be monitored and tuned, and projections made of future capacity requirements to ensure the required system performance.		
	Controls against malware	Detection, prevention, and recovery controls to protect against malware shall be implemented, combined with appropriate user awareness.		
	Information backup	Backup copies of information, software, and system images shall be taken and tested regularly in accordance with an agreed backup policy.		
	Event logging	Event logs recording user activities, exceptions, faults, and information security events shall be produced, kept, and regularly reviewed.		
	Protection of log information	Logging facilities and log information shall be protected against tampering and unauthorized access.		
	Clock Synchronization	The clocks of all relevant information processing systems within an organization or security domain shall be synchronized to a single reference time source.		

	Management of technical vulnerabilities	Information about technical vulnerabilities of information systems being used shall be obtained in a timely fashion, consultant's exposure to such vulnerabilities evaluated and appropriate measures taken to address the associated risk.		
Information Security Incident Management	Responsibilities and procedures	Management responsibilities and procedures shall be established to ensure a quick, effective, and orderly response to information security incidents.		
	Reporting information security events	Information security events shall be reported through appropriate channels as quickly as possible.		
	Reporting information security weaknesses	Employees and contractors using consultant's information systems and services shall be required to note and report any observed or suspected information security weaknesses in systems or services.		
	Response to information security incidents	Information security incidents shall be responded to in accordance with the documented procedures.		
	Learning from information security incidents	Knowledge gained from analyzing and resolving information security incidents shall be used to reduce the likelihood or impact of future incidents.		

(The rest of this page is left intentionally blank)

EXHIBIT F

INTENT TO RESPOND

Intent to Respond.

If you choose to respond to this RFP, please send this form to casemanagementRFP@lacera.com via email no later than 5:00 p.m. PDT, October 15, 2021. Failure to send your Intent to Respond may disqualify your firm from submitting a proposal.

LACERA's responses to written requests for clarification or other information will be provided to all Respondents that have submitted an Intent to Respond.

To:	Celso Templo	From:	
Co.:	LACERA – Systems Division	Title:	
Phone:	626-564-6000 ext. 4690	Co.:	
Email:	casemanagementRFP@lacera.com	Phone:	
Re:	Intent to Respond	Email:	
		Date:	

Our firm intends to submit a response for LACERA's RFP for [Services Requested].

Please send inquiries to the following contact:

Name: _____

Title: _____

Company: _____

Mailing Address: _____

Telephone: _____

Facsimile: _____

Email Address: _____

EXHIBIT G

SELECTION CRITERIA

The selection criteria below and the weighting schedule of the evaluation factors is a guide only and does not bind or limit LACERA in any way in its selection of vendor(s).

An evaluation committee will review, evaluate, score, and rank all responsive proposals by the evaluation criteria described below. LACERA may invite the highest ranked Respondents for presentations and interviews at which time each will have a limited amount of time to further describe their experience and qualifications, and to answer questions.

Scores will be used merely as an aid in selection and is not binding or determinative on LACERA with respect to the basis for selection. LACERA will evaluate proposals based upon the proven ability of the Respondent to satisfy the requirements in an efficient, cost-effective manner, considering quality of service. LACERA will evaluate responses against the following criteria and factors:

LACERA will consider the criteria, without a specific weighting, unless noted below. The balancing of the factors is in LACERA's sole discretion. LACERA reserves the right to consider factors other than those listed in making its choice.

	CRITERIA	WEIGHT
1	Functional Requirements	40%
2	Technical and Integration Requirements	20%
3	Cost of Ownership	10%
4	Training and Support	20%
5	Vendor References	10%

September 27, 2021

TO: Each Trustee,
Board of Retirement

FROM: Steven P. Rice, *SPR*
Chief Counsel

FOR: October 6, 2021 Board of Retirement Meeting

SUBJECT: Approval of Teleconference Meetings Under AB 361 and Government Code
Section 54953(e)

RECOMMENDATION

That, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of the Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that the Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute.

LEGAL AUTHORITY

Under Article XVI, Section 17 of the California Constitution, the Board of Retirement has plenary authority and exclusive fiduciary responsibility for the administration of the system. This authority includes the ability to manage Board and Committee meetings and evaluate and act on legal options for the conduct of such meetings, such as whether to invoke teleconferencing of meetings under the terms and conditions provided in AB 361 and Government Code Section 54953(e) of the Brown Act to protect the health and safety of Trustees, staff, and the public.

DISCUSSION

A. Summary of New Law.

Section 54953(b)(3), as in effect since before the COVID-19 pandemic, provides for a teleconference meeting if: the agenda is posted at each teleconference location; the agenda identifies all teleconference locations; teleconference locations are accessible to the public and allow for public participation; a quorum of Trustees is located in Los Angeles County; and all votes are by roll call.

The Governor's Executive Order No. N-29-20, issued on March 17, 2020, relaxed these teleconference rules during the COVID-19 State of Emergency. LACERA has held

teleconference meetings throughout the pandemic under the authority of Order No. N-29-20. On June 11, 2021, The Governor issued Executive Order No. N-08-21 providing that the relaxed rules will expire on September 30, 2021, at which time the regular rules of Section 54953(b), as described above, will again be in effect.

On September 16, 2021, the Governor signed AB 361 which enacted new Government Code Section 54953(e) of the Brown Act to put in place, effective immediately and through December 31, 2023, new relaxed teleconferencing rules that may be invoked by local legislative bodies, such as the Board of Retirement, upon making certain findings and following certain agenda and public comment requirements. A copy of Section 54953(e) in its entirety is attached to this memo.

Specifically, Section 54953(e)(3) provides that the Board may hold a teleconference meeting without the need to comply with Section 54953(b)(3) if a state of emergency under Section 8625 of the California Emergency Services Act remains active or state or local officials have imposed or recommended social distancing rules, provided that the Board, within 30 days of the first teleconference meeting and every 30 days thereafter, makes the following findings by majority vote:

(A) The Board has considered the circumstances of the state of emergency;

(B) Any of the following circumstances exist:

- (i) The state of emergency continues to directly impact the ability of the Trustees to meet safely in person;
- (ii) State or local officials continue to impose or recommend measures to promote social distancing.

If the Board makes the required findings, the Board and its Committees may hold teleconference meetings without the need to comply with the regular rules of Section 54953(b)(3) provided that: agendas are prepared and posted under the Brown Act; members of the public are allowed to access the meeting via a call-in option or an internet-based service option; the agenda provides an opportunity for public comment in real time and provides notice of the means of accessing the meeting for public comment; and public comment is provided on either a timed period or per agenda item.

B. Information Supporting the Required Findings and Process if the Board Determines to Invoke Section 54953(e).

The Governor's State of Emergency for the COVID-19 pandemic as declared in the Proclamation of a State of Emergency dated March 4, 2020 remains active. The Proclamation was issued under the authority of Section 8625 of the California Emergency Services Act.

The Los Angeles County Department of Public Health maintains guidance to "Keep your

distance. Use two arms lengths as your guide (about 6 feet) for social distancing with people outside your household when you are not sure that they are vaccinated.” <http://publichealth.lacounty.gov/acd/ncorona2019/reducingrisk/>. The County Public Health Department also maintains guidance that employers should, “Implement policies and practices that support physical distancing: Whenever possible, take steps to reduce crowding indoors and enable employees and customers to physically distance from each other. Generally, at least 6 feet of distance (2 arm lengths) is recommended, although this is not a guarantee of safety, especially in enclosed or poorly ventilated spaces.” <http://publichealth.lacounty.gov/acd/ncorona2019/bestpractices/>

The Centers for Disease Control and Prevention (CDC) also still advise the public that, “Outside your home: Put 6 feet of distance between yourself and people who don’t live in your household.” <https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/prevention.html#stay6ft%20>.

The pandemic continues to present a significant health risk, as the virus presents itself in different variants. LACERA has not yet returned to the office. Management is preparing return to office vaccination and testing protocols to ensure the safety of LACERA employees, members, and others, including Trustees.

Under these circumstances, the Board may reasonably conclude and find that teleconferencing under Section 54953(e) is appropriate for Board and Committee meetings during the next 30 days because (1) the state of emergency continues to directly impact the ability of the Trustees to meet safely in person, and (2) the County and other authorities continue to recommend measures to promote social distancing, as required by the statute.

If the Board makes these findings and directs teleconferencing under Section 54953(e), procedures exist and will be implemented to ensure compliance with the agenda and public comment requirements of the statute, as stated above.

CONCLUSION

Based on the above information, staff recommends that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement consider whether to find that the Governor’s COVID-19 State of Emergency continues to directly impact the ability of the Board and Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that the Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute.

Attachment

Re: Approval of Teleconference Meetings Under AB 361 and Gov't Code § 54953(e)
September 27, 2021
Page 4 of 5

c: Santos H. Kreimann
Luis Lugo
JJ Popowich
Laura Guglielmo
Carly Ntoya

Government Code Section 54953(e)

(e) (1) A local agency may use teleconferencing without complying with the requirements of paragraph (3) of subdivision (b) if the legislative body complies with the requirements of paragraph (2) of this subdivision in any of the following circumstances:

(A) The legislative body holds a meeting during a proclaimed state of emergency, and state or local officials have imposed or recommended measures to promote social distancing.

(B) The legislative body holds a meeting during a proclaimed state of emergency for the purpose of determining, by majority vote, whether as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees.

(C) The legislative body holds a meeting during a proclaimed state of emergency and has determined, by majority vote, pursuant to subparagraph (B), that, as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees.

(2) A legislative body that holds a meeting pursuant to this subdivision shall do all of the following:

(A) The legislative body shall give notice of the meeting and post agendas as otherwise required by this chapter.

(B) The legislative body shall allow members of the public to access the meeting and the agenda shall provide an opportunity for members of the public to address the legislative body directly pursuant to Section 54954.3. In each instance in which notice of the time of the teleconferenced meeting is otherwise given or the agenda for the meeting is otherwise posted, the legislative body shall also give notice of the means by which members of the public may access the meeting and offer public comment. The agenda shall identify and include an opportunity for all persons to attend via a call-in option or an internet-based service option. This subparagraph shall not be construed to require the legislative body to provide a physical location from which the public may attend or comment.

(C) The legislative body shall conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties and the public appearing before the legislative body of a local agency.

(D) In the event of a disruption which prevents the public agency from broadcasting the meeting to members of the public using the call-in option or internet-based service option, or in the event of a disruption within the local agency's control which prevents members of the public from offering public comments using the call-in option or internet-based service option, the body shall take no further action on items appearing on the meeting agenda until public access to the meeting via the call-in option or internet-based service option is restored. Actions taken on agenda items during a disruption which prevents the public agency from broadcasting the meeting may be challenged pursuant to Section 54960.1.

(E) The legislative body shall not require public comments to be submitted in advance of

the meeting and must provide an opportunity for the public to address the legislative body and offer comment in real time. This subparagraph shall not be construed to require the legislative body to provide a physical location from which the public may attend or comment.

(F) Notwithstanding Section 54953.3, an individual desiring to provide public comment through the use of an internet website, or other online platform, not under the control of the local legislative body, that requires registration to log in to a teleconference may be required to register as required by the third-party internet website or online platform to participate.

(G) (i) A legislative body that provides a timed public comment period for each agenda item shall not close the public comment period for the agenda item, or the opportunity to register, pursuant to subparagraph (F), to provide public comment until that timed public comment period has elapsed.

(ii) A legislative body that does not provide a timed public comment period, but takes public comment separately on each agenda item, shall allow a reasonable amount of time per agenda item to allow public members the opportunity to provide public comment, including time for members of the public to register pursuant to subparagraph (F), or otherwise be recognized for the purpose of providing public comment.

(iii) A legislative body that provides a timed general public comment period that does not correspond to a specific agenda item shall not close the public comment period or the opportunity to register, pursuant to subparagraph (F), until the timed general public comment period has elapsed.

(3) If a state of emergency remains active, or state or local officials have imposed or recommended measures to promote social distancing, in order to continue to teleconference without compliance with paragraph (3) of subdivision (b), the legislative body shall, not later than 30 days after teleconferencing for the first time pursuant to subparagraph (A), (B), or (C) of paragraph (1), and every 30 days thereafter, make the following findings by majority vote:

(A) The legislative body has reconsidered the circumstances of the state of emergency.

(B) Any of the following circumstances exist:

(i) The state of emergency continues to directly impact the ability of the members to meet safely in person.

(ii) State or local officials continue to impose or recommend measures to promote social distancing.

(4) For the purposes of this subdivision, "state of emergency" means a state of emergency proclaimed pursuant to Section 8625 of the California Emergency Services Act (Article 1 commencing with Section 8550) of Chapter 7 of Division 1 of Title 2).



September 22, 2021

TO: Each Trustee
Board of Retirement

FROM: James C. Beasley Jr. *B*
Supervising Administrative Assistant II

FOR: October 6, 2021, Board of Retirement Meeting

SUBJECT: CYBER LIABILITY INSURANCE RENEWAL

RECOMMENDATION

That the Board of Retirement approve the purchase of Cyber Liability Insurance effective October 9, 2021, with the following insurance carriers at a total cost of \$484,132:

Carrier	Coverage Type	Limits	Premium
Tokio Marine HCC	Cyber Liability	\$5 Million	\$107,158
Brit/Ambridge (London)	Cyber Liability (Excess 1)	\$5 Million	\$79,419
Miller/Hamilton (London)	Cyber Liability (Excess 2)	\$5 Million	\$148,680
Accredited Specialty Insurance Company	Cyber Liability (Excess 3)	\$5 Million	\$148,875
Total:		\$20 Million	\$484,132

INTRODUCTION

According to industry experts, the average claim associated with a data breach can range anywhere from \$1,000 to as much as \$9.1 Million, depending on the size of the company and the number of records involved in the breach. To mitigate this financial risk, LACERA maintains \$20 million in Cyber Liability coverage.

At the September 9, 2021 Board of Retirement (BOR) meeting, staff provided the Trustees with an overview of the current insurance marketplace and discussed the difficulty in purchasing Cyber Liability Insurance for LACERA in this market. At that time staff explained that based on the quotes received up to that point, the current budget for Cyber Liability insurance would be insufficient and estimated the total cost to be approximately \$350,000 for \$20 Million in coverage. This cost estimate was provided by LACERA's Insurance brokers, Kaercher Campbell & Associates Insurance Brokerage (Broker), based on the two quotes received up to that point.

CYBER INSURANCE

In an effort to procure the total Cyber Liability coverage desired by LACERA at the lowest cost, the Broker actively re-engaged all the previous insurance carriers that either declined to provide quotes or did not respond due to time constraints set by LACERA. After reaching out to over 40 insurance carriers, the Broker obtained enough bids to allow LACERA to maintain the \$20 Million in Cyber Liability Insurance desired. A total of five bids were received and the four lowest cost policies negotiated are recommended.

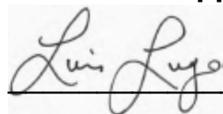
To secure the \$20 million in desired coverage, the premium increase for the Cyber Liability Insurance will exceed the Fiscal Year 2021-2022's budgeted amount by \$314,732. This cost even exceeds the Broker's estimate presented at the last meeting by over \$134,000. Unless the Board desires to reduce the total coverage and risk self-insurance for any exposure beyond a pre-determined threshold, an additional appropriation will be required. Staff intends to request an amendment during the mid-year budget adjustment to fund this increase.

IT IS THEREFORE RECOMMENDED THAT THE BOARD approve the purchase of Cyber Liability Insurance totaling \$484,132, effective October 9, 2021, with the following insurance carriers:

Carrier	Coverage Type	Limits	Premium
Tokio Marine HCC	Cyber Liability	\$5 Million	\$107,158
Brit/Ambridge (London)	Cyber Liability (Excess 1)	\$5 Million	\$79,419
Miller/Hamilton (London)	Cyber Liability (Excess 2)	\$5 Million	\$148,680
Accredited Specialty Insurance Company	Cyber Liability (Excess 3)	\$5 Million	\$148,875
Total:		\$20 Million	\$484,132

/JB

Noted and Approved



Luis A. Lugo
Deputy Chief Executive Officer

September 28, 2021

TO: Each Trustee
Board of Retirement
Board of Investments

FROM: Alan J. Bernstein, Chair
Board of Retirement

Keith Knox, Chair
Board of Investments

FOR: October 6, 2021 Board of Retirement Meeting
October 13, 2021 Board of Investments Meetings

SUBJECT: **Rotation of Board Officers**

RECOMMENDATION

That the Board of Retirement (BOR) and the Board of Investments (BOI) provide feedback and approve the key terms that will serve as a template and basis for creating a policy to implement the selection of board officers through a seniority-based system; and direct the Chief Executive Officer and staff to prepare a final policy for implementation prior to the selection of Board officers by January 2022.

LEGAL AUTHORITY

Pursuant to Article XVI, Section 17 of the California Constitution, the BOR and BOI have plenary authority and exclusive fiduciary responsibility for, respectively, the administration of the system and investment of system assets. The Boards may make policies related to the governance of their respective board operations.

Government Code Section 31525 provides that the BOR and BOI may make regulations that are not inconsistent with the County Employees Retirement Law of 1937 (CERL). The regulations become effective when approved by the Board of Supervisors.

The BOR Regulations provide that each January the BOR shall elect from its members a Chair, Vice Chair, and Secretary to serve for a term of one year or until his or her successor is duly elected and qualified.

The BOI Bylaws¹ provide that each January the BOI shall elect from its members a Chair, Vice Chair, and Secretary to serve for a term of one year or until his or her successor is duly elected and qualified.

¹ The BOI Bylaws were updated and approved by the BOI on August 9, 2017. However, they have not been forwarded to and approved by the Board of Supervisors as provided under Government Code Section 31525. Note that the updated BOI Bylaws did not change the provisions on the election of board officers.

Fiduciary counsel advised that the formulation of a new board policy is sufficient to implement a new process for the selection of board officers without amending the BOR Regulations or the BOI Bylaws.

BACKGROUND

The BOR and BOI each provide for a Chair, Vice Chair, and Secretary to serve as officers of the Board. The current process to determine board officers provides for elections to be held at each Board's first regular meeting in January with nominations submitted by any trustees during the board meeting.

At the BOR meeting on September 1, 2021 and at the BOI meeting on September 8, 2021, BOR Chair Alan J. Bernstein and BOI Chair Keith Knox, respectively, presented different options to potentially replace the current process of annual elections. Trustees from each board provided feedback on the various options. The general consensus favored a seniority-based system to determine the selection of board officers.

DISCUSSION

This memo encapsulates the feedback by trustees from the prior meetings and outlines key terms of the new process that will serve as a template and basis for creating a policy to implement the selection of board officers through a seniority-based system.

This memo is being presented to the Board of Retirement at its meeting of October 6, 2021 and to the Board of Investments at its meeting of October 13, 2021 for further discussion and feedback on details of the various terms of the policy. A final version of the key terms will be presented to the Joint Board of Retirement and Board of Investments meeting on October 20, 2021. A selection policy for each Board will be drafted based on the final terms and presented to each Board for approval in November.

Seniority List

For each position of Chair, Vice Chair, and Secretary in the BOR and BOI, a seniority list in descending order based on each trustee's years of board service shall be used to determine priority to serve as a board officer for a 1-year term. Given some concerns expressed by trustees regarding parity between Elected and Appointed trustees with respect to seniority, there are additional options that the Boards may consider in the formulation of the list, as discussed below.

[Comment: The feedback from trustees was that a seniority list based solely on years of service would initially skew the seniority list to the Elected trustees having most of the top spots on the list, and the skew could be perpetuated into later years if those Elected trustees who opted to serve as an officer begin accruing service anew earlier. Elected trustees tend to have longer tenure due to reelection as incumbents compared to Appointed trustees who do not get reappointed as often.]

Gap Year for Appointed Trustees

If a single seniority list is to be used, one option to provide more parity between Elected and Appointed trustees is to count as service any 1-year gap between the appointments of Appointed trustees. For example, if an Appointed trustee has served a 3-year term and there is a 1-year gap before that trustee is again appointed for a 3-year term, the trustee would have 7 years of service instead of 6 years of service.

Separate Seniority Lists of Elected and Appointed Trustees for Odd/Even Years

Another option to consider for providing parity is to have separate seniority lists for Elected and Appointed trustees. For this purpose, the Ex-Officio trustee will be considered an Appointed trustee. Additionally, odd or even years would determine which trustee group serves as Chair, Vice Chair, and Secretary.

For example, in Year 1 (an odd year), the Chair would be selected from the Elected list, the Vice Chair would be selected from the Appointed list, and the Secretary would be selected from the Elected list.

In Year Two (an even year), the Chair would come from the Appointed list, the Vice Chair from the Elected list, and the Secretary from the Appointed list.

[Comment: The alternating use of different group lists combined with alternating odd and even years should make the seniority list more reflective of how Elected versus Appointed trustees accrue seniority.]

Years of Board Service

Each trustee's years of board service are based on service within that Board for which he or she may serve as an officer (i.e., trustees with service on both the BOR and BOI may not combine their service on both boards to determine seniority). The years of service are accumulated for a trustee's service on all board seats within that Board. The County Treasurer and Tax Collector's years of board service also includes the period in which he or she serves as Interim County Treasurer and Tax Collector.

[Comment: The feedback from trustees was that a trustee's service on the Board should be counted regardless of the seat on which that service was accrued. Some trustees have served in various combinations of Elected, Appointed, and Ex-Officio capacities.]

Alternate Board Members

Staff and fiduciary counsel believe that there is a strong case under CERL that the alternate seventh and alternate retired members of the BOR are eligible to serve as board officers. The seniority list will include these alternate members since they generally attend meetings concurrently with the seventh and eighth members. However, the seniority list will not include the alternate Ex-Officio member, who does not regularly attend board meetings unless the Ex-Officio member is absent.

If the Chair is a board member whose absence invokes the voting rights of the alternate seventh or alternate retired board member, those alternate board members would serve as a voting member but not as Chair since under each Board's Charter the Vice Chair would serve in the absence of the Chair (assuming an alternate board member is not also serving as Vice Chair); moreover, the Secretary presides over a meeting in the absence of both the Chair and Vice Chair. An alternate member serving as an officer may not vote if his or her voting rights are not invoked by the absence of board members as specified in CERL.

Minimum Service Requirement

The Boards should consider whether it should be required or recommended that a trustee have at least 1 year of board service before being eligible to serve as an officer. The Boards should also consider whether a formal trustee training program be established to assist new trustees with their potential role on the Board as an officer.

[Comment: A minimum service requirement can avoid having new trustees with no prior experience on a LACERA board from taking on additional duties to serve as an officer. Trustees have various perspectives as to the length of the minimum service and whether it should be a requirement versus a recommendation.]

Term Limits

If a trustee opts to serve in an officer position, his or her years of service under that position is reset to zero and begins accruing anew.

- If a single seniority list is used under the gap year approach described above, it would not be possible for a trustee who served in an officer position to serve a consecutive term in that same position unless everyone else with more seniority decides to opt out of serving the following year.
- If separate seniority lists based on different trustee groups are used, it would not be possible for a trustee to serve consecutive terms in the same position since Elected and Appointed trustees would rotate over odd and even years.

Opting Out

A trustee who has seniority for a board officer position may opt out of serving under that position when offered the position.

Note that this opt-out constraint would not apply to a trustee who already opted to serve in another board officer position in a particular year. For example, a trustee who opted to serve as Chair and who also has seniority for the Vice Chair position is not opting out of service as Vice Chair. The Vice Chair position would be offered to the next most senior

trustee on that position's list, who may exercise an opt-out. (This issue would be moot if the Chair and Vice Chair are to be selected from different seniority groups.)

The Boards should consider whether there should be a limit as to how many times a trustee may opt out of service as an officer and whether their seniority would reset to zero after a certain number of times.

[Comment: The feedback from some trustees was that a trustee should not have an unlimited number of opt-outs, which would result in certain trustees indefinitely occupying the top spot on the seniority list and could induce gamesmanship.]

Vacancies

If a trustee is unable to complete his or her term as a board officer, the trustee next in seniority would be provided the option to serve out the remainder of that term. A trustee who serves in the event of a vacancy should not have his or her years of service reset to zero due to this contingency. That trustee would also be eligible to hold office when he or she next has seniority to serve unless the Board provides a constraint based on the length of the incomplete term. For example, if the incomplete term is more than 9 months, then the trustee is not eligible to hold office the next time he or she is eligible; however, if the complete term is less than 9 months, then the trustee is eligible.

The Boards should consider whether there should be a constraint based on the length of the incomplete term of office as to whether the trustee can serve when his or her "regular turn comes up.

[Comment: Although vacancies were not discussed in the initial feedback, it is a contingency that should be accounted for. A constraint based on the length of the incomplete term may avoid the appearance that a trustee is serving consecutive terms. However, this should not be an issue if separate seniority lists based on trustee groups is used and the groups are rotated each year.]

Implementation

If the Boards decide to adopt a new policy on the selection of board officers, the implementation of the process would cover the following stages.

- A seniority list (whether single or separate based on trustee groups) would be compiled. Staff would verify the years of service with each individual trustee to create an accurate list. This verification process should occur each year with sufficient time before January to resolve any discrepancies. The list would enumerate the years of service up to December of each year.
- As to when a trustee who has seniority to serve would be offered the opportunity to serve, there are two options.
- One, the offer and acceptance can take place before January through communication between staff and trustees outside of a public meeting. Staff would

start at the top of the list and offer the position until it is accepted. The new officers would then begin service at the January meeting.

- Two, with the seniority list having been verified, the process of offer and acceptance can take place at the public meeting in January.

Summary of Key Terms to be Considered

Key Term	Decision
Gap year for Appointed trustees: should the gap year be counted as 1 year of service?	Yes or No
Separate lists: should separate lists for Elected and Appointed trustees be used to determine seniority and, if so, should appointments from each list be made by alternating odd and even years?	Yes or No
Minimum service: should it be required or recommended that a trustee have at least 1 year of board service before being eligible to serve as an officer?	Requirement or Recommendation
Should a different number of years be considered?	Number of Years
Opting out: should a trustee be able to indefinitely opt out of serving as an officer?	Yes or No
If no, then how many times can they opt out?	Number of Times

CONCLUSION

The foregoing terms were formulated based on feedback from the BOR and BOI at their respective meetings in September 2021. Additional feedback from the Boards on these specific terms related to a seniority-based system of officer selection would provide the foundation for the drafting of a specific policy to implement this new process.

IT IS THEREFORE RECOMMENDED THAT THE BOARDS provide feedback and approve the key terms that will serve as a template and basis for creating a policy to implement the selection of board officers through a seniority-based system; and direct the Chief Executive Officer and staff to prepare a final policy for implementation prior to the selection of Board officers by January 2022.

Rotation of Board Officers
Board of Retirement and Board of Investments
September 28, 2021
Page 7

cc: Santos H. Kreimann
Luis Lugo
JJ Popowich
Laura Guglielmo
Steven P. Rice
Jonathan Gabel

BOARD OF RETIREMENT SENIORITY LIST

CHAIR

Trustee Name	Priority Date*	Seniority
William R. Pryor	1/1/2000	22 Years
Les Robbins	11/1/1997	21 Years, 2 Months
Shawn R. Kehoe	1/1/2011	11 Years
Vivian H. Gray	1/1/2013	9 Years
Ronald A. Okum	8/1/2013	7 Years, 5 Months
JP Harris	1/1/2008	6 Years, 3 Months
Herman B. Santos	9/14/2017	4 Years, 3 Months
Gina Zapanta	1/1/2018	4 Years
Keith Knox, Ex-Officio	7/13/2019	2 Years, 5 Months
Wayne Moore	1/1/2020	2 Years
Alan J. Bernstein	1/1/2022	1 Year

VICE CHAIR

Trustee Name	Priority Date*	Seniority
William R. Pryor	1/1/2000	22 Years
Les Robbins	11/1/1997	21 Years, 2 Months
Shawn R. Kehoe	1/1/2011	11 Years
Alan J. Bernstein	2/1/2011	7 Years, 11 Months
Ronald A. Okum	8/1/2013	7 Years, 5 Months
JP Harris	1/1/2008	6 Years, 3 Months
Herman B. Santos	9/14/2017	4 Years, 3 Months
Gina Zapanta	1/1/2018	4 Years
Keith Knox, Ex-Officio	7/13/2019	2 Years, 5 Months
Wayne Moore	1/1/2020	2 Years
Vivian H. Gray	1/1/2022	1 Year

SECRETARY

Trustee Name	Priority Date*	Seniority
William R. Pryor	1/1/2000	22 Years
Les Robbins	11/1/1997	21 Years, 2 Months
Shawn R. Kehoe	1/1/2011	11 Years
Vivian H. Gray	1/1/2013	9 Years
Alan J. Bernstein	2/1/2011	7 Years, 11 Months
Ronald A. Okum	8/1/2013	7 Years, 5 Months
JP Harris	1/1/2008	6 Years, 3 Months
Herman B. Santos	9/14/2017	4 Years, 3 Months
Keith Knox, Ex-Officio	7/13/2019	2 Years, 5 Months
Wayne Moore	1/1/2020	2 Years
Gina Zapanta	1/1/2022	1 Year

*Priority Date reflects the first term date of the Trustee on the Board till the end of 2021.

2021 Officers have been placed at the end of the list and the priority date reset.

BOARD OF INVESTMENTS SENIORITY LIST

CHAIR

Trustee Name	Priority Date*	Seniority
Herman B. Santos	1/1/2004	18 Years
David Green	1/1/2012	10 Years
Shawn R. Kehoe	1/1/2014	8 Years
Joseph Kelly	10/15/2014	5 Years, 9 Months
Gina V. Sanchez	1/1/2018	4 Years
Alan J. Bernstein	1/1/2019	3 Years
Elizabeth Greenwood	1/1/2020	2 Years
Keith Knox, Ex-Officio	1/1/2022	1 Year
Patrick L. Jones	4/6/2021	9 Months

VICE CHAIR

Trustee Name	Priority Date*	Seniority
Herman B. Santos	1/1/2004	18 Years
David Green	1/1/2012	10 Years
Shawn R. Kehoe	1/1/2014	8 Years
Gina V. Sanchez	1/1/2018	4 Years
Alan J. Bernstein	1/1/2019	3 Years
Keith Knox, Ex-Officio	7/13/2019	2 Years, 5 Months
Elizabeth Greenwood	1/1/2020	2 Years
Joseph Kelly	1/1/2022	1 Year
Patrick L. Jones	4/6/2021	9 Months

SECRETARY

Trustee Name	Priority Date*	Seniority
Herman B. Santos	1/1/2004	18 Years
David Green	1/1/2012	10 Years
Shawn R. Kehoe	1/1/2014	8 Years
Joseph Kelly	10/15/2014	5 Years, 9 Months
Gina V. Sanchez	1/1/2018	4 Years
Keith Knox, Ex-Officio	7/13/2019	2 Years, 5 Months
Elizabeth Greenwood	1/1/2020	2 Years
Alan J. Bernstein	1/1/2022	1 Year
Patrick L. Jones	4/6/2021	9 Months

*Priority Date reflects the first term date of the Trustee on the Board till the end of 2021.

2021 Officers have been placed at the end of the list and the priority date reset.

FOR INFORMATION ONLY

September 23, 2021

TO: Each Trustee
Board of RetirementFROM: Ricki Contreras, Division Manager 
Disability Retirement Services

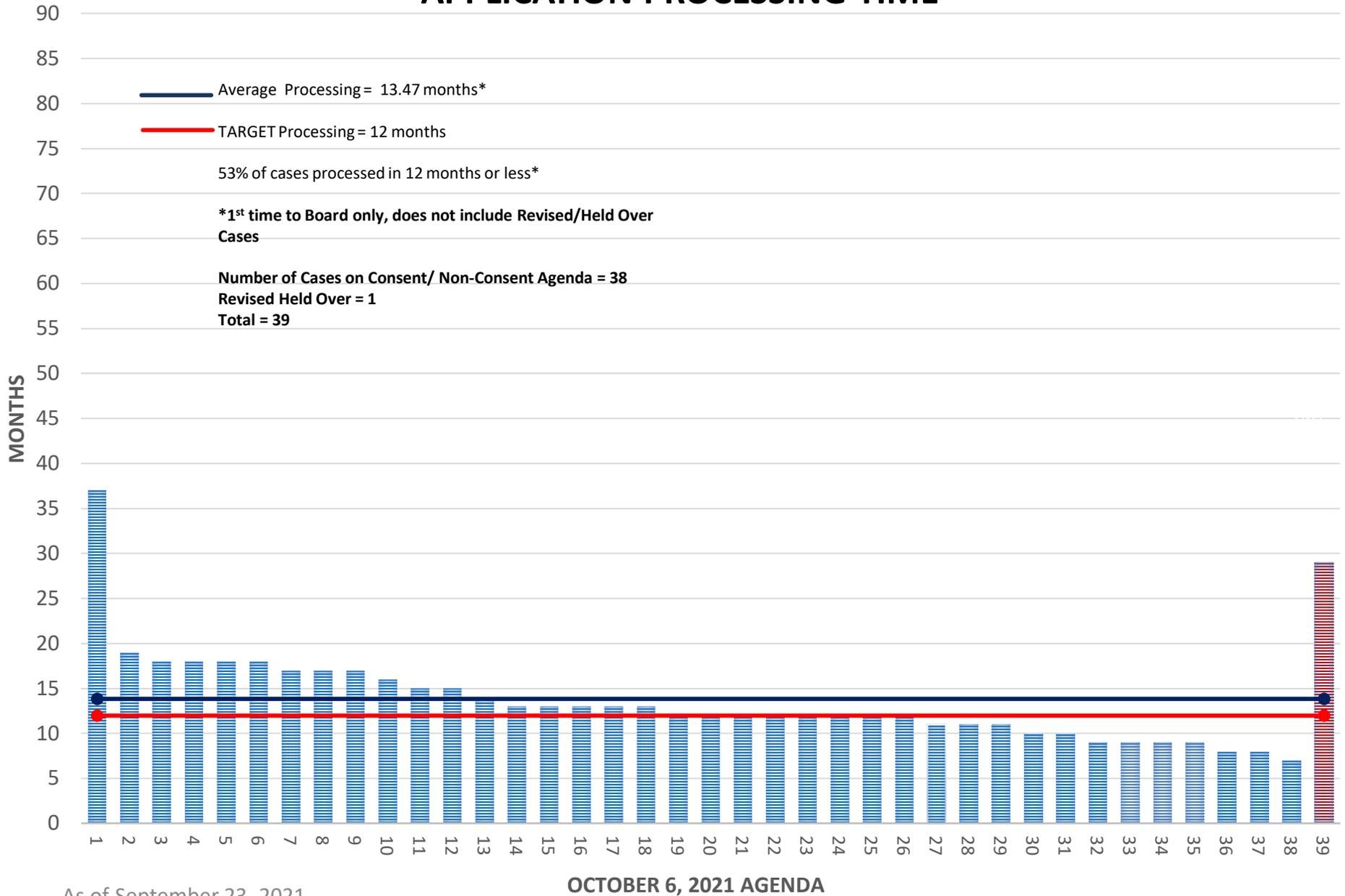
FOR: October 6, 2021, Board of Retirement Meeting

SUBJECT: **Application Processing Time Snapshot Reports**

The following chart shows the total processing time from receipt of the application to the first Board action for all cases on the October 6, 2021, Disability Retirement Applications Agenda.

Consent & Non-Consent Calendar	
Number of Applications	38
Average Processing Time (in Months)	13.47
Revised/Held Over Calendar	
Number of Applications	1
Processing Time Per Case (in Months)	29
Total Average Processing Time All <u>39</u> Cases on Agenda	
	13.87

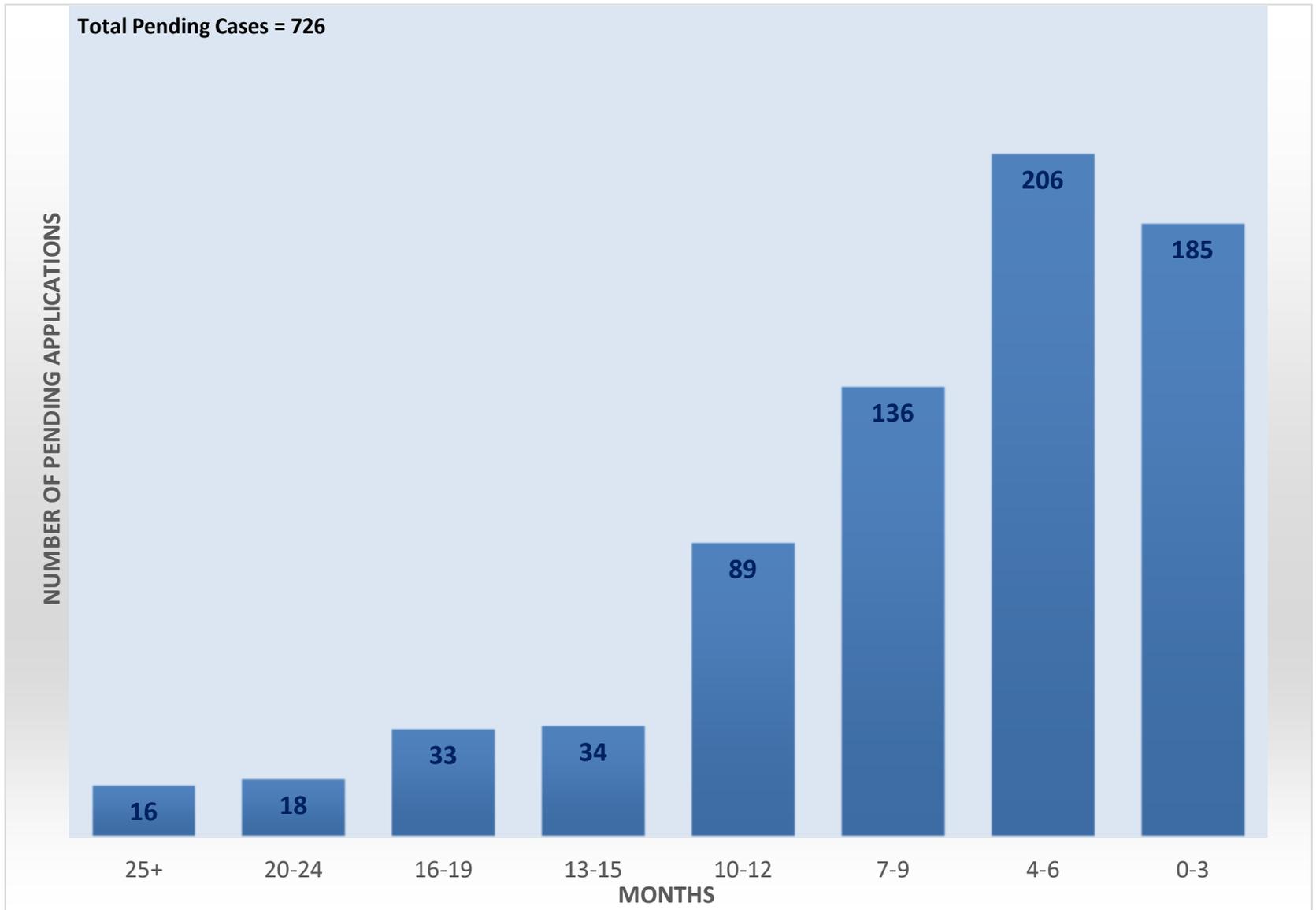
DISABILITY RETIREMENT SERVICES APPLICATION PROCESSING TIME



As of September 23, 2021

OCTOBER 6, 2021 AGENDA

DISABILITY RETIREMENT SERVICES PENDING APPLICATIONS/TIME INTERVALS



As of September 23, 2021

FOR INFORMATION ONLY

September 27, 2021

TO: Each Trustee
Board of Retirement
Board of Investments

FROM: Barry W. Lew 
Legislative Affairs Officer

FOR: October 6, 2021 Board of Retirement Meeting
October 13, 2021 Board of Investments Meeting

SUBJECT: **Monthly Status Report on Legislation**

Attached is the monthly report on the status of legislation that staff is monitoring or on which LACERA has adopted a position.

Reviewed and Approved:



Steven P. Rice, Chief Counsel

Attachments

LACERA Legislative Report Index
LACERA Legislative Report

cc: Santos H. Kreimann
Luis Lugo
JJ Popowich
Laura Guglielmo
Steven P. Rice
Jon Gabel
Tony Roda, Williams & Jensen
Joe Ackler, Ackler & Associates

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LACERA Legislative Report
2021-22 Legislative Session
Status as of September 27, 2021

PUBLIC RETIREMENT

CA AB 551	AUTHOR: TITLE: INTRODUCED: DISPOSITION: SUMMARY:	Rodriguez [D] Teachers' Retirement System: Individual Plans 02/10/2021 Pending - Carryover Authorizes the State Teachers' Retirement System to administer an individual retirement plan as described in Section 408 of Title 26 of the United States Code. Eliminates the requirement that the administration of these plans be for the purpose of accepting a rollover from an annuity contract or custodial account offered by the system.
	STATUS:	06/03/2021 In ASSEMBLY. To Inactive File.
CA AB 627	AUTHOR: TITLE: INTRODUCED: DISPOSITION: SUMMARY:	Waldron [R] Recognition of Tribal Court Orders: Retirement Plans 02/12/2021 Enacted Establishes a procedure pursuant to which one or both of the parties to a tribal court proceeding may file an application for recognition of a tribal court order that establishes a right to child support, spousal support payments, or marital property rights to a spouse, former spouse, child, or other dependent of a participant in a retirement plan or other plan of deferred compensation, and that assigns all or a portion of the benefits payable with respect to the plan participant to an alternate payee.
	STATUS:	07/09/2021 Chaptered by Secretary of State. Chapter No. 2021-058
CA AB 826	AUTHOR: TITLE: INTRODUCED: LAST AMEND: DISPOSITION: SUMMARY:	Irwin [D] Compensation and Compensation Earnable 02/16/2021 08/31/2021 Pending - Carryover Relates to the bill, which would apply only in Ventura County. Provides that compensation and compensation earnable include flexible benefits plan allowances paid by a county or a district on behalf of its employees as part of a cafeteria plan, as specified, if certain requirements are met.
	STATUS:	09/08/2021 In SENATE. From third reading. To Inactive File.
	BOR_Position:	Watch 09/01/2021
	IBLC_Recommendation:	Watch 08/12/2021
	Staff_Recommendation:	Watch
CA AB 1133	AUTHOR: TITLE: INTRODUCED: DISPOSITION: SUMMARY:	Chen [R] State Employee Hybrid Pension System 02/18/2021 Pending - Carryover States the intent of the Legislature to enact legislation that would create a

hybrid retirement benefit, consisting of a defined benefit pension and a defined contribution program, within the Public Employees' Retirement System, that state employees would have the option of electing.

STATUS:

02/18/2021 INTRODUCED.

CA AB 1293

AUTHOR: Cooley [D]
TITLE: Judges' Retirement System II: Federal Law Limits
INTRODUCED: 02/19/2021
LAST AMEND: 07/06/2021
DISPOSITION: Enacted
SUMMARY:

Prohibits the amount payable to a member or a judge under the Legislators' Retirement System, the Judges' Retirement System, and the Judges' Retirement System II, including specified adjustments, from exceeding the federal limits on annual defined benefit plan payments and would incorporate specified provisions of federal law by reference. Requires the retirement allowance of specified judges to be increased to reflect adjustments to payment limits prescribed by federal law under certain circumstances.

STATUS:

09/24/2021 Signed by GOVERNOR.

CA SB 278

AUTHOR: Leyva [D]
TITLE: PERS Disallowed Compensation Benefit Adjustments
INTRODUCED: 01/29/2021
LAST AMEND: 09/03/2021
DISPOSITION: To Governor
SUMMARY:

Establishes new procedures under Public Employees' Retirement Law (PERL) for cases in which the Public Employees' Retirement System determines that the benefits of a member or annuitant are, or would be, based on disallowed compensation that conflicts with the California Public Employees' Pension Reform Act and other specified laws and thus impermissible under PERL. Applies these procedures retroactively to determinations made on or after a specified date, under specified circumstances.

STATUS:

09/14/2021 *****To GOVERNOR.

CA SB 294

AUTHOR: Leyva [D]
TITLE: Public Retirement: Leave of Absence: Service Credit
INTRODUCED: 02/02/2021
LAST AMEND: 06/14/2021
DISPOSITION: To Governor
SUMMARY:

Removes the 12-year limitation for service credit earned on a compensated leave of absence for purposes of service with an employee organization. States that this leave is in addition to any leave to which public employees may be entitled by other laws or by a memorandum of understanding or collective bargaining agreement.

STATUS:

08/30/2021 *****To GOVERNOR.

CA SB 634

AUTHOR: Labor, Public Employment & Retirement Cmt

TITLE: Public Employees' Retirement
INTRODUCED: 02/19/2021
LAST AMEND: 06/14/2021
DISPOSITION: Enacted
SUMMARY:

Applies the above-described requirements regarding signed applications and documents to the Cash Balance Benefit Program and the requirement that State Teachers' Retirement System pay certain Medicare Part A premiums; prohibits a member from purchasing service credit for any school year if the purchase would result in more than one year of service for that school year; deletes an obsolete cross-reference and would extend the requirement to return the total gross distribution amount.

STATUS:

09/16/2021 Chaptered by Secretary of State. Chapter No. 2021-186

Comments:

This is an omnibus bill that covers technical amendments for CalPERS, CalSTRS, and CERL retirement systems.

US HR 2954

SPONSOR: Neal [D]
TITLE: Strong Retirement
INTRODUCED: 05/04/2021
DISPOSITION: Pending
SUMMARY:

Secures a Strong Retirement Act of 2021.

STATUS:

05/04/2021 INTRODUCED.
05/04/2021 To HOUSE Committee on WAYS AND MEANS.
05/04/2021 To HOUSE Committee on FINANCIAL SERVICES.
05/04/2021 To HOUSE Committee on EDUCATION AND LABOR.

Comments:

Would gradually raise the age for mandatory distributions to age 75 by 2032.

US S 1302

SPONSOR: Brown S [D]
TITLE: Pension Offset
INTRODUCED: 04/22/2021
DISPOSITION: Pending
SUMMARY:

Amends Title II of the Social Security Act to repeal the government pension offset and windfall elimination provisions.

STATUS:

04/22/2021 INTRODUCED.
04/22/2021 In SENATE. Read second time.
04/22/2021 To SENATE Committee on FINANCE.

BOR_Position: Support 09/01/2021

IBLC_Recommendation: Support 08/12/2021

Staff_Recommendation: Support

PUBLIC INVESTMENT

CA AB 539

AUTHOR: Cooley [D]
TITLE: State Teachers' Retirement: Investment Managers
INTRODUCED: 02/10/2021
DISPOSITION: To Governor
SUMMARY:

Authorizes the Teachers' Retirement Board to contract with investment advisers upon the same finding by the Board and approval by the State Personnel Board. Authorizes the Board to establish a competitive bidding process and to specify the contract terms and conditions the Board solely deems necessary and prudent to contract with qualified investment managers and investment advisers.

STATUS:

09/16/2021 *****To GOVERNOR.

CA AB 890

AUTHOR: Cervantes [D]
TITLE: Public Employee Retirement Systems: Reports
INTRODUCED: 02/17/2021
LAST AMEND: 05/24/2021
DISPOSITION: To Governor
SUMMARY:

Requires the Board of Administration of the Public Employees' Retirement System and the Teachers' Retirement Board to provide reports to the Legislature on the status of achieving objectives and initiatives, to be defined by the boards, regarding participation of emerging managers or diverse managers responsible for asset management within each retirement system's portfolio of investments.

STATUS:

09/01/2021 *****To GOVERNOR.

CA AB 1019

AUTHOR: Holden [D]
TITLE: Public Employee Retirement Systems: Investments
INTRODUCED: 02/18/2021
DISPOSITION: Pending - Carryover
SUMMARY:

prohibit state trust moneys from being used to make additional or new investments or to renew existing investments in investment vehicles issued or owned by the government of Turkey, unless the government adopts a policy to acknowledge the Armenian Genocide and embark on a path of affording justice to its victims.

STATUS:

03/04/2021 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

CA SB 457

AUTHOR: Portantino [D]
TITLE: Public Employee Retirement Systems: Investments
INTRODUCED: 02/16/2021
DISPOSITION: Pending - Carryover
SUMMARY:

Requires the boards of administration of the Public Employees Retirement System and the State Teachers Retirement System to provide employers that are school districts and cities that participate in the systems an option to elect an investment portfolio that does not contain investment vehicles that are issued or owned by the government of the Republic of Turkey.

STATUS:

05/28/2021 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

RETIREMENT PERSONNEL

CA AB 761

AUTHOR: Chen [R]
TITLE: County Employees' Retirement: Personnel: Orange County
INTRODUCED: 02/16/2021
LAST AMEND: 03/18/2021
DISPOSITION: Enacted
SUMMARY:

Authorizes the board of retirement for Orange County to appoint an administrator, assistant administrators, a chief investment officer, subordinate investment officers, senior management employees, legal counsel, and other specified employees. Provides that the personnel appointed pursuant to these provisions would not be county employees subject to county civil service and merit system rules, and instead would be employees of the retirement system.

STATUS:

06/28/2021 Signed by GOVERNOR.
06/28/2021 Chaptered by Secretary of State. Chapter No. 2021-026

PUBLIC EMPLOYMENT

CA AB 17

AUTHOR: Cooper [D]
TITLE: Peace Officers: Disqualification from Employment
INTRODUCED: 12/07/2020
LAST AMEND: 01/12/2021
DISPOSITION: Pending - Carryover
SUMMARY:

Disqualifies a person from being a peace officer if the person has been discharged from the military for committing an offense that would have been a felony if committed in the state or if the person has been certified as a peace officer and has had that certification revoked by the Commission on Peace Officer Standards and Training.

STATUS:

01/12/2021 From ASSEMBLY Committee on PUBLIC SAFETY with author's amendments.
01/12/2021 In ASSEMBLY. Read second time and amended. Re-referred to Committee on PUBLIC SAFETY.

CA AB 444

AUTHOR: Public Employment and Retirement Cmt
TITLE: State and Local Employees: Pay Warrants: Designees
INTRODUCED: 02/08/2021
DISPOSITION: Enacted
SUMMARY:

Relates to State and local employees. Prescribes a process by which an appointing power would issue a check directly to a designated person instead of delivering employee warrants to that person. Provides that upon sufficient proof of the designee's identity, the appointing power must endorse and deposit the warrant issued to a deceased employee back into the Treasury to the credit of the fund or appropriation upon which it was drawn, then issue a revolving fund check to the designated person.

STATUS:

07/09/2021 Chaptered by Secretary of State. Chapter No. 2021-055

Comments:

The SACRS Legislative Committee is considering a similar proposal that would clarify that a deceased member's last pension check may be issued to a corporation, trust, or estate.

CA AB 1354 **AUTHOR:** Grayson [D]
TITLE: Public Employees' Retirement
INTRODUCED: 02/19/2021
DISPOSITION: Pending - Carryover
SUMMARY:
 Makes nonsubstantive changes to provisions prohibiting a retired person from being employed by a public employer in the same public retirement system from which the retiree receives pension benefits without reinstatement from retirement into that system, subject to certain exceptions.
STATUS:
 02/19/2021 INTRODUCED.
Comments:
 This is a spot bill.

CA AB 1460 **AUTHOR:** Bigelow [R]
TITLE: State Employment: COVID-19 Telework: Costs
INTRODUCED: 02/19/2021
DISPOSITION: Pending - Carryover
SUMMARY:
 Authorizes the Department of Human Resources (CalHR) to provide a one-time payment of an unspecified amount to employees who have been required to telework as a result of the COVID-19 pandemic in order to offset costs associated with working remotely.
STATUS:
 03/11/2021 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

CA SB 411 **AUTHOR:** Cortese [D]
TITLE: Public Employees' Retirement System
INTRODUCED: 02/12/2021
LAST AMEND: 04/13/2021
DISPOSITION: Enacted
SUMMARY:
 Eliminates the requirement that a person employed without reinstatement in a manner other than authorized by PERL be reinstated, instead providing that reinstatement is permissive. Makes conforming changes and makes specific reference to the duties of employees and employers regarding reinstatement after retirement in violation of PEPR.A.
STATUS:
 07/23/2021 Signed by GOVERNOR.
 07/23/2021 Chaptered by Secretary of State. Chapter No. 2021-136

DISABILITY RETIREMENT

CA AB 845 **AUTHOR:** Rodriguez [D]
TITLE: Disability Retirement: COVID-19: Presumption
INTRODUCED: 02/17/2021
LAST AMEND: 03/30/2021
DISPOSITION: Enacted
SUMMARY:
 Creates a presumption, applicable to the retirement systems that PEPR.A regulates and to specified members in those systems, that would be applied to disability retirements on the basis, in whole or in part, of a Coronavirus disease 2019-related illness. Requires that it be presumed the disability arose out of, or

in the course of, the member's employment.

STATUS:

07/23/2021

Signed by GOVERNOR.

07/23/2021

Chaptered by Secretary of State. Chapter No. 2021-122

WORKERS COMPENSATION

CA AB 334

AUTHOR:

Mullin [D]

TITLE:

Workers Compensation: Skin Cancer

INTRODUCED:

01/27/2021

DISPOSITION:

Pending - Carryover

SUMMARY:

Relates to existing law which provides that skin cancer developing in active lifeguards, for purposes of workers' compensation, is presumed to arise out of and in the course of employment, unless the presumption is rebutted. Expands the scope of this provision to certain peace officers of the Department of Fish and Wildlife and the Department of Parks and Recreation.

STATUS:

09/10/2021

In SENATE. From third reading. To Inactive File.

CA AB 415

AUTHOR:

Rivas R [D]

TITLE:

Employment: Workers' Compensation

INTRODUCED:

02/03/2021

LAST AMEND:

02/12/2021

DISPOSITION:

Pending - Carryover

SUMMARY:

Relates to workers' compensation. Defines injury for certain public employees regularly exposed to active fires or health hazards directly resulting from firefighting operations to include cancer that develops or manifests during a period of exposure to a known carcinogen while in public employment. Establishes a presumption that the cancer arose out of, and in the course of, employment, unless the presumption is controverted by evidence.

STATUS:

02/12/2021

To ASSEMBLY Committee on INSURANCE.

02/12/2021

From ASSEMBLY Committee on INSURANCE with author's amendments.

02/12/2021

In ASSEMBLY. Read second time and amended. Re-referred to Committee on INSURANCE.

CA AB 772

AUTHOR:

Ramos [D]

TITLE:

Workers' Compensation: Medical Treatment

INTRODUCED:

02/16/2021

LAST AMEND:

03/25/2021

DISPOSITION:

Pending - Carryover

SUMMARY:

Clarifies that an employer is not limited in its ability to insure against an act of domestic terrorism or to provide benefits in excess of those required by existing law following an act of terrorism. Clarifies that when an employer approves a request for medical treatment from a treatment provider, without modification, the employer has completed utilization review under the law.

STATUS:

03/25/2021

To ASSEMBLY Committee on INSURANCE.

03/25/2021

From ASSEMBLY Committee on INSURANCE with author's amendments.

	03/25/2021	In ASSEMBLY. Read second time and amended. Re-referred to Committee on INSURANCE.
CA AB 872	AUTHOR:	Wood [D]
	TITLE:	Leave of Absence: Firefighters
	INTRODUCED:	02/17/2021
	LAST AMEND:	09/03/2021
	DISPOSITION:	To Governor
	SUMMARY:	Makes enhanced industrial disability leave benefits for specified state employees employed by the Department of Forestry and Fire Protection applicable only to injuries that occur prior to a specified date. Provides that for injuries occurring on or after a specified date, specified benefits, such as one year of salary in lieu of disability payments, shall be available to all rank-and-file and supervisory firefighters and members of State Bargaining Unit 8 engaged in active fire suppression or prevention.
	STATUS:	
	09/20/2021	*****To GOVERNOR.
CA AB 991	AUTHOR:	Ward [D]
	TITLE:	Workers' Compensation: Presumed Injuries
	INTRODUCED:	02/18/2021
	LAST AMEND:	03/11/2021
	DISPOSITION:	Pending - Carryover
	SUMMARY:	Expands presumptions for hernia, pneumonia, heart trouble, cancer, tuberculosis, bloodborne infectious disease, methicillin-resistant Staphylococcus aureus skin infection, and meningitis-related illnesses and injuries to a lifeguard employed on a year-round, full-time basis by the City of San Diego.
	STATUS:	
	03/11/2021	To ASSEMBLY Committee on INSURANCE.
	03/11/2021	From ASSEMBLY Committee on INSURANCE with author's amendments.
	03/11/2021	In ASSEMBLY. Read second time and amended. Re-referred to Committee on INSURANCE.
CA SB 213	AUTHOR:	Cortese [D]
	TITLE:	Workers' Compensation: Hospital Employees
	INTRODUCED:	01/12/2021
	LAST AMEND:	03/04/2021
	DISPOSITION:	Pending - Carryover
	SUMMARY:	Defines injury, for a hospital employee who provides direct patient care in an acute care hospital, to include infectious diseases, cancer, musculoskeletal injuries, post-traumatic stress disorder, and respiratory diseases. Creates a rebuttable presumption that these injuries that develop or manifest in a hospital employee who provides direct patient care in an acute care hospital arose out of and in the course of the employment. Includes COVID-19 in the definitions of infectious and respiratory diseases.
	STATUS:	
	06/03/2021	In SENATE. Read third time. Failed to pass SENATE. (20-10)
	06/03/2021	In SENATE. Motion to reconsider.

	06/03/2021	In SENATE. Reconsideration granted.
	06/03/2021	In SENATE. To Inactive File.
CA SB 284	AUTHOR:	Stern [D]
	TITLE:	Workers' Compensation: Firefighters and Peace Officers
	INTRODUCED:	02/01/2021
	LAST AMEND:	08/30/2021
	DISPOSITION:	Pending - Carryover
	SUMMARY:	Relates to existing Law which provides that injury includes post-traumatic stress that develops during a period in which the injured person is in the service of the department or unit. Makes that provision applicable to active firefighting members of the State Department of State Hospitals, the State Department of Developmental Services, and the Military Department, and the Department of Veterans Affairs, including security officers of the Department of Justice when performing assigned duties.
	STATUS:	
	08/30/2021	In ASSEMBLY. Read second time and amended. To second reading.
	08/30/2021	In ASSEMBLY. To Inactive File.
CA SB 335	AUTHOR:	Cortese [D]
	TITLE:	Workers' Compensation: Liability
	INTRODUCED:	02/08/2021
	LAST AMEND:	03/10/2021
	DISPOSITION:	Pending - Carryover
	SUMMARY:	Reduces the time periods after the date the claim form is filed with an employer in which the injury is presumed compensable and the presumption is rebuttable only by evidence discovered subsequent to the time period for certain injuries or illnesses, including hernia, heart trouble, pneumonia, or tuberculosis, among others, sustained in the course of employment of a specified member of law enforcement or a specified first responder.
	STATUS:	
	07/13/2021	In ASSEMBLY Committee on INSURANCE: Failed passage.
CA SB 788	AUTHOR:	Bradford [D]
	TITLE:	Workers' Compensation: Risk Factors
	INTRODUCED:	02/19/2021
	LAST AMEND:	06/17/2021
	DISPOSITION:	To Governor
	SUMMARY:	Prohibits consideration of race, religious creed, color, national origin, gender, marital status, sex, sexual identity, or sexual orientation to determine the approximate percentage of the permanent disability caused by other factors. Expresses the Legislature's intent to eliminate bias and discrimination in the workers' compensation system.
	STATUS:	
	09/09/2021	*****To GOVERNOR.

BROWN ACT

CA AB 339	AUTHOR:	Lee [D]
	TITLE:	Local Government: Open and Public Meetings

INTRODUCED: 01/28/2021
LAST AMEND: 09/03/2021
DISPOSITION: To Governor
SUMMARY:

Requires local agencies to conduct meetings subject to Ralph M. Brown Act consistent with applicable state and federal civil rights laws. Requires all open and public meetings to include an in person public comment opportunity, except in specified circumstances during a declared state or local emergency. Requires all meetings to provide the public with an opportunity to comment on proposed legislation in person and remotely via a telephonic or an internet based service option.

STATUS:

09/20/2021 *****To GOVERNOR.

CA AB 361

AUTHOR: Rivas R [D]
TITLE: Open Meetings: State and Local Agencies: Teleconference
INTRODUCED: 02/01/2021
LAST AMEND: 09/03/2021
DISPOSITION: Enacted
SUMMARY:

Authorizes a local agency to use teleconferencing without complying with the teleconferencing requirements imposed by the Ralph M. Brown Act when a legislative body of a local agency holds a meeting during a declared state of emergency, when state or local health officials have imposed or recommended measures to promote social distancing during a proclaimed state of emergency, provided certain requirements are met. Prohibits the closing of the public comment period.

STATUS:

09/16/2021 Chaptered by Secretary of State. Chapter No. 2021-165

CA AB 703

AUTHOR: Rubio [D]
TITLE: Open Meetings: Local Agencies: Teleconferences
INTRODUCED: 02/12/2021
LAST AMEND: 04/29/2021
DISPOSITION: Pending - Carryover
SUMMARY:

Removes the requirements of the Ralph M. Brown Act particular to teleconferencing and allows for teleconferencing subject to existing provisions regarding the posting of notice of an agenda and the ability of the public to observe the meeting and provide public comment.

STATUS:

04/29/2021 From ASSEMBLY Committee on LOCAL GOVERNMENT with author's amendments.

04/29/2021 In ASSEMBLY. Read second time and amended.
Re-referred to Committee on LOCAL GOVERNMENT.

Comments:

According to SACRS lobbyists, this will be a two-year bill and not taken up in 2021.

CA SB 274

AUTHOR: Wieckowski [D]
TITLE: Local Government Meetings: Agenda and Documents
INTRODUCED: 01/29/2021
LAST AMEND: 04/05/2021

DISPOSITION: To Governor

SUMMARY:

Requires a local agency with an internet website, or its designee, to email a copy of, or website link to, the agenda or a copy of all the documents constituting the agenda packet if the person requests that the items be delivered by email. Requires the legislative body or its designee to send by mail a copy of the agenda or a website link to the agenda and to email a copy of all other documents constituting the agenda packet, if specified criteria or circumstances are met.

STATUS:

08/30/2021 *****To GOVERNOR.

PUBLIC RECORDS ACT

CA AB 343

AUTHOR: Fong [R]
TITLE: California Public Records Act Ombudsperson
INTRODUCED: 01/28/2021
LAST AMEND: 05/24/2021
DISPOSITION: Pending - Carryover
SUMMARY:

Establishes, within the California State Auditor's Office, the California Public Records Act Ombudsperson. Requires the California State Auditor to appoint the Ombudsperson subject to certain requirements. Requires the Ombudsperson to receive and investigate requests for review, determine whether the denials of original requests complied with the California Public Records Act, and issue written opinions of its determination.

STATUS:

06/09/2021 To SENATE Committees on JUDICIARY and GOVERNMENTAL ORGANIZATION.

CA AB 386

AUTHOR: Cooper [D]
TITLE: Public Employees Retirement: Investments: Confidential
INTRODUCED: 02/02/2021
LAST AMEND: 06/29/2021
DISPOSITION: Pending - Carryover
SUMMARY:

Exempts from disclosure under the California Public Records Act specified records regarding an internally managed private loan made directly by the Public Employees' Retirement Fund. Provides that these records would include quarterly and annual financial statements of the borrower or its constituent owners, unless the information has already been publicly released by the keeper of the information. Prescribes specified exceptions to this exemption from disclosure.

STATUS:

07/13/2021 In SENATE Committee on JUDICIARY: Failed passage.
07/13/2021 In SENATE Committee on JUDICIARY: Reconsideration granted.

CA AB 473

AUTHOR: Chau [D]
TITLE: California Public Records Act
INTRODUCED: 02/08/2021
LAST AMEND: 08/16/2021
DISPOSITION: To Governor
SUMMARY:

Recodifies and reorganizes the provisions of the act. The bill would include provisions to govern the effect of recodification and states that the bill is intended to be entirely nonsubstantive in effect. The bill would contain related legislative findings and declarations. The bill would become operative on a specified date.

STATUS:

09/08/2021 *****To GOVERNOR.

CA AB 474

AUTHOR: Chau [D]
TITLE: California Public Records Act: Conforming Revisions
INTRODUCED: 02/08/2021
LAST AMEND: 08/16/2021
DISPOSITION: To Governor
SUMMARY:

Enacts various conforming and technical changes related to another bill, AB 473, which recodifies and reorganizes the California Public Records Act.

STATUS:

09/09/2021 *****To GOVERNOR.

SOCIAL SECURITY

CA AJR 9

AUTHOR: Cooper [D]
TITLE: Social Security
INTRODUCED: 03/01/2021
DISPOSITION: Adopted
SUMMARY:

Requests the Congress of the United States to enact, and the President to sign, legislation that would repeal the Government Pension Offset and the Windfall Elimination Provision from the Social Security Act.

STATUS:

07/15/2021 Chaptered by Secretary of State.
 07/15/2021 Resolution Chapter No. 2021-078
BOR_Position: Support 05/05/2021
IBLC_Recommendation: Support 04/15/2021
Staff_Recommendation: Support

US HR 82

SPONSOR: Davis R [R]
TITLE: Government Pension Offset Repeal
INTRODUCED: 01/04/2021
DISPOSITION: Pending
SUMMARY:

Amends the Social Security Act; repeals the Government pension offset and windfall elimination provisions.

STATUS:

01/04/2021 INTRODUCED.
 01/04/2021 To HOUSE Committee on WAYS AND MEANS.
BOR_Position: Support 05/05/2021
IBLC_Recommendation: Support 04/15/2021
Staff_Recommendation: Support

US HR 2337

SPONSOR: Neal [D]
TITLE: Noncovered Employment
INTRODUCED: 04/01/2021
DISPOSITION: Pending

SUMMARY:

Amends Title II of the Social Security Act to provide an equitable Social Security formula for individuals with noncovered employment and to provide relief for individuals currently affected by the Windfall Elimination Provision.

STATUS:

04/01/2021 INTRODUCED.
04/01/2021 To HOUSE Committee on WAYS AND MEANS.
BOR_Position: Support 09/01/2021
IBLC_Recommendation: Support 08/12/2021
Staff_Recommendation: Support

HEALTHCARE

CA AB 1092	AUTHOR: Mayes [R] TITLE: Public Employees' Retirement: Health Benefits INTRODUCED: 02/18/2021 LAST AMEND: 04/26/2021 DISPOSITION: Pending - Carryover SUMMARY: Precludes a person who has retired under PERS and who obtains work with a subsequent employer from receiving any health benefits offered under PEMHCA if the person's subsequent employer offers health care coverage that provides reasonably comparable benefits. Prohibits, among other things, employees, annuitants, and family members who become eligible to enroll on or after a specified date in Part A and Part B of Medicare from being enrolled in a basic health benefit plan. STATUS: 04/26/2021 From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT With author's amendments. 04/26/2021 In ASSEMBLY. Read second time and amended. Re-referred to Committee on PUBLIC EMPLOYMENT AND RETIREMENT.
CA AB 1400	AUTHOR: Kalra [D] TITLE: Guaranteed Health Care for All INTRODUCED: 02/19/2021 DISPOSITION: Pending - Carryover SUMMARY: Creates the Guaranteed Health Care for All Program, or CalCare, to provide comprehensive universal single-payer health care coverage and a health care cost control system for the benefit of all residents of the state. Provides that CalCare cover a wide range of medical benefits and other services and would incorporate the health care benefits and standards of other existing federal and state provisions. Creates the CalCare Board to govern CalCare, made up of 9 voting members. STATUS: 02/19/2021 INTRODUCED.
US HR 4148	SPONSOR: Malinowski [D] TITLE: First Responders Medicare Option INTRODUCED: 06/24/2021 DISPOSITION: Pending SUMMARY: Amends Title XVIII of the Social Security Act to provide an option for first

responders age 50 to 64 who are separated from service due to retirement or disability to buy into Medicare.

STATUS:

06/24/2021 INTRODUCED.
06/24/2021 To HOUSE Committee on WAYS AND MEANS.
06/24/2021 To HOUSE Committee on ENERGY AND COMMERCE.

US S 2236

SPONSOR: Brown S [D]
TITLE: Medicare Buy In Option for First Responders
INTRODUCED: 06/24/2021
DISPOSITION: Pending
SUMMARY:

Amends Title XVIII of the Social Security Act to provide an option for first responders age 50 to 64 who are separated from service due to retirement or disability to buy into Medicare.

STATUS:

06/24/2021 INTRODUCED.
06/24/2021 In SENATE. Read second time.
06/24/2021 To SENATE Committee on FINANCE.

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**FOR INFORMATION ONLY**

September 22, 2021

TO: Each Trustee
Board of Retirement
Board of Investments

FROM: Ted Granger 
Interim Chief Financial Officer

FOR: October 6, 2021 Board of Retirement Meeting
October 13, 2021 Board of Investments Meeting

SUBJECT: **MONTHLY TRAVEL & EDUCATION REPORT – AUGUST 2021**

Attached, for your review, is the Trustee Travel & Education Report. This report includes all events (i.e., attended and canceled) from the beginning of the fiscal year through August 2021. Staff travel and education is not included in this report and will be reported to the Chief Executive Officer separately.

REVIEWED AND APPROVED:



Santos H. Kreimann
Chief Executive Officer

TG/EW/krh

Attachments

c: L. Lugo
J. Popowich
L. Guglielmo
J. Grabel
S. Rice
R. Van Nortrick



**TRUSTEE TRAVEL AND EDUCATION
REPORT FOR FISCAL YEAR 2021 - 2022
AUGUST 2021**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
Alan Bernstein			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
Vivian Gray			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
Patrick Jones			
V	- Edu -SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
Joseph Kelly			
V	- Edu -SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
Keith Knox			
V	- Edu - Harvard Business School Audit Committees In A New Era of Governance - VIRTUAL	07/21/2021 - 07/23/2021	Attended
Herman Santos			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
V	- Edu - PPI Roundtable - July 2021 - VIRTUAL	07/13/2021 - 07/15/2021	Attended

Category Legend:

A - Pre-Approved/Board Approved

B - Educational Conferences and Administrative Meetings in CA where total cost is no more than \$2,000 per Trustee Travel Policy; Section III.A

C - Second of two conferences and/or meetings counted as one conference per Trustee Education Policy Section IV.C.2 and Trustee Travel Policy Section IV.

V – Virtual Event

X - Canceled events for which expenses have been incurred.

Z - Trip was Canceled - Balance of \$0.00



Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**



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