

# LIVE VIRTUAL BOARD MEETING

**JANUARY 5, 2022 AT 9:00 AM**

**BOARD OF RETIREMENT MEETING**

\*Although the meeting is scheduled for 9:00 a.m., it can start anytime thereafter, depending on the length of the Committee meeting preceding it.



**TO VIEW VIA WEB**

**<https://lacera.com/leadership/board-meetings>**



**TO PROVIDE PUBLIC COMMENT**

You may submit a request to speak during Public Comment or provide a written comment by emailing **[PublicComment@lacera.com](mailto:PublicComment@lacera.com)**. If you would like to remain anonymous at the meeting without stating your name, please let us know.

**Attention:** Public comment requests must be submitted via email to **[PublicComment@lacera.com](mailto:PublicComment@lacera.com)**.

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION  
300 N. LAKE AVENUE, SUITE 650, PASADENA, CA

A REGULAR MEETING OF THE BOARD OF RETIREMENT  
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, JANUARY 5, 2022\*

This meeting will be conducted by the Board of Retirement by teleconference under California Government Code Section 54953(e).

Any person may view the meeting online at  
<https://LACERA.com/leadership/board-meetings>

*The Board may take action on any item on the agenda,  
and agenda items may be taken out of order.*

NOTICE: Pursuant to FPPC Regulation 18702.5, this statement provides notice, before elections take place, that appointed trustees, the retired trustee, and the alternate retired trustees when elected or appointed to a committee will receive a stipend of \$100 per meeting attended, up to a total of \$500 per month for all Board of Retirement and committee meetings attended during the term of their appointment. Upon completion of today's election and the Chair's appointment of other committee members, LACERA will post Form 806 on [lacera.com](http://lacera.com) to provide public notice of the fees to be received by such trustees. Active general and safety member elected trustees, the ex-officio trustee, and the alternate ex-officio do not receive compensation for attending LACERA Board and committee meetings, other than their regular salary as County employees. All trustees receive actual and necessary expenses.

Appointed trustees to the Board of Retirement are Alan J. Bernstein, Wayne Moore, Antonio Sanchez, and Gina Zapanta. The retired trustee is Les Robbins. The alternate retired trustee is James P. Harris. The active general and safety member elected trustees are Vivian H. Gray, Shawn R. Kehoe, William R. Pryor (alternate safety), and Herman B. Santos. The ex-officio member is Keith Knox and the alternate ex-officio is Elizabeth B. Ginsberg.

- I. CALL TO ORDER
- II. RATIFICATION OF OFFICERS
  - A. Recommendation as submitted by Santos H. Kreimann, Chief Executive Officer: That the Board ratify its slate of board officers who will serve their term in the 2022 calendar year. (Memo dated December 21, 2021)
- III. ELECTIONS OF COMMITTEE MEMBERS

Election of Joint Organizational Governance Committee (1 Trustee) and Audit Committee Trustees (3 Trustees)

IV. APPROVAL OF MINUTES

- A. Approval of the Minutes of the Regular Meeting of December 1, 2021

V. PUBLIC COMMENT

(Written Public Comment - You may submit written public comments by email to [PublicComment@lacera.com](mailto:PublicComment@lacera.com). Correspondence will be made part of the official record of the meeting. Please submit your written public comments or documentation as soon as possible and up to the close of the meeting.

Verbal Public Comment - You may also request to address the Board at [PublicComment@lacera.com](mailto:PublicComment@lacera.com) before and during the meeting at any time up to the end of the Public Comment item. We will contact you with information and instructions as to how to access the meeting as a speaker. If you would like to remain anonymous at the meeting without stating your name, please let us know.)

VI. OTHER COMMUNICATIONS

- A. For Information

1. November 2021 All Stars
2. Chief Executive Officer's Report  
(Memo dated December 27, 2021)

VII. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

VIII. CONSENT ITEMS

- A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That the Board approves that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of the Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that the Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute.  
(Memo dated December 27, 2021)

VIII. CONSENT ITEMS (Continued)

- B. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated December 28, 2021)
- C. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeal and request for an administrative hearing for applicant Susan H. Wen. (Memo dated December 23, 2021)
- D. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Erica A. Torres' appeal for a service-connected disability retirement. (Memo dated December 21, 2021)
- E. Recommendation as submitted by Fern M. Billingsy, Senior Staff Counsel: That the Board: 1 )Adopt the attached Resolutions, No. 2021-BR005 and No. 2021-BR006, specifying pay items as excluded from the definitions of "compensation earnable" and "pensionable compensation;" and 2) Instruct staff to coordinate with the County of Los Angeles to establish necessary reporting mechanism and procedures to permit LACERA to exclude these items from the calculation of final compensation. (Memo dated December 17, 2021)
- F. Recommendation as submitted by Shawn Kehoe, Chair, Joint Organizational Governance Committee: That the Board approve the revised Joint Organizational Governance Committee JOGC Charter. (Memo dated December 27, 2021)

IX. EXCLUDED FROM CONSENT ITEMS

X. NON-CONSENT ITEMS

- A. Recommendation as submitted by Carlos Barrios, Interim Division Manager, Benefits Division: That the Board: 1) Determine, based upon medical evaluation conducted on June 16, 2021, that Theresa Villa-McDowell is not incapacitated for the duties assigned to her in the position of Human Services Administrator I; and 2) Grant the application of Theresa Villa-McDowell for reinstatement to active membership. (Memo dated December 13, 2021)

X. NON-CONSENT ITEMS (Continued)

- B. Recommendation that the Board approve attendance of Trustees at the Women's Alliance of Saxena White – First Annual Diversity Investing Symposium in Delray Beach, Florida and approve reimbursement of all travel costs incurred in accordance with LACERA's Trustee Education and Trustee Travel Policies. (Memo dated December 14, 2021)  
(Placed on the agenda at the request of Trustee Vivian Gray)

XI. REPORTS

- A. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding the Evaluation of Legislative Advocates.  
(Memo dated December 23, 2021)
- B. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated December 21, 2021)
- C. For Information Only as submitted by Joseph Kelly, Audit Committee Chair, and Richard Bendall, Chief Audit Executive, regarding the 2021 Audit Committee Annual Report.  
(Memo dated December 20, 2021)
- D. For Information Only as submitted by Santos H. Kreimann, Chief Executive Officer, regarding the Board of Investments Board Officers: 2022 Calendar Year. (Memo dated December 21, 2021)
- E. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:  
Monthly Travel and Education Reports for November 2021  
(Public Memo dated December 21, 2021)  
(Confidential Memo dated December 21, 2021 – Includes Anticipated Travel)
- F. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the December 2021 Fiduciary Counsel Contact and Billing Report. (Memo dated December 27, 2021) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

XII. ITEMS FOR STAFF REVIEW

XIII. GOOD OF THE ORDER

(For information purposes only)

XIV. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability

B. Disability Retirement Appeals

C. Staff Recommendations

1. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board approve the service provider invoice for Perry Maloff, M.D.  
(Memo dated December 17, 2021)

XV. EXECUTIVE SESSION

A. Conference with Labor Negotiators

(Pursuant to California Government Code Section 54957.6)

Agency designated representatives: Irma Rodriguez Moisa, Carly Ntoya, Santos H. Kreimann, Luis A. Lugo, JJ Popowich and Laura Guglielmo

Employee Organization: SEIU Local 721

XVI. ADJOURNMENT

***\*Although the meeting is scheduled for 9:00 a.m., it can start anytime thereafter, depending on the length of the Committee meeting preceding it.***

***Documents subject to public disclosure that relate to an agenda item for an open session of the Board of Retirement that are distributed to members of the Board of Retirement less than 72 hours prior to the meeting will be available for public inspection at the time they are distributed to a majority of the Board of Retirement Trustees at LACERA's offices at 300 N. Lake Avenue, Suite 820, Pasadena, CA 91101, during normal business hours of 9:00 a.m. to 5:00 p.m. Monday through Friday.***

***Requests for reasonable modification or accommodation of the telephone public access and Public Comments procedures stated in this agenda from individuals with disabilities, consistent with the Americans with Disabilities Act of 1990, may call the Board Offices at (626) 564-6000, Ext. 4401/4402 from 8:30 a.m. to 5:00 p.m. Monday through Friday or email [PublicComment@lacera.com](mailto:PublicComment@lacera.com), but no later than 48 hours prior to the time the meeting is to commence.***

December 21, 2021

TO: Each Trustee  
Board of Retirement

FROM: Santos H. Kreimann <sup>SHK</sup>  
Chief Executive Officer

FOR: January 5, 2022 Board of Retirement Meeting

SUBJECT: **Board Officers: 2022 Calendar Year**

## **RECOMMENDATION**

That the Board of Retirement ratify its slate of board officers who will serve their term in the 2022 calendar year.

## **LEGAL AUTHORITY**

The Board of Retirement (BOR) Regulations provide that each January the BOR shall elect from its members a Chair, Vice Chair, and Secretary to serve for a term of one year or until his or her successor is duly elected and qualified.

The BOR Board Officer Rotation Policy provides that the process of selecting board officers will be a seniority-based system. At the first regular meeting in January, the BOR shall hold a vote to ratify the slate of board officers determined by the Executive Board Assistant.

## **DISCUSSION**

The BOR Board Officer Rotation Policy provides that with reasonable and sufficient time before the first regular meeting in January, the Executive Board Assistant shall verify the seniority of each trustee on the seniority list for each board officer position who would be in office during the calendar year commencing in January and ascertain in order of seniority which trustee opts to serve as a board officer for the upcoming year.

According to the Executive Board Assistant, the following trustees opted to serve as BOR Chair, Vice Chair, and Secretary for the 2022 calendar year.

Chair: William Pryor  
Vice Chair: Shawn Kehoe  
Secretary: Vivian Gray

**IT IS THEREFORE RECOMMENDED THAT THE BOARD** ratify its slate of board officers who will serve their term in the 2022 calendar year.

Board Officers: 2022 Calendar Year  
Board of Retirement  
December 21, 2021  
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cc: Board of Investments  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Jon Grabel

MINUTES OF THE REGULAR MEETING OF THE BOARD OF RETIREMENT

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, DECEMBER 1, 2021

This meeting was conducted by the Board of Retirement by teleconference under California Government Code Section 54953(e).

PRESENT: Alan Bernstein, Chair

Vivian H. Gray, Vice Chair

Gina Zapanta, Secretary (Left the meeting at 10:30 a.m.)

JP Harris (Alternate Retired)

Shawn R. Kehoe

Keith Knox

Wayne Moore

Ronald Okum

William Pryor (Alternate Safety)

Les Robbins

Herman Santos (Joined the meeting at 9:04 a.m.)

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer

Luis A. Lugo, Deputy Chief Executive Officer

JJ Popowich, Assistant Executive Officer

Laura Guglielmo, Assistant Executive Officer

STAFF ADVISORS AND PARTICIPANTS (Continued)

Steven P. Rice, Chief Counsel

Jon Grabel, Chief Investment Officer

Dr. Glenn Ehresmann, Medical Advisor

Allan Cochran, Member Services Division Manager

Carlos Barrios, Benefits Division Manager

Ted Granger, Interim Chief Financial Officer

Carly Ntoya, Ph.D., Human Resources Director

Barry W. Lew, Legislative Affairs Officer

Francis J. Boyd, Senior Staff Counsel

Ricki Contreras, Disability Retirement Services Division Manager

Tamara Caldwell, Disability Retirement Specialist Supervisor

Vickie Neely, Disability Retirement Specialist Supervisor

Kerri Wilson, Disability Retirement Specialist Supervisor

Hernan Barrientos, Disability Retirement Specialist Supervisor

Ricardo Salinas, Disability Retirement Specialist Supervisor

Vincent Lim, Disability Litigation Manager

Allison E. Barrett, Senior Staff Counsel

Eugenia W. Der, Senior Staff Counsel

Jason E. Waller, Senior Staff Counsel

Segal Consulting  
Stephen Murphy  
Stephanie Messier

Joe Ackler, Ackler & Associates  
Naomi Padron, McHugh Koepke & Associates

Tony Roda, Williams & Jensen

Shane Doucet, Doucet Consulting Solutions

I. CALL TO ORDER

The meeting was called to order virtually by Mr. Bernstein at 9:00 a.m.

II. APPROVAL OF MINUTES

- A. Ratification of the Minutes of the Regular Meeting of October 6, 2021 (Red-Lined Revisions on Page 10)
- B. Approval of the Minutes of the Regular Meeting of November 3, 2021
- C. Approval of the Minutes of the Regular Meeting of November 17, 2021

Mr. Kehoe made a motion, Ms. Gray seconded, to approve the ratification of the minutes of the regular meeting of October 6, 2021, November 3, 2021, and November 17, 2021. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Kehoe, Robbins, Bernstein, Ms. Gray and Ms. Zapanta voting yes. Mr. Santos was absent from the vote.

III. PUBLIC COMMENT

LACERA member, Janet Arik, provided a written comment regarding her disability retirement application (5217B).

#### IV. OTHER COMMUNICATIONS

##### A. For Information

##### 1. October 2021 All Stars

Mr. Popowich announced the winners for the month of October: Ana Ronquillo, Veronica Yi-Martinez, Michael Cordial, and Alisa Gavaller. The Web Watcher winner was Stephany Ortega.

##### 2. Chief Executive Officer's Report (Memo dated November 22, 2021) (Mr. Santos joined the meeting at 9:04 a.m.)

Mr. Kreimann shared that there has been an increase of retirement cases and staff anticipates a busy March Madness. Lastly, the Fulgent contract has been secured in preparation for the return to office in January.

#### V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

##### Safety Law Enforcement Service-Connected Disability Applications

On a motion by Mr. Robbins, seconded by Mr. Kehoe, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Kehoe, Robbins, Bernstein, Santos, Ms. Gray and Ms. Zapanta voting yes.

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement (Continued)  
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
563D*	CARLOS R. GIRARD
564D	DOUGLAS A. MCCULLOUGH
565D	ELWOOD W. CRANE
566D	DANIEL A. JORGENSEN
567D	MARTIN P. KLAUS
568D	ANDRES R. WASHINGTON
569D	DONALD W. KNIGHT
570D	RICK L. CLAYTON
571D	ROBERT C. HARRIS
572D	BRAD D. WYGANT
573D*	CESAR A. CISNEROS
574D	APRIL S. RUSSELL
575D*	RICHARD M. MARASCOLA, II
576D**	BRIAN R. CASTILLO (DEC'D)
577D	IGNACIO R. LUGO
578D	MICHAEL P. TREINEN (DEC'D)
579D	CHRISTOPHER J. ROMASH

\* Granted SCD – Employer Cannot Accommodate

\*\* Granted SCD – Survivor Benefit

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement (Continued)  
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
580D	WILLIAM A. GONZALES
581D	RAMON L. GARCIA
582D	CRAIG E. LYZNICK

Safety Fire, Lifeguards  
Service-Connected Disability Applications

On a motion by Mr. Pryor, seconded by Mr. Knox, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Pryor, Robbins, Bernstein, Santos, Ms. Gray and Ms. Zapanta voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
1417B*	DOUGLAS K. LACOUNT
1418B*	TIMOTHY F. CORLISS
1419B	WILLIAM R. BOLLER
1420B	BOB D. CORMACK
1421B	JAMES J. WRIGHT
1422B	RAFAEL M. SOLIS
1423B	THOMAS J. UTTERBACK

\*Granted SCD – Retroactive

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Fire, Lifeguards  
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
1424B*	DIRK J. CHAUSSE
1425B	CHRISTOPHER J. JUDD
1426B	MARK R. JIMENEZ
1427B	BRETT F. MEEHAN
1428B	MICHAEL A. DUBRON
1429B	WILLIAM G. HILL, JR.
1430B	ROBERT A. JONES
1431B*	MARTIN T. FORD

General Members  
Service-Connected Disability Applications

On a motion by Mr. Santos, seconded by Mr. Knox, the Board of Retirement made a motion to approve a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Kehoe, Robbins, Bernstein, Santos, Ms. Gray and Ms. Zapanta voting yes.

<u>APPLICATION NO.</u>	<u>NAME</u>
2306C**	MARQUITTA L. COOPER (VELASQUEZ)
2307C**	GARY R. GREEN

\*Granted SCD – Retroactive

\*\*Granted SCD – Salary Supplemental

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

General Members

Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
2308C*	THEREASA F. BECK
2309C*	DIANA M. SANDOVAL
2310C**	HELEN C. MAJORS
2311C	RIPSIK PAPIKYAN
2312C***	CANDICE C. GLASGOW
2313C	TIMOTHY M. MILLER
2314C	HUNG Q. LUU
2315C****	NAYELY REYES-PANTOJA
2316C**	IVAN L. SAMARZICH
2317C	PHILIP G. VERENDIA
2318C**	LUPE S. BUTTERBAUGH

VI. CONSENT ITEMS

Mr. Knox made a motion, Ms. Gray seconded, to approve Consent Items A-D. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Kehoe, Robbins, Bernstein, Santos, Ms. Gray and Ms. Zapanta voting yes.

\* Granted SCD Retroactive – Employer Cannot Accommodate

\*\* Granted SCD – Employer Cannot Accommodate

\*\*\* Granted SCD – Salary Supplemental Employer Cannot Accommodate

\*\*\*\* Granted SCD – Salary Supplemental

VI. CONSENT ITEMS (Continued)

- A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That the Board approves that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of the Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that the Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute.  
(Memo dated November 22, 2021)
- B. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated November 22, 2021)
- C. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeals and requests for an administrative hearing for applicants Aramis P. Almaguer and Nicholas A. Torsak. (Memo dated November 16, 2021)
- D. Recommendation as submitted by Shawn Kehoe, Chair, Joint Organizational Governance Committee: That the Board adopt the FY 2021-22 Mid-Year Budget Amendments for the LACERA Administrative Budget.  
(Memo dated November 24, 2021)

VII. EXCLUDED FROM CONSENT ITEMS

There were no items excluded from Consent Items.

VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by Santos H. Kreimann, Chief Executive Officer: That the Board discuss and approve their preferred meeting schedule for the BOR Administrative, Disability and Committee meetings.  
(Memo dated November 2, 2021)

Mr. Kehoe made a motion, Mr. Knox seconded, to approve beginning February 2022, on a permanent basis, combine its Administrative and Disability meetings (Board meeting) to the first Wednesday of the month, move its Insurance, Benefits, and

VIII. NON-CONSENT ITEMS (Continued)

Legislative Committee (IBLC) meeting to be held prior to the Board meeting, the Operations Oversight Committee (OOC) meeting to be held after the Board meeting and direct the Disability Procedures and Services Committee items to the full Board. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Kehoe, Harris, Bernstein, Santos, Ms. Gray and Ms. Zapanta voting yes. Mr. Robbins was absent from the vote.

- B. Recommendation as submitted by Santos H. Kreimann, Chief Executive Officer: That the Board review the 2022 meeting calendar and consider rescheduling meeting dates that conflict with a holiday and/or the potential of a lack of quorum. (Memo dated November 16, 2021)

Mr. Bernstein made a motion, Mr. Santos seconded, to approve moving the May meeting to Thursday, May 5, 2022. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Kehoe, Harris, Bernstein, Santos, Ms. Gray and Ms. Zapanta voting yes. Mr. Robbins was absent from the vote.

- C. Recommendation as submitted by Barry W. Lew, Legislative Affairs Officer: That the Board discuss whether a trustee of the Board of Retirement can serve as a Chair while concurrently service as the Chair of the Board of Investments. (Memo dated November 22, 2021)

Mr. Knox made a motion, Mr. Harris seconded, that a trustee who opts to serve as Chair of the Board of Investments cannot opt to serve as Chair of the Board of Retirement. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Robbins, Bernstein, Santos, Ms. Gray and Ms. Zapanta voting yes, and Mr. Kehoe voting no.

VIII. NON-CONSENT ITEMS (Continued)

- D. Recommendation as submitted by Francis J. Boyd, Senior Staff Counsel: That the Board adopt the proposed recommendations to update LACERA's Procedures for Disability Retirement Hearings. (Memo dated November 17, 2021)

Mr. Knox made a motion, Mr. Pryor seconded, to approve staff's recommendation. The motion passed (roll call) with Messrs. Knox, Okum, Moore, Robbins, Bernstein, Kehoe, Santos, Ms. Gray and Ms. Zapanta voting yes.

IX. REPORTS

- A. Presentation as submitted by Joe Ackler of Ackler & Associates, Naomi Padron of McHugh Koepke & Associates, Tony Roda of Williams & Jensen, and Shane Doucet of Doucet Consulting Solutions regarding State and Federal Legislative Updates. (Memo and presentation dated November 16, 2021)

Joe Ackler, Naomi Padron, Tony Roda and Shane Doucet provided a presentation and answered questions from the Board. The memo and presentation materials were received and filed.

The following items were received and filed.  
(Ms. Zapanta left the meeting at 10:30 a.m.)

- B. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding the 2021 Year-End Legislative Report. (Memo dated November 18, 2021)
- C. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated November 23, 2021)
- D. For Information Only as submitted by Francis J. Boyd, Senior Staff Counsel, regarding Government Code Section 7523: Disability Retirement COVID-19 Presumption Sunset Clause. (Memo dated November 22, 2021)

Mr. Boyd provided a brief comment and answered questions from the Board.

IX. REPORTS (Continued)

E. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the Semi-Annual Interest Crediting for Reserves as of June 30, 2021 (Audited). (Memo dated October 29, 2021)

F. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:

Monthly Travel and Education Reports for October 2021

(Public Memo dated November 17, 2021)

(Confidential Memo dated November 17, 2021 – Includes Anticipated Travel)

1<sup>st</sup> Quarterly Travel and Education Reports

(Memo dated November 17, 2021)

G. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the Fiduciary Counsel Annual Self-Assessments. (Memo dated November 22, 2021/Attachments are Privileged and Confidential Attorney-Client Communications/Attorney Work Product)

H. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the November 2021 Fiduciary Counsel Contact and Billing Report. (Memo dated November 22, 2021) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

X. ITEMS FOR STAFF REVIEW

The Board requested information on Investment Office recruitments.

XI. GOOD OF THE ORDER

(For information purposes only)

The Board and staff thanked Mr. Okum on his service on the Board.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability

APPLICATION NO. & NAME

BOARD ACTION

5217B – JANET ARIK\*

Mr. Pryor made a motion, Mr. Knox seconded, to deny a service-connected disability retirement and find that the applicant is not permanently incapacitated since the employer can accommodate. The motion passed (roll call) with Messrs. Pryor, Knox, Okum, Harris, Bernstein voting yes, and Messrs. Santos, Moore and Ms. Gray voting no. Messrs. Robbins, Kehoe and Ms. Zapanta were absent from the vote.

5218B – MARIA Y. SEGURA

Mr. Okum made a motion, Mr. Bernstein seconded, to deny a service-connected disability retirement pursuant to Government Code Section 31722. The motion passed (roll call) with Messrs. Pryor, Knox, Santos, Okum, Moore, Harris, Bernstein, and Ms. Gray voting yes. Messrs. Robbins, Kehoe and Ms. Zapanta were absent from the vote.

5219B – JENNY WONG

Ms. Gray made a motion, Mr. Pryor seconded, to grant a nonservice-connected disability retirement salary supplemental pursuant to Government Code Section 31720 and 31725.5. The motion passed (roll call) with Messrs. Pryor, Knox, Santos, Okum, Moore, Harris, Bernstein, and Ms. Gray voting yes. Messrs. Robbins, Kehoe and Ms. Zapanta were absent from the vote.

\*Applicant Present

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

<u>APPLICATION NO. &amp; NAME</u>	<u>BOARD ACTION</u>
5220B – MARICELA MORENO	Mr. Knox made a motion, Mr. Pryor seconded, to grant a nonservice-connected disability retirement pursuant to Government Code Section 31720. The motion passed (roll call) with Messrs. Pryor, Knox, Santos, Okum, Moore, Robbins, Bernstein, and Ms. Gray voting yes. Mr. Kehoe and Ms. Zapanta were absent from the vote.
5195B – KENNETH M. BENNETT	Pulled by staff for further development.

B. Disability Retirement Appeals

<u>APPLICATION NO. &amp; NAME</u>	<u>BOARD ACTION</u>
CHARITY D. HOWARD – Kelvin K. Lee for the Applicant Allison E. Barrett for the Respondent	Ms. Gray made a motion, Mr. Harris seconded, to move the oral argument to a future meeting. The motion passed (roll call) with Messrs. Santos, Moore, Pryor, Robbins, Bernstein, Knox, Okum, and Ms. Gray voting yes. Ms. Zapanta and Mr. Kehoe were absent from the vote.

XIII. EXECUTIVE SESSION

- A. Conference with Labor Negotiators  
(Pursuant to California Government Code Section 54957.6)  
Agency designated representatives: Carly Ntoya, Santos H. Kreimann, Luis A. Lugo, JJ Popowich and Laura Guglielmo
- Employee Organization: SEIU Local 721

December 1, 2021

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There was nothing to report.

Supplemental Information (Information provided to the Trustees prior to the meeting)

1. Correction Memo – Maria Y. Segura (5218B) – Nonconsent Calendar on Page 1  
(Supplemental Memo dated November 30, 2021)

#### XIV. ADJOURNMENT

There being no further business to come before the Board, the meeting was adjourned at 11:51 a.m.

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GINA ZAPANTA, SECRETARY

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ALAN BERNSTEIN, CHAIR



December 27, 2021

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Santos H. Kreimann *SHK*  
Chief Executive Officer

SUBJECT: **CHIEF EXECUTIVE OFFICER'S REPORT – JANUARY 2022**

The following Chief Executive Officer's Report highlights key operational and administrative activities that have taken place during the past month.

**LACERA Board of Retirement (BOR) and Board of Investments (BOI) Appointments**

On behalf of the LACERA staff and Trustees, we would like to congratulate and welcome the following individuals to the LACERA Boards as newly appointed trustees.

On Tuesday, December 7, 2021, on a recommendation by Supervisor Hilda L. Solis, the Board of Supervisors appointed Antonio Sanchez to serve on the BOR commencing on January 1, 2022. Mr. Sanchez currently serves as the Political Director for the International Brotherhood of Electrical Workers (IBEW), Local 11. Mr. Sanchez has been with IBEW since 2013.

On Tuesday, December 21, 2021, on a recommendation by Supervisor Holly J. Mitchell, the Board of Supervisors appointed Michael Miller to serve on the BOI commencing on January 1, 2022. Mr. Miller currently serves as Vice President of Public and Non-profit Finance at Western Alliance Bank. He has extensive experience as a municipal finance officer and issuer of affordable housing bonds.

My staff and I congratulate Mr. Sanchez and Mr. Miller on their appointments to the BOR and BOI, respectively. We very much look forward to working with them on delivering on our promise to our members.

**LACERA's Return to Office**

We have finalized a contract to administer at home tests and securely capture and report test results, which will allow us to welcome staff back into the office effective Monday, January 31, 2022. Our Human Resources, Executive Office, and Systems Division, along with the vendor (Fulgent) are working collaboratively on executing the implementation and rollout plan to all LACERA staff. A formal return-to-office communication to all staff will be delivered by the management team on Monday, January 3, 2022.

We continue to take a proactive approach in maintaining the health and safety of our staff members and members. Our staff members continue to follow established safety protocols when visiting our

building, allowing us to continue to meet member expectations and deliver the high level of service we strive to always provide.

### **LACERA COVID-19 Incidents**

As of the date of this memo, there were two (2) incidents of staff member exposure and one (1) COVID-19 positive staff member between November 16, 2021 and December 15, 2021. Staff member exposures are LACERA staff members who had direct close contact to a person outside the office who tested positive for COVID-19.

LACERA continues to follow the Public Health guidelines as they change and evolve, including the City of Pasadena's requirement to use masks indoors. Management continues to limit the number of staff members physically in our offices daily. Based on the most recent numbers, we are averaging approximately 16% of our staff in the office on any given day. The number of staff on site will steadily rise at the end of January 2022 once the return to office plan is initiated.

### **Strategic Planning Consultant Update**

The Strategic Planning Consultant Request for Proposal (RFP) closed on October 15, 2021. The selection committee is composed of four Trustees and three LACERA staff members. The committee will begin to review vendor proposals and schedule interviews in the coming weeks. The committee anticipates recommending a final selection to the Board of Retirement at its meeting in February 2022.

### **Recruitment Updates**

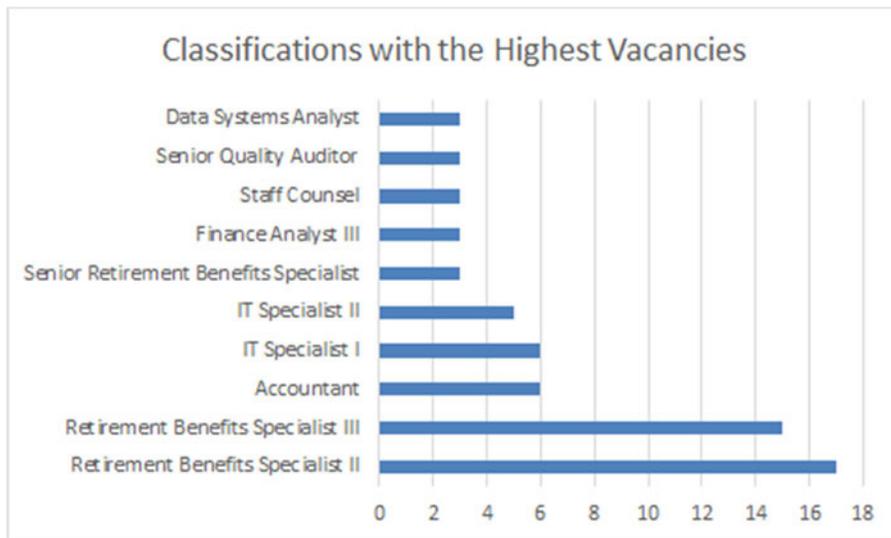
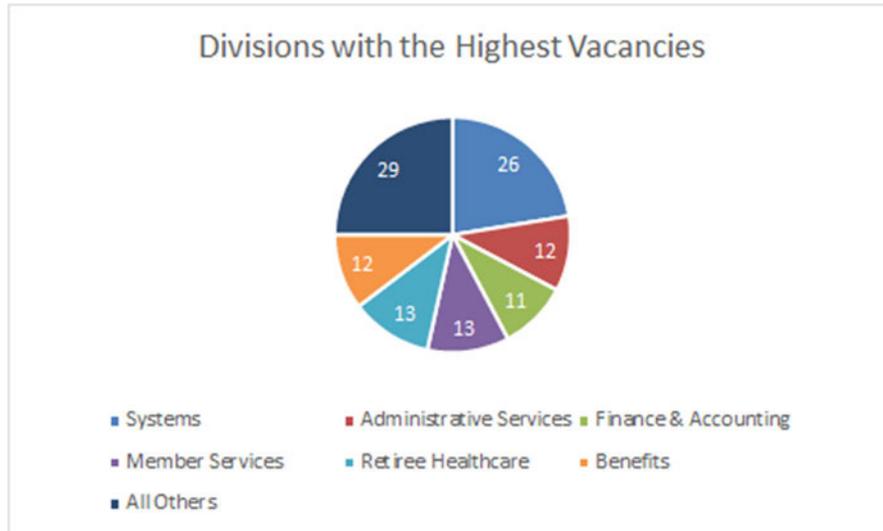
#### *Vacancies and Hiring*

Filling vacant positions continues to be a high priority. Executive and Senior Management, in collaboration with Human Resources (HR), is developing a hiring plan that reflects our priorities, such as filling member facing positions to ensure a positive member experience. Member facing Divisions, Investments Division, Systems Division, and Legal Division are the top priorities. The Executive team, HR, and our Investments Division have met to clearly outline their hiring priorities and develop a recruitment strategy. We plan to take a similar approach with other divisions. The organizational recruitment plan will be reviewed by the Executive management and HR teams at least quarterly to ensure the priorities are still appropriate given attrition that may have occurred in the prior quarter.

For the member facing Divisions, hiring new Retirement Benefits Specialist I candidates is the primary goal, followed by promotions in the Retirement Benefits III classification. In the Investments Division, the hiring priority is the Principal Investment Officer position in ESG, followed by Finance Analyst III and Finance Analyst II. In the Systems Division the hiring priorities are Retirement Systems Specialist, Data Systems Analyst, IT Specialist I and IT Specialist II (in that order).

The following summary provides an update of LACERA's vacancies and hiring efforts to reduce the number of vacancies.

LACERA has 508 budgeted positions, of which 116 are vacant (23% vacancy rate). The Divisions with the highest number of vacancies, and the classifications with the highest number of vacancies are shown below.



*Development*

Human Resources works with the hiring Division to review the classification description, create an ideal candidate profile, and discuss the assessment process. This information is used by HR to create the job bulletin and recruiting brochure (management positions only). The recruitments/assessments in development are listed below:

Classification	Vacancies	Division
Principal Investment Officer	2	Investments
Data Systems Analyst I	3	Systems
Retirement Systems Specialist	1	Systems
Information Technology Specialist I	6	Systems & Exec
Information Technology Specialist II	5	Systems & Exec

Document Processing Assistant	2	Admin. Services
Administrative Services Analyst I	1	Admin. Services
Administrative Services Analyst II	2	Admin. Services
Administrative Services Analyst III	2	Admin Services
Human Resources Analyst	2	Human Resources

### *Recruiting & Assessment*

The Human Resources Team is recruiting qualified internal and external candidates to fill vacancies in our Legal Division. Qualified applicants are encouraged to apply for Staff Counsel and Senior Staff Counsel. Interdepartmental Transfer opportunities were posted for Human Resources Analyst and Senior Human Resources Assistant. There are currently no examinations in the assessment phase.

Additionally, the Human Resources Team is preparing a Request for Proposal to solicit executive recruitment services to fill the Deputy Chief Investment Officer, Chief Financial Officer, Chief Information Technology, and Information Security Officer positions.

### *Hiring*

Three new staff members were hired in December that filled one Administrative Services Officer, one Management Secretary, and one Finance Analyst III position. Conditional Offers of Employment were extended to twenty-six (26) Retirement Benefits Specialist I (Trainee Class) candidates. Twenty-two (22) candidates accepted and are currently going through the background process. Promotional decisions were made for Retirement Benefits Specialist III, Procurement and Supply Clerk, Procurement Assistant, Media Artist, and Senior Disability Retirement Specialist. These hiring actions will decrease the number of vacancies by 45 positions; resulting in 71 vacancies remaining (14% vacancy rate).

## **Member Operations Group**

### *CEO Dashboard Update*

We have made additional updates to the CEO Dashboard for the December edition. The “Member Snapshot” section of the report has been expanded to include the following reports:

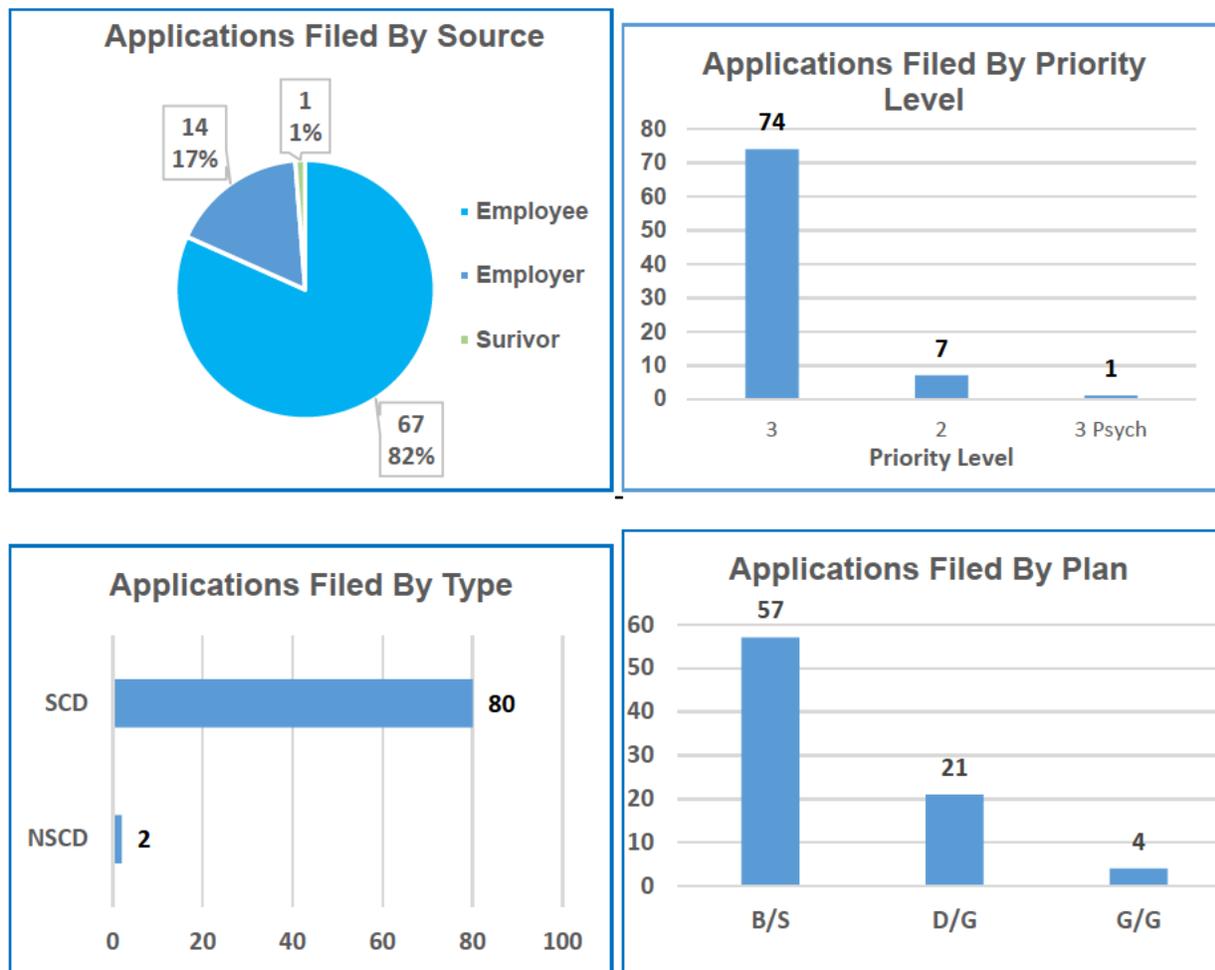
- **Retirements By Year Report (improved):** This report will show the retirements by each year, including the current year. These numbers will change every month as we add new members to the retirement roles.
- **Annual Retirements by Type:** This is a new report which shows the annual retirements broken down between General and Safety.
- **General vs Safety Retirements for Fire and Sheriff:** This report shows the number of retirements for the Fire Department and the Sheriff’s Department by membership type. We often get inquiries about how many safety members in either of these departments have retired.

We are working on finalizing a more detailed membership by status report for general and safety members and hope to have this update in the next few months

*Disability Retirement Services Sees a Record Number of New Cases*

We have been reporting an increase in the number of retirement counseling calls and inquiries in Member Services and Benefits for the last few months. This trend continues indicating this will be a busy “March Madness” period.

We are now seeing the first sign of a corresponding increase in Disability Retirement Services (DRS). DRS saw a record-breaking 82 new disability applications received in October. This is a 64% increase over our normal intake rate of around 50 cases per month during this time of the year. We looked at the incoming applications and put together some informative graphs to help dissect what was submitted:



Of the 57 Safety applications received, 54 were from the Sheriff’s Department. We are working on putting together statistics of applications received per month over the last 12 months for comparison. This is obviously a significant number of new applications and will have an impact on our processing rates. The Disability Retirement Services team have been working on ideas on how we can help “boost” the number of cases processed. We will keep the Trustees up to date as we move forward.

## **Retiree Healthcare (RHC) Update**

### *County of Los Angeles Board of Supervisors (BOS) - 2022 Medicare Part B Premium Reimbursement Approval Update*

Last month, staff reported that the Centers for Medicare & Medicaid Services (CMS) had announced the Medicare Parts A & B premium and coinsurance rates for 2022 in mid- November. As a reminder, the standard Part B premium will increase to \$170.10 compared to \$148.50 for 2021; an increase of \$21.60.

Segal provided their 2021-2022 Part B Premium Reimbursement Analysis confirming that it is still in the best interest of the County to approve and continue the program. The analysis was forwarded to the Board of Supervisor's (BOS) office for their consideration. During last month's report, we were not sure if we would be able to get this item agendized on the BOS last agenda for 2021. Fortunately, the CEO report was submitted timely and approved by the BOS at its December 21, 2021 meeting.

Accordingly, RHC staff has begun processing the Part B verifications received in our office in December 2021.

### *Retiree Healthcare Plan to Address Recent Ending of Medicare Open Enrollment Period*

Each year during the Federal Medicare Open Enrollment Period we tend to see an influx of retirees and their eligible covered dependents becoming disenrolled from their LACERA-administered Medicare Advantage plan effective January 1<sup>st</sup>. This year, staff has proactively requested and obtained a list of members enrolled by plan who CMS is reporting to be disenrolled from our group Medicare Advantage plans.

Targeted letters are being sent to affected members and/or dependents along with a LACERA-administered Medicare Advantage Prescription Drug (MA-PD) enrollment form that is needed to get our retirees and eligible covered dependents re-enrolled without a lapse in coverage back into the LACERA Retiree Healthcare Benefit Program effective January 1, 2022.

### *\$1 Million Lifetime Maximum Benefit (LMB) for Non-Medicare Plans - Update*

My staff and I continue to engage the Board of Supervisors and County of Los Angeles CEO's office on the elimination of the \$1 million dollar Lifetime Maximum Benefit (LMB) that applies to over 8,700 County active members and retirees enrolled now (or in the future) in the PPO and indemnity plan options.

On December 16, 2021, LACERA, Board of Supervisors staff, and the County CEO's office met to discuss the \$1 million lifetime maximum benefit. All County and BOS districts were present and represented either by their Chief Deputies and/or Budget Deputies.

The LACERA team, healthcare consultant (Segal), and actuary (Milliman) provided meeting participants with an overview of our Retiree Healthcare program, population impacted by the \$1 million LMB, and cost analysis of eliminating the LMB. The meeting was very productive.

December 27, 2021

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We plan to schedule another follow-up meeting once the County CEO's office has completed their own impact and cost analysis on the elimination of the \$1 million LMB. They expect to have analysis completed sometime in late January 2022.

SHK  
CEO report Dec.2021.doc

**Attachments**

# Vacant Positions



Division	Budgeted Position	# of Positions
<b>Administrative Services</b>	Administrative Services Analyst I	1
	Administrative Services Analyst II	2
	Administrative Services Analyst III	2
	Administrative Services Officer, LACERA	1
	Document Processing Assistant, LACERA	2
	Procurement & Supply Clerk, LACERA	2
	Procurement Assistant II, LACERA	1
	Supervising Admin Assist II, LACERA	1
	<b>Division Totals:</b>	<b>12</b>
<b>Benefits</b>	Retirement Benefits Specialist II	3
	Retirement Benefits Specialist III	6
	Senior Retirement Benefits Specialist	2
	Division Manager	1
	<b>Division Totals:</b>	<b>12</b>
<b>Communications</b>	Media Artist, LACERA	2
	Public Information Officer, LACERA	1
	Senior Writer, LACERA	1
	<b>Division Totals:</b>	<b>4</b>
<b>Disability Retirement</b>	Senior Disability Retirement Specialist	1
	Staff Assistant II, LACERA	1
	<b>Division Totals:</b>	<b>2</b>
<b>Executive Office</b>	Data Systems Coordinator, LACERA	1
	Information Security Officer, LACERA	1
	Information Technology Specialist I, LACERA	2
	Information Technology Specialist II, LACERA	1
	Special Assistant, LACERA	1
	<b>Division Totals:</b>	<b>6</b>
<b>Financial &amp; Accounting</b>	Accountant, LACERA	6
	Accounting Officer II, LACERA	1
	Accounting Technician I, LACERA	1
	Chief Financial Officer, LACERA	1
	Secretary, LACERA	1
	Senior Accountant, LACERA	1
	<b>Division Totals:</b>	<b>11</b>
<b>Human Resources</b>	Human Resources Analyst, LACERA	2
	<b>Division Totals:</b>	<b>2</b>
<b>Internal Audit Svcs</b>	Internal Auditor, LACERA	1
	<b>Division Totals:</b>	<b>1</b>
<b>Investments</b>	Deputy, Chief Investment Officer, LACERA (UC)	1
	Finance Analyst II, LACERA	1
	Finance Analyst III, LACERA	3
	Principal Investment Officer, LACERA	1

# Vacant Positions



Division	Budgeted Position	# of Positions
	Principal Investment Officer, LACERA (UC)	1
	<b>Division Totals:</b>	<b>7</b>
<b>Legal Services</b>	Senior Management Secretary, LACERA	2
	Senior Staff Counsel, LACERA	2
	Staff Counsel, LACERA	3
	<b>Division Totals:</b>	<b>7</b>
<b>Member Services</b>	Retirement Benefits Specialist II	4
	Retirement Benefits Specialist III	7
	Secretary, LACERA	1
	Senior Retirement Benefits Specialist	1
	<b>Division Totals:</b>	<b>13</b>
<b>Quality Assurance</b>	Quality Auditor II, LACERA	2
	Senior Quality Auditor, LACERA	3
	<b>Division Totals:</b>	<b>5</b>
<b>Retiree Health Care</b>	Quality Auditor I, LACERA	1
	Retirement Benefits Specialist II	8
	Retirement Benefits Specialist III	4
	<b>Division Totals:</b>	<b>13</b>
<b>Retirement Systems</b>	Assistant Information Systems Manager, LACERA	2
	Chief, Information Technology, LACERA (UC)	1
	Data Systems Analyst I, LACERA	3
	Data Systems Supervisor II, LACERA	2
	Information Systems Manager, LACERA	1
	Information Technology Manager I, LACERA	1
	Information Technology Manager II, LACERA	1
	Information Technology Specialist I, LACERA	4
	Information Technology Specialist II, LACERA	4
	Retirement Systems Specialist, LACERA	1
	Senior Secretary, LACERA	1
	<b>Division Totals:</b>	<b>21</b>
<b>Grand Totals:</b>		<b>116 Positions Listed</b>

# Striving for Excellence in Service

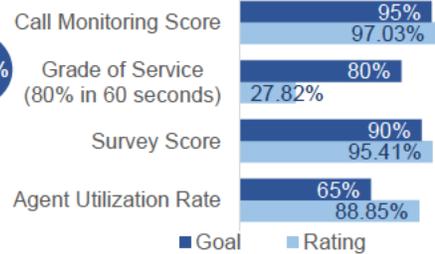
Service Metrics Reported on a Fiscal Year Basis (July 1) Through: **November 2021**

<p><b>Outreach Attendance</b> <b>1,090</b> 5,941 Year-to-Date</p>	<p><b>Outreach Events</b> <b>22</b> 122 Year-to-Date</p>	<p><b>Outreach Satisfaction</b> <b>N/A</b> Change Since Last Mo</p>	<p><b>Member Service Center</b> <b>N/A</b> - Change Since Last Mo</p>	<p><b>Member Services Calls</b> <b>13,643</b> 13,805 3 Mo. Avg.</p>
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## Key Performance Indicator (Overall Performance)



## Key Performance Indicator (Components)



## Member Services



## Top Calls

1. Retirement Counseling: Process Overview
2. Retirement Counseling: Estimate
3. Retirement Counseling: Plan Overview

**Emails 543**  
24:00 hours  
Avg. Response Time (ART)

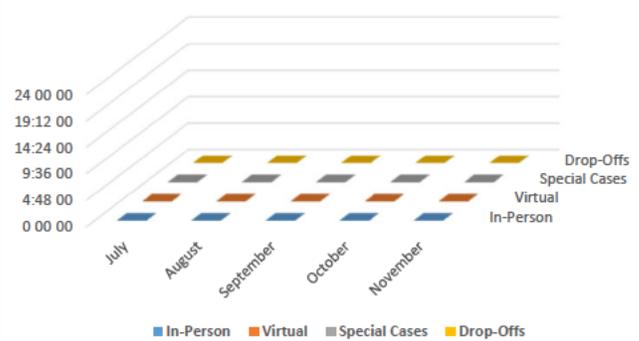
**Secure Messages 838**



## Member Service Center Appointments



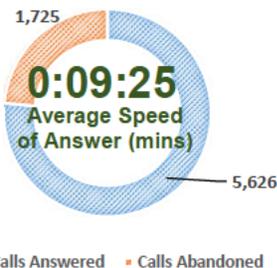
## Member Service Center Average Wait Times



\*Wait time not currently available - However, minimal for all categories.

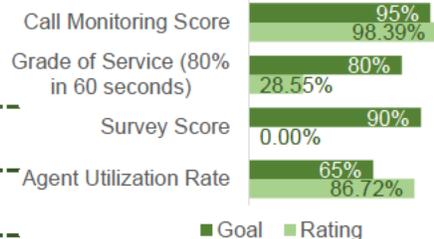
## Retiree Healthcare

Total RHC Calls: **7,351**



## Top Calls

1. Medical/Dental Enrollments
2. Medicare Part B Inquiries
3. General Inquiries



**Emails 330**  
10 Days  
Avg. Response Time (ART)

**Secure Messages 304**



## Striving for Excellence in Service (Continued)

Disability

### Applications

**741**

In Process

As Of:

11/30/2021

737 Pending on: 10/31/2021

49 Received

256 Year-to-Date

0 Re-Opened

0 Year-to-Date

45 To Board - Initial

211 Year-to-Date

0 Closed

15 Year-to-Date

### Appeals

**87**

In Process

As Of:

11/30/2021

90 Pending on: 10/31/2021

2 Received

12 Year-to-Date

3 Admin Closed/Rule 32

15 Year-to-Date

2 Referee Recommended

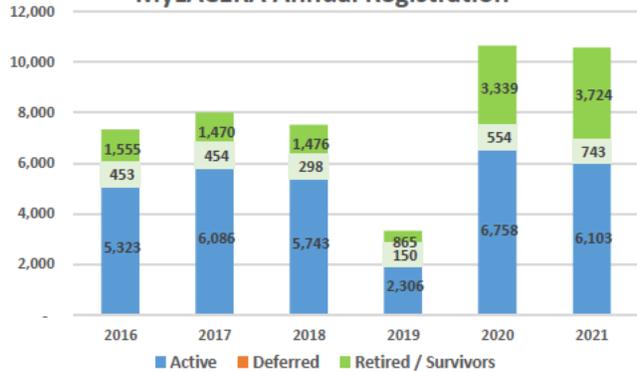
6 Year-to-Date

0 Revised/Reconsidered for Granting

0 Year-to-Date

My LACERA

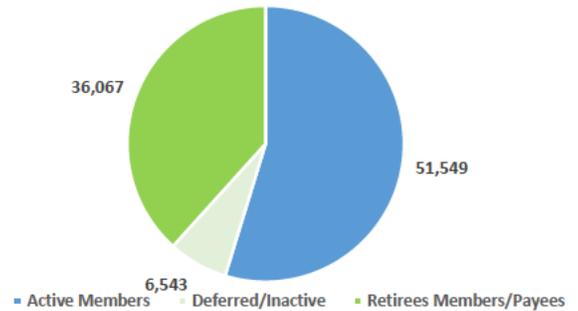
**MyLACERA Annual Registration**



**Total MyLACERA Registrations**

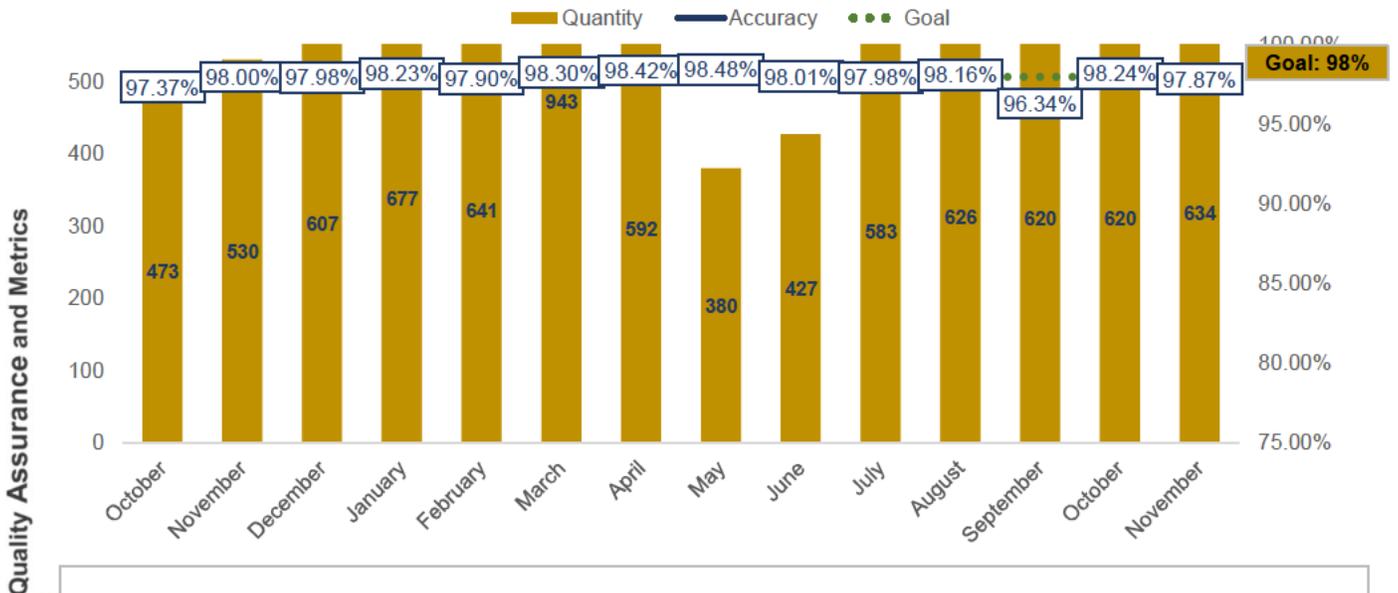
**94,159**

**Total Registrations By Member Type**



## Striving for Excellence in Quality

### Audits of Retirement Elections, Payment Contracts, and Data Entry Completed by QA



**November**

**97.87%**



#### Retirement Elections

**378**

Samples

**97.73%**

Accuracy

#### Payment Contracts

**167**

Samples

**98.13%**

Accuracy

#### Data Entry

**89**

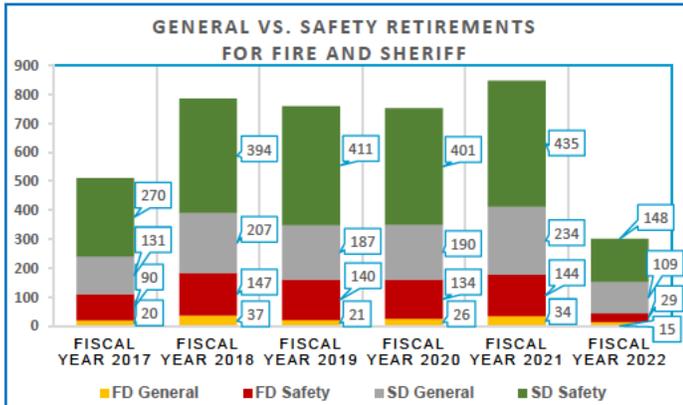
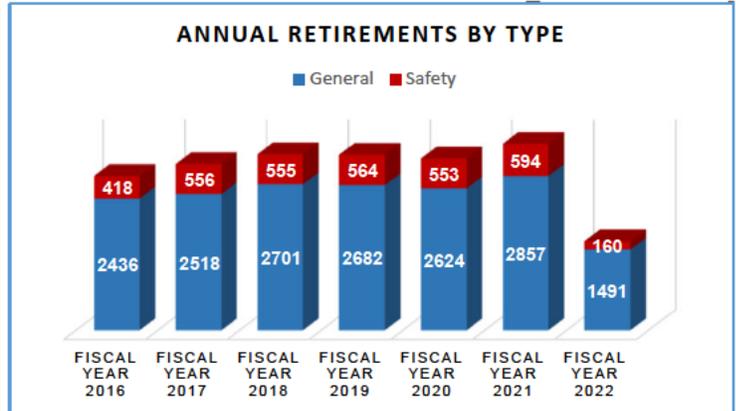
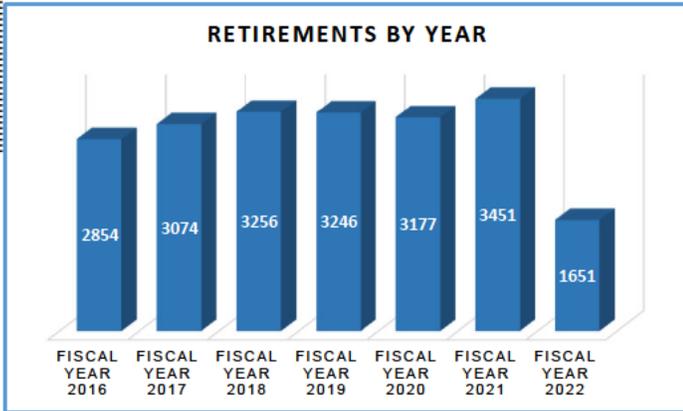
Samples

**97.75%**

Accuracy

# MEMBER SNAPSHOT

Members as of 12/15/2021					
General	Plan	Active	Retired	Survivors	Total
	Plan A	66	14,466	4,207	18,739
Plan B	19	652	68	739	
Plan C	20	421	65	506	
Plan D	37,380	18,828	1,734	57,942	
Plan E	14,728	14,500	1,452	30,680	
Plan G	32,665	137	11	32,813	
<b>Total General</b>	<b>84,878</b>	<b>49,004</b>	<b>7,537</b>	<b>141,419</b>	
Safety	Plan A	2	4,687	1,651	6,340
	Plan B	8,497	7,045	363	15,905
	Plan C	4,576	16	1	4,593
	<b>Total Safety</b>	<b>13,075</b>	<b>11,748</b>	<b>2,015</b>	<b>26,838</b>
<b>TOTAL MEMBERS</b>	<b>97,953</b>	<b>60,752</b>	<b>9,552</b>	<b>168,257</b>	
<b>% by Category</b>	<b>58%</b>	<b>36%</b>	<b>6%</b>	<b>100%</b>	



SPACE AVAILABLE FOR FUTURE EXPANSION

### Average Monthly Benefit Allowance Distribution December 22, 2021

	General	Safety	Total	%
\$0 to \$3,999	30,081	1,692	31,773	52.47%
\$4,000 to \$7,999	13,597	3,459	17,056	28.16%
\$8,000 to \$11,999	3,678	4,270	7,948	13.12%
\$12,000 to \$15,999	1,004	1,809	2,813	4.64%
\$16,000 to \$19,999	329	350	679	1.12%
\$20,000 to \$23,999	100	116	216	0.36%
\$24,000 to \$27,999	25	27	52	0.09%
> \$28,000	20	3	23	0.04%
<b>Totals</b>	<b>48,834</b>	<b>11,726</b>	<b>60,560</b>	<b>100%</b>

**Average Monthly Benefit Allowance: \$ 4,565.00**

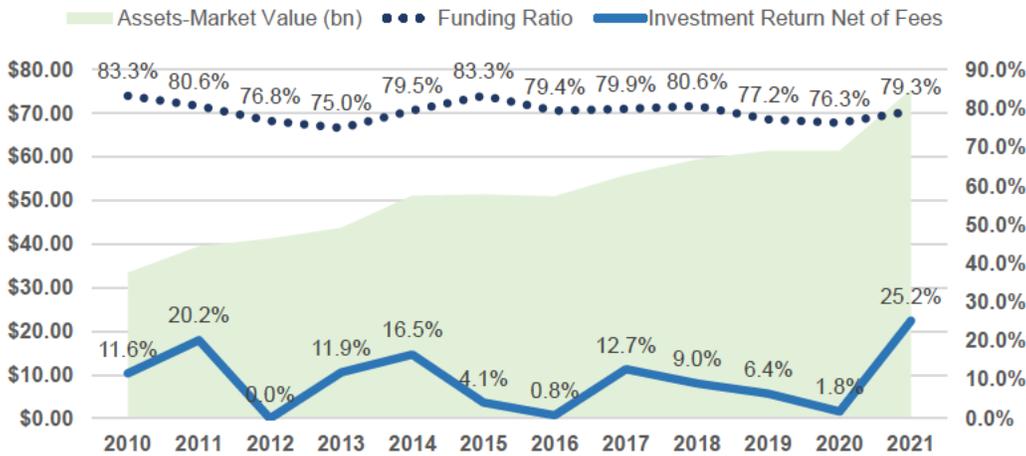
### Healthcare Program

(Mo. Ending: 11/30/2021)

	Employer	Member	Healthcare Enrollments	
Medical	\$242.2	\$18.0	Medical	53,270
Dental	\$19.3	\$1.9	Dental	54,833
Part B	\$33.5	\$0.0	Part B	36,650
<b>Total</b>	<b>\$295.0</b>	<b>\$19.9</b>	LTC	558
			<b>Total</b>	<b>145,311</b>

## Key Financial Metrics

**Fiscal Year End Financial Update (as of 06/30/2021)**



### Funding Metrics (as of 6/30/21)

Employer NC	10.88%
UAAL	13.58%
Assumed Rate	7.00%
Star Reserve	\$614m
Total Assets	\$73.0b

### Contributions (as of 6/30/21)

	Employer	Member
Annual Add	\$2.0b	\$761.0m
% of Payroll	24.46%	7.87%

### TOTAL FUND RETURN (Net of Fees)

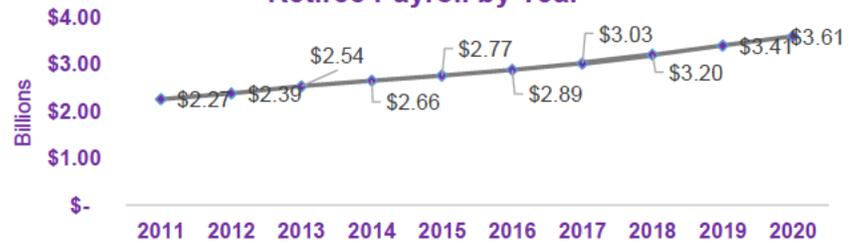
**5 YR:** 6.1%    **10 YR:** 8.2%

### Retired Members Payroll

(As of 11/30/2021)

Monthly Payroll	\$332.06m
Payroll YTD	\$1.7b
New Retired Payees Added	373
Seamless %	95.44%
New Seamless Payees Added	1,800
Seamless YTD	95.28%
By Check %	2.00%
By Direct Deposit %	98.00%

### Retiree Payroll by Year



**QUIET PERIOD LIST**  
**Current Active Quiet Period List for Non-Investments**

RFP/RFI Name	Issuing Division	RFP Issued	Status*	Quiet Period for RFP Respondents*
Search for Classification and Compensation Study Services (HR)	Human Resources	May 24, 2021	Bid Review	<ul style="list-style-type: none"> <li>• Koff and Associates</li> <li>• Magnova Consultant</li> <li>• Grant Thornton</li> <li>• Reward Strategy Group</li> </ul>
Search for Classification and Compensation Study Services (RHC)	Human Resources	May 24, 2021	Bid Review	<ul style="list-style-type: none"> <li>• Koff and Associates</li> <li>• Magnova Consultant</li> <li>• Grant Thornton</li> <li>• Reward Strategy Group</li> </ul>
Agenda Management, Livestream, Recording, and Archiving	Board Offices	April 16, 2021	Vendor Selection	<ul style="list-style-type: none"> <li>• Granicus</li> <li>• Diligent</li> <li>• iCompass</li> <li>• PrimeGov</li> <li>• eSCRIBE</li> <li>• Hyland</li> <li>• Provox Systems</li> </ul>
Contract Lifecycle Management Application	Administrative Services/ Systems	February 16, 2021	RFP Peer Review	<ul style="list-style-type: none"> <li>• Icertis</li> <li>• Cobblestone</li> <li>• Agiloft</li> <li>• Iron Clad</li> <li>• SmartSheet</li> </ul>
Strategic Planning Consultant	Board Offices	August 23, 2021	Solicitation Process	<ul style="list-style-type: none"> <li>• Academy for Leadership and Training</li> <li>• Bellatrix Group</li> <li>• The Segal Group</li> </ul>



RFP/RFI Name	Issuing Division	RFP Issued	Status*	Quiet Period for RFP Respondents*
				<ul style="list-style-type: none"> <li>• Athenian Group</li> <li>• KH Consulting</li> <li>• TBM &amp; Associates</li> </ul>
Case Management Software Solution	Systems Division	October 6, 2021	Vendor Evaluation & Selection	<ul style="list-style-type: none"> <li>• RGB Projects</li> <li>• Aeon Nexus</li> <li>• Oracle</li> <li>• CrossFuze</li> <li>• Incapsulate</li> <li>• Main Street Computing</li> <li>• MERP Systems</li> <li>• Accenture</li> <li>• MTX Group</li> <li>• Inovar</li> <li>• Slalom</li> <li>• Salesforce</li> <li>• Klearai</li> <li>• Eccentex</li> </ul>

\*Subject to change

\*\*Does not include Investments related vendor searches

Date	Conference
<b>January, 2022</b> 23-25	NCPERS (National Conference on Public Employee Retirement Systems) Legislative Conference Washington, D.C. <i>CANCELLED</i>
31-February 2	IFEBP (International Foundation of Employment Benefit Plans) Health Benefits Conference Clearwater Beach, FL
<b>February, 2022</b> 23-25	Pacific Pension Institute (PPI) Winter Roundtable Westlake Village, CA
<b>March, 2022</b> 2-3	National Association of Securities Professionals (NASP) Women's Forum Philadelphia, PA
5-8	CALAPRS (California Association of Public Retirement Systems) General Assembly Meeting TBD
7-9	Council of Institutional Investors (CII) Spring Conference Washington D.C.
7-9	National Association of Corporate Directors (NACD) Master Class Laguna Beach, CA
14-17	AHIP (America's Health Insurance Plans) National Health Policy Conference Washington D.C.
23-24	PREA (Pension Real Estate Association) Spring Conference Beverly Hills, CA
24	NASP 12 <sup>th</sup> Annual "Day of Education in Private Equity" for Trustees and Staff TBD
30 – April 1	CALAPRS (California Association of Public Retirement Systems) Advanced Principles of Pension Governance for Trustees at UCLA Los Angeles, CA
<b>April, 2022</b> 4-8	Investment Strategies & Portfolio Management Wharton School, University of Pennsylvania Live Online
25-26	IFEBP (International Foundation of Employment Benefit Plans) Health Care Mgmt. Conference Phoenix, AZ



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**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**

December 27, 2021

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Steven P. Rice, *SPR*  
Chief Counsel

FOR: January 5, 2022 Board of Retirement Meeting  
January 12, 2022 Board of Investments Meeting

SUBJECT: Approval of Teleconference Meetings Under AB 361 and Government Code Section 54953(e)

## **RECOMMENDATION**

That, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees.

## **LEGAL AUTHORITY**

Under Article XVI, Section 17 of the California Constitution, the Boards have plenary authority and exclusive fiduciary responsibility for the fund's administration and investments. This authority includes the ability of each Board to manage their own Board and Committee meetings and evaluate and act on legal options for the conduct of such meetings, such as whether to invoke teleconferencing of meetings under the terms and conditions provided in AB 361 and Government Code Section 54953(e) of the Brown Act to protect the health and safety of Trustees, staff, and the public. The Boards previously took this action at their October, November, and December 2021 meetings. Findings made under this memo will be effective for meetings held during the next 30 days.

## **DISCUSSION**

### ***A. Summary of Law.***

On September 16, 2021, the Governor signed AB 361 which enacted new Government

Code Section 54953(e) of the Brown Act to put in place, effective immediately and through December 31, 2023, new relaxed teleconferencing rules that may be invoked by local legislative bodies, such as the LACERA Boards, upon making certain findings and following certain agenda and public comment requirements.

Specifically, Section 54953(e)(3) provides that the Boards may hold teleconference meetings without the need to comply with the more stringent procedural requirements of Section 54953(b)(3) if a state of emergency under Section 8625 of the California Emergency Services Act impacts the safety of in person meetings or state or local officials have imposed or recommended social distancing rules, provided that the Board makes the following findings by majority vote:

(A) The Board has considered the circumstances of the state of emergency;

(B) Any of the following circumstances exist:

- (i) The state of emergency continues to directly impact the ability of the Trustees to meet safely in person;
- (ii) State or local officials continue to impose or recommend measures to promote social distancing.

If each Board makes the required findings, that Board and its Committees may hold teleconference meetings for the next 30 days without the need to comply with the regular rules of Section 54953(b)(3) provided that: agendas are prepared and posted under the Brown Act; members of the public are allowed to access the meeting via a call-in option or an internet-based service option; and the agenda provides an opportunity for public comment in real time and provides notice of the means of accessing the meeting for public comment.

***B. Information Supporting the Required Findings and Process if the Boards Determine to Invoke Section 54953(e).***

The Governor's State of Emergency for the COVID-19 pandemic as declared in the Proclamation of a State of Emergency dated March 4, 2020 remains active. The Proclamation was issued under the authority of Section 8625 of the California Emergency Services Act. It is unclear when the State of Emergency will end, although the Governor recently extended certain COVID-19 emergency provisions to March 31, 2022. See Order No. N-21-21, issued November 10, 2021.

The Los Angeles County Department of Public Health maintains guidance to "Keep your distance. Use two arms lengths as your guide (about 6 feet) for social distancing with people outside your household when you are not sure that they are vaccinated." <http://publichealth.lacounty.gov/acd/ncorona2019/reducingrisk/>. The County Public Health Department also maintains guidance that employers should, "Implement policies

and practices that support physical distancing: Whenever possible, take steps to reduce crowding indoors and enable employees and customers to physically distance from each other. Generally, at least 6 feet of distance (2 arm lengths) is recommended, although this is not a guarantee of safety, especially in enclosed or poorly ventilated spaces.” <http://publichealth.lacounty.gov/acd/ncorona2019/bestpractices/>

The Centers for Disease Control and Prevention (CDC) still advise the public that, “Outside your home: Put 6 feet of distance between yourself and people who don’t live in your household.” <https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/prevention.html#stay6ft%20>.

The pandemic continues to present a significant health risk, as the virus presents itself in different variants. LACERA has not yet returned to the office. Management is preparing return to office vaccination and testing protocols to ensure the safety of LACERA employees, members, and others, including Trustees.

Under these circumstances, the Boards may reasonably conclude and find that teleconferencing under Section 54953(e) is appropriate for Board and Committee meetings during the next 30 days because (1) the state of emergency continues to directly impact the ability of the Trustees to meet safely in person, and (2) the County and other authorities continue to recommend measures to promote social distancing, as required by the statute.

If each Board makes these findings and directs teleconferencing under Section 54953(e), procedures exist and will be implemented to ensure compliance with the agenda and public comment requirements of the statute, as stated above.

## **CONCLUSION**

Based on the above information, staff recommends that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor’s COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees.

c: Santos H. Kreimann                      JJ Popowich  
Jonathan Grabel                              Laura Guglielmo  
Luis Lugo                                        Carly Ntoya



December 28, 2021

**TO:** Trustees, Board of Retirement

**FOR:** Board of Retirement Meeting on January 5, 2022

**SUBJECT:** Ratification of Service Retirement and Survivor Benefit Application Approvals

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The attached report reflects service retirements and survivor benefit applications received as of the date of this memo, along with any retirement rescissions and/or changes approved at last month's Board meeting. Any retirement rescissions or changes received after the date of this memo up to the date of the Board's approval, will be reflected in next month's report.

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARK A. ALMONTE	SHERIFF Dept.#SH	01-28-2022	34 YRS 04 MOS
FERNANDO ANAYA	SHERIFF Dept.#SH	01-28-2022	26 YRS 04 MOS
ROBERT J. ANDERSON	SHERIFF Dept.#SH	01-29-2022	30 YRS 00 MOS
RICHARD L. BALLOU	DISTRICT ATTORNEY Dept.#DA	01-29-2022	14 YRS 03 MOS
TAMI A. BOUSE	SHERIFF Dept.#SH	02-25-2022	25 YRS 01 MOS
THOMAS W. CARTER	SHERIFF Dept.#SH	01-15-2022	41 YRS ½ MOS
MARIO CASTRO	SHERIFF Dept.#SH	03-12-2022	32 YRS 04½ MOS
REYNALDO P. CONTRERAS	SHERIFF Dept.#SH	01-01-2022	24 YRS 01½ MOS
KARL D. DAVIS	L A COUNTY FIRE DEPT Dept.#FR	01-30-2022	23 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOHN T. DEYOUNG	SHERIFF Dept.#SH	02-26-2022	31 YRS 11 MOS
SALVADOR V. DIAZ	SHERIFF Dept.#SH	02-26-2022	31 YRS 04 MOS
WILLIAM T. ELDRIDGE	SHERIFF Dept.#SH	01-01-2022	30 YRS 04½ MOS
MICHAEL J. FITZPATRICK	L A COUNTY FIRE DEPT Dept.#FR	01-01-2022	40 YRS 02½ MOS
JOSE J. GARCIA	SHERIFF Dept.#SH	02-28-2022	32 YRS 01 MOS
SYLVIA GAYTAN	SHERIFF Dept.#SH	01-29-2022	30 YRS 01 MOS
JOSE L. GONZALEZ	SHERIFF Dept.#SH	02-26-2022	32 YRS 06 MOS
KEVIN B. GREER	SHERIFF Dept.#SH	01-29-2022	31 YRS 06 MOS
STEVEN E. GROSS	SHERIFF Dept.#SH	01-31-2022	34 YRS 09½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TRESSA R. GUNNELS	SHERIFF Dept.#SH	02-09-2022	36 YRS 11½ MOS
JOHN A. HANSON	SHERIFF Dept.#SH	02-25-2021	32 YRS ½ MOS
MALCOLM D. HARCROW	SHERIFF Dept.#SH	01-01-2022	20 YRS 07 MOS
ROY W. HENSTRAND	SHERIFF Dept.#SH	01-04-2022	33 YRS 04½ MOS
BRIAN L. HICKEY	SHERIFF Dept.#SH	01-29-2022	32 YRS 10 MOS
DAVID H. HUELSEN	SHERIFF Dept.#SH	01-29-2022	27 YRS 05 MOS
GREGORY W. JACKSON	SHERIFF Dept.#SH	01-28-2022	26 YRS 01 MOS
CORONNE L. JACOB	SHERIFF Dept.#SH	02-07-2022	32 YRS 02½ MOS
JOHN KHOUNTHAVONG	SHERIFF Dept.#SH	12-31-2021	33 YRS 06½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CHAD LIMPANUKORN	SHERIFF Dept.#SH	12-31-2021	35 YRS 01½ MOS
RICK D. MANES	SHERIFF Dept.#SH	01-29-2022	31 YRS 08 MOS
JOHN D. MEEHAN	SHERIFF Dept.#SH	01-31-2022	30 YRS 01½ MOS
HECTOR M. MEZA	SHERIFF Dept.#SH	01-31-2022	25 YRS 07½ MOS
DAVID L. MOORE	SHERIFF Dept.#SH	01-29-2022	35 YRS 02 MOS
JEFFREY L. MOORE	SHERIFF Dept.#SH	01-31-2022	31 YRS 10½ MOS
ROBERT F. OTANEZ	L A COUNTY FIRE DEPT Dept.#FR	01-30-2022	30 YRS 01 MOS
SANDRA L. PEETOOM	SHERIFF Dept.#SH	02-01-2022	25 YRS 03½ MOS
HAROLD C. RHUDY	DISTRICT ATTORNEY Dept.#DA	01-21-2022	14 YRS 05 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ERIC S. RODRIGUEZ	SHERIFF Dept.#SH	02-26-2022	25 YRS 08 MOS
REBECCA RODRIGUEZ	SHERIFF Dept.#SH	01-29-2022	25 YRS 06 MOS
DAVID S. ROHRBACH	SHERIFF Dept.#SH	02-26-2022	34 YRS 07 MOS
TODD W. SHAFFER	SHERIFF Dept.#SH	01-31-2022	26 YRS 01½ MOS
DONALD C. SHEEHAN	SHERIFF Dept.#SH	03-31-2022	27 YRS ½ MOS
RICKY W. SHERMAN	SHERIFF Dept.#SH	03-31-2022	31 YRS ½ MOS
JEFFREY SIROONIAN	SHERIFF Dept.#SH	02-24-2022	32 YRS 00 MOS
PERRY R. VELLANOWETH	SHERIFF Dept.#SH	12-31-2021	30 YRS 01½ MOS
CARLOS G. VILLAFRANCO	SHERIFF Dept.#SH	02-03-2022	30 YRS 06½ MOS

**BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022**

**BENEFIT APPROVAL LIST**

**SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT**

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RONALD R. WEAVER	SHERIFF Dept.#SH	01-29-2022	21 YRS 05 MOS
JAMES D. WILLIAMS	SHERIFF Dept.#SH	12-16-2021	32 YRS 05 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MARIA R. AGUIRRE	PROBATION DEPARTMENT Dept.#PB	12-31-2021	20 YRS ½ MOS
MARTINS O. AIYETIWA	PUBLIC WORKS Dept.#PW	01-31-2022	33 YRS 07½ MOS
ELIZABETH N. AJAELO	PUBLIC WORKS Dept.#PW	01-07-2022	37 YRS 10½ MOS
MARIET AKOOPANS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	30 YRS 10 MOS
GRACIELA ALANIZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-07-2021	22 YRS 10½ MOS
DOROTHY J. ALBRITTON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	40 YRS 09½ MOS
MARTHA SADIE ALMARAZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	34 YRS 11½ MOS
CRISTINA M. ALONZO	CORRECTIONAL HEALTH Dept.#HC	01-01-2022	16 YRS 02½ MOS
CAROL C. ALVARADO	TREASURER AND TAX COLLECTOR Dept.#TT	10-30-2021	34 YRS 08½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DEIDREA K. AMEY	CHILDREN & FAMILY SERVICES Dept.#CH	01-29-2022	44 YRS 02 MOS
ZENAIDA N. ANCHETA	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	19 YRS 05½ MOS
SHARON ANDREWS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-11-2021	32 YRS 03½ MOS
CARMEN ARANA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-01-2022	25 YRS 01 MOS
TERRILEE M. ARANDA	PROBATION DEPARTMENT Dept.#PB	01-29-2022	22 YRS 00 MOS
CELINA AREVALO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	30 YRS 03½ MOS
LYDIA R. ARIZMENDI	MENTAL HEALTH Dept.#MH	12-31-2021	33 YRS 09½ MOS
SHAKEH ASSADOURIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	33 YRS 02 MOS
TEODORO D. ATIENZA	SHERIFF Dept.#SH	02-28-2022	10 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BEVERLY ATKINS	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	11-30-2021	36 YRS 05 MOS
KIL S. BAE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	38 YRS 09 MOS
ALSIK BAGHOUMIAN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-20-2022	16 YRS 04 MOS
ANGELA BAILEY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-23-2021	14 YRS 02 MOS
CATHERINE A. BALVER	INTERNAL SERVICES Dept.#IS	01-29-2022	46 YRS 04 MOS
NORA N. BAQUER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-31-2022	22 YRS 08½ MOS
ZIVKO BAREVIC	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-05-2022	30 YRS 05½ MOS
LUIS R. BARRERAS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-29-2022	43 YRS 04 MOS
MYRA BAXTER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-31-2021	33 YRS 03½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TARA BEHARY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-31-2021	34 YRS 04½ MOS
SID BELK	PUBLIC DEFENDER Dept.#PD	02-01-2022	05 YRS 11½ MOS
ROBERT BENNION	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	01-31-2022	36 YRS 06½ MOS
BERHAN G. BERRU	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	17 YRS 05½ MOS
TERESITA B. BINGCANG	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-01-2022	35 YRS 11½ MOS
INEZ M. BISSADA	PUBLIC HEALTH PROGRAM Dept.#PH	01-01-2022	28 YRS 07½ MOS
ALFONSO BLANCH	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	14 YRS 05½ MOS
RONALD G. BLEIER	L A COUNTY FIRE DEPT Dept.#FR	03-25-2022	23 YRS 04 MOS
GAIL E. BLOCK	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-17-2021	24 YRS 03 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
VIRGINIA Y. BONILA	CHIEF EXECUTIVE OFFICE Dept.#AO	01-29-2022	38 YRS 02 MOS
RITA M. BOSWELL	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-25-2022	25 YRS 02½ MOS
MONA BOTROS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	01-15-2022	40 YRS 05½ MOS
ANGELINA R. BRODY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-29-2022	29 YRS 01 MOS
SANDRA L. BROWN	CHILDREN & FAMILY SERVICES Dept.#CH	03-01-2022	35 YRS ½ MOS
SHARION J. BROWN	CHILDREN & FAMILY SERVICES Dept.#CH	01-29-2022	32 YRS 01 MOS
LONNIE BROWN JR	PUBLIC WORKS Dept.#PW	02-28-2021	41 YRS 09 MOS
PAULA BROWN-JACKSO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-23-2021	25 YRS 04 MOS
BRENDA J. BUTTS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	46 YRS 08½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CAROL CABRERA	WORKFORCE DEV AGING & COMM SVC Dept.#CS	01-31-2022	24 YRS 06½ MOS
ESTHER CALVO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-01-2022	31 YRS 04½ MOS
MERCEDES CALZADILLAS	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	12-31-2021	47 YRS 08½ MOS
ALEXANDER C. CAMELLO	JUVENILE COURT HEALTH SERVICES Dept.#HJ	12-31-2021	15 YRS 08½ MOS
SHARON E. CAMPBELL	REG-RECORDER/COUNTY CLERK Dept.#RR	01-29-2022	35 YRS 05 MOS
MARINA CARDENAS	ASSESSOR Dept.#AS	11-18-2021	25 YRS 09 MOS
LARRY CARDONA	PUBLIC WORKS Dept.#PW	01-28-2022	44 YRS 05 MOS
REBEKA G. CARR	SHERIFF Dept.#SH	01-04-2022	22 YRS 03½ MOS
NELLY CASTELLANOS	PUBLIC HEALTH PROGRAM Dept.#PH	02-28-2022	34 YRS 10 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CARLOS P. CASTILLO	CHILDREN & FAMILY SERVICES Dept.#CH	02-26-2022	34 YRS 07 MOS
IRMA CASTILLO	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	12-15-2021	42 YRS 01½ MOS
DARLENE S. CATON	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	12-15-2021	41 YRS 11½ MOS
EDWARD F. CAVANAGH	MENTAL HEALTH Dept.#MH	01-21-2022	18 YRS 02 MOS
ROGER CHACON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-29-2022	22 YRS 05 MOS
CAROLYN M. CHANCE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-03-2022	13 YRS 10½ MOS
AILI CHANG	INTERNAL SERVICES Dept.#IS	01-31-2022	15 YRS 09½ MOS
CYNTHIA M. CHAVEZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-26-2022	29 YRS 10 MOS
ERIC CHENG	TREASURER AND TAX COLLECTOR Dept.#TT	01-29-2022	19 YRS 03 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CATHY A. CHIDESTER	HEALTH SERVICES ADMINISTRATION Dept.#HS	01-28-2022	31 YRS 08 MOS
YEN PING CHIN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	22 YRS 06½ MOS
JANICE H. CHING	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-03-2022	41 YRS 04½ MOS
SATYANARAYAN V. CHODAY	INTERNAL SERVICES Dept.#IS	01-30-2022	41 YRS 00 MOS
DAVID R. COCHRAN	MENTAL HEALTH Dept.#MH	12-26-2021	29 YRS 02½ MOS
KATRIN COHEN	PUBLIC HEALTH PROGRAM Dept.#PH	01-01-2022	17 YRS 02 MOS
CHARLIE L. COLEMAN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-29-2022	35 YRS 03 MOS
MADELINE F. COMEAUX	SHERIFF Dept.#SH	12-31-2021	41 YRS 11½ MOS
MARY A. CONLEY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-28-2021	42 YRS 11 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TOYE M. COOK	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-11-2022	24 YRS 03½ MOS
WARREN M. COOKE	PROBATION DEPARTMENT Dept.#PB	03-31-2022	20 YRS 04½ MOS
YOLANDA CORDERO	PUBLIC HEALTH PROGRAM Dept.#PH	11-27-2021	31 YRS 11 MOS
SILVIA G. CORTEZ	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	22 YRS 09½ MOS
ROCHELLE M. CORUGEDO	AMBULATORY CARE NETWORK Dept.#HN	12-06-2021	22 YRS 01½ MOS
DIANA V. COUSIN	CHILDREN & FAMILY SERVICES Dept.#CH	01-29-2022	33 YRS 10½ MOS
SHEILA COVINGTON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	31 YRS 05½ MOS
MAROCKA M. COVINGTON	CHILDREN & FAMILY SERVICES Dept.#CH	01-29-2022	25 YRS 03 MOS
DANIEL O. CRESPO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	30 YRS 05 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
KAREN CRISCI	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-29-2022	19 YRS 08 MOS
ELVA CRUZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	31 YRS 03 MOS
JOSE CRUZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	23 YRS 06 MOS
SANDRA W. CUNEO	BOARD OF SUPERVISORS Dept.#BS	12-31-2021	06 YRS 02½ MOS
ROGELIO P. DACANAY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	10 YRS 03 MOS
JARNA L. DAVIS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-27-2022	45 YRS 11 MOS
ELIZABETH T. DAVIS	PROBATION DEPARTMENT Dept.#PB	12-31-2021	37 YRS 05½ MOS
ROBERT DE LA CRUZ	BOARD OF SUPERVISORS Dept.#BS	01-29-2022	33 YRS 10 MOS
CAROL Q. DE LA REE	LACERA Dept.#NL	01-29-2022	14 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CHRISTINE C. DE LA TORRE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	39 YRS 08 MOS
TIMOTHY H. DEL CANO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-30-2021	28 YRS ½ MOS
GLORIA M. DEL RIO	CHILD SUPPORT SERVICES Dept.#CD	01-29-2022	18 YRS 03½ MOS
SABRINA DIBIAGIO	MENTAL HEALTH Dept.#MH	01-29-2022	24 YRS 00 MOS
DORENE K. DONAYRE	MENTAL HEALTH Dept.#MH	01-27-2022	14 YRS 03 MOS
FRANK C. DONG	SHERIFF Dept.#SH	01-22-2022	10 YRS 08 MOS
PENNY DOVAL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-29-2022	43 YRS 06 MOS
STANLEY EDMONDS	PROBATION DEPARTMENT Dept.#PB	12-17-2021	40 YRS 00 MOS
RITA N. EJIMOLE	CORRECTIONAL HEALTH Dept.#HC	12-31-2021	11 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ABOU BAKR A. EL-TAWANSY	PUBLIC HEALTH PROGRAM Dept.#PH	12-30-2021	20 YRS 01 MOS
MARTHA ENAMORADO	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	12-05-2021	33 YRS 07½ MOS
DOMINIQUE A. ENRIQUEZ	SHERIFF Dept.#SH	12-31-2021	31 YRS 06½ MOS
PATRICIA ENRIQUEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-27-2021	45 YRS 04 MOS
SUSAN S. ESGUERRA	PUBLIC HEALTH PROGRAM Dept.#PH	01-05-2022	12 YRS 07½ MOS
PACITA U. ESMUNDO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-29-2022	33 YRS 11 MOS
SUSAN C. EUBANK	PARKS AND RECREATION Dept.#PK	01-29-2022	20 YRS 02 MOS
DARRYL S. EVERAGE	MENTAL HEALTH Dept.#MH	03-31-2022	20 YRS 06½ MOS
VERONICA D. FABIAN	AMBULATORY CARE NETWORK Dept.#HN	01-29-2022	13 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOHN C. FARRELL	CHILDREN & FAMILY SERVICES Dept.#CH	03-16-2022	25 YRS 11 MOS
MARIA CHRIST R. FAUNE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-29-2022	35 YRS 00 MOS
STEPHAN FEINBLOOM	CHILDREN & FAMILY SERVICES Dept.#CH	01-01-2022	35 YRS 01½ MOS
LAURA FERNANDEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-25-2022	36 YRS 09 MOS
SUSAN C. FINCH	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-29-2022	32 YRS 02 MOS
SHEILA A. FINLEY	SHERIFF Dept.#SH	01-01-2022	15 YRS 05½ MOS
MELISSA FITZSIMMONS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-31-2021	39 YRS 05½ MOS
HORTENSIA FLORES	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	22 YRS 10½ MOS
SALLY L. FOONG	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	02-25-2022	24 YRS 02 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
KYLE B. FORTSON	PROBATION DEPARTMENT Dept.#PB	01-01-2022	28 YRS 10½ MOS
REYNALDO Z. FRANCO	CORRECTIONAL HEALTH Dept.#HC	01-02-2022	23 YRS 06½ MOS
BENOISE B. FRANKLIN	PROBATION DEPARTMENT Dept.#PB	01-29-2022	37 YRS 07 MOS
DARRELL GAHAGAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	21 YRS 02½ MOS
EDWARD N. GALLARDO	CHILDREN & FAMILY SERVICES Dept.#CH	01-28-2022	38 YRS 05 MOS
VINCENT P. GALLEGOS	PUBLIC HEALTH PROGRAM Dept.#PH	11-27-2021	30 YRS 00 MOS
ELIZABETH M. GARCIA	CHILD SUPPORT SERVICES Dept.#CD	01-01-2022	42 YRS 07½ MOS
LORENA GARCIA	CHILDREN & FAMILY SERVICES Dept.#CH	01-29-2022	29 YRS 06 MOS
BERTHA GARCIA	ALTERNATE PUBLIC DEFENDER Dept.#AD	02-26-2022	42 YRS 07 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SAYONARA E. GARLAND	CHILDREN & FAMILY SERVICES Dept.#CH	01-01-2022	35 YRS 10½ MOS
VIVIAN M. GARZA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	28 YRS 07½ MOS
ARMOND GHAZARIAN	PUBLIC WORKS Dept.#PW	01-03-2021	39 YRS 03½ MOS
PARAMJIT K. GHUMAN	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	12-04-2021	20 YRS 01½ MOS
LAURA GIL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-01-2022	40 YRS 04½ MOS
JEFFREY D. GILLIAM	PUBLIC DEFENDER Dept.#PD	01-29-2022	34 YRS 00 MOS
ROSITA P. GIRON	CORRECTIONAL HEALTH Dept.#HC	01-28-2022	16 YRS 02 MOS
CAROLYN C. GRAY	PUBLIC DEFENDER Dept.#PD	03-16-2022	38 YRS 11 MOS
BERNARD K. GREEN	SHERIFF Dept.#SH	01-05-2022	15 YRS 09½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ELIZABETH GRIGOR	MENTAL HEALTH Dept.#MH	01-28-2022	16 YRS 05 MOS
GLORIA GUERRA	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	01-29-2022	43 YRS 08 MOS
DIANE GUERRERO	PUBLIC HEALTH PROGRAM Dept.#PH	11-30-2021	43 YRS 11 MOS
JOSE GUERRERO	PUBLIC WORKS Dept.#PW	01-28-2022	29 YRS 10 MOS
DIANE S. GUILLORY	MENTAL HEALTH Dept.#MH	01-03-2022	33 YRS 03½ MOS
SOFIA A. GULLAP	PROBATION DEPARTMENT Dept.#PB	03-31-2022	17 YRS 04½ MOS
GEORGE GUY	PARKS AND RECREATION Dept.#PK	02-25-2022	24 YRS 01 MOS
VREJ S. HACOPIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	23 YRS 03½ MOS
KIM H. HAK	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	21 YRS 05½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
STEPHEN T. HALE	PUBLIC HEALTH PROGRAM Dept.#PH	11-24-2021	20 YRS 05 MOS
SHRON E. HARRIS-HALL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	37 YRS ½ MOS
VAN HARRISON	ANIMAL CONTROL Dept.#AN	01-29-2022	45 YRS 01½ MOS
MELANYA HARUTYUNYAN	HEALTH SERVICES ADMINISTRATION Dept.#HS	01-31-2022	21 YRS 01½ MOS
ANDREA D. HAWKINS	L A COUNTY FIRE DEPT Dept.#FR	01-29-2022	31 YRS 06 MOS
LELANI L. HAYES	CHILDREN & FAMILY SERVICES Dept.#CH	01-29-2022	38 YRS 02 MOS
SONSERET HAYES	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-16-2021	12 YRS 03½ MOS
VIOLET V. HERNANDEZ	PROBATION DEPARTMENT Dept.#PB	01-29-2022	38 YRS 01 MOS
MARTHA L. HERNANDEZ	MENTAL HEALTH Dept.#MH	02-26-2022	25 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOSE H. HERRERA	SHERIFF Dept.#SH	01-31-2022	19 YRS 10½ MOS
ALEJANDRA HICKEY	PUBLIC HEALTH PROGRAM Dept.#PH	01-29-2022	36 YRS 01 MOS
RICARDO HIDALGO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-26-2022	31 YRS 08 MOS
NAM HOANG	PARKS AND RECREATION Dept.#PK	01-28-2022	21 YRS 09 MOS
LINDA HOANG	INTERNAL SERVICES Dept.#IS	01-01-2022	36 YRS 10½ MOS
CORINNE D. HOLDER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-26-2022	31 YRS 01 MOS
AMOS HOWARD JR	REG-RECORDER/COUNTY CLERK Dept.#RR	12-15-2021	39 YRS 03½ MOS
MARIA D. HOYOS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-29-2022	46 YRS 09 MOS
PETER HUGHES	AUDITOR - CONTROLLER Dept.#AU	12-08-2021	06 YRS ½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROBERT L. HUNTER	PROBATION DEPARTMENT Dept.#PB	01-28-2022	24 YRS 01 MOS
NORA B. IRAHETA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	34 YRS 01½ MOS
VELDA R. JACKSON	SHERIFF Dept.#SH	12-30-2021	43 YRS 05½ MOS
KEITH JACKSON	PUBLIC WORKS Dept.#PW	01-28-2022	38 YRS 04 MOS
MARILOU M. JACOB	CORRECTIONAL HEALTH Dept.#HC	01-04-2022	16 YRS 04½ MOS
ASMA JAVED	PUBLIC HEALTH PROGRAM Dept.#PH	01-29-2022	23 YRS 04 MOS
ELIZABETH M. JAVIER	MENTAL HEALTH Dept.#MH	01-15-2022	10 YRS 02 MOS
STEPHANIE JOHNSON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-29-2022	46 YRS 02 MOS
MICHELLE K. JOHNSON	SHERIFF Dept.#SH	01-04-2022	36 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
NARLESKI JOHNSON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-04-2022	41 YRS 10½ MOS
PAMELA Y. JONES	INTERNAL SERVICES Dept.#IS	12-29-2021	30 YRS 10 MOS
ANNIE D. JONES	CHILDREN & FAMILY SERVICES Dept.#CH	01-29-2022	27 YRS 04 MOS
MARY A. JONES	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-01-2022	21 YRS 09½ MOS
SHIRLEY JOSHUA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	01-01-2022	43 YRS 02½ MOS
ZIAD KAMAL	BEACHES & HARBORS Dept.#BH	02-24-2022	36 YRS 04 MOS
BONNIE L. KELLEY	PROBATION DEPARTMENT Dept.#PB	12-31-2021	35 YRS 03½ MOS
DONNA KENDRYNA	ASSESSOR Dept.#AS	12-31-2021	34 YRS 06½ MOS
STEPAN KHANBABIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	33 YRS 07 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PAUL H. KIM	COUNTY COUNSEL Dept.#CC	01-01-2022	26 YRS 04½ MOS
DEANNE L. KISTLER	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-02-2022	33 YRS 02½ MOS
LINDA I. KLEIN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-26-2022	32 YRS 08 MOS
SUSAN P. KNAPP	AMBULATORY CARE NETWORK Dept.#HN	12-31-2021	14 YRS 05 MOS
PAULA L. KOPF	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	12-31-2021	33 YRS 07½ MOS
MARTA KOTCHARIAN	ASSESSOR Dept.#AS	12-01-2021	19 YRS 06½ MOS
ALFONSO KOTERO	PUBLIC DEFENDER Dept.#PD	03-31-2022	19 YRS 01½ MOS
JON K. KRUIZENGA	CHILD SUPPORT SERVICES Dept.#CD	01-30-2022	30 YRS 05 MOS
RADHIKA KUMAR	PUBLIC HEALTH PROGRAM Dept.#PH	01-28-2022	04 YRS 01 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
FRANK KUO	PUBLIC WORKS Dept.#PW	01-28-2022	34 YRS 03 MOS
TONY F. KWOK	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-28-2022	30 YRS 05 MOS
NATALIA T. LA ROSA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	20 YRS 07 MOS
GERALD M. LANDACRE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	01-02-2022	20 YRS 07½ MOS
BELINDA J. LARSEN	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	33 YRS 06½ MOS
MELODIE LARSEN	COUNTY COUNSEL Dept.#CC	01-29-2022	09 YRS 04 MOS
ALICE S. LARUE	SHERIFF Dept.#SH	03-31-2022	32 YRS ½ MOS
SARA F. LAVENDER	PROBATION DEPARTMENT Dept.#PB	12-30-2021	41 YRS ½ MOS
LOK LAW	INTERNAL SERVICES Dept.#IS	01-01-2022	28 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
COLIN LAW	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	32 YRS 11 MOS
MELODY LAYNE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	31 YRS 09½ MOS
STEVE G. LEE	AMBULATORY CARE NETWORK Dept.#HN	11-27-2021	42 YRS 00 MOS
HENRY M. LEE	PUBLIC WORKS Dept.#PW	01-01-2022	41 YRS 08½ MOS
MARTHA G. LEES	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-16-2022	24 YRS 02 MOS
KARIEL P. LEONARD	CHILDREN & FAMILY SERVICES Dept.#CH	01-16-2022	37 YRS 02 MOS
ELIZABETH LEOS-MUNOZ	SHERIFF Dept.#SH	03-01-2022	35 YRS 07½ MOS
ANDY C. LEU	CHILDREN & FAMILY SERVICES Dept.#CH	01-31-2022	15 YRS 01½ MOS
NGAIMUI LEUNG-LI	SHERIFF Dept.#SH	01-01-2022	12 YRS 06½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SAMANTHA S. LI	INTERNAL SERVICES Dept.#IS	12-31-2021	34 YRS 05½ MOS
IRA E. LICHTMAN	ASSESSOR Dept.#AS	01-31-2022	28 YRS 05½ MOS
MIRIAM C. LIM	ASSESSOR Dept.#AS	03-31-2022	30 YRS 08½ MOS
HILDE M. LINN	ASSESSOR Dept.#AS	01-29-2022	20 YRS 02 MOS
JULIE M. LOEB	MENTAL HEALTH Dept.#MH	01-02-2022	20 YRS ½ MOS
MEEI I. LOH	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-31-2022	36 YRS 02½ MOS
HAZEL D. LONG	CHILDREN & FAMILY SERVICES Dept.#CH	12-20-2021	30 YRS 09 MOS
ELSA LOPEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	27 YRS 05½ MOS
ANA LOPEZ	MEDICAL EXAMINER-CORONER Dept.#ME	11-30-2021	20 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MICHAEL J. LOUVIERE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-01-2022	35 YRS 01½ MOS
BERTHA A. LUCERO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	30 YRS 00 MOS
YERVAND LULEDZHIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-24-2022	26 YRS 10 MOS
LINDA V. LUNA	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	12-31-2021	29 YRS 02½ MOS
TRINH L. MAC	INTERNAL SERVICES Dept.#IS	01-29-2022	31 YRS 05 MOS
CORAZON D. MACIAS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	22 YRS 07 MOS
TERRY MAH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-03-2022	30 YRS ½ MOS
JEAN N. MAHFOUZ	MENTAL HEALTH Dept.#MH	01-01-2022	15 YRS 07½ MOS
MARCELLOUS L. MANICAICIENS	CHILDREN & FAMILY SERVICES Dept.#CH	01-10-2022	20 YRS 11½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MICHAEL L. MANY	PUBLIC DEFENDER Dept.#PD	03-31-2022	26 YRS 07½ MOS
ANGELICA L. MARES	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-19-2022	32 YRS 09 MOS
RICHARD R. MARGET	PUBLIC HEALTH PROGRAM Dept.#PH	01-17-2022	14 YRS 09 MOS
MARY MARQUEZ	AMBULATORY CARE NETWORK Dept.#HN	12-31-2021	46 YRS ½ MOS
RANDY W. MARTIN	INTERNAL SERVICES Dept.#IS	12-31-2021	22 YRS 07½ MOS
LINDA A. MASCARENAS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	22 YRS 10½ MOS
HOLLAND D. MATTISON	SHERIFF Dept.#SH	01-28-2022	24 YRS 05 MOS
KENNETH MATTISON	PUBLIC HEALTH PROGRAM Dept.#PH	01-01-2022	37 YRS 11½ MOS
ARTHUR W. MAYFIELD II	PROBATION DEPARTMENT Dept.#PB	01-21-2022	39 YRS 05 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
HATTIE P. MAYS	DISTRICT ATTORNEY Dept.#DA	11-23-2021	33 YRS 07½ MOS
PANDORA F. MCDANIEL	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	01-31-2022	35 YRS 11½ MOS
CAROLYN J. MCKNIGHT	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-30-2021	22 YRS 05 MOS
ILEANA B. MENDEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-26-2022	35 YRS 09 MOS
MARY G. MENDIBLES	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-30-2021	52 YRS 07 MOS
ROBERT MENESES	ALTERNATE PUBLIC DEFENDER Dept.#AD	12-10-2021	39 YRS ½ MOS
JOHN M. MESTA	PUBLIC HEALTH PROGRAM Dept.#PH	01-03-2022	18 YRS 01½ MOS
ORAPAN MINCHAINUNT	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	11-30-2021	23 YRS 01 MOS
RONALD J. MOHR III	INTERNAL SERVICES Dept.#IS	01-31-2022	38 YRS 04½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ALFONSO E. MONTES	PROBATION DEPARTMENT Dept.#PB	09-28-2021	26 YRS 04 MOS
SANDRA R. MOORE	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	33 YRS 07½ MOS
SHARON L. MOORE	HEALTH SERVICES ADMINISTRATION Dept.#HS	01-29-2022	35 YRS 07 MOS
TIMOTHY K. MOORE	AMBULATORY CARE NETWORK Dept.#HN	01-29-2022	36 YRS 05 MOS
SALLY A. MOORE	SHERIFF Dept.#SH	01-26-2022	28 YRS 01 MOS
WILLIE L. MOORE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-29-2021	35 YRS 05 MOS
FLORA MORADI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-26-2022	21 YRS 00 MOS
ROSALIN P. MORALES	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-01-2021	31 YRS 10½ MOS
BRUCE MORRIS	INTERNAL SERVICES Dept.#IS	12-31-2021	46 YRS 10½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DRUCILLA MORRIS	SHERIFF Dept.#SH	11-27-2021	44 YRS 06 MOS
JOHN M. MOULIN	DISTRICT ATTORNEY Dept.#DA	01-13-2022	40 YRS 10½ MOS
KAREN MURCIA	DISTRICT ATTORNEY Dept.#DA	01-29-2022	30 YRS 04 MOS
MARTHA MURIEL	AMBULATORY CARE NETWORK Dept.#HN	01-29-2022	26 YRS 06 MOS
CARLETHIA MURRAY	PROBATION DEPARTMENT Dept.#PB	01-01-2022	17 YRS 10½ MOS
EDWIN S. NAJERA	ALTERNATE PUBLIC DEFENDER Dept.#AD	10-28-2021	13 YRS 09½ MOS
GERTRUDE NANTABA	PUBLIC HEALTH PROGRAM Dept.#PH	02-24-2022	38 YRS 04 MOS
BEVERLY J. NASH	SHERIFF Dept.#SH	01-29-2022	22 YRS 03 MOS
ALICIA B. NAVARRETE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-01-2022	31 YRS 06½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ELVIRA NAVARRO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-03-2022	28 YRS 04½ MOS
JAVIER F. NAVARRO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-03-2021	13 YRS 05½ MOS
SHAREN NEGRON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	30 YRS 10 MOS
CRAIG A. NORRIS	PROBATION DEPARTMENT Dept.#PB	02-01-2022	38 YRS 05½ MOS
RANDALL A. NUNNALLY	PROBATION DEPARTMENT Dept.#PB	11-16-2021	26 YRS 02½ MOS
BONIFACE I. OBIH	PROBATION DEPARTMENT Dept.#PB	12-31-2021	33 YRS 07½ MOS
PHILLIP OCHOA	INTERNAL SERVICES Dept.#IS	01-29-2022	36 YRS 11 MOS
WESLEY T. ODA	ASSESSOR Dept.#AS	01-22-2022	10 YRS 03 MOS
PAULINE OGHENEKOHWO	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	12-31-2021	30 YRS 07½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GINA M. ONTIVEROS	INTERNAL SERVICES Dept.#IS	03-31-2022	36 YRS ½ MOS
GEORGETA OPRESCU	ASSESSOR Dept.#AS	01-29-2022	32 YRS 09 MOS
OFELIA ORTEGA	DISTRICT ATTORNEY Dept.#DA	01-29-2022	42 YRS 09 MOS
CLAUDIA L. ORTEGA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-01-2022	38 YRS 03½ MOS
ROSANNE OVERTON	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	13 YRS 10½ MOS
PELAGIO L. PASION	SHERIFF Dept.#SH	01-31-2022	15 YRS 05½ MOS
CEDRIC L. PAYNE	PUBLIC DEFENDER Dept.#PD	01-29-2022	31 YRS 07 MOS
ANNABEL R. PEREZ	CHILD SUPPORT SERVICES Dept.#CD	01-01-2022	26 YRS 08½ MOS
SYLVIA PEREZ	SHERIFF Dept.#SH	12-27-2021	27 YRS 00 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LINDA D. PERKINS	CHILD SUPPORT SERVICES Dept.#CD	11-30-2021	14 YRS 05½ MOS
JANET PETERS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-01-2022	16 YRS 07 MOS
FARAHNAZ PEZESHKI	MENTAL HEALTH Dept.#MH	01-03-2022	21 YRS 05½ MOS
NGOC T. PHAM	REG-RECORDER/COUNTY CLERK Dept.#RR	01-29-2022	35 YRS 11 MOS
NAM H. PHUNG	REG-RECORDER/COUNTY CLERK Dept.#RR	01-29-2022	20 YRS 01 MOS
SHELLY A. PIERCE	SHERIFF Dept.#SH	01-14-2022	25 YRS ½ MOS
HERACH PILIKIAN	ASSESSOR Dept.#AS	01-01-2022	28 YRS ½ MOS
MARCIA W. PORTER	MENTAL HEALTH Dept.#MH	01-01-2022	23 YRS ½ MOS
KATHERYN T. PORTER	CHIEF EXECUTIVE OFFICE Dept.#AO	02-26-2022	33 YRS 01 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ALLEN QUACH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-05-2022	22 YRS 06½ MOS
JOSE M. QUEVEDO	PUBLIC WORKS Dept.#PW	01-31-2022	42 YRS 06½ MOS
SHELVA J. QUININE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	15 YRS 08½ MOS
ARTURO QUINTERO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-28-2021	22 YRS 03 MOS
ROSA M. QUINTERO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	46 YRS 08 MOS
ROCKY M. QUINTERO	CHILD SUPPORT SERVICES Dept.#CD	12-27-2021	24 YRS 05 MOS
MANSOUREH RAHIMI	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	32 YRS 02½ MOS
DEBRA A. RANKIN	CHILDREN & FAMILY SERVICES Dept.#CH	01-01-2022	15 YRS 08½ MOS
BOBBY B. RAO	SHERIFF Dept.#SH	02-25-2022	30 YRS 03 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BARBARA E. RATTLER	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	11-27-2021	25 YRS 07 MOS
DEEPIKA REDDY	INTERNAL SERVICES Dept.#IS	01-22-2022	27 YRS 07 MOS
ERNESTINE REDMOND	PUBLIC LIBRARY Dept.#PL	01-01-2022	46 YRS 02½ MOS
RAYMOND REGALADO	WORKFORCE DEV AGING & COMM SVC Dept.#CS	01-31-2022	21 YRS 03½ MOS
STEVE R. RENTERIA	SHERIFF Dept.#SH	01-29-2022	38 YRS 09 MOS
GUIDO B. REYES	CORRECTIONAL HEALTH Dept.#HC	01-01-2022	14 YRS 06½ MOS
DAVID E. RICE	PUBLIC DEFENDER Dept.#PD	01-01-2022	29 YRS 01½ MOS
MICHAEL T. ROACH	PUBLIC WORKS Dept.#PW	01-14-2022	30 YRS 01½ MOS
EVA M. RODRIGUEZ	AMBULATORY CARE NETWORK Dept.#HN	01-01-2022	33 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JESUS C. RODRIGUEZ	INTERNAL SERVICES Dept.#IS	01-29-2022	35 YRS 00 MOS
ANNA L. ROGERS	CHILDREN & FAMILY SERVICES Dept.#CH	12-01-2021	27 YRS 06½ MOS
ROSA ROMAN	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	02-28-2022	10 YRS 01 MOS
HAZEL A. ROMERO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-24-2021	27 YRS 09 MOS
WILLIAM G. ROWE	ASSESSOR Dept.#AS	10-27-2021	34 YRS 05 MOS
DELIA RUIZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-29-2022	42 YRS 07½ MOS
THERESA D. RUPEL	CHILDREN & FAMILY SERVICES Dept.#CH	01-07-2022	37 YRS 04½ MOS
MARK A. SABATONI	CHILDREN & FAMILY SERVICES Dept.#CH	01-29-2022	18 YRS 07 MOS
CECILIA E. SABENIANO	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	27 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
NAZEM W. SAID	PUBLIC WORKS Dept.#PW	01-07-2022	25 YRS 06½ MOS
CRISTINA SALCIDO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-28-2022	31 YRS 11½ MOS
MECHELLE M. SAMUEL	PUBLIC WORKS Dept.#PW	12-31-2021	35 YRS ½ MOS
ELSA SANCHEZ	SHERIFF Dept.#SH	01-29-2022	29 YRS 02 MOS
MARIA C. SANCHEZ	REG-RECORDER/COUNTY CLERK Dept.#RR	12-15-2021	18 YRS 08½ MOS
ALMA S. SANDOVAL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-03-2022	24 YRS 05 MOS
MARIA TERESI P. SAPITAN	ASSESSOR Dept.#AS	03-31-2022	30 YRS 11½ MOS
BEVERLY P. SCOTT	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-26-2022	48 YRS 02 MOS
ROBERT W. SCRIBNER	HEALTH SERVICES ADMINISTRATION Dept.#HS	12-10-2021	11 YRS 07 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CHANIDA SENIWONG	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	02-26-2022	29 YRS 05 MOS
KAREN J. SERNA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-31-2022	33 YRS ½ MOS
YOLANDA SHAW	PUBLIC HEALTH PROGRAM Dept.#PH	01-25-2022	48 YRS 06 MOS
EVE SHEEDY	PUBLIC HEALTH PROGRAM Dept.#PH	01-01-2022	03 YRS 04½ MOS
SUSAN M. SHERMAN	PUBLIC WORKS Dept.#PW	01-28-2022	29 YRS 01 MOS
DUKE H. SHIBATA	PROBATION DEPARTMENT Dept.#PB	01-29-2022	38 YRS 07 MOS
COLLEEN A. SHIELDS	MENTAL HEALTH Dept.#MH	12-31-2021	10 YRS 05½ MOS
LAURA W. SHOTZBARGER	CHILDREN & FAMILY SERVICES Dept.#CH	01-01-2022	33 YRS 03½ MOS
NARCISO L. SIBAL JR.	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	21 YRS ½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LAURIE A. SMALL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-22-2022	36 YRS 06 MOS
LEE D. SMITH	PROBATION DEPARTMENT Dept.#PB	02-12-2022	35 YRS 04½ MOS
MYRNA I. SMITH	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	11-16-2021	14 YRS 11½ MOS
MONICA L. SMITH	PUBLIC HEALTH PROGRAM Dept.#PH	12-04-2021	17 YRS 04½ MOS
PATRICIA A. SMITH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	35 YRS 02½ MOS
RICHARD H. SOTO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-31-2021	26 YRS 09½ MOS
IRMA L. SOUFER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	22 YRS 06½ MOS
BEVERLY A. SPICER	CHILD SUPPORT SERVICES Dept.#CD	12-31-2021	44 YRS 10 MOS
PAULINE E. STARKS	PROBATION DEPARTMENT Dept.#PB	10-29-2021	36 YRS 06½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BRETT A. STAUFFER	PUBLIC LIBRARY Dept.#PL	01-29-2022	25 YRS 07 MOS
ADRINE STEPANIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	32 YRS 11½ MOS
MARSHALL STEWART JR	PROBATION DEPARTMENT Dept.#PB	01-29-2022	34 YRS 02 MOS
YOUNG W. SUH	SHERIFF Dept.#SH	01-29-2022	27 YRS 04 MOS
LORNA A. SURENDRANATH	MENTAL HEALTH Dept.#MH	11-30-2021	44 YRS 08½ MOS
SHEREE M. SWINT	CHILDREN & FAMILY SERVICES Dept.#CH	12-10-2021	18 YRS 05 MOS
ESTELITA SY	REG-RECORDER/COUNTY CLERK Dept.#RR	12-31-2021	35 YRS 05½ MOS
CLARO C. TAJON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-30-2021	21 YRS 10½ MOS
GALE B. TATE	CHILDREN & FAMILY SERVICES Dept.#CH	01-29-2022	39 YRS 05 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TEARAH TAYLOR	PUBLIC HEALTH PROGRAM Dept.#PH	01-01-2022	31 YRS 06½ MOS
JAMES TAYLOR	HEALTH SERVICES ADMINISTRATION Dept.#HS	01-29-2022	15 YRS 10 MOS
BERTHA M. TAYLOR	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	38 YRS ½ MOS
LOUBERTA TEDFORD	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	36 YRS 10 MOS
JOHN A. TELMOS	HEALTH SERVICES ADMINISTRATION Dept.#HS	01-28-2022	20 YRS 02 MOS
JEREATHA THOMAS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	50 YRS 09½ MOS
DORETTA N. THOMPSON	PROBATION DEPARTMENT Dept.#PB	12-03-2021	26 YRS 05½ MOS
LYNN TREVINO	HEALTH SERVICES ADMINISTRATION Dept.#HS	12-31-2021	45 YRS 05 MOS
CONRAD TRINIDAD	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-29-2022	36 YRS 07 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MONIQUE TSOI	AUDITOR - CONTROLLER Dept.#AU	01-29-2022	13 YRS 01 MOS
ANDRE TUCKER	PUBLIC WORKS Dept.#PW	03-30-2022	17 YRS 00 MOS
SHERRIL L. TUNGATE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-19-2021	33 YRS 07 MOS
TERRY E. TURNER	PROBATION DEPARTMENT Dept.#PB	12-10-2021	34 YRS 10½ MOS
MARY A. TYRA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2021	41 YRS 03 MOS
ALLEN N. UDE	PUBLIC WORKS Dept.#PW	01-28-2022	34 YRS 08 MOS
CALVIN G. UNG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	07 YRS 06½ MOS
LORRAINE VALDEZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-29-2022	41 YRS 09 MOS
ANN W. VAN NESS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-01-2022	41 YRS 11½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BEATRIZ C. VARGAS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	01-01-2022	36 YRS 06½ MOS
ROBERT P. VASQUEZ	PUBLIC HEALTH PROGRAM Dept.#PH	01-01-2022	29 YRS 01½ MOS
VERYETI D. VASSEL	MENTAL HEALTH Dept.#MH	01-29-2022	37 YRS 00 MOS
SYLVIA VERDUGO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-29-2022	25 YRS 00 MOS
YOLANDA U. VILLAR	CORRECTIONAL HEALTH Dept.#HC	01-29-2022	10 YRS 06 MOS
KIMCUC VU	INTERNAL SERVICES Dept.#IS	01-01-2022	39 YRS 08½ MOS
IRENE WAKABAYASHI	DISTRICT ATTORNEY Dept.#DA	02-12-2022	38 YRS 07½ MOS
DOTTY WARD	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-30-2022	37 YRS 04 MOS
VICKI D. WARD	PUBLIC HEALTH PROGRAM Dept.#PH	01-29-2022	30 YRS 02 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DAVID T. WEI	CHIEF EXECUTIVE OFFICE Dept.#AO	01-29-2022	32 YRS 03 MOS
CAROL WIEDER	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	21 YRS 08½ MOS
YOLANDA WIGDERSON	PUBLIC HEALTH PROGRAM Dept.#PH	01-15-2022	43 YRS 09½ MOS
LYNN J. WILLERS	SHERIFF Dept.#SH	03-31-2022	31 YRS 04½ MOS
MARLO C. WILLIS	SHERIFF Dept.#SH	12-31-2021	32 YRS ½ MOS
SHIRLEY A. WILSON	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-30-2021	45 YRS 01 MOS
JOHNNY WONG	PUBLIC WORKS Dept.#PW	03-01-2022	34 YRS 02½ MOS
MINA L. WOSK	PUBLIC HEALTH PROGRAM Dept.#PH	11-27-2021	42 YRS 00 MOS
NEJDEH YEGHIAZARIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	28 YRS ½ MOS

**BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022**

**BENEFIT APPROVAL LIST**

**GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT**

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
STEPHANIE A. YINGER	BEACHES & HARBORS Dept.#BH	01-31-2022	05 YRS ½ MOS
JASMEN ZADOORIAN	MENTAL HEALTH Dept.#MH	01-28-2022	16 YRS 04½ MOS
LUCY V. ZAKARIAN	SHERIFF Dept.#SH	01-28-2022	13 YRS 00 MOS
AMIR ZANDIEH	PUBLIC WORKS Dept.#PW	01-28-2022	37 YRS 05 MOS
LIWAYWAY L. ZAPANTA	MENTAL HEALTH Dept.#MH	03-31-2022	14 YRS 02½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### SAFETY SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
NANCY CASTRO	SHERIFF Dept.#SH	08-01-2021	05 YRS 06 MOS
WIFE of DANNY M CASTRO dec'd on 07-31-2021, Sect. #31781.1			
JENNIFER D. MYERS	SHERIFF Dept.#SH	10-21-2021	22 YRS 11 MOS
WIFE of ANDREW L MYERS dec'd on 10-20-2021, Sect. #31781.1			
TAMMY A. RASCH	SHERIFF Dept.#SH	09-12-2021	21 YRS 02½ MOS
WIFE of TIM S RASCH dec'd on 09-11-2021, Sect. #31781.1			

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PERCIVAL D. CLARITO	HEALTH SERVICES ADMINISTRATION Dept.#HS	08-30-2021	21 YRS 06 MOS
SPOUSE of ROSANNA DOMINGO CLAR dec'd on 08-29-2021, Sect. #31781.3			
MARIA SANCHEZ	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	01-23-2021	09 YRS 03 MOS
SPOUSE of JOSE S SANCHEZ dec'd on 01-22-2021, Sect. #31781.1			

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
AYKANUSH ARABIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-19-2021	24 YRS 01½ MOS
JOHN BANGE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-15-2021	02 YRS 03 MOS
TINA R. BLUE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-27-2021	11 YRS 08 MOS
ROBERTA CAMPBELL	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	12-16-2021	10 YRS 04 MOS
DEBORAH R. CANNON	SOUTHWEST CLUSTER (MLK JR MC) Dept.#HK	08-25-2021	11 YRS 10 MOS
JOSUE CARVAJAL	SUPERIOR COURT/COUNTY CLERK Dept.#SC	01-11-2022	13 YRS 10 MOS
ROWAN T. CHLEBOWSKI	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	11-17-2021	41 YRS 11 MOS
AMY S. CHOE	ALCOHOL/DRUG PGMS. ADMIN. Dept.#PG	12-24-2021	14 YRS 00 MOS
DAGMAR DE MURO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-10-2021	06 YRS 10½ MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LESLIE DUNLAP	PARKS AND RECREATION Dept.#PK	12-04-2021	18 YRS 00 MOS
ALICE M. ELZIE	INTERNAL SERVICES Dept.#IS	11-08-2021	12 YRS 03 MOS
JEAN F. ESPER	Dept.#527	11-03-2021	11 YRS 02 MOS
BEATRICE FUENTES	CHILD SUPPORT SERVICES Dept.#CD	12-01-2021	02 YRS 03 MOS
ELEANOR GARCIA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	11-23-2021	12 YRS 00 MOS
PATRICIA E. HATCHETT	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	11-09-2021	06 YRS 08 MOS
AUTUMN D. HOLMES	SHERIFF Dept.#SH	12-31-2021	11 YRS 06 MOS
SYNTHIA A. JEFFERSON	MENTAL HEALTH Dept.#MH	11-23-2021	01 YRS 02 MOS
FLORENCE L. LAMBERT	CHILDREN & FAMILY SERVICES Dept.#CH	11-30-2021	10 YRS 01 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DORLAH J. LAWRENCE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	12-31-2021	19 YRS 09½ MOS
QINKE LI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-30-2021	08 YRS 01 MOS
DIANE M. LOWERY-BINNI	PUBLIC WORKS Dept.#PW	01-22-2022	11 YRS 06 MOS
MICHAELA G. MOELLER	SHERIFF Dept.#SH	11-02-2021	15 YRS 07 MOS
THOMAS M. NGUYEN	DISTRICT ATTORNEY Dept.#DA	12-04-2021	05 YRS 10 MOS
NOEL D. PINEDA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	11-29-2021	10 YRS 09 MOS
RICO RAFOLS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-18-2021	11 YRS 11 MOS
JACQUELINE D. RAYMER	PUBLIC HEALTH PROGRAM Dept.#PH	12-01-2021	16 YRS 06 MOS
JOHN E. REID	L A COUNTY FIRE DEPT Dept.#FR	10-01-2021	06 YRS 01 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
INDIRA O. RICHARDS	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	01-05-2022	08 YRS 03 MOS
LURA E. ROBERSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-31-2021	10 YRS 09 MOS
JANET A. ROLPH	MENTAL HEALTH Dept.#MH	11-18-2021	05 YRS 00 MOS
JESSICA T. SARMIENTO	PUBLIC WORKS Dept.#PW	12-30-2021	07 YRS 06 MOS
ROSEMARY SIERRA	CHILDREN & FAMILY SERVICES Dept.#CH	06-11-2021	13 YRS 11½ MOS
MARTHA T. SILVA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	12-18-2021	11 YRS 00 MOS
STEPHANIE C. THOMAS	CHILDREN & FAMILY SERVICES Dept.#CH	12-31-2021	12 YRS ½ MOS
SILVIA TURNER	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	01-19-2022	14 YRS 01 MOS
DEAN WAHLS	ASSESSOR Dept.#AS	12-04-2021	16 YRS 09 MOS

# BOARD OF RETIREMENT MEETING OF JANUARY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MICHELLE C. WARRINER	SUPERIOR COURT/COUNTY CLERK Dept.#SC	12-15-2021	30 YRS 02 MOS
ISABEL A. WEEKS	SHERIFF Dept.#SH	02-05-2022	31 YRS 02 MOS
CRAIG A. WEEKS	L A COUNTY FIRE DEPT Dept.#FR	12-01-2021	21 YRS 02 MOS
DARIN R. WILSON	L A COUNTY FIRE DEPT Dept.#FR	12-10-2021	16 YRS 08½ MOS
KIAN-TI T. YU	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-27-2021	09 YRS 05 MOS

**BOARD OF RETIREMENT MEETING OF JANUARY 5, 2021  
RESCISSIONS/CHANGES FROM BENEFIT APPROVAL LIST  
APPROVED ON NOVEMBER 30, 2021**

**SAFETY MEMBER APPLICATIONS FOR SERVICE RETIREMENT**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>UPDATE</b>
EDWARD S GILPIN	SHERIFF	CHANGE OF DATE TO December 31, 2021

**GENERAL MEMBER APPLICATIONS FOR SERVICE RETIREMENT**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>UPDATE</b>
DENISE J JOHNSON	PROBATION DEPARTMENT	CHANGE OF DATE TO January 1, 2022
GLENN-ERIK KLEVDAL	PARKS AND RECREATION	CHANGE OF DATE TO January 15, 2022
DEBRA CANALES- MONTROYA	DISTRICT ATTORNEY	CHANGE OF DATE TO January 1, 2022
JANA L KNOWLES	DISTRICT ATTORNEY	CHANGE OF DATE TO January 27, 2022
ESTHER N ENUNWAONYE	CHILDREN & FAMILY SERVICES	CHANGE OF DATE TO January 1, 2022
CATHLYN JENNINGS	HEALTH SERVICES ADMINISTRATION	CHANGE OF DATE TO December 16, 2021
LUIS E COLATO	SFV CLUSTER-OLIVE VIEW/UCLA MC	RESCINDED RETIREMENT
BARBARA A BARNES	SHERIFF	CHANGE OF DATE TO January 1, 2022
MARIA ELSA CARDENAS- MCANALLEN	SUPERIOR COURT/COUNTY CLERK	CHANGE OF DATE TO January 7, 2022
WENDY A HUDSON	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO October 9, 2021
ROCHELLE L KIDD	SHERIFF	CHANGE OF DATE TO January 1, 2022
DEBRA L ROBINSON	CHILDREN & FAMILY SERVICES	RESCINDED RETIREMENT
NYDIA M BONAZZOLA	COUNTY COUNSEL	CHANGE OF DATE TO October 30, 2021
REBECCA E MARTINEZ	L A COUNTY FIRE DEPT	CHANGE OF DATE TO January 29, 2022

ANNE M WORKMAN	PUBLIC HEALTH PROGRAM	CHANGE OF DATE TO December 1, 2021
SUSAN S LU	ASSESSOR	CHANGE OF DATE TO January 4, 2022
GAYANE CHIMAYAN	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO January 1, 2022
DEBBIE C THORNTON	AMBULATORY CARE NETWORK	CHANGE OF DATE TO January 1, 2022
HUEY C HUANG	MENTAL HEALTH	RESCINDED RETIREMENT
ROSALIE SUSAN ANDRADA	SFV CLUSTER-OLIVE VIEW/UCLA MC	CHANGE OF DATE TO January 31, 2022



December 23, 2021

TO: Each Trustee  
Board of Retirement

FROM: Ricki Contreras, Division Manager  
Disability Retirement Services

SUBJECT: **APPEAL FOR THE BOARD OF RETIREMENT'S MEETING  
OF JANUARY 5, 2022**

**IT IS RECOMMENDED** that the Board of Retirement grant the appeal and request for administrative hearing received from the following applicant, and direct the Disability Retirement Services Manager to refer this case to a referee:

5215B	Susan H. Wen	In Pro Per	Deny SCD – Employer Can Accommodate
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RC:kw  
Memo.New  
Appeals.docx



December 21, 2021

TO: Each Trustee  
Board of Retirement

FROM: Ricki Contreras, Manager   
Disability Retirement Services Division

FOR: January 5, 2022 Board of Retirement Meeting

SUBJECT: **DISMISS WITH PREJUDICE THE APPEAL OF ERICA A. TORRES**

Ms. Erica A. Torres applied for a service-connected disability retirement on June 27, 2016. On December 14, 2017, the Board denied her application for service-connected disability retirement.

Ms. Torres' attorney filed a timely appeal. On December 9, 2021, the applicant's attorney advised LACERA that his client did not wish to proceed with her appeal.

**IT IS THEREFORE RECOMMENDED THAT THE BOARD:**

Dismiss with prejudice Erica A. Torres' appeal for a service-connected disability retirement.

FJB: RC: mb

Torres, Erica A.docx

Attachment

NOTED AND REVIEWED:

  
Francis J. Boyd, Sr. Staff Counsel

Date: 12/21/21

December 17, 2021

TO: Trustees – Board of Retirement

FROM: Fern M. Billingsy   
Senior Staff Counsel

DATE: Board of Retirement Meeting of January 5, 2022

SUBJECT: **COMPENSATION EARNABLE & PENSIONABLE COMPENSATION**

## **INTRODUCTION**

The Board of Retirement is charged with determining which items of compensation qualify as pensionable earnings includable in the member's retirement allowance. The Superior Court for the County of Los Angeles recently requested determination of two items of compensation. Based on our review, we have included recommendations regarding inclusion or exclusion within the definition of "final compensation" when calculating a member's benefit. Our analysis of these items is attached as Exhibit A for review.

## **COMPENSATION EARNABLE**

In January of 1998, the Board determined that, pursuant to the California Supreme Court's decision in Ventura County Deputy Sheriff's Association v. County of Ventura (1997) 16 Cal. 4<sup>th</sup> 483, certain items of remuneration must be included in the definition of "compensation earnable." The Board then adopted Resolution 98-001 identifying those items. Since that time, other Resolutions have been adopted when new items of compensation are determined to be included in or excluded from the definition of "compensation earnable." In making those determinations, the Board reviewed analysis of all items of compensation and adopted recommendations from the Legal Office regarding the definition of "compensation earnable." Section 31461 defines "compensation earnable." It states:

- (a) “Compensation earnable” by a member means the average compensation as determined by the board, for the period under consideration upon the basis of the average number of days ordinarily worked by persons in the same grade or class of positions during the period, and at the same rate of pay. The computation for any absence shall be based on the compensation of the position held by the member at the beginning of the absence. Compensation, as defined in Section 31460, that has been deferred shall be deemed “compensation earnable” when earned, rather than when paid.
- (b) “Compensation earnable” does not include, in any case, the following:
  - (1) Any compensation determined by the board to have been paid to enhance a member’s retirement benefit under that system. That compensation may include:
    - (A) Compensation that had previously been provided in kind to the member by the employer or paid directly by the employer to a third party other than the retirement system for the benefit of the member, and which was converted to and received by the member in the form of a cash payment in the final average salary period.
    - (B) Any one-time or ad hoc payment made to a member, but not to all similarly situated members in the member’s grade or class.
    - (C) Any payment that is made solely due to the termination of the member’s employment, but is received by the member while employed, except those payments that do not exceed what is earned and payable in each 12-month period during the final average salary period regardless of when reported or paid.

- (2) Payments for unused vacation, annual leave, personal leave, sick leave, or compensatory time off, however denominated, whether paid in a lump sum or otherwise, in an amount that exceeds that which may be earned and payable in each 12-month period during the final average salary period, regardless of when reported or paid.
  - (3) Payments for additional services rendered outside of normal working hours, whether paid in a lump sum or otherwise.
  - (4) Payments made at the termination of employment, except those payments that do not exceed what is earned and payable in each 12-month period during the final average salary period, regardless of when reported or paid.
- (c) The terms of subdivision (b) are intended to be consistent with and not in conflict with the holdings in *Salus v. San Diego County Employees Retirement Association* (2004) 117 Cal.App.4th 734 and *In re Retirement Cases* (2003) 110 Cal.App.4th 426.

## **PENSIONABLE COMPENSATION**

With the enactment of the California Public Employees' Pension Act of 2013 (PEPRA), new members are subject to the definition of "pensionable compensation" in Section 7522.34(a), which states:

"Pensionable compensation" of a new member of any public retirement system means the normal monthly rate of pay or base pay of a member paid in cash to similarly situated members of the same group or class of employment for services rendered on a full-time basis during normal working hours, pursuant to publicly available pay schedules. (Emphasis added).

This section provides that any compensation outside of base pay may not be included in final compensation when calculating a member's retirement allowance. However, "base pay" is not defined in the statute. The section goes on to specifically delineate which items of compensation should be excluded.

Subdivision (c) states:

- (c) "Pensionable compensation" does not include the following:
- (1) Any compensation determined by the board to have been paid to increase a member's retirement benefit under that system.
  - (2) Compensation that had previously been provided in kind to the member by the employer or paid directly by the employer to a third party other than the retirement system for the benefit of the member and which was converted to and received by the member in the form of a cash payment.
  - (3) Any one-time or ad hoc payments made to a member.
  - (4) Severance or any other payment that is granted or awarded to a member in connection with or in anticipation of a separation from employment, but is received by the member while employed.
  - (5) Payments for unused vacation, annual leave, personal leave, sick leave, or compensatory time off, however denominated, whether paid in a lump sum or otherwise, regardless of when reported or paid.
  - (6) Payments for additional services rendered outside of normal working hours, whether paid in a lump sum or otherwise.
  - (7) Any employer-provided allowance, reimbursement, or payment, including, but not limited to, one made for housing, vehicle, or uniforms.
  - (8) Compensation for overtime work, other than as defined in Section 207(k) of Title 29 of the United States Code.
  - (9) Employer contributions to deferred compensation or defined contribution plans.

- (10) Any bonus paid in addition to the compensation described in subdivision (a).
- (11) Any other form of compensation a public retirement board determines is inconsistent with the requirements of subdivision (a).
- (12) Any other form of compensation a public retirement board determines should not be pensionable compensation.

### **ITEMS OF COMPENSATION**

1. Superior Court Non-Pensionable Non-Elective Leave Buy Back – Item No. PKN03

Compensation earnable and pensionable compensation do not include payments for leave in excess of the amount which can be earned or payable within each 12-month period. This item represents payments in excess of the amount which can be earned within a 12-month period. This payment should be excluded for legacy and for PEPRA members.

**Recommendation:** Exclude under 31461  
Exclude under 7522.34

2. Superior Court Non-Pensionable Vacation Leave Buy Back – Item No. PKN21

Compensation earnable and pensionable compensation do not include payments for unused vacation in excess of the amount which can be earned or payable within each 12-month period. This item represents payments in excess of the amount which can be earned within a 12-month period. This payment should be excluded for legacy and for PEPRA members.

**Recommendation:** Exclude under 31461  
Exclude under 7522.34

Trustees – Board of Retirement  
December 17, 2021  
Re: Compensation Earnable & Pensionable Compensation  
Page 6

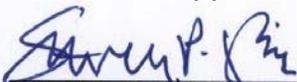
## **CONCLUSION**

Consistent with the foregoing, the attached Resolutions of the Board of Retirement specifying pay items as "Compensation Earnable" under Government Code section 31461 and "Pensionable Compensation" under Government Code section 7522.34 are submitted for approval by the Board.

## **IT IS THEREFORE RECOMMENDED THAT THE BOARD:**

1. Adopt the attached Resolutions, No. 2021-BR005 and No. 2021-BR006, specifying pay items as excluded from the definitions of "compensation earnable" and "pensionable compensation."
2. Instruct staff to coordinate with the County of Los Angeles to establish necessary reporting mechanism and procedures to permit LACERA to exclude these items from the calculation of final compensation.

Reviewed and Approved



Steven P. Rice  
Chief Counsel

Attachments

Billingsy/BOR/Comp Earn Pen Comp 12.17.21

# **EXHIBIT A**

**Attachment: Newly Discovered or Newly Created Codes  
reviewed under Section 31461 and 7522.34**

Event	Description	Earnings Code Description	31461 Reference	7522.34 Reference	Analysis
<b>EXCLUDED under Section 31461 and 7522.34</b>					
PKN03	<b>SUPERIOR COURT NON-PENSIONABLE NON-ELECTIVE LEAVE BUY BACK</b>	<p>This code was created to process non-pensionable Non-elective Leave payouts for Superior Court employees.</p> <p>Non-elective leave hours in excess of 480 hours are automatically paid out by the system annually, up to a maximum payout of 80 hours. Due to increased leave accruals that went into place in 2017, employees could be significantly over the 80 hour maximum payout in non-elective leave. In order to reduce the Superior Court's liability by having so many hours on the books, it has been manually processing a second round of payouts for the additional excess leave that is not automatically paid by the system.</p> <p>Implementation of the new pay code will allow the Court to minimize potential errors associated with staff's manual processing of payouts, as well as create additional flexibility for court management to offer future non-pensionable payouts during negotiations with bargaining unit representatives.</p>	(b)(2)	(c)(5)	<p>"Compensation earnable" under Section 31461(b)(2) does not include payments for unused vacation, annual leave, personal leave, sick leave, or compensatory time off, however denominated, whether paid in a lump sum or otherwise, in an amount that exceeds that which may be earned and payable in each 12-month period during the final average salary period, regardless of when reported or paid.</p> <p>"Pensionable compensation" under Section 7522.34(c)(5) does not include payments for unused vacation, annual leave, personal leave, sick leave, or compensatory time off, however denominated, whether paid in a lump sum or otherwise, regardless of when reported or paid.</p> <p>The recommendation is to EXCLUDE this payment from compensation earnable for LEGACY and pensionable compensation for PEPRA members.</p>
PKN21	<b>SUPERIOR COURT NON-PENSIONABLE VACATION LEAVE BUY BACK</b>	<p>This code was created to process non-pensionable Vacation Leave payouts for Superior Court employees.</p> <p>Vacation leave hours in excess of 504 hours are automatically paid out by the system annually, up to a maximum payout of 168 hours. Due to increased leave accruals that went into place in 2017, employees could be significantly over the 168 hour maximum payout in vacation leave. In order to reduce the Superior Court's liability by having so many hours on the books, it has been manually processing a second round of payouts for the additional excess leave that is not automatically paid by the system.</p> <p>Implementation of the new pay code will allow the Court to minimize potential errors associated with staff's manual processing of payouts, as well as create additional flexibility for court management to offer future non-pensionable payouts during negotiations with bargaining unit representatives.</p>	(b)(2)	(c)(5)	<p>"Compensation earnable" under Section 31461(b)(2) does not include payments for unused vacation, annual leave, personal leave, sick leave, or compensatory time off, however denominated, whether paid in a lump sum or otherwise, in an amount that exceeds that which may be earned and payable in each 12-month period during the final average salary period, regardless of when reported or paid.</p> <p>"Pensionable compensation" under Section 7522.34(c)(5) does not include payments for unused vacation, annual leave, personal leave, sick leave, or compensatory time off, however denominated, whether paid in a lump sum or otherwise, regardless of when reported or paid.</p> <p>The recommendation is to EXCLUDE this payment from compensation earnable for LEGACY and pensionable compensation for PEPRA members.</p>

**BEFORE THE BOARD OF RETIREMENT  
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION**

RESOLUTION OF THE BOARD OF  
RETIREMENT SPECIFYING ITEMS  
OF REMUNERATION AS  
“COMPENSATION EARNABLE”

RESOLUTION NO. 2021-BR005

WHEREAS, LACERA calculates retirement allowances based on a member’s “final compensation;”

WHEREAS, LACERA is required to include in the calculation of “final compensation” a member’s base pay, and certain other items of remuneration, if such remuneration qualifies as “compensation” under Government Code section 31460 and “compensation earnable” under Government Code section 31461;

WHEREAS, on March 4, 1998, the Board of Retirement adopted Resolution No. 98-004 specifying certain items of remuneration payable to employees of the County of Los Angeles which the Board determined qualify as “compensation” under Government Code section 31460 and “compensation earnable” under section 31461.

WHEREAS, on August 4, 1999, the Board of Retirement adopted Resolution No. 99-001 specifying an additional item of remuneration qualifies as “compensation” and “compensation earnable” under Government Code sections 31460 and 31461, respectively.

WHEREAS, the Court’s ruling in *Ventura County Deputy Sheriff’s Association v. County of Ventura* (1997) 16 Cal. 4<sup>th</sup> 483 became final on October 1, 1997, and requires LACERA to include in the calculation of retirement allowances various forms of remuneration not formerly included.

WHEREAS, on July 30, 2020, the California Supreme Court filed its decision entitled *Alameda County Deputy Sheriff's Association v. Alameda County Employees Retirement Association* (2020) 9 Cal.5th 1032 ("*Alameda*"). The *Alameda* decision concludes that all amendments to the definition of compensation earnable in Government Code section 31461, enacted as a result of the PEPRA and related statutory changes to CERL, effective January 1, 2013 are constitutional. The *Alameda* court also determined that CERL retirement boards have no discretion to include items in compensation earnable that section 31461 requires them to exclude.

NOW THEREFORE, BE IT RESOLVED, AS FOLLOWS:

1. The items of remuneration set forth in Attachment 1 do not qualify as "compensation earnable" as defined in Government Code section 31461, for purposes of calculating a member's retirement allowance.

BOARD OF RETIREMENT,  
LOS ANGELES COUNTY  
EMPLOYEES RETIREMENT ASSOCIATION

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Alan J. Bernstein  
Chair, Board of Retirement

Approved as to Form:

ATTEST:

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Steven P. Rice  
Chief Counsel

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Vivian H. Gray  
Vice Chair, Board of Retirement

ITEMS OF COUNTY REMUNERATION WHICH DO NOT QUALIFY AS  
"COMPENSATION," AS DEFINED BY GOVERNMENT CODE SECTION 31460,  
AND/OR "COMPENSATION EARNABLE," AS DEFINED BY GOVERNMENT CODE  
SECTION 31461.

EARNINGS CODE NO.	ITEM
036	ESP SEVERANCE
075	UNION HALL HIRING VACATION/HOLIDAY BENEFIT
076	FAMILY LEAVE
090	ENHANCED VOLUNTARY TIME OFF LESS THAN 60 DAYS
091	ENHANCED VOLUNTARY TIME OFF GREATER THAN 60DAYS
094	VACATION IN LIEU OF PAY
095	ENHANCED VOLUNTARY TIME OFF-SUPERIOR COURT
128	MILEAGE EARNINGS
129	PARKING
130	SHORT TERM DISABILITY – 60%
131	SHORT-TERM DISABILITY – 40%
140	SHORT TERM DISABILITY – 60% RDO
141	SHORT TERM DISABILITY – 40% RDO
151	INDUSTRIAL ACCIDENT – 100%
152	INDUSTRIAL ACCIDENT – 100% RDO
153	INDUSTRIAL ACCIDENT – 70%

154 INDUSTRIAL ACCIDENT – 70% RDO

158 LIMITED DUTY INDUSTRIAL ACCIDENT – 100%

159 LIMITED DUTY INDUSTRIAL ACCIDENTS – 70%

388 PSYCHIATRY JAIL BONUS

500 RELOCATE NON TAXABLE

502 RELOCATION ALLOWANCE

521 IRS PENALTY REIMBURSEMENT

524 ON-CALL FOR COURT APPEARANCE

527 RELIEF DAM OPERATOR, ON CALL

531 STANDBY

531SP STANDBY AUTH FOR SHERIFF & PUBLIC WORKS DEPTS ONLY  
BU 411/412

542 EMERGENCY WORKPLACE DIFFERENTIAL

543 CALL BACK EXTRA COMPENSATION

552 STANDBY – EMERGENCY ROLL OUT PROGRAM

559 MISCELLANEOUS LUMP SUM INCLUDED IN REG. OT

560 RECRUITMENT INCENTIVE PROGRAM

561 HOURS PAID BUT NOT WORKED, CALL-BACK

562 MENTAL HEALTH ALERT & PSYCH MOB RESP TEAMSTANDBY

563 RELIEF DAM OPERATIONS STAND-BY

564 TUITION REIMBURSEMENT

566 QUALIFIED FOR HAZARDOUS MATERIALS OVERTIMECALC.

568 ASSESSMENT APPEALS FULL DAY INCREMENT (HOURLYITEM)

569 PHYSICIANS LOAN REPAYMENT PROGRAM  
570 HOME CARE PROGRAM STANDBY  
574 STANDBY – INS WITNESS PROGRAM  
591 LICENSE REIMBURSEMENT  
650 PRESIDING JUDGE 4% BONUS  
651 MEAL REIMBURSEMENT – RESIDENTS  
652 MEAL REIMBURSEMENT – PLANT ENGINEERS  
690 CELLULAR PHONE STIPEND – VOICEMAIL  
691 CELLULAR PHONE STIPEND – DATA ONLY  
692 CELLULAR PHONE STIPEND – VOICE AND DATA  
699W FLEXIBLE WORK TIME EARNED  
701 PAID OVERTIME  
702 PAID OVERTIME – ACCRUE FLSA PREMIUM  
703 FLSA COMP TIME EARNED-ACCRUE FLSA PREMIUM  
705 COMPENSATORY TIME EARNED  
707 FY93 COMPENSATORY TIME EARNED  
708 FY93 FLSA COMP TIME EARNED – ACCRUE FLSA PREMIUM  
709 FY93 FLSA COMP TIME EARNED OVRD – ACCRUE FLSA  
PREMIUM  
710 DISASTER RELATED PAID OVERTIME  
711 DISASTER COMP TIME EARNED (ACCRUED)  
712 CONTRACT RELATED PAID OVERTIME

713 ER PHYSICIAN OVERTIME – DAY RATE

714 ER PHYSICIAN OVERTIME – WKDY EVE/WKND HOL DAY

715 ER PHYSICIAN OVERTIME – WKDY NITE/WKND HOL EVENITE

716 GUARANTEED PREMIUM

717 PAID OVERTIME – GUARANTEED ACCRUED FLSAPREMIUM

718 FLSA COMP TIME EARNED – GUARANTEED ACCRUED FLSA  
PREM

719 FLSA COMP TIME EARNED – GUARANTEED PAID PREMIUM

720 SPECIAL EVENTS OVERTIME

731 PREMIUM OVERTIME – SYSTEM

733 PREMIUM OVERTIME – MANUAL

735 FY93 ACCRUED FLSA PREMIUM OVERTIME (SYSTEM)

736 FY93 ACCRUED FLSA PREMIUM OVERTIME (MANUAL)

746 CALL BACK ACTUAL

747 CALL BACK GUARANTEED

761 STRAIGHT TIME AND ONE-HALF

775 SECONDARY OVERTIME

776 ALTERNATE OVERTIME

777 SECONDARY ASSIGNMENT OVERTIME

778 OVERTIME – FIRE DEPT. 56 HOUR

779 SECONDARY OVERTIME – FIRE DEPT. 56 HOUR

780 WORKDOWN OVERTIME – FIRE DEPT.

781 OVERTIME – FIRE DEPT. 40 HOUR  
782 PLATOON/40/HOUR/DISPATCHER SCHED PREMIUM –SYSTEM  
783 DISPATCHER BRIEFING TIME  
784 40 HOUR CAMP-GUARANTEED PREMIUM  
791 ORDERED OVERTIME  
792 UNCOMPENSATED BRIEFING TIME  
793 COMPENSATED BRIEFING TIME – SYSTEM  
794 COMPENSATED BRIEFING TIME – MANUAL  
795 FY93 ORDERED FLSA COMP TIME EARN – ACCR FLSAPREM  
796 ORDERED FLSA COMP TIME EARN-ACCR FLSA PREM  
799 FLEX REG HOURS BETWEEN 181 AND 192 FOR 40HR FIRE  
FIGHTERS  
901 COMPENSATORY TIME BUYBACK  
902 PROTECTED COMPENSATORY TIME BUYBACK  
904 ELECTIVE-LEAVE BUYBACK  
905 FLSA COMP TIME BUYBACK – PREMIUM  
906 FLSA COMP TIME BUYBACK – STRAIGHT  
907 FY93 COMPENSATORY TIME BUYBACK  
908 FY93 FLSA COMP TIME BUYBACK – PREMIUM  
909 FY93 FLSA COMP TIME BUYBACK – STRAIGHT  
916 VACATION IN LIEU OF PAY – BUYBACK  
917 DISASTER COMP TIME BUYBACK

918 FY93-56 HOUR COMP TIME BUYBACK – FIRE DEPT.

919 ACCRUED PREMIUM BUYBACK – SYSTEM

920 FY93 FLSA COMP TIME BUYBACK – PREMIUM (MANUAL)

951 ESP VACATION PAYOUT

952 FINAL PAY LEAVE PAYOUT (SICK, HOLIDAY, OT)

953 ESP LEAVE PAYOUT

954 VACATION PAYOUT

955 VACATION IN LIEU OF PAY – PAYOUT

957 56-HOUR LEAVE PAYOUT

958 56-HOUR TC VACATION

961 ESP DEFERRED VACATION PAYOUT

962 DEFERRED LEAVE PAYOUT

963 ESP DEFERRED LEAVE PAYOUT

964 DEFERRED VACATION PAYOUT

967 56-HOUR DEFERRED LEAVE PAYOUT

968 56-HOUR DEFERRED VACATION PAYOUT

970 FLSA PREMIUM COMPENSATORY TIME – PAYOUT

971 FY93 COMP TIME PAYOUT (EXCLUDING PREMIUMS)

OP005 PENSIONABLE STANDBY PAY

OP100 CORRECTIVE PAYMENT, REINSTATED EMPLOYEE – HORIZONS PLAN

OP101 CORRECTIVE PAYMENT, ADMINISTRATIVE ERROR – HORIZONS PLAN

OP102 CORRECTIVE PAYMENT, REINSTATED EMPLOYEE – SAVINGS PLAN

OP103 CORRECTIVE PAYMENT, ADMINISTATIVE ERROR – SAVINGS PLAN

PA099 ROUNDING ADJUSTMENT

PE803 EXCESS STRAIGHT – FLSA COMP TIME TAKEN

PE804 EXCESS PREMIUM – FLSA COMP TIME TAKEN

PE806 EXCESS STRAIGHT – FY93 FLSA COMP TIME TAKEN

PE807 EXCESS PREMIUM – FY93 FLSA COMP TIME TAKEN

PE813 CAPE – EXCESS STRAIGHT – FY93 FLSA COMP TIMETAKEN

PE814 CAPE - EXCESS PREMIUM – FY93 FLSA COMP TIME TAKEN

PFA36 FLEX EARNINGS ADVANCE

PK094 VACATION IN LIEU OF PAY

PK096 SUPERIOR COURT VACATION IN LIEU OF PAY

PK801 COMPENSATORY TIME TAKEN

PK802 PROTECTED COMPENSATORY TIME TAKEN

PK803 FLSA COMP TIME TAKEN – STRAIGHT

PK804 FLSA COMP TIME TAKEN – PREMIUM

PK805 FY93 COMPENSATORY TIME TAKEN

PK806 FY93 FLSA COMP TIME TAKEN – STRAIGHT

PK807 FY93 FLSA COMP TIME TAKEN – PREMIUM

PK808 DISASTER COMP TIME TAKEN

PK810 CALL BACK ACCRUE – STRAIGHT TAKEN

PK811 CALL BACK GUARANTEED CTO – BUY BACK

PK812 DFR 1 YR - NON-FLSA COMPENSATORY STRT TIME –USAGE

PK813 CAPE-FY93 FLSA COMP TIME TAKEN – STRAIGHT

PK814 CAPE-FY93 FLSA COMP TIME TAKEN – PREMIUM

PK815 DFR 1 YR – FLSA COMPENSATORY STRT TIME – USAGE

PK816 DFR 2 YRS – FLSA COMPENSATORY STRT TIME – USAGE

PK818 DFR 1 YR – FLSA PREMIUM OVERTIME USAGE

PK819 DFR 2 YR – FLSA PREMIUM OVERTIME USAGE

PK821 DFR 1 YR – CALL BACK - STRAIGHT USAGE

PKN03 SUPERIOR COURT NON-PENSIONABLE NON-ELECTIVE LEAVE  
BUY BACK

PKN21 SUPERIOR COURT NON-PENSIONABLE VACATION LEAVE BUY  
BACK

PO002 ELECTIVE LEAVE TERM PAY OFFSET

PO699 FLEXIBLE WORK SCHEDULE

PO703 STRAIGHT PAY OFFSET-FLSA COMP TIME EARNED –ACCRUE

PO705 STRAIGHT PAY OFFSET – COMPENSATORY TIME EARNED

PO711 STRAIGHT PAY OFFSET – DISASTER COMP TIME EARNEDACC

PO796 STRAIGHT PAY OFFSET-ORDERD FLSA COMP TM EARN –ACCR

PP005 STANDBY PAY - PENSIONABLE

PT002	ELECTIVE LEAVE
PT003	NON-ELECTIVE LEAVE
PT006	DONATED SICK 100% LEAVE – USAGE
PT008	SICK LEAVE EARNED AT MTA/ATTORNEY
PT011	SICK – 100%
PT012	HOLIDAY
PT021	VACATION
PT030	SPECIAL PAID LEAVE
PT031	APPRAISERS LEAVE
PT032	INTERN/RESIDENT LEAVE
PT046	JUDICIAL ASSISTANT SPECIAL PAID LEAVE
PT081	BANK HOLIDAY
PT082	BANK VACATION
PT094	VACATION IN LIEU OF PAY
PT096	SUPERIOR COURT VACATION IN LIEU OF PAY
PT099	REGULAR EARNINGS – MID PAY PERIOD TERMINATION
PT113	SICK PRE-71
PT699	FLEXIBLE WORK TIME EARNED
PT801	COMPENSATORY TIME TAKEN
PT802	PROTECTED COMPENSATORY TIME TAKEN

PT803	FLSA COMP TIME TAKEN – STRAIGHT
PT804	FLSA COMP TIME TAKEN – PREMIUM
PT805	FY93 COMPENSATORY TIME TAKEN
PT806	FY93 FLSA COMP TIME TAKEN – STRAIGHT
PT807	FY93 FLSA COMP TIME TAKEN – PREMIUM
PT808	DISASTER COMP TIME TAKEN
PT810	CALL BACK ACCRUE - STRAIGHT TAKEN
PT811	CALL BACK GUARANTEED CTO – TERMINATION
PT812	DFR 1 YR – NON-FLSA COMPENSATORY STRT TIME –USAGE
PT813	CAPE – FY93 FLSA COMP TIME TAKEN – STRAIGHT
PT814	CAPE – FY93 FLSA COMP TIME TAKEN – PREMIUM
PT815	DFR 1 YR – FLSA COMPENSATORY STRT TIME – USAGE
PT816	DFR 2 YRS – FLSA COMPENSATORY STRT TIME – USAGE
PT817	YTD – FLSA PREMIUM OVERTIME USAGE
PT818	DFR 1 YR – FLSA PREMIUM OVERTIME USAGE
PT819	DFR 2 YR – FLSA PREMIUM OVERTIME USAGE
PT820	YTD – CALL BACK – STRAIGHT USAGE
PT821	DFR 1 YR – CALL BACK – STRAIGHT USAGE
PTNHT	HOLD CURRENT ACCRL – NON-ELECTIVE LVE –TERMINATION US
PTVAT	SUPERIOR COURT, RESERVE VACATION – TERMINATIONUSAG

PTVPT	SUPERIOR CT, PRIOR YR RSRV VACATION – TERMINATIONUS
RP005	PENSIONABLE STANDBY PAY – OFFSET
NONE	MEGAFLEX INDUSTRIAL ACCIDENT
NONE	COUNTY CAR (IMPUTED INC)
NONE	IMPUTED INCOME (DOMESTIC PARTNER)
NONE	IMPUTED INC (LIFE INSURANCE)
NONE	SECTION 170 OVERTIME
NONE	EARNED SALARY ADVANCE
NONE	VACATION PAY ADVANCE
NONE	56 HOUR OVERTIME
NONE	ADJUSTMENT NON-TAX
NONE	RETRO PAY
NONE	EARNED INCOME CREDIT
NONE	UNDERPAYMENT ADVANCE
NONE	O/S SICK PAY
NONE	RETRO ADVANCE
NONE	T/A MILEAGE
NONE	ADVANCED DISABILITY RETIREMENT
NONE	STD REFUND
NONE	LTD REFUND

NONE	LTDH REFUND
NONE	SIB REFUND
NONE	56 VILOP PAY
NONE	VOLUNTARY DEFERRED PAY
NONE	RETRO FLEX BASE
NONE	NR DEFERRED PAY
NONE	F.MF DEFERRED PAY
NONE	DEF LUMP SUM
NONE	DEFERRED PAY
NONE	VOLUNTARY SEPARATION PLAN
NONE	STOP PAYMENT
NONE	FIRE SUPPRESSION CAMP ASSIGNMENT – PREMIUM
NONE	FIRE SUPPRESSION CAMP ASSIGNMENT – COMPENSATORY TIME EARNED

**BEFORE THE BOARD OF RETIREMENT  
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION**

RESOLUTION OF THE BOARD OF  
RETIREMENT SPECIFYING ITEMS  
OF REMUNERATION AS  
“PENSIONABLE COMPENSATION”

RESOLUTION NO. 2021-BR006

WHEREAS, Government Code section 7522.34 governs the determination of pensionable compensation for those members who became active members for the first time on or after January 1, 2013, who are subject to the California Public Employees’ Pension Reform Act of 2013; and

WHEREAS, LACERA calculates retirement allowances based on a member’s final compensation; and

WHEREAS, LACERA is required to include in the calculation of “final compensation,” a member’s base pay and certain other items of compensation, if such compensation qualifies as “pensionable compensation” under Government Code section 7522.34; and

WHEREAS, Government Code section 7522.34 defines “pensionable compensation” as:

“ . . .the normal monthly rate of pay or base pay of the member paid in cash to similarly situated members of the same group or class of employment for services rendered on a full-time basis during normal working hours, pursuant to publicly available pay schedules”; and

WHEREAS, the Board has analyzed each current pay item and determined whether or not those items should be included in “pensionable compensation”; and

WHEREAS, the Board may find it necessary from time to time to amend its determinations based on changes made by employers, the Legislature, or the Courts;

NOW THEREFORE, BE IT RESOLVED, AS FOLLOWS:

1. For purposes of calculating a member's retirement allowance, earnings on or after January 1, 2013, for members subject to Government Code section 7522.32, as set forth in Attachment No. 1 do not qualify as "pensionable compensation" as defined in section 7522.34.

BOARD OF RETIREMENT,  
LOS ANGELES COUNTY  
EMPLOYEES RETIREMENT ASSOCIATION

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Alan J. Bernstein  
Chair, Board of Retirement

Approved as to Form:

ATTEST:

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Steven P. Rice  
Chief Counsel

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Vivian H. Gray  
Vice Chair, Board of Retirement

ITEMS OF REMUNERATION EARNED ON OR AFTER JANUARY 1, 2013, FOR MEMBERS SUBJECT TO GOVERNMENT CODE SECTION 7522.32, WHICH DO NOT QUALIFY AS "PENSIONABLE COMPENSATION" AS DEFINED IN SECTION 7522.34.

EARNINGS ITEMS  
CODE NO.

200	76-INCH MOWER BONUS
201	ACTING DEPARTMENT HEAD
202	ACTING MEDICAL DIRECTOR
203	ADDITIONAL RESPONSIBILITIES
204	AMERICAN MEDICAL ASSOCIATION BOARD CERT 8.25%
205	AMERICAN MEDICAL ASSOCIATION BOARD CERT 5.50%
209	MANPOWER SHORTAGE RANGE
210	MEDICAL DIRECTOR'S BONUS - 2.75
211	MEDICAL DIRECTOR'S BONUS - 5.50%
212	MEDICAL DIRECTOR'S BONUS - 8.25%
214	OUT OF CLASS BONUS
215	POST BONUS - ADVANCE/EXECUTIVE
217	POST BONUS – INTERMEDIATE
219	SUPERIOR SUBORDINATE PAY
220	WATCHMAN – CUSTODIAN

- 221 WELFARE RECIPIENT SUPERVISOR
- 222 OUT OF CLASS BONUS SCHEDULE/LEVEL/PERCENT
- 223 TEMPORARY CLERICAL & OFFICE SERVICES EMPLOYEES
- 224 PBP NON-BASE MERIT SALARY ADJUSTMENT
- 225 EXECUTIVE SECRETARY ADDED SALARY SCHEDULES
- 227 PBP TO SCHEDULE SALARY ADJUSTMENT
- 228 ADDITIONAL RESPONSIBILITIES – REPRESENTED
- 229 TEMPORARY SPECIAL MAP ACHIEVEMENT – FLAT
- 230 TEMPORARY SPECIAL MAP ACHIEVEMENT – PERCENT
- 231 TEMPORARY ASSIGNMENT MAP EMPLOYEE – FLAT
- 240 AGRICULTURAL INSPECTOR BONUS
- 243 CAREER DEVELOPMENT INTERN BONUS
- 248 REGIONAL PLANNING AICP CERTIFICATION BONUS
- 249 AGRICULTURE INSPECTORS AID ROVER BONUS
- 250 ACCOUNTING CERTIFICATE
- 252 6TH AND 7TH STEP FINANCIAL SPECIALIST
- 254 FORENSIC ATTENDANT FIELD TRAINING BONUS
- 256 ANIMAL CONTROL MGR-BOARD LIAISON BONUS
- 257 HALF STEP-01
- 258 HALF STEP-02
- 263 AUDITOR-CONTROLLER MERIT - ONE SCHEDULE

264 AUDITOR-CONTROLLER MERIT - TWO SCHEDULES  
265 AUDITOR-CONTROLLER MERIT - THREE SCHEDULES  
266 AUDITOR-CONTROLLER MERIT - FOUR SCHEDULES  
267 AUDITOR-CONTROLLER MERIT - FIVE SCHEDULES  
268 AUDITOR-CONTROLLER MERIT - SIX SCHEDULES  
270 BOARD OF SUPERVISOR SPECIAL ASSIGNMENT  
271 ASSESSMENT APPEALS BOARD ASSIGNMENT  
272 HEAD BOARD SPECIALIST ADDITIONAL STEPS  
273 MAPP TIER II STEP 13  
274 MAPP TIER II STEP 14  
275 MAPP TIER II STEP 15  
276 MAPP TIER II STEP 16  
277 MAPP TIER II STEP 17  
278 MAPP TIER II STEP 18  
281 MAPP TO SCHEDULE FLAT AMOUNT  
282 MAPP TO SCHEDULE PERCENTAGE  
283 PERM PHYSICIAN TRANSITION RATE – PERCENT  
285 COURT CLERK - GREATER SKILLS  
291 INTERGOVERNMENTAL RELATIONS  
293 LEGISLATIVE REPRESENTATIVE-CAO  
295 MANAGEMENT TRAINEE

- 300 CURATOR BONUS
- 310 LEGISLATIVE ADVOCATE - COUNTY COUNSEL
- 320 ACCOUNTING CERTIFICATE – DA
- 321 DISTRICT ATTORNEY - OUT OF CLASS BONUS
- 322 RECLASSIFIED INVESTIGATOR
- 323 ANTELOPE VALLEY ASSIGN. 30 MILES FROM RESIDENCE
- 332 JOURNEY EMPLOYEES BONUS
- 334 CUSTODY ASSISTANT DRILL INSTRUCTOR/CUSTODY TRAINING  
AND STANDARDS BUREAU
- 335 CUSTODY ASSISTANT TRAINING OFFICER BONUS
- 336 PUBLIC RESPONSE DISPATCHER BONUS
- 338 ELEVATOR ADJUSTOR
- 340 A OR B MOTOR VEHICLE LICENSE BONUS
- 342 HAZARDOUS MATERIALS CALARP
- 343 HAZARDOUS MATERIALS APSA
- 344 FIRE PREVENTION ENGINEERING ASSISTANT
- 347 WELLNESS/FITNESS FOR LIFE BONUS – 1%
- 348 WELLNESS/FITNESS FOR LIFE BONUS – 2%
- 349 WELLNESS/FITNESS FOR LIFE BONUS
- 356 FIRE SAFETY PERSONNEL BONUS
- 357 HELICOPTER INSPECTION LICENSE
- 358 TEMPORARY PROMOTION BONUS

361 TEMPORARY PROMOTION BONUS - NON SCHEDULE  
365 BACHELOR DEGREE BONUS  
366 ADVANCED EDUCATIONAL DEGREE BONUS  
367 MEDICAL STAFF CREDENTIALING ASSIGNMENTBONUS  
368 RN ASSIGNED TO SHERIFFS DEPT  
369 RN ADVANCED EDUCATIONAL DEGREE BONUS  
370 CLINIC NURSE - STAND BY  
371 CLINICAL INSTRUCTOR - GENERAL  
372 CLINICAL INSTRUCTOR - LAC+USC MEDICAL CENTER  
373 EMERG MEDICINE - BOARD CERTIFICATION  
374 EMERG MEDICINE - BOARD CERT  
375 EMERG MEDICINE - BOARD CERTIFICATION 8.25%  
376 HIGH DESERT HOSPITAL - PHYSICIAN BONUS  
377 JOURNEY EMPLOYEES BONUS  
379 SUPERVISING NURSE - ICU  
380 SUPVG RAD TECHN - DIAGNOSTIC ULTRASOUND  
381 DENTAL PROFESSIONALS BOARD CERTIFICATION BONUS  
383 VETERINARY MEDICINE- BOARD CERTIFICATION  
384 HIGH DESERT HEALTH ASSIGNMENT BONUS  
385 PSYCHIATRY SPECIALTY BONUS  
386 PHYSICIAN SPECIALTY BONUS

- 387 PHARMACIST SPECIALTY ASSIGNMENTS
- 388 SHERIFF DETENTION FACILITY ASSIGNMENT BONUS
- 389 MENTAL HEALTH PSYCHIATRIST BOARD CERTIFICATION – MORE THAN ONE SPECIALTY
- 391 COUNTY LIBRARY DIFFICULT TO RECRUIT ASSIGNMENT BONUS
- 392 LIBRARIAN BONUS
- 393 OBSTETRICS/LABOR & DELIVERY ASSIGNMENT
- 394 MEDICAL HUB CLINIC ASSIGNMENT
- 395 PHYSICIAN SPECIALTY BONUS - 5.75%
- 396 PHYSICIAN ADDITIONAL COMPENSATION
- 397 PHYSICIAN FORENSIC PATHOLOGY BONUS
- 398 HOSPITAL ADMINISTRATOR - ADDITIONAL COMPENSATION
- 400 DEPUTY COURT ADMINISTRATOR - OPINION/ADVISOR
- 401 DEPUTY MARSHALL - LEVEL I BONUS
- 402 DEPUTY MARSHALL - LEVEL II BONUS
- 403 DEPUTY MARSHALL TRAINEE
- 404 ELECTRONIC RECORDING EQUIPMENT
- 405 MARSHALL SUPERVISING BONUS
- 406 DEPUTY MARSHAL SPECIAL TRAINING - 6TH STEP
- 407 SKILL & RESPONSIBILITY BONUS
- 408 DEPUTY CLERK III OUT OF CLASS BONUS
- 409 STENOGRAPHIC SKILLS

410 SUPERVISING DEPUTY CLERK

411 ADVISOR-COURT ADMINISTRATOR AND JUDGES

412 NIGHT SHIFT AND WEEKEND BONUS

413 DEPUTY CLERK IV - GREATER SKILLS

414 RECORDING EQUIPMENT-DEPUTY CLERK IV M.C.

415 SHERIFF DEPARTMENT ASSIGNMENT TO AERO BUREAU  
AVIONICS SHOP

416 SHERIFF DEPARTMENT WATER SYSTEM BONUS – CHIEF  
OPERATOR

417 SHERIFF DEPARTMENT WATER SYSTEM BONUS – SHIFT  
OPERATOR

418 ISD BONUS ASSIGNMENT – ENERGY MANAGEMENT SYSTEM  
SECTION (SEAS)

424 ABDMI REGISTRY CERTIFICATION BONUS

425 ABDMI BOARD CERTIFICATION BONUS

430 ASST. DIRECTOR - PUBLIC SOCIAL SERVICES

432 DEPUTY DISTRICT DIRECTOR TRAINEE

439 CUSTODY TRAINING OFFICER

441 CATALINA ISLAND LIVING - SHERIFF

445 SPECIAL ENFORCEMENT DETAIL/CANINE SERVICES DETAIL  
(TACTICAL DUTY)

450 SHERIFF OUT OF CLASS BONUS

453 SERGEANT-AT-ARMS BOARD OF SUPERVISOR

456 TRAINING OFFFC/INVESTIGATOR/K-9 BONUS

458 ACTING CAPACITY BONUS

461 SHERIFF BUSINESS MACHINE TECHNICIAN

464 STATE OF CALIF STRUCTURAL ENGINEER LICENSE BONUS  
465 REHABILITATION INSPECTOR-PUBLIC WORKS  
468 LICENSED LAND SURVEYOR BONUS  
469 LICENSED REGISTERED TRAFFIC ENGINEER BONUS  
470 BUSINESS LICENSE LIAISON  
475 CERTIFICATION BONUS - LACERA  
480 SUPERIOR COURT CLERK BONUS  
481 COURT REPORTERS REALTIME CERTIFICATION  
482 JUDICIAL ASSISTANT BONUS  
483 REALTIME WRITING BONUS  
484 GEOTECHNICAL LICENSE BONUS  
485 SUP CRT EXEC OFFICER ADDITIONAL COMPENSATION  
486 PLANS EXAMINER CERTIFICATION REGISTRATION – LICENSE  
BONUS  
488 BUILDING ENGINEERING INSPECTOR BONUS  
493 SENIOR PROBATION DIRECTOR-CENTRAL JUVENILE HALL  
494 SENIOR PROB DIR-LOS PADRINOS/SAN FERNANDO JUV HALL  
495 PROBATION DIRECTOR-ADMIN RESP./FOOTHILL JUV AREA  
498 PROBATION DIRECTOR-CHALLENGER YOUTH CENTER  
501 BOARD OF RETIREMENT CASE REVIEW  
503 UNIFORM ALLOWANCE  
504 NIGHT SHIFT DIFFERENTIAL

505 CORONER'S INQUEST REPORTER  
506 ALLOWANCE IN LIEU OF VEHICLE USE  
507 CO-GENERATION MAINTENANCE  
508 HENNINGER FLATS WATCHMAN  
509 FREEZER WORK  
510 DEPARTMENT HEAD MERIT  
511 BOARD OF SUPERVISORS PERFORMANCE LUMP SUM  
512 FIRE SUPPRESSION TRANSPORTATION TRUCK DRIVER  
513 MOU LUMP SUM BONUS  
514 BACKHOE OPERATOR  
515 WEEKEND BONUS  
516 EXPLOSIVES WORK  
517 EVENING SHIFT DIFFERENTIAL  
518 POWER EQUIPMENT REPAIR, SNOW CONDITIONS  
519 ENGINEERING EMPLOYEES, HAZARD PAY  
520 HOME CARE COMPENSATION  
522 CUSTODIAN ACTING AS WATCHMAN  
523 HYDROELECTRIC OPERATIONS  
525 CONTRACTING & PRODUCTIVITY IMPROVE INCNTV FOR MNGR  
528 WEBCOM PRESS OPERATOR  
529 POWER EQUIPMENT OPERATOR, FIRE SUPPRESSION

531 STANDBY

532 ADDITIONAL RESPONSIBILITIES AND EXCEPTIONAL  
PERFORMANCE

533 POWER SWEEPER OPERATOR IN EMERGENCY CONDITIONS

534 POWER PLANT RELIEF ENGINEER

535 CLINIC PHYSICIAN FIRST HOUR

536 CONSULTING SPEC, MD & MNTL HEALTH CONSLT, 1<sup>st</sup> & 5<sup>th</sup>

538 RN ASSIGNED AS ACTING OR RELIEF CHARGE NURSE

539 RN WEEKEND DIFFERENTIAL

540 RELIEF NURSE HOLIDAY DIFFERENTIAL

541 RELIEF NURSE WEEKEND DIFFERENTIAL

542 EMERGENCY WORKPLACE DIFFERENTIAL

544 APPRAISERS LAUNDRY AND DRY CLEANING ALLOWANCE

545 HEAVY DUTY TOW TRUCK DRIVER

546 SLURRY SEAL TRUCK\_DRIVER

547 COVID APPRECIATION

547HS HERO PAY - DHS

548 LIFEGUARD PARAMEDIC - RELIEF

550 INCENTIVE AWARDS FOR MEDI-CAL REIMBRMNTS/ HEALTH SR

551 GROUP INCENTIVE AWARD, TREASURER TAX COLLECTOR

552 STANDBY - EMERGENCY ROLL OUT PROGRAM

553 PIONEER EXCAVTN, TUNNEL OPERATNS, FIRE SUPP, SNOW

- 554 PIONEER EXCAVATION, TUNNEL OPERATIONS, FIRE SUPP, SNOW
- 555 SCAFFOLD OR SWING STAGE, 30 FEET ABOVE GRADE
- 556 HIGH SCALE AND RIGGING OPERATIONS, GENERAL
- 557 EVENING SHIFT, MED TECH
- 558 NIGHT SHIFT, MED TECH
- 560 PHYSICIAN RECRUITMENT PROGRAM
- 565 PARAMEDIC RECERTIFICATION BONUS
- 565A PARAMEDIC RECERTIFICATION BONUS – ELIGIBILITY INDICATOR
- 567 DEPUTY SHERIFF RESERVE ANNUAL COMPENSATION
- 568 ASSESSMENT APPEALS FULL DAY INCREMENT
- 569 PHYSICIAN LOAN PAYMENT PROGRAM
- 570 HOME CARE PROGRAM STANDBY
- 571 CHILDREN'S SOCIAL WORKERS LICENSURE SUPERVISION
- 572 MOU LUMP SUM BONUS
- 574 STANDBY – INS WITNESS PROGRAM
- 575 WASTEWATER PLANT RELIEF BONUS
- 576 SOLO DAILY EARNINGS
- 577 INTERPRETER HALF DAY BONUS - SUP CT
- 578 ER ATTENDING PHYSICIAN - DAY RATE
- 579 ER ATTENDING PHY/-WKDY EVE/WKND HOLIDAY
- 580 ER ATTENDING PHY/-WKDY NITE/WKND HOLIDAY EVE NITE

- 581 SWIM PROFICIENCY BONUS
- 582 INTERPRETER REGULAR MULTIPLE LANGUAGE SAME DAY
- 583 INTERPRETER-HOURLY/DAILY MULT LANG SAME DAY
- 584 PHYSICIAN STIPENDS
- 585 ISA TREE WORKER CERTIFICATION
- 586 ISA CERTIFIED ARBORIST CREDENTIAL
- 587 ISA CERTIFIED QUALIFIED TREE RISK ASSESSOR CREDENTIAL
- 588 ISA MUNICIPAL SPECIALIST CREDENTIAL
- 589 MENTAL HEALTH SPECIALITY FIELD BASED BONUS
- 590 CONT EDUCATION/EQUIPMENT/TRAINING BONUS
- 591 LICENSE REIMBURSEMENT
- 600 REGISTERED NURSE MOBILE INTENSIVE CARE CERTIFICATION,  
SUB-ITEM D
- 602 SUPERVISING TRANSPORTATION DEPT PERFORMING  
DISPATCHER DUTIES
- 603 AUTOMOTIVE SERVICE EXCELLENCE CERTIFICATE
- 604 REGISTERED NURSE MOBILE INTENSIVE CARE CERTIFICATION
- 605 CUSTODIAN FLOOR WAXING BONUS
- 606 FIRE EQUIPMENT MECHANIC ASSIGNED FIELD REPAIR DUTY
- 606A FIRE EQUIPMENT MECHANIC ASSIGNED FIELD REPAIR DUTY –  
ELIGIBILITY INDICATOR
- 607 SUPERVISING DEPUTY PROBATION OFFICER (SPDO) ASSIGNED  
ACTING DIRECTOR IN A CAMP
- 608 BILINGUAL BONUS
- 609 REGISTERED NURSE ASSIGNED TO EMERGENCY ROOM

- 610 ANTELOPE VALLEY FIREFIGHTING CREW
- 611 TREE TRIMMER SUPERVISOR, POWER OPERATIONS
- 612 SHOOTING BONUS, EXPERT
- 613 SHOOTING BONUS, DISTINGUISHED EXPERT
- 614 SHOOTING BONUS, MARKSMAN
- 615 SHOOTING BONUS, SHARPSHOOTER
- 616 ANTELOPE VALLEY QUARTERS, ON FIRE CALL
- 617 CLINIC NURSE ASSIGNED TO PROBATION CAMP
- 618 TRANSPORTATION BUS DRIVER, SHERIFF
- 619 CERTIFIED ACCESS SPECIALIST
- 620 SAN GABRIEL DAM OPERATOR
- 621 NURSE RETENTION INCENTIVE
- 622 ADVANCED APPRAISER CERTIFICATION
- 624 BILINGUAL ADDITIONAL BONUS, CHILDREN'S SOCIAL WORK
- 625 AGRICULTURE INSPECTORS ASSIGNED TO STANDARDIZATION
- 627 DETENTION & TRANSPORTATION EXTRA SUPERVISION BONUS
- 628 BILINGUAL BONUS FOR OTHER THAN MONTHLY
- 628A BILINGUAL BONUS FOR OTHER THAN MONTHLY-ELIGIBILITY INDICATOR
- 629 MORTUARY ATTENDANT AT LAC+USCMC
- 630 SERVICE PAY BONUS
- 631 BILINGUAL BONUS-SUB D

632 MENTAL HEALTH WORKERS ASSIGND SHERIFF DETENTN FACL  
633 RN ASSIGNED TO EMERGENCY ROOM SUB D  
634 SUPERVISING DETENTION SERVICES OFFICER OF THE DAY  
635 TRANSPORTATION DEPUTY BUS DRIVER, PROBATION  
636 INCIDENTAL EXPENSE ALLOWANCE  
637 PROFESSIONAL DEVELOPMENT EXPENSES  
638 PROBATION TELECOM EQUIPMENT BONUS  
640 CHILDRENS SERVICES ERCP RETENTION  
641 SHOOTING BONUS, EXPERT – RESERVE  
642 SHOOTING BONUS, DISTINGUISHED EXPERT – RESERVE  
643 SHOOTING BONUS, MARKSMAN – RESERVE  
644 SHOOTING BONUS, SHARPSHOOTER – RESERVE  
645 EMERGENCY ROOM BONUS/PAT FIN SVCS WKR/PAT RES WKR  
646 EMERGENCY ROLL OUT PROGRAM & SHIFT BONUS  
647 BILINGUAL ADDITIONAL BONUS, PSYCH SOCIAL WORK  
648 DEFIBRILLATION AIRWAY BONUS  
649 MAMMOGRAPHY BONUS  
690 CELLULAR PHONE STIPEND – VOICEMAIL  
691 CELLULAR PHONE STIPEND - DATA ONLY  
692 CELLULAR PHONE STIPEND - VOICE AND DATA  
694 CIVIC CENTER COMMUTER ALLOWANCE

695	DEPARTMENT HEAD TRANSPORTATION ALLOWANCE
696	DEPARTMENT HEAD TRAFFIC MITIGATION ALLOWANCE
700	PENSIONABLE OVERTIME
730	PREMIUM OVERTIME - SYSTEM PENSIONABLE
PF004	MEGAFLEX PENSIONABLE CONTRIBUTION
PF007	FLEX PENSIONABLE CONTRIBUTION
PF010	CHOICES PENSIONABLE CONTRIBUTION
PF013	OPTIONS PENSIONABLE CONTRIBUTION
PK003	NON-ELECTIVE LEAVE
PK011	SICK - 100%
PK012	HOLIDAY
PK021	VACATION
PK030	SPECIAL PAID LEAVE
PK031	APPRAISERS LEAVE
PK032	INTERN/RESIDENT LEAVE
PK113	SICK PRE-71
PKN03	SUPERIOR COURT NON-PENSIONABLE NON-ELECTIVE LEAVE BUY BACK
PKN21	SUPERIOR COURT NON-PENSIONABLE VACATION LEAVE BUY BACK
PKP11	SICK LEAVE BUYBACK 100%
PKP21	VACATION BUYBACK
PP046	EMPLOYEE SUGGESTION
NONE	REGISTERED NURSE ASSIGNED TO CRITICAL CARE UNITS

NONE	FIRE SUPPRESSION CAMP ASSIGNMENT – PREMIUM
NONE	FIRE SUPPRESSION CAMP ASSIGNMENT – COMPENSATORY TIME EARNED
NONE	POST, SUPERVISORY BONUS
OP100	CORRECTIVE PAYMENT, REINSTATED EMPLOYEE – HORIZONS PLAN
OP101	CORRECTIVE PAYMENT, ADMINISTRATIVE ERROR – HORIZONS PLAN
OP102	CORRECTIVE PAYMENT, REINSTATED EMPLOYEE – SAVINGS PLAN
OP103	CORRECTIVE PAYMENT, ADMINISTRATIVE ERROR – SAVINGS PLAN

December 27, 2021

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Joint Organizational Governance Committee  
Shawn R. Kehoe, Chair  
Elizabeth Greenwood, Vice Chair  
Alan J. Bernstein  
Vivian H. Gray  
Joseph Kelly  
Keith Knox  
Les Robbins  
Herman B. Santos

FOR: January 5, 2022 Board of Retirement Meeting  
January 12, 2022 Board of Investments Meeting

SUBJECT: Recommendation for Revised JOGC Charter

## **Recommendation**

That the Boards approve the revised Joint Organizational Governance Committee (JOGC) Charter.

## **Legal Authority**

Under Article XVI, Section 17 of the California Constitution, the Board of Retirement and Board of Investments have “plenary authority” and “exclusive fiduciary responsibility” for the administration and investments of the system. The allocation of responsibility is fully set forth in the County Employees Retirement Law of 1937 (CERL). In the exercise of their fiduciary duty, the Boards have in the past established the JOGC to assist in areas of joint responsibility. The JOGC Charter was last approved by the Boards on May 1, 2019 (Board of Retirement) and May 15, 2019 (Board of Investments). Section 12 of the Charter provides that, “The BOR and BOI shall review and update this Charter, based on recommendations from the JOGC, at least once every three years.”

## **Discussion**

At its meeting of December 15, 2021, the JOGC voted unanimously to recommend to the Board of Retirement and the Board of Investments various changes to the JOGC Charter. The JOGC’s consideration of the Charter changes was the result of a referral to the JOGC from the Board of Retirement. At its November 3, 2021, meeting the Board of Retirement heard the report of the Committee on Board Roles and Responsibilities (the Committee). Following that report and discussion, the Board of Retirement voted to refer the report of the Committee and its recommended JOGC Charter changes to the JOGC for its review.

The JOGC with one amendment, noted below, now recommends the revised Charter to both Boards.

This report explains the reasons for the revisions and the JOGC's adoption of the BOR and Committee's recommendations. The primary basis for the recommendation is that CERL specifies those areas of responsibility that belong specifically to the Board of Retirement (BOR) and those that belong to the Board of Investments (BOI). The existing JOGC Charter confuses those boundaries. The proposed revised Charter simplifies the Charter and the JOGC's responsibilities to the core areas of the Boards' joint responsibilities.

The Committee identified the following responsibilities as solely those of the BOR<sup>1</sup>. Where appropriate, CERL citations are noted:

- i. Pension benefits administration upon service retirement. (Section 31670.) .*
- ii. Compensation earnable and pensionable compensation, including implementation of applicable provisions of PEPRA. (Sections 7522.34, 31461.)*
- iii. Disability retirement benefits administration. (Sections 31530, 31720 et seq.)*
- iv. All other benefits and benefit-related issues. All other benefits and benefit-related issues under CERL and PEPRA, including, for example, survivor benefits, death benefits, investigation of benefits questions, felony forfeiture, and reciprocity, are within the BOR's authority.*
- v. Collections. The BOR oversees collection issues, including underpayment of contributions and overpayment of benefits.*
- vi. Administrative appeals. The BOR has established and oversees an administrative appeals process, to provide due process and hears and decides member appeals with regard to plan administration issues.*
- vii. Procedure for assessing and determining whether an element of compensation was paid to enhance benefits. (Section 31542.) The BOR is required to implement a procedure to address potential "pension spiking." If the BOR determines that compensation was paid*

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<sup>1</sup>The statutory list is taken from the March 27, 2018, memo from Chief Legal Officer Steven Rice and the accompanying endorsement dated June 15, 2018, from then fiduciary counsel Harvey Leiderman.

*to enhance a member's benefit, the member or employer may present evidence that the compensation was not paid for that purpose and request the BOR to reverse its decision. This requirement was implemented in part through the administrative appeals process described in (vi) above.*

- viii. LACERA operations. All LACERA operational issues are addressed by the BOR, other than those assigned to the Boards jointly or to the BOI. This includes such important matters as privacy, Public Records Act requests, member communications, and all issues not specifically provided to be performed elsewhere.*
- ix. Financial and accounting services. (Section 31593.) Aside from financial, actuarial, and auditing responsibilities of the BOI and the Audit Committee as explained above, the BOR monitors and supervises the Financial and Accounting Services Division and related financial reporting compliance issues and approves retention of LACERA's external financial auditor based on a recommendation from the Audit Committee.*
- x. Personnel issues. The personnel function, which includes Human Resources, is part of the management of the system, and is therefore under the jurisdiction of the Board of Retirement. Personnel issues includes employment claims and litigation, excluding the CEO and the CAE, who report jointly to both Boards as noted above. It also excludes classification, compensation, and union contracts (although negotiations will be overseen by the BOR), which are joint board responsibilities. Boards have an interest in significant personnel issues concerning senior management, such as the Chief Counsel, who regularly advise the BOR. Accordingly, while the BOR will have oversight responsibility for most personnel issues, certain personnel issues may appropriately be addressed by both Boards working together or by the BOI alone.*
- xi. Aids to benefits administration. The BOR's broad authority over benefits, including service retirements, disability retirements, and other benefits, encompasses the ability to procure consultants and whatever other aids are necessary for the effective performance of that work.*
- xii. Tax compliance issues. The BOR oversees tax qualification issues and monitors that LACERA remains in compliance with applicable requirements of the Internal Revenue Code for plan qualification and*

*member related matters such as withholding and tax reporting.*

- xiii. Adopt BOR regulations. (Sections 31525, 31526.)*
- xiv. Filling of BOR vacancies. (Section 31523.) The BOR is required to cause an election to be held at the earliest possible date for any vacancy in the elected positions.*
- xv. Separation from County service. (Section 31524.) Separation from County service of an elected member vacates the trustee's office.*
- xvi. 24 hours of trustee education. (Section 31522.8.) BOR trustees are required to obtain 24 hours of education every two years on the subjects listed in Section 31522.8.*
- xvii. Conflict of interest and "revolving door" limits. (Section 31528.)*
- xviii. Authority to obtain legal representation. (Sections 31529, 31529.1, 31529.5, 31529.6, 31529.9.)*
- xix. Litigation related to LACERA benefits, operations, and management, excluding investment related litigation.*
- xx. Issuance of subpoenas. (Section 31535.1)*
- xxi. Administration of the OPEB program. (Sections 31691, 31694.) The BOR administers the retiree healthcare program under the 1982 Agreement, as amended, with the County and under separate agreements with participating districts.*
- xxii. Approval of travel. The BOR has the responsibility under the Education and Travel Policy to approve travel and educational opportunities for its trustees according to the terms of the policy.*
- xxiii. Appointment of Committees as provided in BOR Regulations.*

Attached to this report is a redlined version of the revised JOGC Charter as recommended by the JOGC (Attachment A). It eliminates certain functions currently delegated to the JOGC and reduces the number of issues within the JOGC's designated portfolio of responsibilities. A clean copy is also attached (Attachment B), as well as Chief Counsel's 2018 memo, with fiduciary counsel's supporting opinion, regarding the responsibilities of the Boards (Attachment C).

In addition to some stylistic changes to comport with editorial changes, the following substantive changes were made in the revised draft charter as reflected in the attached draft:

**Section 3 Purpose:** Three of the stated purposes in the current charter have been eliminated, as explained below:

The first is “combined oversight of both Boards.” The Committee’s view was that the JOGC is designed to coordinate where the duties of the BOR and the BOI intersect as required by law, not to act as an oversight vehicle of the distinct duties of each Board.

The second was acting as a liaison between the two Boards. The Committee’s view is that communication can best be handled between the Chairs with the assistance of the CEO and other management. Using the JOGC in the current form created a blurring of the lines of the statutory duties unique to each Board.

The third recommended change was deleting the statement that the JOGC’s purpose was to ensure that each Board is comfortable that its perspectives are properly represented. The Committee expressed the view that this shared perspective should be reserved to the specific duties conferred jointly in the Boards by CERL.

**Section 4 Scope:** The Committee recommended combining certain responsibilities and eliminating others as set forth below and the attached redline.

**Section 7 Responsibilities:** As the Scope is explained in Section 7, the corresponding changes in that Section of the current Charter are also discussed here:

Eliminated were “Organizational Philosophy,” “CEO Search,” “Legislation, Engagement and Advocacy,” and “Board disputes.” The Committee expressed the belief that these were too general and again lead to responsibility drift. Reference to Organizational Philosophy in Section 7.1, Legislation in Section 7.5 and Board Disputes in Section 7.8 was also eliminated.

The scope for CEO Search was altered to assistance in recommending a consultant for both CEO and Chief Legal Officer vacancies as those positions equally serve both Boards. This is also referenced in a change to current Section 7.7 (renumbered as 7.4) Current Section 7.5 (renumbered as 7.3) relating to claims and litigation has been narrowed to litigation involving senior staff and other litigation affecting the interests of both Boards. The Committee recommended that litigation concerning senior staff would be managed with assistance from the CEO and the Chief Legal Officer.

“Education and Travel” was combined with “Joint Board Policy Development” to ensure that policies common to the statutory work of both Boards was uniform, while still leaving each Board the flexibility to tailor education and travel to its own needs. This is also reflected in existing Section 7.4 (renumbered as 7.3)

Strategic Planning and Budgeting were divided into separate items. The former may involve the use of an outside consultant and primarily involves Board policy making, whereas budget development is largely a staff driven function. These functions are more

specifically described in current Section 7.2 (renumbered as 7.1)

Classification and salaries of staff was added as this is a responsibility imposed on both Boards by law.

Lastly, a miscellaneous category was retained but made dependent on both Boards requesting the JOGC's assistance.

### **Section 10 Meeting Frequency:**

The Committee members expressed concern about both the number of meetings (Board and committees) and the length of meetings. This would suggest an increase in the amount of delegation to staff of ministerial matters may be desirable. As a result of the recommended changes in the mission of the JOGC, the JOGC recommended that the February meeting be specifically dedicated to election of JOGC officers and a review of System-wide policies. The JOGC further recommended that the April meeting be reserved for budget review. Any additional meetings would be scheduled as needed upon the call of the BOI and BOR chairs.

### **Implementation of the Recommendations:**

The current JOGC membership vetted the Committee's and the BOR's recommendations and now presents them jointly to the BOR and BOI for adoption. The above recommendations are consistent with the recommendation of the Committee. In particular, paragraph 7.3 (formerly 7.5) reflects the Committee's belief that in personnel matters, the direct involvement of the CEO and Chief Legal Officer are essential.

### **Additional Recommendation proposed by Fiduciary Counsel**

In the course of the Committee's original work, it was noted by Fiduciary Counsel that LACERA has a significant number of policies and procedures in separate documents. In addition to the Charter revisions, Fiduciary Counsel recommended creating a master index of all policies which could aid Board members, staff, and advisors in assuring that advice and subsequent decision-making was consistent with existing practice. (Much of that recommendation was independently implemented by staff in the development of the new LACERA website). That does not suggest that once developed, policies and practices should remain unchanged. The Boards were specifically granted rule making authority so that the constantly changing needs of the System allowed for a dynamic process of policy change. This is regular and critical review of policies and practices by each Board itself a sound fiduciary "best practice." To that end, each Board could establish a regular schedule of policy review, which ultimately was recommended by the JOGC to be a recurring part of the February JOGC agenda. In the alternative, the first step of that process could be delegated to staff or advisors. The Committee, and ultimately both the BOR and JOGC adopted that recommendation by Fiduciary Counsel, and it was incorporated in the Committee's recommendation the Board of Retirement and

Re: Recommendation for Revised JOGC Charter

December 27, 2021

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ultimately to the JOGC.

**Summary of Committee, Board of Retirement and JOGC Action and Recommendations:**

The Board of Retirement recommended the report of the Committee be adopted without amendment. Following its review of the BOR report and proposed Charter changes, the JOGC amended the scheduling portion of the proposed JOGC Charter to specify the purpose of the February and April meetings. Any additional meetings would be called as needed. In summary, the following action was unanimously recommended by the Committee to the Board of Retirement. The BOR, in turn recommended the report to the JOGC which approved the recommendation with an amendment to the scheduling provision.

**Requested Action by the Board of Retirement and the Board of Investments**

The JOGC recommends the Board of Retirement and the Board of Investments adopt the revised JOGC Charter accompanying this memorandum.

c: Santos H. Kreimann  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Robert D. Klausner, Fiduciary Counsel

## **ATTACHMENT A**

JOGC Charter (Red-Lined Version)



# LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

## Joint Organizational Governance Committee Charter

Revised and Restated  
Adopted by Board of Retirement on ~~May 1, 2019,~~  
2021 Adopted by the Board of Investments on  
~~May 15, 2019,~~ 2021

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## 1 Overview of the LACERA Board of Retirement and the Board of Investments<sup>1</sup>

The Los Angeles County Employees Retirement Association (LACERA) is a public pension system formed in 1937 in accordance with the County Employees Retirement Law of 1937 (the '37 Act or CERL) and administered pursuant to the '37 Act, the California Public Employees' Pension Reform Act of 2013 (PEPRA), and the California Constitution. ~~Since 1971, LACERA has also administer~~see the Retiree Healthcare Benefits Program for the County and outside districts, through contractual agreements with the County, and the Other Postemployment Benefits Program (OPEB) trusts formed by the County, LACERA, and the Los Angeles Superior Court to provide support for the retiree health program in the future.

LACERA is an independent governmental entity. LACERA is the largest county retirement system in America. LACERA is funded by the County, participating employers, employees, and investment earnings.

LACERA is governed by two Boards. Both Boards include a mix of trustees that are appointed and elected members and an ex-officio member, the sitting County Treasurer and Tax Collector. The Board of Retirement (BOR) is responsible for the overall management of the retirement system and the LACERA-administered Retiree Healthcare Benefits Program. The Board of Investments (BOI) is responsible for determining LACERA's investment objectives, strategies, and policies, as well as exercising authority and control over the investment management of the Fund. The BOI also invests and manages the ~~Other Postemployment Benefits Program (OPEB) trust~~OPEB trust assets for participating employers. In addition, the BOI is responsible for obtaining pension actuarial valuations that measure the funded status and serve as the basis for setting employer and employee contribution rates required to fund the system. The BOR is responsible for obtaining actuarial valuations for the OPEB Program as part of its responsibility for administration of the OPEB Program.

The BOR and BOI have joint authority under CERL over certain shared responsibilities, including: classification and compensation of personnel; adoption of LACERA's administrative budget; the appointment and evaluation of the Chief Executive Officer (CEO); and other matters as specified in CERL.

Under the California Constitution, the Boards have plenary authority over administration of the pension fund.

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<sup>1</sup> For a detailed analysis of the statutory duties of each board and their joint duties, please review the memorandum from Chief Legal Officer Steven Rice date March 27, 2018 and the concurrence from then fiduciary counsel Harvey Leiderman dated June 15, 2018.

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2 Purpose of this Document

The purpose of this document is to describe:

- the purpose, scope, responsibilities, meetings, and structure of the Joint Organizational Governance Committee (JOGC);

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- the committee’s membership and leadership; and
- meeting frequency and dates.

### 3 Purpose of the JOGC

The purpose of the JOGC is to:

- serve and facilitate the work of both Boards when the two boards duties intersect;
- make recommendations, not decisions.

### 4 Scope

The scope of the JOGC’s responsibilities, as explained and defined in Section 7, includes:

- Strategic Planning;
- ~~Budgeting;~~
- Joint Board Policy Development for ~~s~~System-wide policies such as ethics, conflict of interest, media, and education and travel;
- Senior Staff Related Employment Litigation and other litigation affecting the interests of both Boards ;
- Assist in recommending Search Consultant for Chief Executive Officer and Chief Legal Officer-;
- ~~Recommendations concerning selection of CEO, Chief Legal Officer, and Fiduciary Counsel serving both Boards~~
- ~~Such other assistance as may be jointly requested by the Boards; and~~
- ~~Classification and salaries of staff (consistent) with County Ordinance~~

### 5 Powers Reserved for the BOR and BOI

The BOR reserves for itself all powers related to (i) retirement and healthcare benefits including policy, legislation, litigation, operations, and administration, and (ii) actuarial valuations of the OPEB Program.

The BOI reserves for itself all powers related to (i) investments, including policy, legislation,

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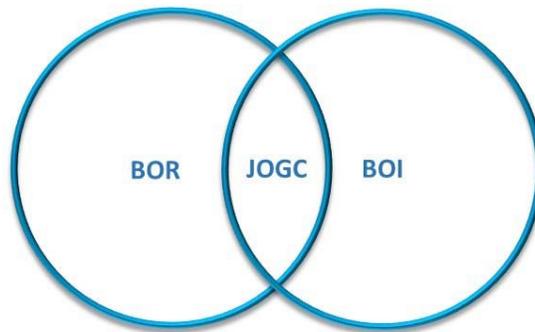
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litigation, operations, and administration, (ii) actuarial valuations of the pension fund, and (iii) oversight of the OPEB Trusts.

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## 6 Authority

The JOGC will only make recommendations to each Board on matters that intersect and affect both the BOR and BOI as described in Section 7, Responsibilities of this Charter. The following Venn diagram visually highlights the JOGC role to facilitate the work of both Boards when the Boards' duties intersect.



## 7 Responsibilities

### 7.1 Strategic Planning and Budgeting

Provide guidance on the development of a three-year strategic plan and the associated annual budgeting process for the Administrative, Retiree Healthcare, OPEB Program, and Non-Administrative budgets and make recommendations to the Boards, including the following:

- Strategic Plan: Provide oversight and guidance on the development of a three-year strategic plan that will guide the annual budgeting process, including resources needed to achieve organizational objectives.

In providing input and guidance on the development of the strategic plan, and associated budget plans, the JOGC will take into account, but will not reconsider, actions taken by the Boards within the areas of their separate jurisdiction, including the Board of Retirement for pension, healthcare, and administrative matters and the Board of

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Investments for investment-related strategic planning. The JOGC's role reflects an understanding that the entire budget process for LACERA, under Government Code Section 31580.2, is subject to the approval of both Boards, and that therefore oversight and guidance for the development of the budget is a joint Board responsibility, based in part upon actions taken by the Boards separately, including vendor selections and other matters.

Additionally, the JOGC's role reflects an understanding that each three-year strategic plan is a rolling plan and will also take into account past strategic plan initiatives that have already been set in motion and for which resources have already been allocated and expended as they provide input on new expectations and initiatives.

- Staffing Needs.
  - Staff Classifications: Review and make recommendations on staff requests to create all new Staff Member classifications.
  - Staff Compensation: Review and make recommendations on Staff Member requests related to:
    - Negotiation and approval of collective bargaining agreements;
    - Approval of initial compensation levels and ranges applicable to new positions to be added to the County Code;
    - Approval of compensation level and range changes for existing positions that require changes to the County Code; and
    - Budgeting for compensation to be provided for existing positions within existing County Code ranges.
- Budget Development: Provide guidance during the annual budget development cycle for the Administrative, Retiree Healthcare, OPEB Program, and Non- Administrative budgets. The staff will develop preliminary budget plans that take into account and support the approved strategic plans and general operating needs. The JOGC will review and provide a recommendation regarding the proposed budgets which will in turn be presented to the Boards during budget hearings. Both Boards will then independently take action on the JOGC's recommended proposed budget.

Staff will present a three-year strategic plan for the SSsystem as a whole incorporating the strategic plan of each Board and annual budget plan development process, including a proposed JOGC meeting schedule with respect to planning and budget issues, for the JOGC's approval. This process will govern how the organization will conduct the strategic plan and budgeting process on an annual basis. Once approved the process will remain in effect until

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reviewed and modified by the JOGC and staff, with a mandatory review every six years.

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The JOGC will complete its strategic planning and budgeting responsibilities on a timetable outlined in the approved annual strategic planning and budgeting process. All plans will include presentation of the budget to the Boards for final approval no later than June of each year.

On-going Oversight: Once a three-year strategic plan and annual budget is approved the JOGC will provide on-going oversight of the budget-to-actual results and the progress made towards fulfilling the Strategic Plan initiatives of each Board and the combined Strategic Plan for the ~~s~~System as a whole, during the fiscal year according to a schedule approved by the Committee in consultation with the staff.

By this section, the JOGC does not assume and will not exercise any responsibility as Appointing Authority not granted to the Boards under the Los Angeles County Code, including Section 5 (Personnel) and Section 6 (Salaries), or otherwise in conflict with the County Code and LACERA Policy.

~~7.2 Education and Travel~~

~~Oversee and make recommendations with respect to the:~~

- ~~• Education and Travel Policy; and~~
- ~~• Other training issues relevant to both Boards as needed.~~

~~7.3~~ 7.2 Joint Policies

When not otherwise reserved to either the BOR or the BOI, assist in the ~~development of and make recommendations with respect to all joint policies,~~ such as ethics, conflict of interest, media, and education and travel ~~as outlined in Section 4,~~

~~7.4 Legislation, Engagement, and Advocacy~~

~~May make recommendations about legislation, engagement, and advocacy that impact both Boards.~~

~~7.5~~ 7.3 Litigation and Claims

Make recommendations about employment related and other Litigation and Claims affecting the interests of both Boards as described in Section 4. In matters specifically related to employment litigation concerning senior staff that serve both Boards, the CEO and Chief Legal Officer shall participate in the Committee's deliberations.

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. They may also include any other Litigation and Claims, including ones that each Board is generally expected to separately manage, that the Board Chairs, the CEO, or Chief Counsel reasonably believe justify the involvement of this Committee because of mutual interest and concern to both Boards.

Litigation and Claims are defined as court actions, pre-litigation demands or claims, potential court actions, demands, or claims, and other forms of dispute resolution, such as arbitration and mediation.

Each Board is generally expected to separately manage:

- (1) As to the Board of Retirement, Litigation and Claims relating to the regular course of business regarding retirement, disability, and healthcare benefits, all LACERA personnel (other than the Chief Executive Officer, and all those reporting directly to them or as to whom the Boards or any of their committees have an Appointing Authority role as stated in this section), and the general operations and administration of the retirement system and the OPEB Program (including actuarial services for the OPEB Program),
- (2) As to the Board of Investments, Litigation and Claims relating to the regular course of business regarding investments, pension actuarial services, and the OPEB Trusts, and
- (3) Litigation and Claims concerning contracts approved only by that Board.

By this section, the JOGC does not assume any responsibility as Appointing Authority not granted to the Boards under the Los Angeles County Code, including Section 5 (Personnel) and Section 6 (Salaries) or otherwise in conflict with the County Code.

7.67.4 [Chief Executive Officer and Chief Legal Officer](#)

Should a vacancy occur:

- Make a recommendation for a search consultant; and
- Provide such additional assistance in the selection process as the Boards may determine at the time.

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~~7.7.5~~ 7.7.5 [Miscellaneous Matters](#)

Miscellaneous matters that may need to be brought before the JOGC will be determined on a case by case basis by the respective Board Chairs and the Chair of the JOGC in consultation with the CEO. Additionally, miscellaneous matters may be brought before the JOGC by any JOGC member.

## 8 Membership, Quorum, and Rules

There will be eight (8) members with no designated alternates. The JOGC will be comprised of the BOR and BOI Chairs and Vice-Chairs plus one member appointed by each Chair and one member elected by each Board. In making their appointment, each Chair will endeavor to include an overall mix of trustees who are appointed by the Board of Supervisors, elected by active employees (general and safety), elected by retired members, and who serve in an ex officio capacity. The Chairs will also consider continuity of service when appointing members, so that development of expertise and familiarity with the subject matters jurisdictional to the JOGC are encouraged, and to benefit the JOGC's goals.

If there is one JOGC member who represents both Boards (one overlap), then an additional appointment will be made by the BOR Chair in even years and by the BOI Chair in odd years. If there is more than one overlap, the BOR and BOI Chairs will make an equal number of additional appointments; if there are an odd number of overlaps, the final appointment, after the Board Chairs make their separate appointments, will be made following the rule as stated in the preceding sentence that applies in the case of one overlap.

A quorum exists when a majority of the members are present, without regard to the Board from which individual members were appointed.

The JOGC is subject to the Ralph M. Brown Act (Brown Act), and its meetings will be noticed, agendaized, and conducted in accordance with the Brown Act. The JOGC may meet in closed session as provided in the Brown Act.

Robert's Rules of Order will also apply in the JOGC's meetings. A motion may be made or seconded by any member. A motion passes if a majority of the members present, without regard to the Board from which they were appointed, vote in favor of the motion.

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## 9 Leadership

The Chair and Vice Chair of the JOGC will be elected by members of the JOGC at the first meeting of each year.

## 10 Meeting Frequency and Dates

### 10.1 Frequency

The Committee shall meet in February for the purpose of electing Committee officers and to review policies applicable to the System as a whole. The Committee shall meet in April for budget review for the System. Further meetings shall be scheduled as needed with the concurrence of the Board Chairs or the committee Chair with exception of budget. Meetings related to budget and strategic planning shall be scheduled \_\_\_\_\_. All meetings shall be noticed in accordance with the Ralph M. Brown Act.

### 10.2 Dates

To the extent possible, meetings will alternate between scheduled BOR and BOI meetings, and as needed.

• —

## 11 Charter Review

The BOR and BOI shall review and update this Charter, based on recommendations from the JOGC, at least once every three years.

~~This Charter was adopted by the Board of Retirement on \_\_\_\_\_ and by the Board of Investments on \_\_\_\_\_.~~

### HISTORY:

Revised and Restated by the Board of Retirement on \_\_\_\_\_ and by the Board of Investments on \_\_\_\_\_.

## **ATTACHMENT B**

JOGC Charter (Clean Version)



# LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

## Joint Organizational Governance Committee Charter

Revised and Restated  
Adopted by Board of Retirement on \_\_\_\_, 2021  
Adopted by the Board of Investments on \_\_\_\_, 2021

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## 1 Overview of the LACERA Board of Retirement and the Board of Investments<sup>1</sup>

The Los Angeles County Employees Retirement Association (LACERA) is a public pension system formed in 1937 in accordance with the County Employees Retirement Law of 1937 (the '37 Act or CERL) and administered pursuant to the '37 Act, the California Public Employees' Pension Reform Act of 2013 (PEPRA), and the California Constitution. LACERA also administers the Retiree Healthcare Benefits Program for the County and outside districts, through contractual agreements with the County, and the Other Postemployment Benefits Program (OPEB) trusts formed by the County, LACERA, and the Los Angeles Superior Court to provide support for the retiree health program in the future.

LACERA is an independent governmental entity. LACERA is the largest county retirement system in America. LACERA is funded by the County, participating employers, employees, and investment earnings.

LACERA is governed by two Boards. Both Boards include a mix of trustees that are appointed and elected members and an ex-officio member, the sitting County Treasurer and Tax Collector. The Board of Retirement (BOR) is responsible for the overall management of the retirement system and the LACERA-administered Retiree Healthcare Benefits Program. The Board of Investments (BOI) is responsible for determining LACERA's investment objectives, strategies, and policies, as well as exercising authority and control over the investment management of the Fund. The BOI also invests and manages the OPEB trust assets for participating employers. In addition, the BOI is responsible for obtaining pension actuarial valuations that measure the funded status and serve as the basis for setting employer and employee contribution rates required to fund the system. The BOR is responsible for obtaining actuarial valuations for the OPEB Program as part of its responsibility for administration of the OPEB Program.

The BOR and BOI have joint authority under CERL over certain shared responsibilities, including: classification and compensation of personnel; adoption of LACERA's administrative budget; the appointment and evaluation of the Chief Executive Officer (CEO); and other matters as specified in CERL.

Under the California Constitution, the Boards have plenary authority over administration of the pension fund.

## 2 Purpose of this Document

The purpose of this document is to describe:

---

<sup>1</sup> For a detailed analysis of the statutory duties of each board and their joint duties, please review the memorandum from Chief Legal Officer Steven Rice date March 27, 2018 and the concurrence from then fiduciary counsel Harvey Leiderman dated June 15, 2018.

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- the purpose, scope, responsibilities, meetings, and structure of the Joint Organizational Governance Committee (JOGC);
- the committee’s membership and leadership; and
- meeting frequency and dates.

### 3 Purpose of the JOGC

The purpose of the JOGC is to:

- serve and facilitate the work of both Boards when the two boards duties intersect; make recommendations, not decisions.

### 4 Scope

- The scope of the JOGC’s responsibilities, as explained and defined in Section 7, includes: Strategic Planning;
- Budgeting; Joint Board Policy Development for system-wide policies such as ethics, conflict of interest, media, and education and travel;
- Senior Staff Related Employment Litigation and other litigation affecting the interests of both Boards ;
- Assist in recommending Search Consultant for Chief Executive Officer and Chief Legal Officer;
- Such other assistance as may be jointly requested by the Boards; and Classification and salaries of staff

### 5 Powers Reserved for the BOR and BOI

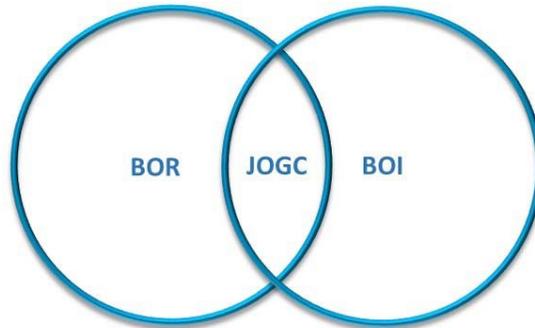
The BOR reserves for itself all powers related to (i) retirement and healthcare benefits including policy, legislation, litigation, operations, and administration, and (ii) actuarial valuations of the OPEB Program.

The BOI reserves for itself all powers related to (i) investments, including policy, legislation, litigation, operations, and administration, (ii) actuarial valuations of the pension fund, and (iii) oversight of the OPEB Trusts.

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## 6 Authority

The JOGC will only make recommendations to each Board on matters that intersect and affect both the BOR and BOI as described in Section 7, Responsibilities of this Charter. The following Venn diagram visually highlights the JOGC role to facilitate the work of both Boards when the Boards' duties intersect.



## 7 Responsibilities

### 7.1 Strategic Planning and Budgeting

Provide guidance on the development of a three-year strategic plan and the associated annual budgeting process for the Administrative, Retiree Healthcare, OPEB Program, and Non-Administrative budgets and make recommendations to the Boards, including the following:

- Strategic Plan: Provide oversight and guidance on the development of a three-year strategic plan that will guide the annual budgeting process, including resources needed to achieve organizational objectives.

In providing input and guidance on the development of the strategic plan, and associated budget plans, the JOGC will take into account, but will not reconsider, actions taken by the Boards within the areas of their separate jurisdiction, including the Board of Retirement for pension, healthcare, and administrative matters and the Board of Investments for investment-related strategic planning. The JOGC's role reflects an understanding that the entire budget process for LACERA, under Government Code Section 31580.2, is subject to the approval of both Boards, and that therefore oversight and guidance for the development of the budget is a joint Board responsibility, based in part upon actions taken by the Boards separately, including vendor selections and other matters.

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Additionally, the JOGC's role reflects an understanding that each three-year strategic plan is a rolling plan and will also take into account past strategic plan initiatives that have already been set in motion and for which resources have already been allocated and expended as they provide input on new expectations and initiatives.

- Staffing Needs.
  - Staff Classifications: Review and make recommendations on staff requests to create all new Staff Member classifications.
  - Staff Compensation: Review and make recommendations on Staff Member requests related to:
    - Negotiation and approval of collective bargaining agreements;
    - Approval of initial compensation levels and ranges applicable to new positions to be added to the County Code;
    - Approval of compensation level and range changes for existing positions that require changes to the County Code; and
    - Budgeting for compensation to be provided for existing positions within existing County Code ranges.
- Budget Development: Provide guidance during the annual budget development cycle for the Administrative, Retiree Healthcare, OPEB Program, and Non- Administrative budgets. The staff will develop preliminary budget plans that take into account and support the approved strategic plans and general operating needs. The JOGC will review and provide a recommendation regarding the proposed budgets which will in turn be presented to the Boards during budget hearings. Both Boards will then independently take action on the JOGC's recommended proposed budget.

Staff will present a three-year strategic plan for the system as a whole incorporating the strategic plan of each Board and annual budget plan development process, including a proposed JOGC meeting schedule with respect to planning and budget issues, for the JOGC's approval. This process will govern how the organization will conduct the strategic plan and budgeting process on an annual basis. Once approved the process will remain in effect until reviewed and modified by the JOGC and staff, with a mandatory review every six years.

The JOGC will complete its strategic planning and budgeting responsibilities on a timetable outlined in the approved annual strategic planning and budgeting process. All plans will include presentation of the budget to the Boards for final approval no later than June of each year.

On-going Oversight: Once a three-year strategic plan and annual budget is approved the JOGC

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will provide on-going oversight of the budget-to-actual results and the progress made towards fulfilling the Strategic Plan initiatives of each Board and the combined Strategic Plan for the system as a whole, during the fiscal year according to a schedule approved by the Committee in consultation with the staff.

By this section, the JOGC does not assume and will not exercise any responsibility as Appointing Authority not granted to the Boards under the Los Angeles County Code, including Section 5 (Personnel) and Section 6 (Salaries), or otherwise in conflict with the County Code and LACERA Policy.

## 7.2 Joint Policies

When not otherwise reserved to either the BOR or the BOI, assist in the development of and make recommendations with respect to all joint policies, such as ethics, conflict of interest, media, and education and travel .

## 7.3 Litigation and Claims

Make recommendations about employment related and other Litigation and Claims affecting the interests of both Boards as described in Section 4. In matters specifically related to employment litigation concerning senior staff that serve both Boards, the CEO and Chief Legal Officer shall participate in the Committee's deliberations.

They may also include any other Litigation and Claims, including ones that each Board is generally expected to separately manage, that the Board Chairs, the CEO, or Chief Counsel reasonably believe justify the involvement of this Committee because of mutual interest and concern to both Boards.

Litigation and Claims are defined as court actions, pre-litigation demands or claims, potential court actions, demands, or claims, and other forms of dispute resolution, such as arbitration and mediation.

Each Board is generally expected to separately manage:

- (1) As to the Board of Retirement, Litigation and Claims relating to the regular course of business regarding retirement, disability, and healthcare benefits, all LACERA personnel (other than the Chief Executive Officer, and all those reporting directly to them or as to whom the Boards or any of their committees have an Appointing Authority role as stated in this section), and the general operations and administration of the retirement system and the OPEB Program (including actuarial services for the OPEB Program),
- (2) As to the Board of Investments, Litigation and Claims relating to the regular course of business regarding investments, pension actuarial services, and the OPEB Trusts, and

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(3) Litigation and Claims concerning contracts approved only by that Board.

By this section, the JOGC does not assume any responsibility as Appointing Authority not granted to the Boards under the Los Angeles County Code, including Section 5 (Personnel) and Section 6 (Salaries) or otherwise in conflict with the County Code.

#### 7.4 Chief Executive Officer and Chief Legal Officer

Should a vacancy occur:

- Make a recommendation for a search consultant; and
- Provide such additional assistance in the selection process as the Boards may determine at the time.

#### 7.5 Miscellaneous Matters

Miscellaneous matters that may need to be brought before the JOGC will be determined on a case by case basis by the respective Board Chairs and the Chair of the JOGC in consultation with the CEO. Additionally, miscellaneous matters may be brought before the JOGC by any JOGC member.

## 8 Membership, Quorum, and Rules

There will be eight (8) members with no designated alternates. The JOGC will be comprised of the BOR and BOI Chairs and Vice-Chairs plus one member appointed by each Chair and one member elected by each Board. In making their appointment, each Chair will endeavor to include an overall mix of trustees who are appointed by the Board of Supervisors, elected by active employees (general and safety), elected by retired members, and who serve in an ex officio capacity. The Chairs will also consider continuity of service when appointing members, so that development of expertise and familiarity with the subject matters jurisdictional to the JOGC are encouraged, and to benefit the JOGC's goals.

If there is one JOGC member who represents both Boards (one overlap), then an additional appointment will be made by the BOR Chair in even years and by the BOI Chair in odd years. If there is more than one overlap, the BOR and BOI Chairs will make an equal number of additional appointments; if there are an odd number of overlaps, the final appointment, after the Board Chairs make their separate appointments, will be made following the rule as stated in the preceding sentence that applies in the case of one overlap.

A quorum exists when a majority of the members are present, without regard to the Board from which individual members were appointed.

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The JOGC is subject to the Ralph M. Brown Act (Brown Act), and its meetings will be noticed, agendaized, and conducted in accordance with the Brown Act. The JOGC may meet in closed session as provided in the Brown Act.

Robert's Rules of Order will also apply in the JOGC's meetings. A motion may be made or seconded by any member. A motion passes if a majority of the members present, without regard to the Board from which they were appointed, vote in favor of the motion.

## 9 Leadership

The Chair and Vice Chair of the JOGC will be elected by members of the JOGC at the first meeting of each year.

## 10 Meeting Frequency and Dates

### 10.1 Frequency

The Committee shall meet in February for the purpose of electing Committee officers and to review policies applicable to the System as a whole. The Committee shall meet in April for budget review for the System. Further meetings shall be scheduled as needed with the concurrence of the Board Chairs or the committee Chair. All meetings shall be noticed in accordance with the Ralph M. Brown Act.

### 10.2 Dates

To the extent possible, meetings will alternate between scheduled BOR and BOI meetings, and as needed.

## 11 Charter Review

The BOR and BOI shall review and update this Charter, based on recommendations from the JOGC, at least once every three years.

### HISTORY:

Revised and Restated by the Board of Retirement on \_\_\_\_\_ and by the Board of Investments on \_\_\_\_\_.

## **ATTACHMENT C**

April 4, 2018 - Joint and Separate Responsibilities of  
the Boards Memo

**FOR INFORMATION ONLY**

March 27, 2018

TO: Each Member  
Board of Retirement  
Board of Investments

FROM: Steven P. Rice *SPR*  
Chief Counsel

FOR: April 4, 2018 Joint Board of Retirement and Board of Investments Meeting

SUBJECT: Joint and Separate Responsibilities of the Boards

At the March 15, 2018 Board of Retirement (BOR) meeting, certain BOR members requested a legal analysis of the joint and separate responsibilities of the BOR and the Board of Investments (BOI) (together, Boards) in order to facilitate a clear understanding and compliance with applicable law.

**LEGAL AUTHORITY**

The responsibilities of the Boards are enumerated in Article XVI, Section 17 of the California Constitution, the County Employees Retirement Law of 1937 (CERL), Cal. Gov't Code §§ 31450, *et seq.*, the California Public Employees' Pension Reform Act of 2013 (PEPRA), Cal. Gov't Code §§ 7522, *et seq.*, and the Internal Revenue Code, 26 U.S.C. §§ 401(a), *et seq.*

The Boards' overarching plenary authority and fiduciary responsibilities are addressed in Article XVI, Section 17 of the Constitution. Article XVI, Section 17 applies generally to all California public pension boards. The Constitution does not change the division of responsibilities between the Boards as established in CERL.

Specific operational responsibilities of the Boards are set forth in CERL and PEPRA, which describe the duties of LACERA's Boards in detail.

LACERA is the only California public pension system that has separate boards for administration and investments (although a number of California charter cities have established separate pension funds and boards for safety and general members).

**DISCUSSION**

***A. Constitution.***

Under Article XVI, Section 17 of the Constitution, "the retirement board of a public pension or retirement system shall have plenary authority and fiduciary responsibility for

investment of moneys and administration of the system.” The Constitution only uses the singular term “retirement board,” but it does not create that board. Rather, the Constitution confers duties on governing board(s) otherwise established by plan sponsor agencies under the laws that provide for their respective plans. For example, the Public Employees’ Retirement Law, Cal. Gov’t Code §§ 20000, *et seq.* (PERL), establishes the Board of CalPERS; the Teachers’ Retirement Law, Cal. Ed. Code §§ 22000, *et seq.*, establishes the Board of CalSTRS. So, too, CERL authorizes the twenty California counties adopting the CERL to establish the retirement boards for their respective county systems. For Los Angeles County, CERL authorized the County to establish two boards for jointly governing a single retirement system, and the County exercised that authority to create the BOI. Because of the unique authority granted by CERL, both LACERA Boards have constitutional fiduciary obligations that are important to the performance of their coordinated governance of the retirement system. The Boards both have the same constitutional mission and the same fiduciary duties of prudence and loyalty. It is important to review these fiduciary duties because they have a direct bearing on how the Boards should interact with each other in considering their joint and separate operational responsibilities under CERL.

Article XVI, Section 17 provides for the following duties, which apply to both the BOR and the BOI:

- “The retirement board . . . shall have the sole and exclusive fiduciary responsibility over the assets” of the system. (Section 17(a).)
- “The retirement board shall also have sole and exclusive responsibility to administer the system in a manner that will assure prompt delivery of benefits and related services to the participants and their beneficiaries.” (Section 17(a).)
- Fund assets “are trust funds and shall be held for the exclusive purposes of providing benefits to participants . . . and their beneficiaries and defraying reasonable expenses of administering the system.” (Section 17(a).)
- Trustees “shall discharge their duties with respect to the system solely in the interest of, and for the exclusive purposes of providing benefits to, participants and their beneficiaries, minimizing employer contributions thereto, and defraying reasonable expenses of administering the system. A retirement board’s duty to its participants and their beneficiaries shall take precedence over any other duty.” This is the duty of loyalty. (Section 17(b); see *also* CERL, Section 31595(a).)
- Trustees “shall discharge their duties with respect to the system with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with these matters would

use in the conduct of an enterprise of a like character and with like aims.” This is the duty of prudence. (Section 17(b).)

In addition, Article XVI, Section 17 includes two duties that apply to the BOI, although the BOI is not mentioned by name:

- Trustees “shall diversify the investments of the system so as to minimize the risk of loss and to maximize the rate of return, unless under the circumstances it is clearly not prudent to do so.” (Section 17(d); see *also* CERL, Section 31595(c).)
- The board, “consistent with the exclusive fiduciary responsibilities vested in it, shall have the sole and exclusive power to provide for actuarial services in order to assure the competency of the assets of the public pension or retirement system.” (Section 17(e).)

The BOR and BOI share the same constitutional fiduciary duties in furtherance of the same purpose. The trustees of the boards are co-fiduciaries of the retirement system. The Boards must work together to achieve the purpose of the fund. The need to work together is a constitutional requirement that follows from the duty of prudence because any reasonable trustee in a like position must cooperate fully with their trustees on the other board. Joint effort also follows from the duty of loyalty because the interests of the members and their beneficiaries could be impaired if there are jurisdictional disagreements between the Boards. The Boards must respect clear lines drawn in CERL as to their separate and joint authorities. The Boards should also be flexible and collaborative in addressing ambiguities in the law as to board authority where they exist in CERL and PEPRA and in addressing special circumstances that may prudently require joint action or joint communication.

## ***B. CERL and PEPRA.***

### **1. Background.**

#### **a. BOR.**

Section 31520 of CERL provides, “Except as otherwise delegated to the board of investments and except for statutory duties of the county treasurer,<sup>1</sup> the management of the retirement system is vested in the board of retirement . . . .” Section 31520 provides for a five-member board of retirement. However, where a system (like LACERA) provides for safety member contributions and retirement, Section 31520.1 provides for a nine-

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<sup>1</sup> CERL provides that the Boards may delegate certain responsibilities, such as the holding and investment of assets, to the treasurer. The Boards have not exercised this authority.

member board of retirement, with an alternate safety member. The nine members include four elected by the members (two by general members, one by safety members, and one by retired members), four appointed by the board of supervisors, and the county treasurer, sitting ex officio. Section 31520.5 provides for a retired member alternate to the board of retirement.

LACERA has had its Board of Retirement since the fund's formation in 1938.

**b. BOI.**

Section 31520.2 provides that, in any county with over \$800 million in assets, the board of supervisors may establish a nine-member board of investments. The membership of the board of investments follows the same pattern as described above for the board of retirement, except that there are no alternate members.

LACERA has had a Board of Investments since 1972, shortly after the Board of Supervisors voted to authorize it. LACERA is the only CERL system with a board of investments. Under Section 31520.2(b), "The board of investments shall be responsible for all investments of the retirement system."

**2. Definition of "Board."**

The responsibilities of the BOR and BOI are contained in various CERL and PEPRA provisions. Sometimes, the statutes are clear and specific as to which board is given a particular responsibility. Other provisions simply use the term "board" or are vague as to whether they apply to the BOR, the BOI, or both. Section 31459.1 provides a definition of "board" which is applicable only to LACERA, as follows:

(a) In a county in which a board of investments has been established pursuant to Section 31520.2:

(1) As used in Sections 31453, 31453.5, 31454, 31454.1, 31454.5, 31472, 31588.1, 31589.1, 31591, 31592.3, 31594, 31595.1, 31595.9, 31596, 31596.1, 31601.1, 31607, 31610, 31611, 31612, 31613, 31616, 31618, 31621.11, 31625, 31639.26, 31784, and 31872, "board" means board of investments.

(2) As used in the first paragraph of Section 31592.2 and the first paragraph and subdivision (c) of the second paragraph of Section 31595, "board" means a board of investments.

(3) Sections 31521, 31522, 31522.1, 31522.2, 31523, 31524, 31525, 31528, 31529, 31529.5, 31535.1, 31580.2, 31614, 31680, and 31680.1,

apply to both the board of retirement and board of investments, and “board” means either or both the board of retirement and board of investments.

(4) Subdivision (a) of Section 31526 and subdivisions (a) and (b) of the second paragraph of Section 31595 apply to both the board of retirement and board of investments, and “board” means either or both the board of retirement and board of investments.

(b) In Article 17 (commencing with Section 31880) of this chapter, “board” means the Board of Administration of the Public Employees’ Retirement System.

(c) In all other cases, “board” means the board of retirement.

(d) This section shall apply only in a county of the first class, as defined in Section 28020, as amended by Chapter 1204 of the Statutes of 1971, and Section 28022, as amended by Chapter 43 of the Statutes of 1961.

The specific CERL sections mentioned in Section 31459.1 are discussed in detail in the next section of this memo. Section 31459.1 does not list all provisions of CERL that specifically mention the board of investments. Other provisions must be interpreted in developing a list of the Boards’ responsibilities. In addition, it is important to note that Section 31459.1 is not itself a grant of authority to either board; it is a definitional section to assist in interpreting some but not all of the substantive provisions of CERL that describe specific areas of board responsibility.

### **3. Responsibilities and Authorities of the Boards.**

As quoted above, Section 31520 states that “the management of the retirement system is vested in the board of retirement,” and Section 31520.2(b) provides that “The board of investments shall be responsible for all investments of the retirement system.” However, these statements are so general that they do not provide much practical guidance in understanding how the many specific functions of managing a public retirement system are to be handled by the Boards.

Understanding of the detailed allocation of responsibilities is found in the definition quoted above from Section 31459.1 and in other provisions of CERL that specifically mention one or both of the Boards. Based on Section 31459.1 and the rest of CERL, certain responsibilities belong to both the BOI and the BOR, certain responsibilities belong to the BOI, and everything else that is not specifically identified belongs to the BOR. The next three subsections of this memo list specific responsibilities that fall into each category. CERL is a long and complex statute, over 360 pages in length. There may be specific separate responsibilities of the BOR or BOI that are not listed below. However, the lists below include major responsibilities and authorities of the Boards.

**a. Joint Responsibilities and Authorities of the BOR and BOI.**

Under CERL, there are two specific responsibilities and authorities that require joint action of both the BOR and the BOI:

- i. Appointment of personnel.* (Sections 31522.1, 31522.2, 31522.4.) These provisions provide that “the board of retirement and both the board of retirement and board of investment may appoint” the retirement administrator and other staff. Since LACERA has both the BOR and BOI, the statutory language giving appointing authority to “both” the BOR and the BOI governs. Appointing authority includes the power to approve organizational staffing needs, classifications and classification changes, evaluation, compensation adjustments, and discipline. Accordingly, appointment of staff is a statutory joint responsibility of the Boards.

Long ago, the Boards determined to retain joint appointing authority over the Chief Executive Officer (CEO) and delegate appointing authority for all other positions, other than the Chief Audit Executive,<sup>2</sup> to the CEO. This structure is reflected in County Salary Ordinance provisions, as required by CERL.<sup>3</sup>

The Salary Ordinance provides, “The person appointed by the Boards of Retirement and Investments to act as retirement administrator pursuant to Government Code Section 31522.2, shall be known as the Chief Executive Officer.” (Ordinance 6.127.020(A).) The Salary Ordinance defines “Appointing Authority” for the CEO as “the board of retirement and the board of investments jointly” and for staff as the “retirement administrator.” (Ordinance 6.127.040(B)(1).)

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<sup>2</sup> The Boards have determined that they are the appointing authority for the Chief Audit Executive (CAE) with respect to appointment, discipline, dismissal, and/or removal. The Audit Committee is the CAE’s appointing authority with respect to performance management and salary adjustments. The CAE reports to the CEO for administrative purposes. The role of the Boards, the Audit Committee, and CEO are fully described in the Audit Committee Charter. Note that changes to the Salary Ordinance to implement the system described in this footnote, as approved by the Boards in January 2017, are pending approval by the Board of Supervisors as of the date of this memo.

<sup>3</sup> This structure is not unique to LACERA. A similar arrangement exists in the City of San José, which has separate boards to administer its Police and Fire Department Retirement Plan and its Federated City Employees’ Retirement System. By City Charter (Section 810.1(a)), however, the two boards have the authority to “jointly appoint the chief executive officer and the chief investment officer.”

The CEO's compensation "may be determined by written agreement between the boards of retirement and investments and such designated person." (Ordinance 6.127.030(B)(1); see *also* Ordinance 6.127.040(C).) The CEO's salary may be adjusted "by the boards of retirement and investments," even if it falls outside designated Salary Ranges. (Ordinance 6.127.030(B)(3).) The Boards jointly may authorize additional compensation to the CEO for "exceptional or extraordinary service." (Ordinance 6.127.030(B)(2).) The County's Table of Classes of Positions with Salary Schedule and Level does not state the CEO's compensation; instead, it refers back to the Board's joint compensation setting authority under Ordinance 6.127.020(A). (Ordinance 6.127.050, Note N37.)

Under the Ordinance, salary adjustments and performance management for LACERA staff are the responsibility of the CEO as Appointing Authority under Chapter 127 of the Ordinance.

The Boards also have the independent authority, by joint resolution, to create a Performance Compensation Program for LACERA employees. (Ordinance 6.127.030(C).)

The Boards may, by joint action, request a change in the Ordinance. However, at present, the structure for personnel is as described above. The Civil Services Rules are consistent, where applicable.

- ii. *Budget approval.* (Section 31580.2.) This statute provides that, where "the board of retirement, or the board of retirement and the board of investments, have appointed personnel . . . , the respective board or boards shall annually adopt a budget covering the entire expense of administration of the retirement system which expense shall be charged against the earnings of the retirement fund." At LACERA, since the Boards jointly appoint personnel under CERL as discussed above, the responsibility and authority to adopt a budget belongs as a matter of law under Section 31580.2 to both Boards acting jointly. This is in fact the way LACERA conducts its budget process.

Although appointment of personnel and budget approval are the only two specified areas of joint Board action under CERL and PEPR, there may be other important issues that flow from the specified areas or otherwise reasonably should be handled by both Boards. Examples include: litigation that presents significant organizational risks; union contracts; legislation that affects LACERA's overall governance structure; changes in LACERA's

Mission, Values, or Vision; joint committees such as the Audit Committee;<sup>4</sup> policies that affect both Boards, such as the Audit Committee Charter, Education and Travel Policy, Legislative Policy, Code of Ethical Conduct, Conflict of Interest Code, and similar policies that cross board lines; and engagement of fiduciary counsel to advise both Boards. As noted above, a spirit of cooperation, collaboration, and flexibility is legally required among the Boards as a matter of their co-fiduciary duties in addressing other issues of joint interest.

#### **b. BOI Responsibilities and Authorities.**

CERL is generally structured so that the responsibilities and powers of the BOI are specifically identified. Any responsibilities and powers not given to the BOI belong to the BOR. Despite this structure, there are still some ambiguities because Section 31459.1 is not complete and other CERL and PEPR provisions are also ambiguous. Responsibilities and authorities that the BOI, and its trustees, may separately exercise as identified in governing law include the following:

- i. All investments.* (Sections 7514.2, 31520.2(b), 31594, 31595, 31595.1, 31595.9, 31601.1, 31602, 31603.) The BOI “shall be responsible for all investments of the retirement system.” (Section 31520.2(b).) The statutory authority is very broad. Investments may be made “in any form or type of investment deemed prudent” in compliance with the BOI’s fiduciary duties. (Section 31494.) Note that investments in real property require approval by at least six votes, except that nine votes are required if the Board of Supervisors or County Board of Education has a material interest. (Section 31601.1.) Investments in in-state infrastructure projects are specifically addressed by statute. (Section 7514.2.)
- ii. Diversification of investments.* (Section 31595(c).) The BOI is required to “diversify the investments of the system so as to minimize the risk of loss and to maximize the rate of return, unless under the circumstances it is clearly prudent not to do so.” (*Id.*) This same language appears in Article XVI, Section 17(d).

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<sup>4</sup> The responsibilities and authorities of the Audit Committee include oversight of Internal Audit, oversight of the CAE (see footnote 2), oversight of and recommendations regarding selection of the External Auditor, monitoring of the financial reporting process, monitoring management’s system of internal controls and compliance, and conflicts and ethics. These responsibilities are fully defined in the Audit Committee Charter approved by both Boards.

- iii. Delegation of investment authority. (Sections 31595, 31595.1, 31596.) The BOI has the authority and discretion to “invest, or delegate the authority to invest, the assets of the fund.” (Section 31595.) One party to whom the BOI may delegate authority is the treasurer. (Sections 31595.1, 31596.)
- iv. Actuarial investigations, assumptions, and valuations. (Sections 31453, 31453.6, 31454, 31454.1, 31515.1.) The BOI has sole authority under CERL over actuarial matters related to the pension fund. Actuarial issues are also recognized in the Constitution, which provides that board (as to LACERA, the BOI) “shall have the sole and exclusive power to provide for actuarial services in order to assure the competency of the assets of the public pension or retirement system.” (Article XVI, Section 17(e).)
- v. Retirement benefit funding policy. (Sections 7522.52, 31591.) The BOI sets the funding policy for LACERA, which includes the funding goal, annual implementation, allocation of actuarial assets, and the valuation cycle.
- vi. Recommendation of interest rates on deposits. (Sections 31453, 31454, 31472, 31591.) Based on actuarial investigation, valuation, and recommendations, the BOI recommends to the Board of Supervisors changes in the interest rate on deposits.
- vii. Recommendation of member contribution rates. (Sections 31453, 31454, 31621.11, 31625, 31639.26, 31872.) Based on actuarial input, the BOI recommends to the Board of Supervisors the rates of member contributions.
- viii. Recommendation of employer contribution rates and appropriations. (Sections 31453, 31453.5, 31454, 31454.5, 31495.) Similarly, the BOI recommends to the Board of Supervisors employer contributions rates and appropriations based on actuarial findings.
- ix. Transfer of excess interest. (Section 31592.2(a).) The BOI has authority, when the surplus interest in excess of the amount credited to contributions and reserves exceeds 1% of total assets, to transfer such surplus into a county advance reserve for the payment of benefits.
- x. Selection of custodian. (Section 31596.) The BOI is responsible to select LACERA’s custodian.

- xi. Investment, actuarial, custodial, and investment legal expenses.* (Section 31596.1) The BOI manages expenses of investment, the fund's actuary, the custodian, and investment counsel, all of which are then charged against investment earnings or fund assets as the BOI determines.
- xii. Adopt BOI regulations.* (Sections 31525, 31526(a).) The BOI is required to adopt board regulations providing for election of officers, their terms, meetings, and all other matters relating to the administrative procedure of the board.
- xiii. Interest on unpaid installments of death benefit.* (Section 31784.) The BOI determines the rate at which interest is paid to a person who elects to receive a death benefit over time.
- xiv. Certain Plan E actions.* (Sections 31488, 31491(f) and (g), 31492(b) and (c).) The interest and mortality tables adopted by the BOI are used for calculation of the early retirement adjustment factors that may be employed under Plan E. (Section 31491(f).) The BOI also adjusts primary insurance amounts for Plan E based on the advice of the actuary. (Section 31491(g).) In addition, the BOI approves the actuarial equivalence of a Plan E member's election for an increased survivor allowance. (Section 31492(c).)
- xv. Filling of BOI vacancies.* (Section 31523.1.) The BOI is required to cause an election to be held at the earliest possible date for any vacancy in the elected positions.
- xvi. Separation from County service.* (Section 31524.) Separation from County service of an elected member vacates the trustee's office.
- xvii. 24 hours of trustee education.* (Section 31522.8.) BOI trustees are required to obtain 24 hours of education every two years on the subjects listed in Section 31522.8.
- xviii. Conflict and revolving door limits.* (Section 31528.) Members of the BOI may not have a personal interest, direct or indirect, in the making of any investment, the profit or losses on any investments, or the sale of any investment, or act as agent for others in the use of trust funds. BOI members also may not, for a period of two years after leaving office, act as an agent or attorney for or otherwise represent for compensation any person, except the County, before the BOI, BOR, or staff by personal appearance or any oral or written communication for the purpose of influencing LACERA administrative or legislative

action or concerning a contract, grant, or purchase or sale of good or property. Beyond Section 31528, the BOI has authority to regulate conflicts that may arise in the conduct of its affairs and the matters under its authority.

- xix. Authority to obtain legal representation. (Sections 31529, 31529.1, 31529.5, 31529.6, 31529.9, 31607.) The BOI may retain outside counsel to act as counsel for the board or assist in the performance of its responsibilities.
- xx. Securities and investment-related litigation. Authorization and oversight of securities and other investment-related litigation is the responsibility of the BOI because the issues involve matters within the broad investment responsibility of that board.
- xxi. Issuance of subpoenas. (Section 31535.1) The BOI has the authority to issue subpoenas in connection with its areas of responsibility.
- xxii. OPEB trust investments and trust administration. (Sections 31694.1, 31694.3, 31694.5.) The BOI may invest Other Post-Employment Benefit (OPEB) trust assets contributed by participating employers. The BOI is trustee under the County, Court, and Master OPEB Trust Agreements with responsibility for trust investment and administration.
- xxiii. Approval of travel. The BOI has the responsibility under the Education and Travel Policy to approve travel for its trustees according to the terms of the policy.
- xxiv. Committees. Under the BOI Regulations, the BOI Chair may appoint such standing and ad hoc committees as he or she deems necessary to accomplish the BOI's responsibilities. The BOI as a whole also has authority to establish committees.

The BOI has the authority to perform and manage their specific responsibilities as listed above, adopt policies and procedures, retain other consultants and vendors, and take other reasonable and necessary actions related to their specifically assigned responsibilities. The BOI's authorities and responsibilities are further addressed in the BOI's Regulations (or Bylaws), Board Charter, Powers Reserved Defined, Powers Reserved and Delegated Authorities, the Powers and Duties of Investments Board Members, Investment Policy Statement, Corporate Governance Committee Charter, Corporate Governance Principles and Policy, Domestic Proxy Voting Guidelines, and other existing policies and procedures. The BOI must manage its meetings and affairs so as to comply with the Brown Act, Cal. Gov't Code 54950, *et seq.*

### **c. BOR Responsibilities and Authorities.**

Under Section 31520, “the management of the retirement system is vested in the” BOR, except for those responsibilities specifically assigned to both Boards or the BOI. Accordingly, the short answer to the question of the BOR’s responsibilities and authorities is that the BOR separately can do anything, required under CERL, PEPRA, and the Constitution to manage the system, that is not specifically listed in paragraph 3(a) (joint BOR and BOI responsibilities) and paragraph 3(b) (BOI responsibilities). However, to provide a more helpful response, the responsibilities and authorities that may be separately exercised by the BOR include the following:

- i. Pension benefits administration upon service retirement.* (Section 31670.) The BOR approves all service retirements and administers all issues and processes under CERL and PEPRA related to delivery and support of pension benefits, including Member Services, Benefits, and Quality Assurance.
- ii. Compensation earnable and pensionable compensation.* (Sections 7522.34, 31461.) The BOR makes determinations as to whether pay codes are compensation earnable under CERL. The BOR also acts upon pensionable compensation recommendations under PEPRA, although there is less board discretion under PEPRA than there is under CERL because PEPRA does not specifically reference a board determination.
- iii. Disability retirement benefits administration.* (Sections 31530, 31720 *et seq.*) The BOR approves all disability retirements. In that role, the BOR administers Disability Retirement Services, engages physicians, manages the board’s medical advisor, decides applications, appoints referees to conduct appeal hearings, receives and acts upon referee reports, sets rules for disability applications and hearings, and makes such other decisions and performs such other oversight as required for delivery of disability retirement benefits. Member Services, Benefits, and Quality Assurance may also be necessary, from time to time, to support the administration of disability retirement.
- iv. All other benefits and benefit-related issues.* All other benefits and benefit-related issues under CERL and PEPRA, including, for example, survivor benefits, death benefits, investigation of benefits questions, felony forfeiture, and reciprocity, are within the BOR’s authority.

- v. Collections. The BOR oversees collection issues, including underpayment of contributions and overpayment of benefits.
- vi. Administrative appeals. The BOR has established and oversees an administrative appeals process, and hears and decides member appeals with regard to plan administration issues. The administrative appeals process adopted by the BOR provides due process to members with disputes.
- vii. Procedure for assessing and determining whether an element of compensation was paid to enhance benefits. (Section 31542.) The BOR is required to implement a procedure to address potential “pension spiking.” If the BOR determines that compensation was paid to enhance a member’s benefit, the member or employer may present evidence that the compensation was not paid for that purpose and request the BOR to reverse its decision. This requirement was implemented in part through the administrative appeals process described in (vi) above.
- viii. LACERA operations. All LACERA operational issues are addressed by the BOR, other than those assigned to the Boards jointly or to the BOI as described in the preceding sections of this memo. The BOR’s operational responsibilities are broad, except as limited, and include such important matters as privacy, Public Records Act requests, member communications, and all issues not specifically provided to be performed elsewhere.
- ix. Financial and accounting services. (Section 31593.) Aside from financial, actuarial, and auditing responsibilities of the BOI and the Audit Committee as explained above, the BOR monitors and supervises the Financial and Accounting Services Division and related financial reporting compliance issues and approves retention of LACERA’s external financial auditor based on a recommendation from the Audit Committee.
- x. Personnel issues. The personnel function, which includes Human Resources, is part of the management of the system, and is therefore under the jurisdiction of the Board of Retirement. Personnel issues includes employment claims and litigation. This responsibility excludes the CEO and the CAE, which report jointly to both Boards as noted above. It also excludes classification, compensation, and union contracts (although negotiations will be overseen by the BOR), which are joint board responsibilities. In addition, it is reasonable to

acknowledge that both Boards have an interest in significant personnel issues concerning senior management, such as the Chief Counsel, who regularly advise the BOR. Accordingly, while the BOR will have oversight responsibility for most personnel issues, certain personnel issues may appropriately be addressed by both Boards working together or by the BOI alone.

- x. Aids to benefits administration.* The BOR's broad authority over benefits, including service retirements, disability retirements, and other benefits, encompasses the ability to procure consultants and whatever other aids are necessary for the effective performance of that work.
- xii. Tax compliance issues.* The BOR oversees tax qualification issues and monitors that LACERA remains in compliance with applicable requirements of the Internal Revenue Code. The BOR also monitors compliance with other tax laws, such as state and federal withholding requirements for member and beneficiary payments.
- xiii. Adopt BOR regulations.* (Sections 31525, 31526.) The BOR is required to adopt board regulations providing for election of officers, their terms, meetings, and all other matters relating to the administrative procedure of the board.
- xiv. Filling of BOR vacancies.* (Section 31523.) The BOR is required to cause an election to be held at the earliest possible date for any vacancy in the elected positions.
- xv. Separation from County service.* (Section 31524.) Separation from County service of an elected member vacates the trustee's office.
- xvi. 24 hours of trustee education.* (Section 31522.8.) BOR trustees are required to obtain 24 hours of education every two years on the subjects listed in Section 31522.8.
- xvii. Conflict and revolving door limits.* (Section 31528.) Members of the BOR may not have a personal interest, direct or indirect, in the making of any investment, the profit or losses on any investments, or the sale of any investment, or act as agent for others in the use of trust funds. BOR members also may not, for a period of two years after leaving office, act as an agent or attorney for or otherwise represent for compensation any person, except the County, before the BOI, BOR, or staff by personal appearance or any oral or written communication for the purpose of influencing LACERA administrative or legislative action or concerning a contract, grant, or purchase or sale of goods or

property. Beyond Section 31528, the BOR has authority to regulate conflicts that may arise in the conduct of its affairs and the matters under its authority.

- xviii. Authority to obtain legal representation.* (Sections 31529, 31529.1, 31529.5, 31529.6, 31529.9.) The BOR may retain outside counsel to act as counsel for the board or assist in the performance of its responsibilities.
- xix. Litigation related to LACERA benefits, operations, and management.* All non-investment related litigation is managed by the BOR, with the possible exception that there may be limited, extraordinary situations where good governance and fiduciary duty suggests that the best case management will be performed by both Boards.
- xx. Issuance of subpoenas.* (Section 31535.1) The BOR has the authority to issue subpoenas in connection with its areas of responsibility.
- xxi. Administration of the OPEB program.* (Sections 31691, 31694.) The BOR administers the retiree healthcare program under the 1982 Agreement, as amended, with the County and under separate agreements with participating districts.
- xxii. Approval of travel.* The BOR has the responsibility under the Education and Travel Policy to approve travel for its trustees according to the terms of the policy.
- xxiii. Committees.* Under the BOR Regulations, the BOR Chair may appoint such standing and ad hoc committees as he or she deems necessary to accomplish the BOR's responsibilities. The BOR as a whole also has the authority to establish committees.

The BOR also has the authority to perform and manage their specific responsibilities as listed above, adopt policies and procedures, and take other reasonable and necessary actions related to their specifically assigned responsibilities. The BOR's authorities and responsibilities are further addressed in the BOR's Regulations, Board Charter, Committee Charters, the Power and Duties of Retirement Board Members, Policies and Procedures for Handling Disability Applications, Procedures for Disability Retirement Hearings, and other existing policies and procedures. The BOR must manage its meetings and affairs to comply with the Brown Act, Cal. Gov't Code 54950, *et seq.*

///

## CONCLUSION

This summary of the Boards' responsibilities is based on the current state of the law. It is also important to repeat that, while this memo is intended to be comprehensive, there may be specific responsibilities of the Boards that are not mentioned with particularity. To the extent there are ambiguities in the law or the Boards would like to change existing practice, LACERA can seek legislative changes. The Boards, separately or jointly depending on the subject matter, also have the discretion to adopt additional policies and procedures to clarify their respective responsibilities.

c:	Robert Hill	Vincent Lim	Leilani Ignacio
	James Brekk	Ted Granger	Fern Billingsy
	John Popowich	John McClelland	Johanna Fontenot
	Bernie Buenaflor	Christopher Wagner	Frank Boyd
	Jon Grabel	Vache Mahseredjian	Michael Herrera
	Beulah Auten	Ted Wright	Christine Roseland
	Richard Bendall	Jude Perez	John Harrington
	Allan Cochran	Jim Rice	Jill Rawal
	Vanessa Gonzalez	Scott Zdrasil	Cheryl Lu
	Ricki Contreras	Quoc Nguyen	Bonnie Nolley
	Derwin Brown	Leisha Collins	Linda Ghazarian
	Cassandra Smith	Harvey Leiderman	

**From:** Harvey L. Leiderman  
Direct Phone: +1 415 659 5914  
Email: HLeiderman@reedsmith.com

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101 Second Street  
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***CONFIDENTIAL AND PRIVILEGED  
ATTORNEY-CLIENT COMMUNICATION  
ATTORNEY WORK PRODUCT***

**To:** Members of the Board of Retirement and Board of Investments  
Los Angeles County Employees Retirement Association  
c/o Steven P. Rice, Chief Counsel

**Date:** June 15, 2018

**Subject:** Boards' Responsibilities

It came to our attention yesterday that some members of the Boards had requested that we, as Fiduciary Counsel, provide an analysis of the law and policies establishing the respective responsibilities of the two Boards, separate and apart from the analysis presented to the Boards during the joint meeting held on April 4, 2018. This Memorandum addresses that request.

Chief Counsel submitted a Memorandum to the Boards, dated March 27, 2018, regarding the "Joint and Separate Responsibilities of the Boards," for the April 4<sup>th</sup> joint meeting (copy attached.) Prior to submitting that Memorandum, Chief Counsel afforded us an opportunity to review and comment on its content, which we did; our comments and suggestions were incorporated into the final Memorandum presented to the Boards. At the April 4, 2018 meeting, we accompanied Chief Counsel in presenting the Memorandum to the Boards and responding to Board member questions and comments. At the conclusion of the meeting counsel was directed to incorporate the Memorandum into the Board Charters.

Although not expressly stated in the Chief Counsel's Memorandum, we fully agree with the legal analysis and conclusions found in the Memorandum. The respective responsibilities of the two Boards are detailed extensively in the Memorandum, sourced to their statutory bases, and are consistent with the common law of trusts (particularly the law relating to the fiduciary obligations of co-trustees.) Where the law may not be crystal clear in its direction, the Boards have established reasonable policies and procedures to accommodate their respective duties and implement the intent of the law.

In sum, we believe that the March 27, 2018 Memorandum accurately states the current status of the law establishing the respective powers and duties of the Boards, and here confirm our agreement with its analysis and conclusions.

If we can provide further guidance to the Boards please let us know.



December 13, 2021

TO: Each Member  
Board of Retirement

FROM: Carlos Barrios *CB*  
Interim Division Manager, Benefits Division

FOR: Board of Retirement Meeting of January 5, 2022

SUBJECT: **Application of Theresa Villa-McDowell for Reinstatement to Active Membership Pursuant to Government Code Section 31680.4 and 31680.5**

Government Code Sections 31680.4 and 31680.5 permit a service retired member to be re-employed by the County and reinstated as an active member of LACERA if the Board of Retirement, based upon medical examination, determines that the member is not incapacitated for the assigned duties. The member's retirement allowance would be suspended immediately upon re-employment. Reinstatement to active membership becomes effective the first day of the month following the date of re-employment. The returning member would only be eligible for a retirement plan that is currently available for new members regardless of the member's prior retirement plan.

Theresa Villa-McDowell was employed by the Board of Supervisors (BOS). She resigned on April 21, 2006, deferred her retirement, and subsequently retired on September 16, 2016. The County of Los Angeles Department of Workforce Development, Aging and Community Services now wishes to re-employ Theresa Villa-McDowell as a Human Services Administrator I (HSA I), (item no. 8021A).

Under CERL 31680.5, all reinstated general members are entitled to a retirement allowance, upon subsequent retirement, "determined as if the member were first entering the system." Thus, this member is only eligible for Retirement Plan G General. Note that the member was in Plan D for her past membership period and will be in Plan G General for her new membership period, if approved for reinstatement.

Attached are copies of documents prepared in support of the member's application for reinstatement:

- The December 8, 2021 letter from the County of Los Angeles, Chief Executive Office confirming the Board of Supervisors' Constructive Approval of the request to re-employ the member. (Attachment A)
- The September 7, 2021 letter from the Chief Executive Office to the Board of Supervisors requesting re-employment as a Human Services Administrator I with the Department of Workforce Development, Aging and Community Services. (Attachment B)

- The November 30, 2021 letter from the Department of Workforce Development, Aging and Community Services to LACERA requesting reinstatement of membership for the member and outlining the job duties for a Human Services Administrator I. (Attachment C)
- The June 16, 2021 letter from the member to LACERA requesting reinstatement of membership as a Human Services Administrator I with the Department of Workforce Development, Aging and Community Services. (Attachment D)
- The member's Medical Clearance report signed on June 16, 2021 finding the member not incapacitated for the proposed duties. (Attachment E)
- The Class Specification for a Human Services Administrator I. (Attachment F)

**IT IS THEREFORE RECOMMENDED THAT YOUR BOARD:**

1. Determine, based upon medical evaluation conducted on June 16, 2021, that Theresa Villa-McDowell is not incapacitated for the duties assigned to her in the position of Human Services Administrator I; and
2. Grant the application of Theresa Villa-McDowell for reinstatement to active membership.

**REVIEWED AND APPROVED:**



---

John Popowich  
Assistant Executive Officer

CB:bb  
Div\ben\retstaff\Villa-McDowell, Theresa.doc

Attachments



FESIA A. DAVENPORT  
Chief Executive Officer

County of Los Angeles  
**CHIEF EXECUTIVE OFFICE**

Kenneth Hahn Hall of Administration  
500 West Temple Street, Room 713, Los Angeles, California 90012  
(213) 974-1101  
<http://ceo.lacounty.gov>

Board of Supervisors  
HILDA L. SOLIS  
First District

HOLLY J. MITCHELL  
Second District

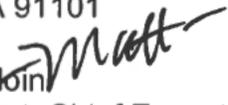
SHEILA KUEHL  
Third District

JANICE HAHN  
Fourth District

KATHRYN BARGER  
Fifth District

December 8, 2021

To: Manager, Claims Processing Division  
Los Angeles County Employees Retirement Association  
300 N. Lake Avenue  
Pasadena, CA 91101

From: Matthew McGloin   
Senior Assistant, Chief Executive Officer  
Budget and Operations Management Branch

RE: Theresa Villa McDowell Retire Rehire

To whom it may concern:

The Chief Executive Office (CEO) submitted a memorandum to the Los Angeles County Board of Supervisors (Board) on September 7, 2021, requesting authorization to re-employ and reinstate the above-referenced retired employee.

There was no inquiry, formal action, nor objection from Board offices by the two-week deadline of September 21, 2021. Therefore, in accordance with Board Policy 9.150, all parties should understand that the Board's constructive approval to proceed has been obtained, and the County Department of Workforce Development, Aging and Community Services and the Los Angeles County Employee Retirement Association may proceed with associated arrangements for re-employment and reinstatement of the above mentioned employee.

County of Los Angeles  
Board of Supervisors  
Policy 9.150 - Hiring Retired County Employees to Permanent Positions

The County may hire former retired County employees to permanent positions on an indefinite basis. The Board's action provides the County with an additional management tool to fill critical, emergent or hard to fill positions which require special skills, training and experience or certification and may not be reasonably filled by other than the County retiree. Such authorizations must be approved by

## Attachment 1

*"To Enrich Lives Through Effective And Caring Service"*

Manager, Claims Processing Division  
December 8, 2021  
Page 2

the Board. Departments are to submit a Board memo to their CEO budget analyst prior to filling any position on an indefinite basis with a County retiree. The memo, with CEO recommendation, will be forwarded to the Board for approval, stating that a two-week period exists for a Board member to request formal action prior to filling the position.

The retired employee being hired must cancel his/her retirement allowance through the Board of Retirement until termination of the new re-employment. This policy does not replace the statute which allows rehiring retired county employees temporarily for up to 960 hours (120 working days) per fiscal year.

Retirees under the Early Separation Plan are not eligible to fill these positions without direct Board approval.

Should you have any questions or need additional information, please contact Aileen Brubaker at [abrubaker@ceo.lacounty.gov](mailto:abrubaker@ceo.lacounty.gov) or at (213) 974-0564.

MM:MM:DS  
AB:cg

c. Workforce Development, Aging and Community Services



County of Los Angeles  
**CHIEF EXECUTIVE OFFICE**

Kenneth Hahn Hall of Administration  
 500 West Temple Street, Room 713, Los Angeles, California 90012  
 (213) 974-1101  
<http://ceo.lacounty.gov>

FESIA A. DAVENPORT  
 Chief Executive Officer

Board of Supervisors  
 HILDA L. SOLIS  
 First District

HOLLY J. MITCHELL  
 Second District

SHEILA KUEHL  
 Third District

JANICE HAHN  
 Fourth District

KATHRYN BARGER  
 Fifth District

September 7, 2021

To: Supervisor Hilda L. Solis, Chair  
 Supervisor Holly J. Mitchell  
 Supervisor Sheila Kuehl  
 Supervisor Janice Hahn  
 Supervisor Kathryn Barger

From: Fesia A. Davenport  
 Chief Executive Officer

**REQUEST FROM THE DEPARTMENT OF WORKFORCE DEVELOPMENT, AGING  
 AND COMMUNITY SERVICES FOR RE-EMPLOYMENT OF RETIRED COUNTY  
 EMPLOYEE**

Consistent with existing County Policy (Board of Supervisors Policy No. 9.150), which allows the re-employment of retired County employees on an indefinite basis, the Department of Workforce Development, Aging and Community Services (WDACS) requests approval to re-employ County retiree Theresa Villa-McDowell as a permanent Human Services Administrator I (Item #8021). Ms. Villa-McDowell has been advised that upon her return to County employment, her Los Angeles County Employees Retirement Association retirement allowance will be suspended until termination of her re-employment.

Upon re-employment, Ms. Villa-McDowell will be filling a critical position at the WDACS Human Relations Branch and will be primarily responsible for directing and implementing the LA vs. Hate Program. Currently, she is employed in this branch as a retiree; thus, she has special knowledge and skills in this line of work that will prove beneficial to the Department.

This office concurs with WDACS' request and, unless otherwise instructed by September 21, 2021, we will authorize WDACS to proceed with this action.

## Attachment 2

Each Supervisor  
September 7, 2021  
Page 2

If you have any questions or need additional information, please contact David Seidenfeld at (213) 974-1457 or via email at [dseidenfeld@ceo.lacounty.gov](mailto:dseidenfeld@ceo.lacounty.gov).

FAD:JMN:MM  
MM:DS:AB:bjs

c: Executive Office, Board of Supervisors  
County Counsel  
Workforce Development, Aging and Community Services



**BOARD OF SUPERVISORS**

- Hilda L. Solis
- Holly J. Mitchell
- Sheila Kuehl
- Janice Hahn
- Kathryn Barger

**EXECUTIVE LEADERSHIP**

- Otto Solórzano  
*Acting Director*
- Paul Goldman  
*Contract & Administrative Services*
- Jose R. Perez  
*Workforce Development*
- Lorenza C. Sánchez  
*Aging & Adult Services*
- Robin S. Toma  
*Human Relations*

**CONTACT INFORMATION**

510 S. Vermont Avenue  
 Los Angeles, CA 90020  
 WDACS (888-211-0644)  
 APS hotline (1-877-477-3646)  
 info@wdacs.lacounty.gov  
 wdacs.lacounty.gov

**DATE:** November 30, 2021

**TO:** Manager, Benefits Division  
 P.O. Box 7060  
 Pasadena, CA 91109

**FROM:** Derrick Martin  
 Departmental Human Resources Manager  
 Workforce Development, Aging & Community Services

**SUBJECT: PERMANENT REHIRE OF RETIREE  
 THERESA VILLA-MCDOWELL (478308)**

The Department of Workforce Development, Aging and Community Services would like to re-employ retired County employee, Theresa Villa-McDowell (employee ID 478308, SSN ending in 1107), as a permanent Human Services Administrator I (HSA I), item no. 8021A, in accordance with Government Code Section 31680.4. She retired on September 9<sup>th</sup>, 2016.

As an HSA I, she will be located in our Human Relations Branch and will be primarily responsible for directing and implementing the Anti-Hate Program. Currently, she is employed in this section as a retiree; as such, Theresa has special knowledge / skills in this line of work that will prove beneficial to the Department.

At the moment, she is reachable on our HSA I certification list. We have secured all the necessary approvals from the Chief Executive Office and the Board of Supervisors to rehire Theresa. The approved documents are attached. Let us know if you need additional information.



Attachments  
 DM:GA:me



# Attachment 3

**REEMPLOYMENT AND REINSTATEMENT TO ACTIVE LACERA  
MEMBERSHIP**

SECTION C-MEDICAL AFFIDAVIT PURSUANT TO CERL SECTION 31680.4 or 31680.8

---

On June 16, 2021 I, the undersigned, conducted or oversaw the  
<date of medical examination>,

medical examination of Terri Villa-McDowell  
<Name of Retiree>

pursuant to Section 31680.4 or 31680.8 of the County Employees Retirement Law, in connection with  
this Retiree's application to be re-employed as:

Human Services Administrator I (8021)

<Prospective Job Title>.

I have also reviewed the Class Specification for this position. Based on this examination, I find that this  
individual is not incapacitated for the duties assigned to this position. A copy of the medical  
examination report is retained at Los Angeles County's Occupational Health Programs.

Signature of Physician performing or overseeing medical examination:

*Kenichi Carrigan, MD*

Print Name and Title of Physician:

Kenichi Carrigan, Senior Physician

Date Signed:

6/16/2021

Page 3 of 3

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THERESA VILLA-  
MCDOWELL

---



villamcdowell@gmail.com



562-475-6846



---

LACERA MEMBER SERVICES  
C/O MANAGER, BENEFITS DIVISION  
P.O. BOX 7060  
PASADENA, CA 91109

---

June 16, 2021

Dear LACERA Member Services,

I wish to be re-employed as a permanent employee. This letter is to request my monthly retirement benefit be suspended and that I be re-employed as a Human Services Administrator I with the Los Angeles County Commission on Human Relations, which currently is part of the Los Angeles County Workforce Development, Aging & Community Services department, pursuant to Government Code Section 31680.4. I am attaching the signed Reemployment and Reinstatement to Active LACERA Membership Medical Affidavit Pursuant to CERL Section 31680.4 or 31680.8 as requested by your office.

I await further clarification about any additional paperwork or requirements needed for permanent employee status.

Sincerely,

A handwritten signature in blue ink that reads "Theresa Villa-McDowell".

Theresa Villa-McDowell

**HUMAN SERVICES ADMINISTRATOR I**Class Code:  
8021

COUNTY OF LOS ANGELES  
 Established Date: Jun 15, 2004  
 Revision Date: Jun 15, 2004

**SALARY RANGE**

\$6,640.82 - \$8,949.18 Monthly

**DEFINITION/STANDARDS:****DEFINITION:**

Incumbents in these positions are responsible for directing the provision of income maintenance or social services in a geographical area, for supervising a social service program unit, or for performing highly specialized staff functions.

**CLASSIFICATION STANDARDS:**

Positions allocable to this class report to a Human Services Administrator II or III and perform assignments in the following primary areas: 1) directing income maintenance or social services in a district office or service region, 2) directing a public assistance or social service program in a special function that augments the effective administration of basic public assistance or social services, or 3) performing staff functions involving the more complex analyses and interpretations for a major segment of a large welfare program. Incumbents must demonstrate a sound working knowledge of department aid and/or services programs, department policies and procedures, and an ability to exercise the principles of supervision.

**EXAMPLES OF DUTIES:**

Directs, through subordinate supervisors, a major segment of an income maintenance program which provides cash or in-kind assistance to recipients of categorical and/or indigent aid.

Directs, through subordinate supervisors, work units engaged in the delivery of social services including adult, refugee and Greater Avenues of Independence (GAIN) program services.

Provides administrative support services in district offices and reviews the testing of new and revised procedures to determine their impact on line operations.

Conducts the more complex analysis and interpretation for a major segment of a large welfare program such as CalWorks.

Initiates and supervises the research, analysis, and implementation of changes in policies and procedures in order to improve operational effectiveness and efficiency.

Works with operational divisions to assist in the application of approved policies and procedures.

Directs the control of appropriations within an approved program of service; develops and provides justification for budget adjustments and periodic allocation changes.

Analyzes and interprets new and revised State regulations; prepares instructions to implement changes and acts as a technical resource to staff involved in implementing new

and revised programs.

Directs and coordinates the departmental employment placement program for recipients of General Relief. Provides specialized staff support to departmental staff or directs a specialized staff training unit.

Assists in the administration of the fiscal aspects of public assistance programs.

**REQUIREMENTS:**

**MINIMUM REQUIREMENTS:**

**TRAINING AND EXPERIENCE:**

**OPTION 1:**

Two years of experience supervising in two of the following areas or four years of experience supervising in one of the following areas: social work, welfare eligibility determination, appeals investigation, quality auditing, fraud investigation, or GAIN case management.

**OPTION 2:**

Four years of experience in a responsible staff or administrative capacity, analyzing and making recommendations for the solution of problems of organization, procedure, program, budget or personnel.

**OPTION 3:**

Four years of experience at the level of Chief Clerk directing the work of a very large clerical staff performing work specifically related to public assistance or social service programs.

**OPTION 4:**

Four years of experience at the level of Staff Assistant I or Staff Assistant/PSS performing administrative staff work in a district office or services region.

**OPTION 5:**

Four years of combined experience, comprised of a minimum of two years each, in two of the above required areas.

**OPTION 6:**

Graduation from a four year accredited college or university and two years of experience in one of the above areas.

**LICENSE:**

A valid California Class C Driver License or the ability to utilize an alternative method of transportation when needed to carry out job-related essential functions.

**PHYSICAL CLASS:**

2 - Light.

**OTHER REQUIREMENTS:**

**SPECIALTY REQUIREMENTS:**

**COMMENTS:**



December 14, 2021

TO: Each Trustee  
Board of Retirement  
Board of Investments

FOR: Board of Retirement Meeting of January 5, 2022  
Board of Investments Meeting of January 12, 2022

SUBJECT: The Women's Alliance of Saxena White ~ First Annual Diversity Investing Symposium in Delray Beach, FL on February 10, 2022

The Women's Alliance of Saxena White ~ First Annual Diversity Investing Symposium will be held on February 10, 2022, in Delray Beach, FL. The event will feature leading women in the pension and investment space in the U.S. and Latin America as they share their diversity investment expertise, discuss the impact of investing in women- and minority-owned investment firms, and highlight the recent trends in ESG investing.

The main conference highlights include the following:

- Latin American Impact Investing
- U.S. Diverse Manager Panel
- U.S. Allocator Panel

Following are approximate conference and travel costs:

**Registration:** \$300.00

**Airfare:** \$380.00 - \$1,600.00

**Hotel:** \$385.00 per night plus taxes

**Ground Transportation:** \$60.00 per day

**Daily Per Diem & Incidentals:** \$69.00 per day (The Registration Fee Includes Most Meals)

**Approximate Cost:** \$2,000 - \$3,500

If the registration fee is insufficient to pay the cost of the meals provided by the conference sponsor, LACERA must reimburse the sponsor for the actual cost of the meals, less any registration fee paid. Otherwise, the attendee will be deemed to have received a gift equal to the value of the meals, less any registration fee paid, under California's Political Reform Act.

**IT IS THEREFORE RECOMMENDED THAT YOUR BOARD:**

Approve attendance of Trustees at the Women's Alliance of Saxena White ~ First Annual Diversity Investing Symposium in Delray Beach, FL on February 10, 2022, and approve reimbursement of all travel costs incurred in accordance with LACERA's Trustee Education and Trustee Travel Policies.



The Women's Alliance of Saxena White invites you to attend the

# FIRST ANNUAL DIVERSITY INVESTING SYMPOSIUM

The event will feature leading women in the pension and investment space in the U.S. and Latin America as they share their diversity investment expertise, discuss the impact of investing in women and minority owned investment firms, and highlight the recent trends in ESG investing.

**THURSDAY, FEBRUARY 10, 2022**

The Ray Hotel, Delray Beach, FL

Panel Presentations  
2:00pm - 6:00pm

Networking Reception  
6:00pm - 7:30pm

### FEATURED SPEAKERS

**Angela Miller-May**  
Illinois Municipal  
Retirement Fund

**Dana Johns**  
Maryland State Retirement  
System and Pension System

**Gina Sanchez**  
LACERA

**Janna Hamilton**  
Garcia Hamilton

**Lauren Mathias**  
Callan

**Name to Come**  
Affiliation Info

**Name to Come**  
Affiliation Info

**Cate Faddis**  
Grace Capital

**Sheryl Mejia**  
Steward Asset  
Management

**Ana Chapman**  
Hamilton Lane

**Meredith Jones**  
AON

**Marilyn Freeman**  
Attucks Asset  
Management



Registration to attend the event is complimentary. A registration link with additional event details, including hotel accommodations, can be accessed at: [Insert link to WA landing page]

For event inquiries, please email: [womensalliance@saxenawhite.com](mailto:womensalliance@saxenawhite.com)  
Saxena White is a nationally certified woman and minority owned securities litigation firm.

**FOR INFORMATION ONLY**

December 23, 2021

TO: Each Trustee  
Board of Retirement

FROM: Barry W. Lew   
Legislative Affairs Officer

FOR: January 5, 2022 Board of Retirement Meeting

SUBJECT: **EVALUATION OF LEGISLATIVE ADVOCATES**

**LEGAL AUTHORITY**

The Board of Retirement's Legislative Policy provides that staff will provide an annual evaluation of its legislative advocates to the Board of Retirement for review. The evaluation will assess the performance of the legislative advocates with respect to the performance of their services as agreed upon in their contracts.

**DISCUSSION**

This evaluation period covers calendar year 2021. The attached evaluations provide an assessment of the legislative advocates agreed-upon services. Also attached are semi-annual reports on engagements to the Insurance, Benefits, and Legislative Committee in 2021 for which the legislative advocates provided assistance.

**Reviewed and Approved:**



---

**Steven P. Rice, Chief Counsel**

**Attachments**

Williams & Jensen and Doucet Consulting Solutions Evaluation Report  
Ackler & Associates and McHugh Koepke & Associates Evaluation Report  
Semi-Annual Engagement Report dated May 24, 2021  
Semi-Annual Engagement Report dated November 17, 2021

cc: Santos H. Kreimann  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Anthony J. Roda, Williams & Jensen  
Joe Ackler, Acker & Associates

Williams & Jensen and  
Doucet Consulting Solutions Evaluation  
Calendar Year 2021  
December 23, 2021

**Sponsorship of legislation**

LACERA did not sponsor any federal legislation during the 2021 legislative year.

Rating: N/A

**Monitor, advocate, and advise on legislation and regulations**

Williams & Jensen and Doucet Consulting Solutions provided weekly reports on pension, tax, and healthcare issues to staff that include new and amended legislation. They also arranged regular conference calls with LACERA staff to provide updates on federal activities. Williams & Jensen advocated Board-approved positions of support for H.R. 82, S. 1302, and H.R. 2337 on the modification or repeal of the Windfall Elimination Provision and Government Pension Offset. In 2020, the Board of Retirement supported H.R. 6436, which would have repealed the direct payment requirement for public safety officers who may receive a tax exclusion for healthcare premiums deducted from retirement plan distributions. Williams & Jensen arranged a conference call with Senator Padilla's staff and LACERA staff to advocate for the reintroduction of legislation to repeal the direct payment requirement.

Rating: Meets expectations

**Advise and assist on developing coalitions necessary to support or oppose legislation**

Williams & Jensen directly advocated on the board-supported legislation on behalf of LACERA, and the advocacy was not part of a coalition.

Rating: Meets expectations

**Advise and assist with meetings with legislators and staff.**

In past years, meetings with legislators and staff followed attendance by LACERA trustees and staff at either the National Conference on Public Employee Retirement Systems Legislative Conference or the International Foundation of Employee Benefit Plans Washington Legislative Update. In 2021, the conferences were not held in-person, there was limited in-person access to Congress, and no meetings were held with legislators and staff.

Rating: N/A

**Attend board meetings at least quarterly.**

Williams & Jensen and Doucet Consulting Solutions generally attend the Board of Retirement Offsite to provide legislative updates but were not scheduled for the Offsite in October 2021. They attended the Board of Retirement meeting on December 1, 2021 to provide an update on federal legislative matters. Staff has not requested that Williams &

Jensen and Doucet Consulting Solutions attend Board or Committee meetings on a quarterly basis.

Rating: Meets expectations

**Conclusion**

For 2022, staff will continue to work with the legislative advocates to monitor developments and priorities of the Biden administration and Congress as they relate to retirement benefits and the interests of LACERA, in particular those that relate to Social Security and the Windfall Elimination Provision/Government Pension Offset as well as those relating to the pandemic. Although Congress has continued to limit in-person access, staff will work with the legislative advocates to consider ways to maintain LACERA's visibility with legislators.

Ackler & Associates and  
McHugh Koepke & Associates Evaluation  
Calendar Year 2021  
December 23, 2021

**Sponsorship of legislation**

LACERA did not directly sponsor any state legislation during the 2021 legislative year. However, the State Association of County Retirement Systems (SACRS) sponsored SB 634, an omnibus bill that included a proposal submitted by LACERA relating to a retirement board's medical advisor.

Rating: N/A

**Monitor, advocate, and advise on legislation and regulations**

Ackler & Associates and McHugh Koepke & Associates worked with staff to identify bills of interest at the beginning of the legislative year, provided updates to staff as significant changes occurred, and maintained regular contact with staff throughout the legislative year. Ackler & Associates also attended monthly meetings with staff with the SACRS Legislative Committee to monitor and advise on legislation.

Ackler & Associates monitored and advised LACERA staff on the following legislative matters:

- COVID-19-related updates to the Governor's executive orders and amendments to the Brown Act
- Flexible benefits as compensation earnable and the Alameda Decision
- SACRS omnibus bill
- COVID-19 presumption for disability retirement
- Appointment of retirement system personnel who would not be subject to civil service rules

Rating: Meets expectations

**Advise and assist on developing coalitions necessary to support or oppose legislation**

Ackler & Associates attends the monthly SACRS Legislative Committee meetings with staff and works with the SACRS legislative advocates as necessary in supporting or opposing legislation that relates to the interests of LACERA.

Ackler & Associates also advised on developing a coalition with the Orange County Employees' Retirement System related to a proposal for the appointment of retirement system personnel who would not be subject to civil service rules.

Rating: Meets expectations

**Advise and assist with meetings with legislators and staff.**

In August 2021, Ackler & Associates and McHugh Koepke & Associates arranged meetings with legislators and their staff to introduce LACERA's Chief Executive Officer. Most of the meetings were in-person and very productive with the chairs and members of the Legislature's retirement policy committees.

Rating: Exceeds expectations

**Attend board meetings at least quarterly.**

Ackler & Associates and McHugh Koepke & Associates generally attend the Board of Retirement Offsite to provide legislative updates but were not scheduled for the Offsite in October 2021. They attended the Board of Retirement meeting on December 1, 2021 to provide an update on state legislative matters. Staff has not requested that Ackler & Associates and McHugh Koepke & Associates attend Board or Committee meetings on a quarterly basis.

Rating: Meets expectations

**Conclusion**

For 2022, staff will work with the legislative advocates to monitor developments and priorities of the Governor and California Legislature on retirement benefits as well as related topics such as the Brown Act, California Public Records Act, and continuing responses to the pandemic. There is a potential proposal related to the appointment of retirement system personnel on which staff will work with the legislative advocates. Given the success of our August meetings with legislators, staff will work with the advocates to ascertain further opportunities to maintain LACERA's visibility with legislators.

**FOR INFORMATION ONLY**

May 24, 2021

TO: Insurance, Benefits and Legislative Committee  
Les Robbins, Chair  
Vivian H. Gray, Vice Chair  
Shawn R. Kehoe  
Ronald A. Okum  
Wayne Moore, Alternate

FROM: Barry W. Lew   
Legislative Affairs Officer

FOR: June 10, 2021 Insurance, Benefits and Legislative Committee Meeting

SUBJECT: **Semi-Annual Report on Approved Engagements**

**LEGAL AUTHORITY**

The Board of Retirement's Policy on Engagement for Public Policy Issues Relating to Plan Administration and Retirement and Health Care Benefits provides that staff will present semi-annual reports to the Insurance, Benefits and Legislative Committee each year as to the status of all approved engagements.

**ENGAGEMENTS***Meetings with Legislators*

Since March 2020, with the issuance of travel and gathering restrictions by state and local authorities, the COVID-19 pandemic has curtailed opportunities to travel and engage in in-person meetings with state and federal legislators and their staff. For example, past visits to Congress in January and May were generally scheduled in conjunction with attendance by trustees and staff at the National Conference on Public Employee Retirement Systems' Legislative Conferences or the International Foundation of Employee Benefit Plans' Washington Legislative Updates. However, the pandemic has also caused many conferences to be cancelled or moved to an online format. Although a visit to Congress occurred in January 2020, trustees and staff have not had in-person meetings with legislators since that time with the ongoing pandemic.

*Legislation Supported and Opposed*

During the 2021 legislative session, LACERA adopted positions on the following bills.

AJR 9 (Cooper): Requests the Congress of the United State to enact, and the President to sign, legislation that would repeal the Government Pension Offset and the Windfall Elimination Provision from the Social Security Act.

Status: In Assembly. Ordered to third reading. (04/19/2021)

Board of Retirement Position: Support.

HR 82 (Davis): Amends the Social Security Act; repeals the Government Pension Offset and Windfall Elimination Provision.

Status: Referred to House Committee on Ways & Means. (01/04/2021)

Board of Retirement Position: Support.

HR 2337 (Neal): Provides an equitable Social Security formula for individuals with noncovered employment; provides relief for individuals currently affected by the Windfall Elimination Provision.

Status: Referred to House Committee on Ways & Means. (04/01/2021)

Staff Note: The text for the bill is currently not available. The Board of Retirement supported the HR 4540 (Neal, 2019), the previous version of the bill. In March 2021, LACERA staff provided a letter to Ways & Means Committee Chair Richard Neal's staff that supported the reintroduction of the bill as HR 2337. Additional letters supporting the bill's reintroduction were sent to the Los Angeles County delegation of the Ways & Means Committee as well as other members of the Southern California delegation.

### SACRS Legislative Committee

Since the pandemic has restricted travel and in-person gatherings, staff participates virtually in the monthly meetings of the State Association of County Retirement Systems (SACRS) Legislative Committee. The Committee's activities include monitoring current legislation, formulating and advocating legislative proposals, and discussing current events related to public pension plans.

### SACRS 2021 Legislative Platform

LACERA submitted three proposals for the SACRS 2021 legislative platform.

COVID-19 Presumption. At the SACRS 2020 Fall Conference, the SACRS membership discussed but did not vote on a proposal for a COVID-19 presumption for service-connected disability retirements. The SACRS Board of Directors took no further action on the proposal since a bill was subsequently sponsored by Service Employees International Union, California (AB 845, Rodriguez). The SACRS Legislative Committee will be engaging with the bill's sponsor to further clarify the language of the bill.

Option Changes. This proposal would enable members retired for service to change their settlement option if they are later granted a disability retirement without the requirement that they apply for disability retirement before applying for a service retirement. This proposal is pending for further feedback from the other SACRS member systems, and feedback was solicited at the Administrators' Breakout Session at the SACRS 2021

Spring Conference. This proposal is expected to be included in next year's SACRS 2022 legislative platform.

Technical Changes. This proposal would clarify the authority of a retirement board to procure the services of a medical advisor and would make technical and stylistic changes related to the provisions on installment payments and post-retirement employment. These proposals have been included in this year's SB 634 (Committee on Labor, Public Employment and Retirement).

## **CONCLUSION**

Although the current coronavirus pandemic has curtailed opportunities for further in-person meetings with legislators, staff will continue to work with its legislative advocates and the SACRS Legislative Committee to monitor and advocate on issues relevant to LACERA.

**Reviewed and Approved:**



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**Steven P. Rice, Chief Counsel**

cc: Board of Investments  
Santos H. Kreimann  
JJ Popowich  
Steven P. Rice  
Jon Grabel  
Tony Roda, Williams & Jensen  
Joe Ackler, Ackler & Associates

**FOR INFORMATION ONLY**

November 17, 2021

TO: Insurance, Benefits and Legislative Committee  
Les Robbins, Chair  
Vivian H. Gray, Vice Chair  
Shawn R. Kehoe  
Ronald A. Okum  
Wayne Moore, Alternate

FROM: Barry W. Lew   
Legislative Affairs Officer

FOR: December 1, 2021 Insurance, Benefits and Legislative Committee Meeting

SUBJECT: **Semi-Annual Report on Approved Engagements**

**LEGAL AUTHORITY**

The Board of Retirement's Policy on Engagement for Public Policy Issues Relating to Plan Administration and Retirement and Health Care Benefits provides that staff will present semi-annual reports to the Insurance, Benefits and Legislative Committee each year as to the status of all approved engagements.

**ENGAGEMENTS***Meetings with Legislators*

An important part of protecting members' benefits includes advocating on LACERA's behalf and maintaining relationships with lawmakers. On August 17, 2021, Chief Executive Officer Santos H. Kreimann and Legislative Affairs Officer Barry Lew visited with members of the Los Angeles County Delegation in the California State Legislature. The meetings were arranged and facilitated by LACERA's state legislative advocate Joe Ackler of Ackler & Associates and Naomi Padron of McHugh Koepke & Associates.

The Legislature's retirement policy committees are the ones that deal with most of LACERA's relevant issues. In the Assembly Public Employment and Retirement Committee, we visited with Chair Jim Cooper and committee members Lisa Calderon, Patrick O'Donnell, and Kelly Seyarto (who is also a LACERA member). In the Senate Labor, Public Employment and Retirement Committee, we visited with Chair Dave Cortese and committee member Maria Elena Durazo. Other legislators we visited with include Assembly members Blanca Rubio and Mike Gipson and Senator Bob Archuleta. The meetings were an important opportunity to introduce Mr. Kreimann as the Chief Executive Officer of California's fourth largest public retirement system and to update them on how we have been working through the challenge of the pandemic to continue providing the promised benefits to our members.

### Legislative Positions

During the 2021 legislative session, LACERA adopted positions on the following bills.

AB 826 (Irwin): Provides that compensation and compensation earnable include flexible benefit plan allowances paid by a county or district on behalf of its employees are part of a cafeteria plan if certain requirements are met. Applies only in Ventura County.

Status: In Senate. From third reading. To Inactive File. (09/08/2021)

Board of Retirement Position: Watch.

S 1302 (Brown): Amends the Social Security Act; repeals the Government Pension Offset and Windfall Elimination Provision.

Status: To Senate Committee on Finance. (04/22/2021)

Board of Retirement Position: Support.

AJR 9 (Cooper): Requests the Congress of the United State to enact, and the President to sign, legislation that would repeal the Government Pension Offset and the Windfall Elimination Provision from the Social Security Act.

Status: Chaptered by Secretary of State. (07/15/2021)

Board of Retirement Position: Support.

HR 82 (Davis): Amends the Social Security Act; repeals the Government Pension Offset and Windfall Elimination Provision.

Status: Referred to House Committee on Ways & Means. (01/04/2021)

Board of Retirement Position: Support.

HR 2337 (Neal): Provides an equitable Social Security formula for individuals with noncovered employment; provides relief for individuals currently affected by the Windfall Elimination Provision.

Status: To House Committee on Ways & Means. (04/01/2021)

Status: Support.

### SACRS Legislative Committee

Since the pandemic has restricted travel and in-person gatherings, staff participates virtually in the monthly meetings of the State Association of County Retirement Systems (SACRS) Legislative Committee. The Committee's activities include monitoring current legislation, formulating and advocating legislative proposals, and discussing current events related to public pension plans.

SACRS 2021 Legislative Platform

LACERA submitted three proposals for the SACRS 2021 legislative platform.

COVID-19 Presumption. At the SACRS 2020 Fall Conference, the SACRS membership discussed but did not vote on a proposal for a COVID-19 presumption for service-connected disability retirements. The SACRS Board of Directors took no further action on the proposal since a bill for such a presumption was subsequently sponsored by Service Employees International Union, California (AB 845, Rodriguez). AB 845 was enacted on July 23, 2021 and will be effective January 1, 2022 to January 1, 2023.

Option Changes. This proposal would enable members retired for service to change their settlement option if they are later granted a disability retirement without the requirement that they apply for disability retirement before applying for a service retirement. This proposal was pending for further feedback from the other SACRS member systems, and feedback was solicited at the Administrators' Breakout Session at the SACRS 2021 Spring Conference. This proposal will be included in next year's SACRS 2022 legislative platform, which was approved by the SACRS membership at the SACRS Business Meeting on November 12, 2021.

Technical Changes. This proposal would clarify the authority of a retirement board to procure the services of a medical advisor and would make technical and stylistic changes related to the provisions on installment payments and post-retirement employment. These proposals have been included in SB 634 (Committee on Labor, Public Employment and Retirement), which was enacted on September 16, 2021.

**CONCLUSION**

Although staff has been able to have some opportunities for in-person meetings with legislators while the pandemic continues to curtail such meetings, staff will continue to work with its legislative advocates and the SACRS Legislative Committee to monitor and advocate on issues relevant to LACERA.

**Reviewed and Approved:**



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**Steven P. Rice, Chief Counsel**

cc: Board of Investments  
Santos H. Kreimann  
Luis Lugo  
JJ Popowich  
Laura Guglielmo

Steven P. Rice  
Jon Gabel  
Tony Roda, Williams & Jensen  
Joe Ackler, Ackler & Associates

**FOR INFORMATION ONLY**

December 21, 2021

TO: Each Trustee  
Board of RetirementFROM: Ricki Contreras, Division Manager   
Disability Retirement Services

FOR: January 5, 2022, Board of Retirement Meeting

SUBJECT: **Application Processing Time Snapshot Reports**

The following chart shows the total processing time from receipt of the application to the first Board action for all cases on the January 5, 2022, Disability Retirement Applications Agenda.

<b>Consent &amp; Non-Consent Calendar</b>		
Number of Applications	61	
Average Processing Time (in Months)	11.52	
<b>Revised/Held Over Calendar</b>		
Number of Applications	2	
Processing Time Per Case (in Months)	Case 1 25	Case 2 11
<b>Total Average Processing Time All <u>63</u> Cases on Agenda</b>		
		11.73

# DISABILITY RETIREMENT SERVICES

## Application Processing Time

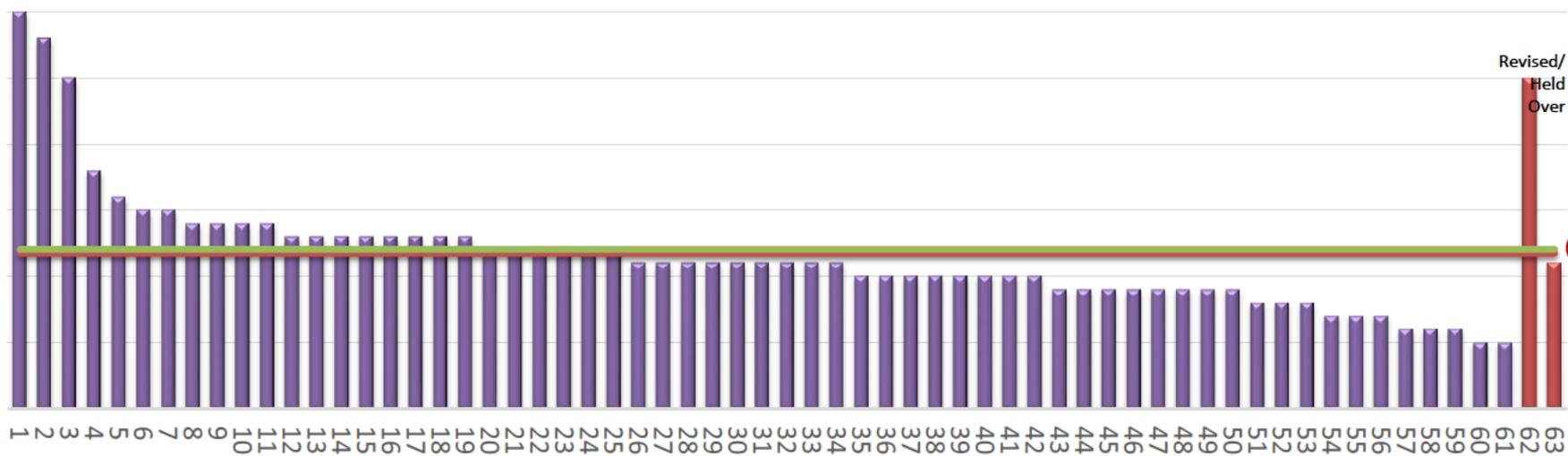


Total Cases on Agenda

Processed in 12 months or less

Average Processing in months

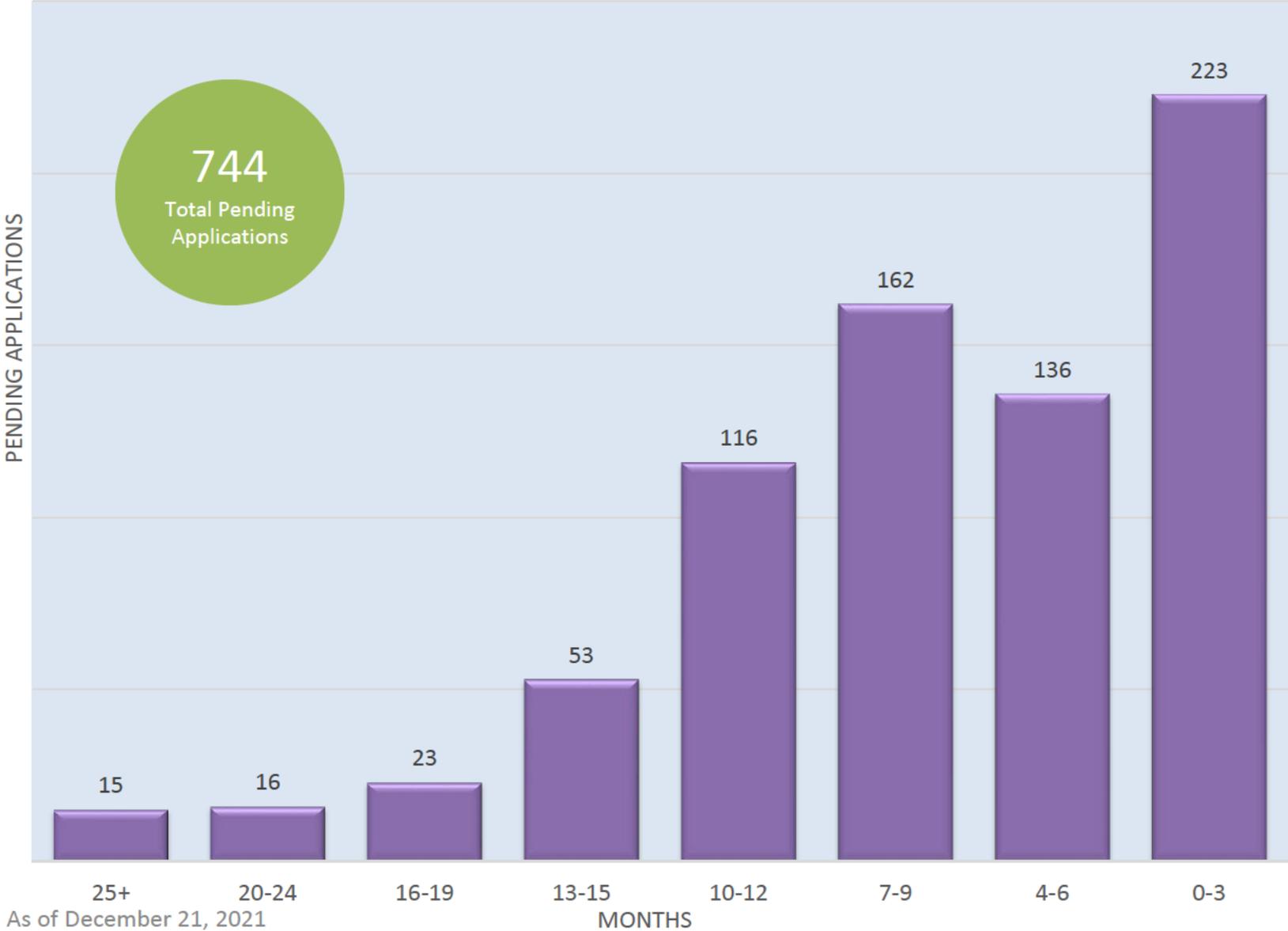
MONTHS



January 5, 2022 Disability Agenda

# DISABILITY RETIREMENT SERVICES

## Pending Applications/Months



**FOR INFORMATION ONLY**

December 20, 2021

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Joseph Kelly JK  
Audit Committee Chair

Richard P. Bendall   
Chief Audit Executive

FOR: January 5, 2022 Board of Retirement Meeting  
January 12, 2022 Board of Investments Meeting

SUBJECT: **2021 Audit Committee Annual Report**

We are pleased to submit to your board the Audit Committee Annual Report for the calendar year ended December 31, 2021. The production of an Annual Report and its submission to your boards is provided to address the Audit Committee's responsibility to report to the boards. See Audit Committee Charter (Charter) VII. G.1 (Attachment A).

The responsibilities of the Audit Committee are defined in the Charter which establishes the authority and responsibilities by key area of the Committee. The Committee's activities during calendar year 2021 which relate to its defined responsibilities are listed in the Audit Committee Report Card (Attachment B).

Despite the continuance of the COVID19 pandemic, the Committee met six times during the year and had a quorum at each meeting.

Below is a summary by key area of the work the Committee performed during the calendar year:

A. Internal Audit Activity

- Approved the Revised Internal Audit Charter.
- Oversaw the completion of the Fiscal Year Ended June 30, 2021 Audit Plan. Internal Audits completed during calendar year 2021 included:
  - Death Legal Unit Audit
  - Quality Assurance Operations Review
  - LA County Compliance with Requirements for Rehired Retirees
  - Title Holding Stale Check Review
  - Chief Executive Officer's Credit Card Expenditures
  - Social Security Member Verification System Audit

- Approved Internal Audit's Budget and the Audit Plan for Fiscal Year Ending June 30, 2022.
- Oversaw LACERA's SOC-1 Type 2 audit over the Other Post-Employment Benefits program conducted by Plante Moran.
- Participated in the selection of an External Quality Assessment (EQA) firm.
- Oversaw the work of Internal Audit and evaluated the Chief Audit Executive's (CAE) performance.
- Oversaw KPMG's EQA of Internal Audit's Recommendation Follow-Up Process.

B. Professional Service Provider Activity

- Approved the extension of the contract of the financial auditor, Plante Moran for an optional sixth year through the Fiscal Year ending June 30, 2022.
- Approved Internal Audits selection of a pool of firms to provide audit services in the following three areas (1) Investments, (2) Information Technology, and (3) Other Organizational Areas.

C. Financial Reporting Process

- Oversaw Plante Moran's financial audit and issuance of an unqualified opinion on LACERA's annual financial statement audit.

D. Values and Ethics

- Received Ethics Hotline reports from Internal Audit.

E. Organizational Governance

- Oversaw and directed Internal Audit staff on revisions to the Audit Committee Charter and obtained board approval of the revised Audit Committee Charter at your July 2021 meetings.

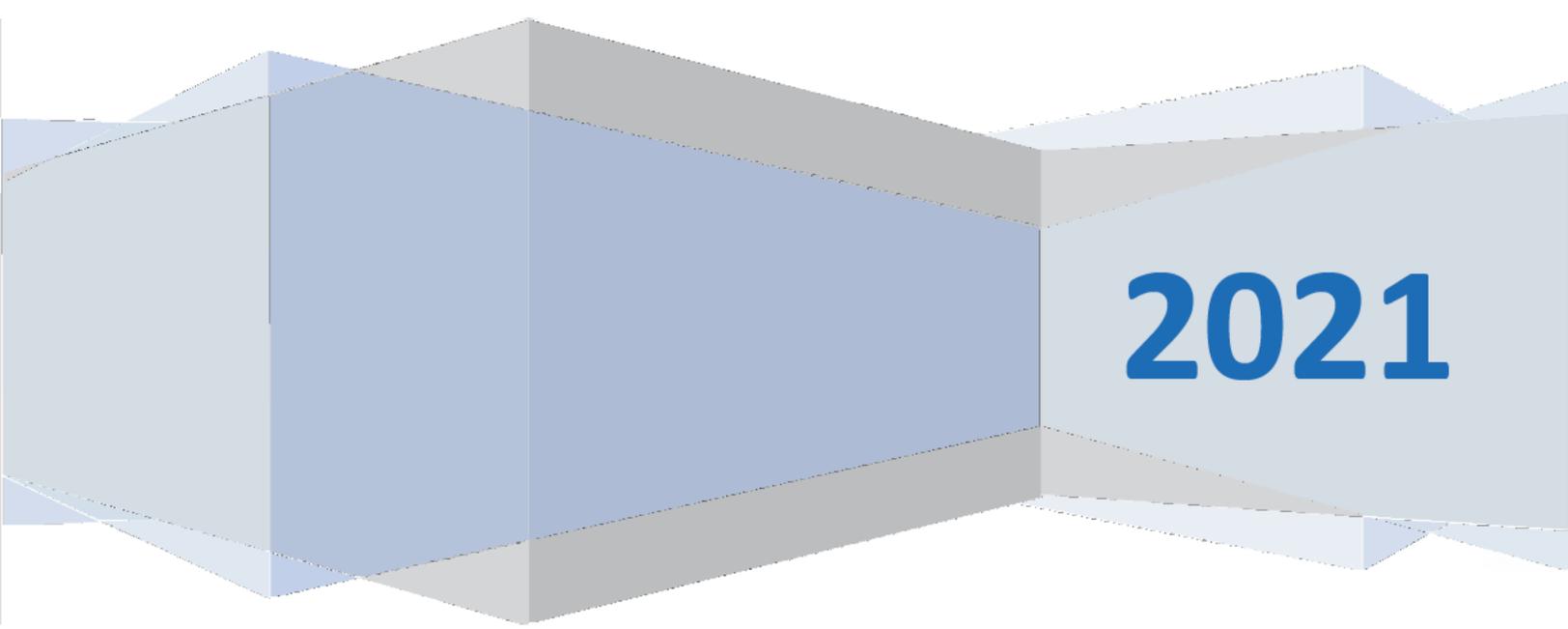
We would like to thank Internal Audit staff and the trustees on the Audit Committee for their valued work and input this past year, and the boards for their ongoing support of the Audit Committee.

Attachments

RPB

# Audit Committee Charter

**April 2021**



**2021**



**AUDIT COMMITTEE CHARTER**

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## AUDIT COMMITTEE CHARTER

### I. CHARTER

This Charter establishes the authority and responsibilities of the Audit Committee, as assigned by Los Angeles County Employees Retirement Association's (LACERA) Board of Retirement and Board of Investments (Boards). The Audit Committee Charter is a living document and should be reviewed at least every three years.

### II. PURPOSE AND ASSIGNED FIDUCIARY OVERSIGHT DUTIES

In November 2003, LACERA's Boards established the LACERA Audit Committee.

The purpose of the Audit Committee is to assist the Boards in fulfilling their fiduciary oversight duties for the:

- A. Internal Audit Activity
- B. Professional Service Provider Activity
- C. Financial Reporting Process
- D. Values and Ethics, and
- E. Organizational Governance
- F. Audit Committee and Internal Audit Budget

### III. PRINCIPLES OF THE AUDIT COMMITTEE

The Audit Committee will conduct itself in accordance with LACERA's Code of Ethical Conduct and the following core principles from the Institute of Internal Auditors' (IIA) Code of Ethics. The Audit Committee expects the Boards, Management, and staff will also adhere to these requirements.

**Integrity** – The Audit Committee Members will perform their work with honesty, diligence, and responsibility. The Audit Committee expects and will encourage transparency when fulfilling its duties. Communications between Committee Members, Management, staff, and/or Professional Service Providers will be open, direct, and complete. Subject to applicable laws and organizational limitations, Internal Audit will regularly provide the Audit Committee with updates on audit and consulting projects completed and related findings and follow-up.

**Independence & Objectivity** - The Audit Committee will perform its responsibilities in an independent manner and in compliance with fiduciary duty without exception. Audit Committee Members will disclose any conflicts of interest (actual or perceived) to the Committee.

**Confidentiality** – The Audit Committee Members will be prudent in the use and protection of information acquired during the course of its duties.



**Competency** - Audit Committee Members will receive formal orientation training on the purpose and mandate of the Committee and LACERA's objectives. Audit Committee Members are obligated to prepare for and participate in Committee meetings.

**Professional Standards** - The Audit Committee will ensure all related work will be handled with the highest professional standards consistent with auditing standards of practice and industry guidelines.

#### **IV. AUTHORITY**

The Audit Committee will have unrestricted access to Management and staff, and any relevant information it considers necessary to discharge its duties. All employees are directed to cooperate with the Committee and its requests. If access to requested information is denied due to legal or confidentiality reasons, the Audit Committee and/or CAE will follow a prescribed, Board approved mechanism for resolution of the matter.

The Audit Committee has the authority to conduct or authorize investigations into any matters within its scope of duties, including engaging independent counsel and/or other advisors it deems necessary.

#### **V. AUDIT COMMITTEE COMPOSITION AND CONSULTANT**

The Audit Committee will consist of seven members: three elected annually from each Board and the ex-officio member of both Boards, the Los Angeles County Treasurer. If any elected Audit Committee member leaves Board service or resigns from the Audit Committee prior to the completion of his or her term, the Board of the departing member, will elect a new Audit Committee member at the next regularly scheduled Board meeting.

The Committee shall have the authority to approve the hiring of the Audit Committee Consultant as an advisor through a Request for Proposal process. The Audit Committee Consultant will be designated as the audit technical and financial expert, to advise the Committee on audit and financial matters. The Audit Committee Consultant's contract will be for three years.

At the first Committee meeting of each calendar year, the Committee shall elect a Chair, Vice Chair and Secretary, each to serve for a term of one year or until his or her successor is duly elected and qualified, whichever is less. In the event of a vacancy in the office of Chair, the Vice Chair shall immediately assume the office of Chair for the remainder of the term. In the event of a vacancy in the office of Vice Chair or Secretary, the Committee shall elect one of its members to fill such vacancy for the remainder of the term, at its next regular meeting.



## **VI. AUDIT COMMITTEE MEETINGS**

The Audit Committee will conduct regular meetings at least four times per year, with authority to convene additional meetings, as circumstances require. The time frame between Audit Committee meetings should not exceed four months.

All Committee Members are expected to attend each meeting.

All meetings of the Audit Committee shall be as noticed as joint meetings with the Board of Retirement and Board of Investments to allow for participation of all trustees in open and closed session Audit Committee discussions, provided that non-committee trustees may not make or second motions or vote and provided further that closed sessions to discuss the CAE's annual assessment and the Committee's recommendation to the Boards regarding the appointment, discipline, dismissal, and/or removal of the CAE shall be noticed for attendance by Committee members only.

Regular meeting notices and agendas will be posted at least 72 hours in advance of the regular meetings and will be made available to the public in accordance with the Ralph M. Brown Act (Government Code Sections 54950, et seq.). Public documents referred to in the agenda will be made available for review at the office of the staff secretary to the Committee and also published on the LACERA website, [lacera.com](http://lacera.com). The Committee will invite members of Management, Internal Auditors, Financial Auditors, all other Professional Service Providers, and/or others to attend meetings and provide pertinent information, as necessary.

Special meetings of the Committee may be called in the manner provided by Government Code Section 54956(a). The Committee will have such other powers as provided in the Brown Act.

Robert's Rules of Order, except as otherwise provided herein, shall guide the Committee in its proceedings; however, the Chair of the Committee shall have the same rights to vote and participate in discussions as any other member of the Committee without relinquishing the chair. The order of business shall be as determined by formal action of the Committee. Four members of the seven-member Audit Committee, constitute a quorum.



The Secretary of the Committee shall cause to be recorded in the minutes the time and place of each meeting of the Committee, the names of the members present, all official acts of the Committee, the votes given by members except when the action is unanimous, and when requested by a member, that member's dissent or approval with his or her reasons, and shall cause the minutes to be written forthwith and presented for approval at the next regular meeting.

## **VII. RESPONSIBILITIES**

### **A. Internal Audit Activity**

1. Internal Audit Strategy and Annual Plan
  - a. Review and provide input on Internal Audit's annual risk assessment.
  - b. Review and approve Internal Audit's Annual Audit Plan (Plan) and resource plan, make recommendations concerning audit projects.
  - c. Review and monitor Internal Audit's activity relative to its Plan. Review and approve all major changes to the Plan.
2. Internal Audit Engagement & Follow-Up
  - a. Review and discuss engagement reports to take the following action(s):
    - i. accept and file report,
    - ii. instruct staff to forward report to Boards or Committees,
    - iii. make recommendations to the Boards or Committees regarding actions as may be required based on audit findings and/or,
    - iv. provide further instruction to staff.
  - b. Monitor Internal Audit's recommendations to ensure Management has adequately and timely addressed the risk(s) identified, either through implementing a new policy, procedure, or process, or accepting the associated risk.
  - c. Inquire whether any evidence of fraud has been identified during internal or external audit engagements, and evaluate what additional actions, if any, should be taken.
  - d. Inquire whether any audit or non-audit engagements have been completed but not reported to the Audit Committee; if so, inquire whether any matters of significance arose from such work.
  - e. Review and advise Management and the Boards on the results of any special investigations.



3. Standards Conformance
  - a. Approve the Internal Audit Charter.
  - b. Ensure the Internal Audit Division conforms with the IIA's International Standards for the Professional Practice of Internal Audit, particularly the independence of Internal Audit and its organizational structure.
  - c. Ensure the Internal Audit Division has a quality assurance and improvement program (QAIP), and that the results of these periodic assessments are presented to the Audit Committee.
  - d. Ensure the Internal Audit Division has an external quality assurance review every five years. Review the results of the external quality assurance review and monitor the implementation of related recommendations.

Advise the Boards about any recommendations for the continuous improvement of the internal audit activity.

#### 4. Chief Audit Executive (CAE)

Since the CAE reports to the Chief Executive Officer (CEO) for administrative purposes, but to the Audit Committee for functional purposes, the Audit Committee will be responsible for the following:

- a. Make recommendations to both Boards regarding the appointment, discipline, dismissal, and/or removal of the CAE, which will be addressed by the Boards in a joint meeting. Both Boards will make the final decisions as to the appointment, discipline, dismissal, and/or removal of the CAE. The CEO has authority to administer minor discipline, which is limited to counseling memos and written warnings, with notice of such discipline to be provided to the Committee and the Boards at their next meetings. Consideration by the Boards and the Committee concerning the appointment, discipline, dismissal, and/or removal of the CAE will be made in executive session under Government Code Section 54957(b).
- b. Perform the CAE's annual assessment with qualitative input from the CAE and CEO. The Committee's discussion regarding the CAE's annual performance evaluation will be made in executive session under Government Code Section 54957(b).
- c. Administer the CAE's annual salary adjustment using the Boards' established compensation structure.

#### **B. Professional Service Provider Activity**

The Audit Committee is responsible for the oversight of all work performed by professional service providers (Service Providers) for audits, reviews, or investigations, including the audit of LACERA's financial statements.



1. Approve the appointment and compensation of the Financial Auditor, hired to perform an independent audit of LACERA's financial statements. Oversee the work of the Financial Auditor, including review of the Financial Auditor's proposed audit scope and approach, as well as coordination with Internal Audit and Management.
2. Approve the appointment and compensation of other Professional Service Providers, hired to perform non-financial statement audits, reviews or consulting, subject to limitations due to confidentiality, legal standards, and/or where approval will clearly impair the purpose or methods of the audit.
3. Review the Professional Service Providers, including the Financial Auditor, and Management the results of the work performed, any findings and recommendations, Management's responses, and actions taken to implement the audit recommendations.
4. Resolve any significant disagreements regarding risks, findings and/or compensation between management and Professional Service Providers

#### **C. Financial Reporting Process**

The Audit Committee is responsible for oversight of the independent audit of LACERA's financial statements, including but not limited to overseeing the resolution of audit findings in areas such as internal control, legal, regulatory compliance, and ethics.

1. Review significant accounting and reporting issues, including complex or unusual transactions and highly judgmental areas, recent professional and regulatory pronouncements, and understand their impact on the financial statements.
2. Review with Management and the Financial Auditors the results of the audit, including any difficulties encountered.
3. Review the annual financial statements, consider whether they are complete, consistent with information known to Committee members, and reflect appropriate accounting principles.
4. Review with Management and the Financial Auditors all matters required to be communicated to the Committee under *Generally Accepted Auditing Standards*.

#### **D. Values and Ethics**

1. Review and assess LACERA's Code of Ethical Conduct established by the Boards and Management.
2. Annually, review Management's process for communicating LACERA's Code of Ethical Conduct to Trustees, Management, and staff, and for monitoring compliance therewith.



3. Review reports received relating to conflicts of interest and ethics issues, and if appropriate, make a recommendation to the Boards.

**E. Organizational Governance**

To obtain reasonable assurance with respect to LACERA's governance process, the Audit Committee will review and provide advice on the governance process established and maintained, and the procedures in place to ensure they are operating as intended.

1. Risk Management

- a. Annually review LACERA's risk profile.
- b. Obtain from the CAE an annual report on Management's implementation and maintenance of an appropriate enterprise-wide risk management process. Provide advice on the risk management processes established and maintained, and the procedures in place to ensure that they are operating as intended.
- c. Provide oversight on significant risk exposures and control issues, including fraud risks, governance issues, and other matters needed or requested by Management and the Boards.

2. Fraud

- a. Oversee Management's arrangements for the prevention and detection of fraud, including ensuring adequate time is spent discussing and raising awareness about fraud and the Hotline.
- b. Review a summary of Hotline reports, and if appropriate make a recommendation to the Boards.

3. System of Internal Controls

- a. Consider the effectiveness of LACERA's internal control system, including information technology security and control, as well as all other aspects of LACERA's operations.
- b. Understand the scope of Internal and External Auditors' review of internal control over financial reporting, and obtain reports on significant findings and recommendations, together with Management's responses.
- c. Review and provide advice on control of LACERA as a whole and its individual divisions.

4. System of Compliance

- a. Annually, review the effectiveness of Management's system of compliance with laws, regulations, policies, and procedures that are business critical.



- b. As needed, review the observations and findings of any examinations by regulatory agencies.
- c. Obtain regular updates from Management and LACERA's Legal Office regarding compliance matters.
- d. At least annually, review reported activity to ensure issues of fraud, noncompliance, and/or inappropriate activities are being addressed.

**F. Audit Committee and Internal Audit Budget**

LACERA will provide appropriate funding, as determined by the Audit Committee, for compensation to the Financial Auditor, to any Professional Service Provider that the Audit Committee chooses to engage, and for payment of ordinary administrative expenses of the Audit Committee that are necessary or appropriate in carrying out its duties.

**G. Other Responsibilities**

- 1. Report to the Boards as needed about the Audit Committee's activities, issues, and related recommendations.
- 2. Provide an open avenue of communication between Internal Audit, all Professional Service Providers, including the Financial Auditor, Management, and the Boards.
- 3. Perform other activities related to this Charter as requested by the Boards.
- 4. Review and assess the adequacy of the Committee's Charter at least every three years, requesting the Boards' approval for proposed changes.

**VIII. APPROVAL**

This Charter was reviewed by the Audit Committee on April 23, 2021, and approved by the Board of Retirement on July 7, 2021, and the Board of Investments on July 14, 2021. This Charter is thereby effective July 14, 2021 and is hereby signed by the following persons who have authority and responsibilities under this Charter.

*Joseph Kelly*

*July 19, 2021*

\_\_\_\_\_  
**Joseph Kelly**  
*Chair, Audit Committee*

\_\_\_\_\_  
**Date**

*Keith Knox*

*July 19, 2021*

\_\_\_\_\_  
**Keith Knox**  
*Chair, Board of Investments*

\_\_\_\_\_  
**Date**

*Alan Bernstein*

*July 19, 2021*

\_\_\_\_\_  
**Alan Bernstein**  
*Chair, Board of Retirement*

\_\_\_\_\_  
**Date**

2021 Audit Committee Report Card			
Documentation	Ref to *AC Charter	Frequency	Comments
Audit Engagement Reports	VII.A.2.a.	Every	See summary of reports reviewed and approved by the AC during calendar year 2021 in cover memo.
Audit Plan Status Update	VII.A.1.d. & VII.A.2.c-d.	Every	At each meeting, the AC reviewed the Audit Plan Status Update report.
Summary of Hotline Investigations	VII.D.3 & VII.E.2.b.	Every	At each meeting, the AC reviewed the Summary of Hotline Investigations report.
Recommendation Follow-Up Reports	VII.A.2.b.	Tri-Annually	AC reviewed the Recommendation Follow-Up Reports at the February, June, and October AC meetings.
Proposed Audit Plan and Budget	VII.A.1.c.	Annually	AC reviewed and approved the Proposed Audit Plan and Budget at the February meeting.
Annual Risk Assessment	VII.A.1.a.	Annually	In April, Trustees, including those on the AC, provided feedback on current risks for the Annual Risk Assessment.
Annual Audit Plan	VII.A.1.b.	Annually	AC reviewed and approved the Annual Audit Plan at the June meeting.
Presentation / memo by Financial Auditor detailing proposed scope of work and timing.	VII.B.2	Annually	*PM presented to the AC its proposed scope of work and timing at the August meeting.
Internal Audit Charter	VII.A.3.a.	Annually	AC reviewed and approved IA's Charter at the August meeting.
Internal Audit Annual Performance Report	VII.A.3.b.-c.	Annually	*IA presented its Annual Performance Report at the August meeting.
CAE Performance Evaluation	VII.A.4.b.-c.	Annually	In progress, should be completed by December 31, 2021.
Ethics & Values Review	VII.D.1-3.	Annually	IA is hiring an external firm to complete these reviews. These should be presented to the Audit Committee in June 2022.
Organizational Governance Review	VII.E.	Annually	
Compliance Memo from Legal Office	VII.E.4.c.	Annually	Under review by the Executive Office.
Financial Statements, Correspondence, & Presentation	VII.C.1.-4.	Annually	PM will present the Financial Statements, Correspondence, and related reports at the December AC 2021 meeting.
Audit Committee Annual Performance Report	VII.G.1.	Annually	We anticipate the AC will bring its Annual Performance Report to the Boards in January 2022.
Audit Committee Charter	VII.F.4	Every 3rd year	The Boards approved the AC Charter in July 2021.
Approve the appointment and compensation of the External Financial Auditor	VII.B.1	Every 5th year**	AC approved a fiscal year extension and the fee for PM at the April meeting.
Ensure IA has an external quality assessment performed every five years.	VII.A.3.d.	Every 5th year	CAE will recommend the AC engage a firm at the December 2021 meeting.
Provide an open avenue of communication between IA, all Professional Service Providers, Management, and the Boards.	VII.F.2	Continuous	–
Approve the appointment & compensation of other Professional Service Providers, hired to perform non-financial statement audits, reviews or consulting, subject to limitations	VII.B.2	As needed	Approved the selection of the External Audit Pool at the April meeting.
Review with Professional Service Providers, including the Financial Auditor, and Mgmt the results of the work performed, any findings & recommendations, Mngmt's responses, and actions taken to implement the audit recommendations.	VII.B.3	As needed	AC reviewed the SOC-1 report at the October meeting and will review the Financial Statement Audit in December.
Advise the Boards about any recommendations for the continuous improvement of the internal audit activity.	VII.A.3.e.	As needed	–
Make recommendations to both Boards regarding the appointment, discipline, and/or dismissal, of the CAE, which will be addressed by the Boards in a joint meeting.	VII.A.4.a.	As needed	–
Perform other activities related to this Charter as requested by the Boards.	VII.F.3	As needed	–

**\*Legend**

AC = Audit Committee

IA = Internal Audit

PM = Plante Moran, LACERA's financial auditors

December 21, 2021

TO: Each Trustee  
Board of Investments

FROM: Santos H. Kreimann <sup>SHK</sup>  
Chief Executive Officer

FOR: January 12, 2022 Board of Investments Meeting

SUBJECT: **Board Officers: 2022 Calendar Year**

## **RECOMMENDATION**

That the Board of Investments ratify its slate of board officers who will serve their term in the 2022 calendar year.

## **LEGAL AUTHORITY**

The Board of Investments (BOI) Bylaws provide that each January the BOI shall elect from its members a Chair, Vice Chair, and Secretary to serve for a term of one year or until his or her successor is duly elected and qualified.

The BOI Board Officer Rotation Policy provides that the process of selecting board officers will be a seniority-based system. At the first regular meeting in January, the BOI shall hold a vote to ratify the slate of board officers determined by the Executive Board Assistant.

## **DISCUSSION**

The BOI Board Officer Rotation Policy provides that with reasonable and sufficient time before the first regular meeting in January, the Executive Board Assistant shall verify the seniority of each trustee on the seniority list for each board officer position who would be in office during the calendar year commencing in January and ascertain in order of seniority which trustee opts to serve as a board officer for the upcoming year.

According to the Executive Board Assistant, the following trustees opted to serve as BOI Chair, Vice Chair, and Secretary for the 2022 calendar year.

Chair: Herman Santos  
Vice Chair: David Green  
Secretary: Shawn Kehoe

**IT IS THEREFORE RECOMMENDED THAT THE BOARD** ratify its slate of board officers who will serve their term in the 2022 calendar year.

Board Officers: 2022 Calendar Year  
Board of Investments  
December 21, 2021  
Page 2

cc: Board of Retirement  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Jon Gabel

**FOR INFORMATION ONLY**

December 21, 2021

TO: Each Trustee  
Board of Retirement  
Board of Investments

FROM: Ted Granger *TG*  
Interim Chief Financial Officer

FOR: January 5, 2022 Board of Retirement Meeting  
January 12, 2022 Board of Investments Meeting

SUBJECT: **MONTHLY TRAVEL & EDUCATION REPORT – NOVEMBER 2021**

Attached, for your review, is the Trustee Travel & Education Report. This report includes all events (i.e., attended and canceled) from the beginning of the fiscal year through November 2021. Staff travel and education has been omitted from this report and reported to the Chief Executive Officer separately.

REVIEWED AND APPROVED:

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Santos H. Kreimann  
Chief Executive Officer

TG/EW/krh

**Attachments**

c: L. Lugo  
J. Popowich  
L. Guglielmo  
J. Gabel  
S. Rice  
J. Beasley

**TRUSTEE TRAVEL AND EDUCATION REPORT**  
**FOR FISCAL YEAR 2021 - 2022**  
**NOVEMBER 2021**

<b>Attendee</b>	<b>Purpose of Travel - Location</b>	<b>Event Dates</b>	<b>Travel Status</b>
<b>Alan Bernstein</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
	2 Edu - 2021 SuperReturn North America - Boston, MA	10/04/2021 - 10/05/2021	Attended
	3 Edu - Latin America US Real Estate Meeting - South Beach FL	11/29/2021 - 11/30/2021	Attended
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
X	- Edu - SuperReturn International Berlin - Berlin, Germany	11/09/2021 - 11/12/2021	Canceled
<b>Vivian Gray</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
B	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
V	- Edu - Congressional Black Caucus Foundation - VIRTUAL	09/12/2021 - 09/17/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
	- Edu - NASP 32nd Annual Financial Services Virtual Conference - VIRTUAL	09/22/2021 - 09/24/2021	Attended
	- Edu - New America Alliance: U.S. Economic Recovery - VIRTUAL	11/10/2021 - 11/10/2021	Attended
<b>David Green</b>			
B	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
X	- Edu - 2021 CII Fall Conference - Chicago, IL	09/22/2021 - 09/24/2021	Host Canceled
<b>Elizabeth Greenwood</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
<b>James Harris</b>			
B	- Edu - CRCEA 2021 Fall Conference - Long Beach, CA	11/07/2021 - 11/10/2021	Attended
<b>Patrick Jones</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
V	- Edu - SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
	- Edu - Harvard Kennedy School Executive Education: Leading Smart Policy Design: A Multisectoral Approach to Economic Decisions - VIRTUAL	09/21/2021 - 10/12/2021	Attended
X	- Edu - Duke University Executive Education Program - Corporate Social Responsibility - Durham, NC	09/23/2021 - 09/25/2021	Host Canceled
<b>Shawn Kehoe</b>			
X	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Canceled
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Canceled

**TRUSTEE TRAVEL AND EDUCATION REPORT**  
**FOR FISCAL YEAR 2021 - 2022**  
**NOVEMBER 2021**

<b>Attendee</b>	<b>Purpose of Travel - Location</b>	<b>Event Dates</b>	<b>Travel Status</b>
<b>Joseph Kelly</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
V	- Edu - SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
	- Edu - Pugh Capital 30th Anniversary Virtual Event Series - VIRTUAL	09/22/2021 - 09/22/2021	Attended
	- Edu - 2021 Institute of Internal Auditors Los Angeles Conference: Governance, Grit and Gravitas - VIRTUAL	10/04/2021 - 10/06/2021	Attended
	- Edu - NACD Pacific Southwest / USC Marshall Corporate Directors Symposium - November 2021 - VIRTUAL	11/10/2021 - 11/10/2021	Attended
<b>Keith Knox</b>			
V	- Edu - Harvard Business School Audit Committees In A New Era of Governance - VIRTUAL	07/21/2021 - 07/23/2021	Attended
	- Edu - Pugh Capital 30th Anniversary Virtual Event Series - VIRTUAL	09/22/2021 - 09/22/2021	Attended
	- Edu - New America Alliance: U.S. Economic Recovery - VIRTUAL	11/10/2021 - 11/10/2021	Attended
<b>Wayne Moore</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
<b>William Pryor</b>			
B	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
<b>Les Robbins</b>			
B	- Edu - CRCEA 2021 Fall Conference - Long Beach, CA	11/07/2021 - 11/10/2021	Attended
V	- Edu - CALAPRS Trustees Roundtable - VIRTUAL	10/29/2021 - 10/29/2021	Attended
<b>Gina Sanchez</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
V	- Edu - 2021 CII Fall Conference - VIRTUAL	09/22/2021 - 09/24/2021	Attended
	- Edu - 2021 Virtual NACD Summit - VIRTUAL	10/04/2021 - 10/08/2021	Attended
	- Edu - PRI Roundtable: The Road to Net-Zero with Ophir Bruck - VIRTUAL	10/12/2021 - 10/12/2021	Attended
	- Edu - PRI Roundtable: Equity, Diversity & Inclusion with Ophir Bruck - VIRTUAL	10/14/2021 - 10/14/2021	Attended
	- Edu - CALAPRS Trustees Roundtable - VIRTUAL	10/29/2021 - 10/29/2021	Attended
X	- Edu - 2021 CII Fall Conference - Chicago, IL	09/22/2021 - 09/24/2021	Host Canceled

**TRUSTEE TRAVEL AND EDUCATION REPORT  
FOR FISCAL YEAR 2021 - 2022  
NOVEMBER 2021**

<b>Attendee</b>	<b>Purpose of Travel - Location</b>	<b>Event Dates</b>	<b>Travel Status</b>
<b>Herman Santos</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York, NY	08/22/2021 - 08/24/2021	Attended
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles, CA	10/17/2021 - 10/20/2021	Attended
	- Edu - PPI Asia Pacific Roundtable - Pasadena CA	10/27/2021 - 10/29/2021	Attended
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
V	- Edu - PPI Roundtable - July 2021 - VIRTUAL	07/13/2021 - 07/15/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended

Category Legend:

A - Pre-Approved/Board Approved

B - Educational Conferences and Administrative Meetings in CA where total cost is no more than \$2,000 per Trustee Travel Policy; Section III.A

C - Second of two conferences and/or meetings counted as one conference per Trustee Education Policy Section IV.C.2 and Trustee Travel Policy Section IV.

V – Virtual Event

X - Canceled events for which expenses have been incurred.

Z - Trip was Canceled - Balance of \$0.00



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**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**



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