

IN PERSON & VIRTUAL BOARD MEETING

***This meeting will be held following the Committee scheduled prior.**



TO VIEW VIA WEB



TO PROVIDE PUBLIC COMMENT

Members of the public may address the Board orally and in writing. To provide Public Comment, you should visit the above link and complete the request form by selecting whether you will provide oral or written comment from the options located under Options next to the Board meeting.

Attention: If you have any questions, you may email PublicComment@lacera.com. If you would like to make a public comment during the board meeting, review the [Public Comment instructions](#).

AGENDA

A REGULAR MEETING OF THE BOARD OF RETIREMENT

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA 91101

9:00 A.M., WEDNESDAY, APRIL 3, 2024*

This meeting will be conducted by the Board of Retirement both in person and by teleconference under California Government Code Section 54953(b), (f).

*Teleconference Location for Trustees and the Public under
California Government Code Section 54953(b)
731 Lexington Ave., New York City, NY 10022*

*Any person may view the meeting in person at LACERA's offices or online at
<https://LACERA.com/leadership/board-meetings>.*

*The Board may take action on any item on the agenda,
and agenda items may be taken out of order.*

- I. CALL TO ORDER
- II. PLEDGE OF ALLEGIANCE
- III. PROCEDURE FOR TELECONFERENCE MEETING ATTENDANCE UNDER AB 2449, California Government Code Section 54953(f)
 - A. Just Cause
 - B. Action on Emergency Circumstance Requests
 - C. Statement of Persons Present at AB 2449 Teleconference Locations
- IV. APPROVAL OF THE MINUTES
 - A. Approval of the Minutes of the Regular Meeting of March 6, 2024

V. PUBLIC COMMENT

(Members of the public may address the Board orally and in writing. To provide Public Comment, you should visit <https://LACERA.com/leadership/board-meetings> and complete the request form by selecting whether you will provide oral or written comment from the options located under Options next to the Board meeting.

If you select oral comment, we will contact you via email with information and instructions as to how to access the meeting as a speaker. You will have up to 3 minutes to address the Board. Oral comment requests will be accepted up to the close of the Public Comment item on the agenda.

If you select written comment, please input your written public comment or documentation on the above link as soon as possible and up to the close of the meeting. Written comment will be made part of the official record of the meeting. If you would like to remain anonymous at the meeting without stating your name, please leave the name field blank in the request form. If you have any questions, you may email PublicComment@lacera.com.)

VI. EXECUTIVE UPDATE

- A. LACERA All Stars
- B. Member Spotlight
- C. Chief Executive Officer's Report

VII. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

VIII. CONSENT ITEMS

A. **Ratification of Service Retirement and Survivor Benefit Application Approvals**

Recommendation that the Board approve the service retirements and survivor benefit applications received as of March 26, 2024, along with any retirement rescissions and/or changes approved at last month's Board meeting. (Memo dated March 26, 2024)

B. **Assembly Bill 2770 – Public Employees' Retirement**

Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board adopt a "Support" position on Assembly Bill 2770, which would provide clarification and technical updates to the County Employees Retirement Law of 1937. (Memo dated March 21, 2024)

VIII. CONSENT ITEMS (Continued)

C. **Ethics and Compliance as Strategic Priorities: LACERA Ethics and Compliance Program Framework**

Recommendation as submitted by Vivian H. Gray, Joint Organizational Governance Committee: That the Board refer the proposed Ethics and Compliance Program Charter to the Audit Committee for further development, and then return it to the Boards for final approval.

(Memo dated March 27, 2024)

D. **Dismiss with Prejudice the Appeal of Doris Ticsay**

Recommendation as submitted by Tamara Caldwell, Division Manager, Disability Retirement Services: That the board dismiss with prejudice Doris Ticsay's appeal for service-connected disability retirement. (Memo dated March 15, 2024)

E. **Service Provider Invoice Approval Request – Neil Ghodadra, M.D.**

Recommendation as submitted by Tamara Caldwell, Division Manager, Disability Retirement Services: That the Board approve the service provider invoice for Neil Ghodadra, M.D.

(Memo dated March 11, 2024) (Confidential Attachment)

IX. EXCLUDED FROM CONSENT ITEMS

X. REPORTS

A. **Succession Process Following the Retirement of BOR/BOI Trustee Knox and BOI Trustee Santos**

Steven P. Rice, Chief Counsel

(For Information Only) (Memo dated March 22, 2024)

B. **Monthly Status Report on Legislation**

Barry W. Lew, Legislative Affairs Officer

(For Information Only) (Memo dated March 25, 2024)

C. **Application Processing Time Snapshot Reports**

Tamara Caldwell, Division Manager, Disability Retirement Services

(For Information Only) (Memo dated March 26, 2024)

X. REPORTS (Continued)

D. **Monthly Trustee Travel & Education Reports – February 2024**

Ted Granger, Chief Financial Officer

(Public memo dated March 20, 2024) (Confidential memo dated March 20, 2024 - Includes Pending Travel)

E. **March 2024 Fiduciary Counsel Contact and Billing Report**

Steven P. Rice, Chief Counsel

(For Information Only) (Memo dated March 22, 2024)

(Privileged and Confidential/Attorney-Client Communication/Attorney Work Product)

XI. ITEMS FOR STAFF REVIEW

(This item summarizes requests and suggestions by individual trustees during the meeting for consideration by staff. These requests and suggestions do not constitute approval or formal action by the Board, which can only be made separately by motion on an agenda item at a future meeting.)

XII. ITEMS FOR FUTURE AGENDAS

(This item provides an opportunity for trustees to identify items to be included on a future agenda as permitted under the Board's Regulations.)

XIII. GOOD OF THE ORDER

(For Information Purposes Only)

XIV. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability

B. Disability Retirement Appeals

XV. EXECUTIVE SESSION

A. Conference with Legal Counsel – Existing Litigation
(Pursuant to Paragraph (1) of Subdivision (d) of California Government Code Section 54956.9)

1. *LACERA v. County of Los Angeles*

Los Angeles Superior Court Case No. 21STCP03475
California Court of Appeal, Second Appellate District,
Case No. B326977

XVI. ADJOURNMENT

****Although the meeting is scheduled for 9:00 a.m., it can start anytime thereafter, depending on the length of the Committee meeting preceding it.***

Documents subject to public disclosure that relate to an agenda item for an open session of the Board of Retirement that are distributed to members of the Board of Retirement less than 72 hours prior to the meeting will be available for public inspection at the time they are distributed to a majority of the Board of Retirement Trustees at LACERA's offices at 300 N. Lake Avenue, Suite 820, Pasadena, CA 91101, during normal business hours of 9:00 a.m. to 5:00 p.m. Monday through Friday and will also be posted on lacera.com at the same time, [Board Meetings | LACERA](#).

Requests for reasonable modification or accommodation of the telephone public access and Public Comments procedures stated in this agenda from individuals with disabilities, consistent with the Americans with Disabilities Act of 1990, may call the Board Offices at (626) 564-6000, Ext. 4401/4402 from 8:30 a.m. to 5:00 p.m. Monday through Friday or email PublicComment@lacera.com, but no later than 48 hours prior to the time the meeting is to commence.

MINUTES OF A REGULAR MEETING OF THE BOARD OF RETIREMENT
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA 91101

9:00 A.M., WEDNESDAY, MARCH 6, 2024*

This meeting was conducted by the Board of Retirement both in person and by teleconference under California Government Code Section 54953(f).

TRUSTEES PRESENT:

Shawn R. Kehoe, Chair (Alternate Safety)

Les Robbins, Vice Chair

Ronald Okum, Secretary

Nancy Durazo (Teleconference Due to Just Cause under Government Code Section 54953 (f))

Vivian H. Gray

Jason E. Green (Joined the meeting at 9:21 a.m.)

JP Harris (Alternate Retired)

Wayne Moore

Keith Knox

David Ryu

TRUSTEES ABSENT:

Antonio Sanchez

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer

Luis A. Lugo, Deputy Chief Executive Officer

STAFF ADVISORS AND PARTICIPANTS (Continued)

JJ Popowich, Assistant Executive Officer

Laura Guglielmo, Assistant Executive Officer

Jonathan Grabel, Chief Investment Officer

Steven P. Rice, Chief Counsel

Francis J. Boyd, Senior Staff Counsel

Cassandra Smith, Retiree Healthcare Director

Dr. Glenn Ehresmann, Medical Advisor

Vincent Lim, Disability Litigation Manager

Eugenia Der, Senior Staff Counsel

Barry W. Lew, Legislative Affairs Officer

Carly Ntoya, Human Resources Director

Tamara Caldwell, Disability Retirement Manager

Vickie Neely, Disability Retirement Specialist Supervisor

Kerri Wilson, Disability Retirement Specialist Supervisor

Hernan Barrientos, Disability Retirement Specialist Supervisor

Ricardo Salinas, Disability Retirement Specialist Supervisor

I. CALL TO ORDER

The meeting was called to order by Chair Kehoe at 9:00 a.m. in the Board Room of Gateway Plaza.

II. PLEDGE OF ALLEGIANCE

Trustee Moore led the Trustees and staff in reciting the Pledge of Allegiance.

III. PROCEDURE FOR TELECONFERENCE MEETING ATTENDANCE UNDER AB 2449, California Government Code Section 54953(f)

- A. Just Cause
- B. Action on Emergency Circumstance Requests
- C. Statement of Persons Present at AB 2449 Teleconference Locations

A physical quorum was present at the noticed meeting location. There was one request from Trustee Durazo to participate by teleconference for Just Cause (A) contagious illness. Trustee Durazo confirmed that there were no individuals 18 years or older present at the teleconference location. No requests were received for Emergency Circumstances (B).

IV. APPROVAL OF THE MINUTES

- A. Approval of the Minutes of the Regular Meeting of February 7, 2024

Trustee Knox made a motion, Trustee Harris seconded, to approve the Minutes of the Regular Meeting of February 7, 2024. The motion passed by the following roll call vote:

Yes: Durazo, Gray, Kehoe, Knox, Moore, Okum, Robbins, Ryu

Absent: Sanchez

V. PUBLIC COMMENT

There were no requests from the public to speak.

V. EXECUTIVE UPDATE

- A. LACERA All Stars

Mr. Popowich announced the winners for the month: Artesa Harris, Jay Fullwood, Deanna Hernandez, and Bertha Bargas. The Web Watcher was Sandy Pang and there were no winners for Rideshare.

V. EXECUTIVE UPDATE (Continued)

B. Member Spotlight

Mr. Popowich recognized LACERA member, Jenice Hines.

C. Chief Executive Officer's Report

Mr. Kreimann provided a brief presentation on the Chief Executive Officer's Report and answered questions from the Board.

VI. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement

Service-Connected Disability Applications

On a motion by Trustee Kehoe, seconded by Trustee Okum, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof.

APPLICATION NO.

NAME

307E

LEWIS, ROBERT J.

308E

GAMBOA, ALEX E.

309E*

WELLS, EDWARD C.

310E

LAM, KIM S.

311E*

DESCHINO, KRISTEN K.

312E

KASHANI, PAUL H.

313E

BICKEL, JAMES W.

314E

KLUTH, DAVID A.

315E

QUINTANAR, AMANDA M.

316E

BUDD, TRICIA D.

317E

DELLING, JONATHAN M.

318E

SANCHEZ, PATRICIA

319E

GONZALEZ, AUSTREBERTO A.

320E*

SUN, RICHARD A.

321E

GARRIDO, JOSEPH R.

322E*

PANIS, PAULINE P.

323E*

DEVINE-KNIERIEM, LAURIE A.

324E

ALCALA, ALFREDO

*Granted SCD – Employer Cannot Accommodate

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR
(Continued)

The motion passed by the following roll call vote:

Yes: Durazo, Gray, Kehoe, Knox, Moore, Okum, Robbins, Ryu

Absent: Sanchez

Safety Fire, Lifeguards
Service-Connected Disability Applications

On a motion by Trustee Gray, seconded by Trustee Okum, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof.

<u>APPLICATION NO.</u>	<u>NAME</u>
1797B	SKOGEN, JEFFREY A.
1798B	MESSICK, STEVE
1799B	REICHMAN, GARY R.
1800B	SOLIS, SERGIO O.
1801B	SZCZYPIORSKI, HENRY J., JR.
1802B	GILMER, KENT E.
1803B	THORNTON, DEAN C.

The motion passed by the following roll call vote:

Yes: Durazo, Gray, Kehoe, Knox, Moore, Okum, Robbins, Ryu

Absent: Sanchez

General Members
Service-Connected Disability Applications

On a motion by Trustee Gray, seconded by Trustee Knox, the Board of Retirement made a motion to approve a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof.

<u>APPLICATION NO.</u>	<u>NAME</u>
2710C*	MOORE, KENNETH J.

*Granted SCD – Employer Cannot Accommodate

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR
(Continued)

General Members (Continued)
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
2711C	PORTER, TASHA R.
2712C	BUCKNER, JEROME L.
2713C*	TROTTER, DELANA
2714C*	REED, WILLIAM C.
2715C**	TRIBBLE, ALICIA
2716C*	RUCKER, MARGARET
2717C	SMITH, PRESTON M.
2718C	MC WHORTER, SONJI D.
2719C	WATKINS, DALLAS W., JR.
2720C*	SIMS, DEBORAH L.
2721C	ALEXANDER, YVETTE D.
2722C***	LORTHRIDGE, KAROL L.
2723C****	WALKER, PHOEBE L.
2724C***	DE LA TORRE, JUAN M.
2725C***	FINCH, SONYA D.

The motion passed by the following roll call vote:

Yes: Durazo, Gray, Kehoe, Knox, Moore, Okum, Robbins, Ryu

Absent: Sanchez

*Granted SCD – Employer Cannot Accommodate

**Granted SCD – Retroactive Employer Cannot Accommodate

***Granted SCD – Salary Supplement

****Granted SCD – Retroactive

VI. CONSENT ITEMS

Trustee Okum made a motion, Trustee Kehoe seconded, to approve consent items A-E.

The motion passed by the following roll call vote:

Yes: Durazo, Gray, Kehoe, Knox, Moore, Okum, Robbins, Ryu,

Absent: Sanchez

A. Ratification of Service Retirement and Survivor Benefit Application Approvals

Recommendation that the Board approve the service retirements and survivor benefit applications received as of February 27, 2024, along with any retirement rescissions and/or changes approved at last month's Board meeting. (Memo dated February 27, 2024)

B. 2024-2025 Plan Year Health Insurance Rate Renewals and Benefit Changes for LACERA's Retiree Healthcare Benefits Program

Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits, and Legislative Committee: That the Board 1) Approve the fiscal year 2024-2025 rate renewal proposal and mandatory contractual changes, listed by carrier; 2) Maintain LACERA's administrative fee at \$8 per member, per plan, per month; and 3) Allow a one-time temporary waiver of the 6-month waiting period for members currently enrolled in the Anthem Blue Cross Prudent Buyer medical plan. (Memo dated February 20, 2024)

C. Two-Year Extension Request for Retiree Healthcare Benefits Consulting Services Contract for the Period July 1, 2024, through June 30, 2026

Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits, and Legislative Committee: That the Board approve the extension of the Retiree Healthcare Benefits Consulting contract with Segal Consulting for the period of July 1, 2024, through June 30, 2026. (Memo dated February 22, 2024)

VI. CONSENT ITEMS (Continued)

- D. **Appeal(s) for the Board of Retirement's Meeting of March 6, 2024**
Recommendation as submitted by Tamara Caldwell, Division Manager, Disability Retirement Services: That the Board grant the appeal(s) and request(s) for administrative hearing received from the following member(s) and direct the Disability Retirement Services Manager to refer each case to a referee: Norma A. Puentes and Erica Rodriguez. (Memo dated February 21, 2024)
- E. **Service Provider Invoice Approval Request – Behrooz Broukhim, M.D.**
Recommendation as submitted by Tamara Caldwell, Division Manager, Disability Retirement Services: That the Board approve the service provider invoice for Behrooz Broukhim, M.D. (Memo dated February 26, 2024) (Confidential Attachment)

XI. EXCLUDED FROM CONSENT ITEMS

There were no items excluded from Consent items.

X. NON-CONSENT ITEMS

- A. **Application of Anthony J. Wilburn for Reinstatement to Active Membership**
Recommendation as submitted by Louis Gittens, Benefits Division Manager: That the Board 1) Determine, based upon the medical evaluation conducted November 1, 2023, that Anthony J. Wilburn is not incapacitated for the duties assigned to him in the position of Deputy Alternate Public Defender I; and 2) Grant the application of Anthony J. Wilburn for reinstatement to active membership. (Memo dated February 16, 2024)

Trustee Gray made a motion, Trustee Knox seconded, to approve staff's recommendation.

The motion passed by the following roll call vote:

Yes: Durazo, Gray, Kehoe, Knox, Moore, Okum, Robbins, Ryu,

Absent: Sanchez

XI. REPORTS

A. **Board of Retirement's Role Administering Disability Retirement Applications & Legal Standards for Permanent Incapacity and Service Connection**

Frank Boyd, Senior Staff Counsel

(Presentation) (Trustee Green joined the meeting at 9:21 a.m.)

Mr. Boyd provided an educational presentation and answered questions from the Board. The item was received and filed.

B. **Salary Adjustments for Legal Office Staff Members**

Santos H. Kreimann, Chief Executive Officer

(For Information Only) (Memo dated February 21, 2024)

This item was received and filed.

C. **Report on Compensation Earnable and Pensionable Compensation**

Jean Kim, Senior Staff Counsel

(For Information Only) (Memo dated January 24, 2024)

This item was received and filed.

D. **Semi-Annual Interest Crediting for Reserves as of December 31, 2023 (UNAUDITED)**

Ted Granger, Chief Financial Officer

(For Information Only) (Memo dated January 24, 2024)

This item was received and filed.

E. **Application Processing Time Snapshot Reports**

Tamara Caldwell, Division Manager, Disability Retirement Services

(For Information Only) (Memo dated February 27, 2024)

This item was received and filed.

F. **Monthly Trustee Travel & Education Reports – January 2024, FY 22-23 2nd Quarter Trustee Travel & Education Expenditure Reports, FY 23-24 2nd Quarter Staff Travel Report**

Ted Granger, Chief Financial Officer

XI. REPORTS (Continued)

(Public memo dated February 21, 2024) (Confidential memo dated February 21, 2024 - Includes Pending Travel)

This item was received and filed.

G. **Monthly Status Report on Legislation**

Barry W. Lew, Legislative Affairs Officer
(For Information Only) (Memo dated February 27, 2024)

This item was received and filed.

H. **February 2024 Fiduciary Counsel Contract and Billing Report**

Steven P. Rice, Chief Counsel
(For Information Only) (Memo dated February 26, 2024)
(Privileged and Confidential/Attorney-Client Communication/Attorney Work Product)

This item was received and filed.

XII. ITEMS FOR STAFF REVIEW

There were no items to report.

XIII. ITEMS FOR FUTURE AGENDAS

There were no items to report.

XIV. GOOD OF THE ORDER
(For Information Purposes Only)

Staff and Trustees thanked Trustee Knox for his service on the Board and congratulated him on his retirement.

XV. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability

APPLICATION NO. & NAME AND BOARD ACTION

5410B – CARRIE A. ALMANZA*

Trustee Kehoe made a motion, Trustee Robbins seconded, to deny a service-connected disability retirement without prejudice and find the applicant not permanently incapacitated.

The motion passed by the following roll call vote:

Yes: Durazo, Kehoe, Knox, Moore, Okum, Robbins, Ryu, Gray

Absent: Sanchez

5411B – THERESA A. SCHELL

Trustee Gray made a motion, Trustee Green seconded, to deny a service-connected disability retirement without prejudice and find the applicant ineligible for a nonservice-connected disability retirement.

The motion passed by the following roll call vote:

Yes: Durazo, Green, Knox, Moore, Okum, Robbins, Ryu, Gray

Absent: Sanchez

5412B – DENICE M. KUKICH*

Trustee Knox made a motion, Trustee Okum seconded, to deny a service-connected disability retirement since the employer can accommodate.

The motion passed by the following roll call vote:

Yes: Durazo, Gray, Green, Knox, Moore, Okum, Robbins, Ryu, Gray

Absent: Sanchez

*Attorney and/or Applicant Present

XV. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME AND BOARD ACTION

5268B – HAILEY R. RYAN*

Trustee Green made a motion, Trustee Robbins seconded, to grant a service-connected disability retirement pursuant to Government Code Section 31720.

The motion passed by the following roll call vote:

Yes: Durazo, Green, Knox, Moore, Okum, Robbins, Ryu, Gray

Absent: Sanchez

5318B – WILLETTE MITCHELL*

Trustee Kehoe made a motion, Trustee Robbins seconded, to grant a service-connected disability retirement pursuant to Government Code Section 31720.

The motion passed by the following roll call vote:

Yes: Durazo, Kehoe, Knox, Moore, Okum, Robbins, Ryu, Gray

Absent: Sanchez

5334B – MARK A. VOGEL*

Trustee Kehoe made a motion, Trustee Robbins seconded, to grant a service-connected disability retirement with the option of an earlier effective date pursuant to Government Code Sections 31720, 31724, and 31720.6.

The motion passed by the following roll call vote:

Yes: Durazo, Kehoe, Knox, Moore, Okum, Robbins, Ryu, Gray

Absent: Sanchez

*Applicant and/or attorney present

XV. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME AND BOARD ACTION

5402B – LAURA WOOD*

Trustee Moore made a motion, Trustee Kehoe seconded, to refer back to staff for further information.

The motion passed by the following roll call vote:

Yes: Gray, Green, Knox, Moore, Okum, Robbins, Gray

No: Durazo

Absent: Sanchez

962D – RICHARD A. COBBS, JR.

Pulled by staff for further development.

B. Disability Retirement Appeals

APPLICATION NO. & NAME AND BOARD ACTION

CHERIE L. MILLER* – Thomas J. Wicke for the Applicant
Eugenia W. Der for the Respondent

Trustee Harris made a motion, Trustee Kehoe seconded, to grant a service-connected disability retirement.

The motion passed by the following roll call vote:

Yes: Durazo, Gray, Green, Knox, Moore, Okum, Robbins, Ryu

Absent: Sanchez

*Applicant and/or attorney present

XV. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

B. Disability Retirement Appeals (Continued)

SCOTT R. FRIEDMAN – In Pro Per

Eugenia W. Der for the Respondent

Trustee Kehoe made a motion, Trustee Knox seconded, to deny a service-connected disability retirement and find the applicant not permanently incapacitated.

Trustee Green made a substitute motion, Trustee Okum seconded, to refer back to staff for further information.

The motion passed by the following roll call vote:

Yes: Durazo, Gray, Green, Knox, Moore, Okum, Robbins, Ryu,
Gray

Absent: Sanchez

C. Staff Recommendations

1. **Deanna L. Santino Proposed Findings of Fact and Conclusions of Law**

Recommendation as submitted by Tamara Caldwell, Division Manager, Disability Retirement Services: That the Board adopt the Proposed Findings of Fact and Conclusions of Law granting Deanna L. Santino a service-connected disability retirement with the option of an earlier effective date pursuant to Government Code Sections 31720 and 31724.

(Memo dated February 21, 2024)

Trustee Robbins made a motion, Trustee Knox seconded, to approve staff's recommendation.

The motion passed by the following roll call vote:

Yes: Durazo, Gray, Green, Knox, Moore, Okum, Robbins, Ryu

Absent: Sanchez

XVI. DJOURNMENT

There being no further business to come before the Board, the meeting was adjourned at Noon.



RONALD OKUM, SECRETARY



SHAWN R. KEHOE, CHAIR





Recognizing Our Members' Service and Accomplishments

LACERA has nearly 100,000 active members working in dozens of L.A. County departments, many of whom dedicate their careers to serving the community. Meet one of our long-serving members working to provide health services to residents.



Active Member

Maria Lucero

Patient Resources Worker; Rancho Los Amigos Hospital

Years of Service: 42

Notable Contributions and Service: Four decades of hospital administrative service with L.A. County, which includes 27 years at Martin Luther King Hospital and 15 years at Rancho Los Amigos. Her first role at Rancho Los Amigos was coordinating admissions for patients with epilepsy; she currently manages updates to Medi-Cal patient accounts.

Thoughts on Her Long Career: Lucero will retire this year. She is proud of her time with the County and grateful for the financial independence her many years of service will afford her in retirement.

Retirement Plans: Lucero looks forward to enjoying her favorite pastimes, which include camping out with her family, collecting antique dolls and Barbies, and spoiling her German Shepherd, Gunther.

MEMBER SPOTLIGHT





March 26, 2024

TO: Each Trustee,
Board of Retirement
Board of Investments

FROM: Santos H. Kreimann *SHK*
Chief Executive Officer

SUBJECT: **CHIEF EXECUTIVE OFFICER'S REPORT – APRIL 2024**

The following Chief Executive Officer's Report highlights key operational and administrative activities that have taken place during the past month.

Board of Retirement Offsite

The 2024 BOR Offsite will be held on Tuesday, May 28th and Wednesday, May 29th in Long Beach, CA at the Westin Hotel. Day one of the offsite will focus on LACERA's strategic planning efforts and other educational topics. Day two will center on the Retiree Healthcare Program and other educational topics.

LACERA Visit to the State Capital

LACERA's Legislative Officer, Barry Lew, and legislative advocate, Naomi Padron, along with LACERA's CEO, Santos Kreimann, and Deputy CEO, Luis Lugo, conducted a two-day legislative visit to the California State Capital in Sacramento on March 19th and 20th. We met with thirteen state Assemblymembers and Senators, including the Assembly Chair and Vice Chair of the Public Employment and Retirement Committee, Assemblymember Tina McKinnor (D-Inglewood) and Assemblymember Tom Lackey (D-Palmdale), as well as staff from Senator Scott Wilk's (R-Santa Clarita) office. Senator Wilk serves as the Vice Chair for the Senate Labor, Public Employment, and Retirement Committee.

The visit aimed to provide legislators with an overview of LACERA, its membership, and their economic impact on the State of California and County of Los Angeles. We also discussed a few bills sponsored by the State Association of County Retirement Systems (SACRS) that will come to the Assembly Public Employment and Retirement committee during this legislative cycle. A formal report of the visit will be provided by Barry Lew in the May 2024 Insurance, Benefits, and Legislative (IBL) committee.

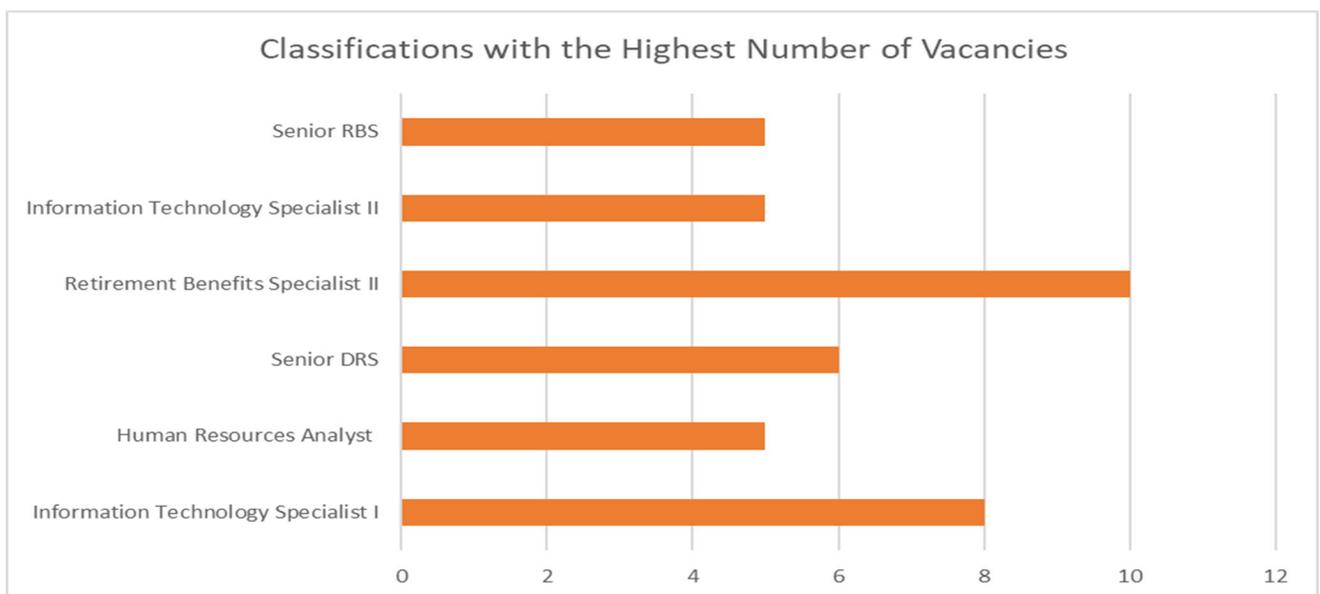
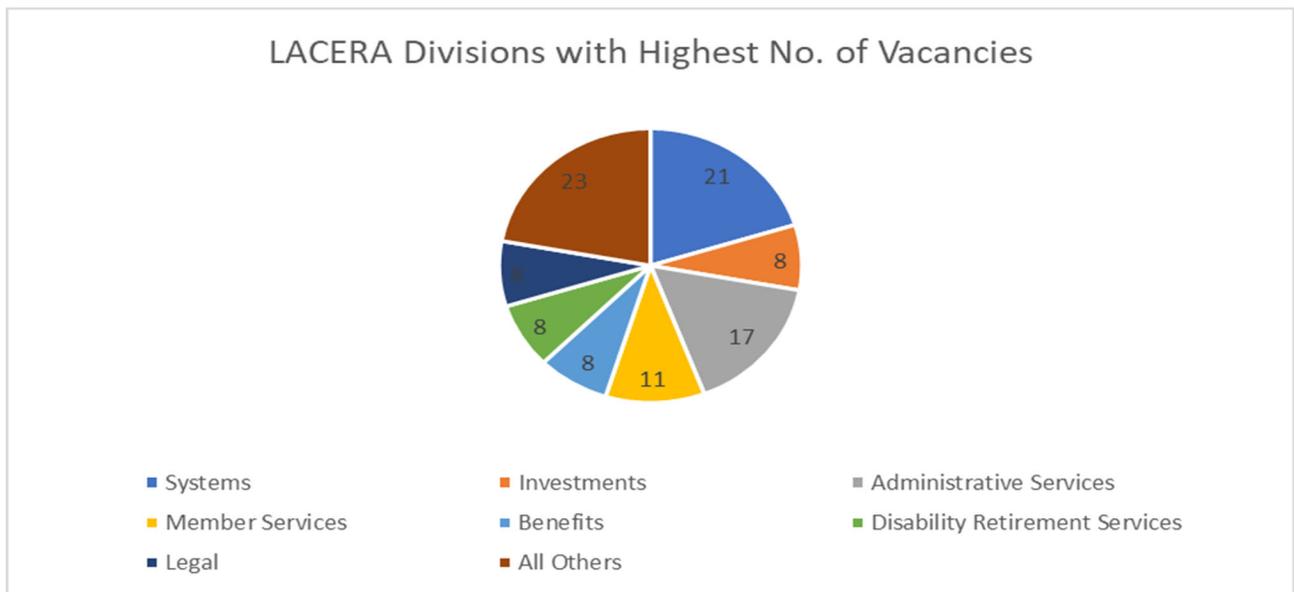
Lastly, we had a productive lunch visit with CalSTRS Chief Executive Officer, Cassandra Lichnock and her team at their Sacramento headquarters.

Recruitment Updates

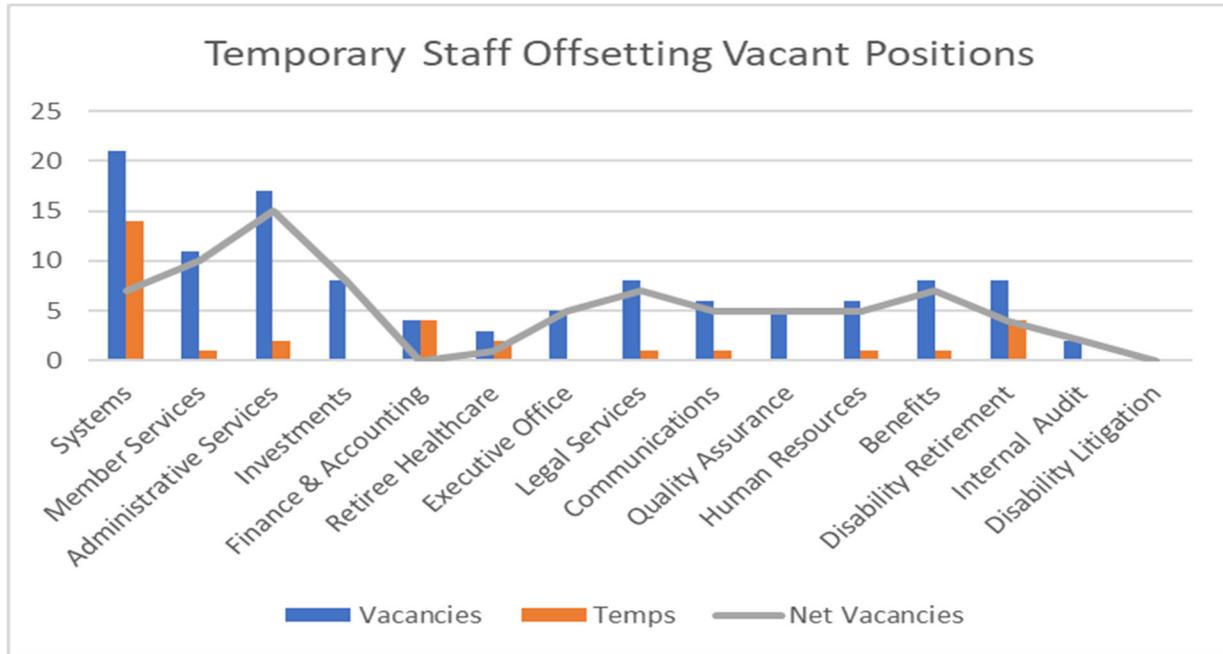
LACERA has 534 budgeted positions, of which 114 are vacant (21% vacancy rate). Additionally, there are three over-hired positions (positions that temporary staff members are assigned to but are not permanently budgeted) in the Retirement Benefits Specialist I classification.

Since the beginning of the current fiscal year, 15 eligible lists/registers were promogulated, resulting in a total of 14 new hires and 12 promotions.

The Divisions with the highest number of vacancies, and the classifications with the highest number of vacancies, are shown below.



The chart below highlights temporary hires across divisions to address critical vacancy needs in the short term.



External Recruitments

The Information Technology Specialist II, (Information Security Engineer), and (Mainframe Architect and Administrator) job bulletins closed on March 12, 2024. Applications are currently under review.

The Senior Investment Officer (Corporate Governance) recruitment continues to be open and managed by EFL Associates.

The recruitment brochures for the below listed positions in the Legal Office continue to be open and managed by Major Lindsey & Africa. Interviews continue as qualified candidates are identified. Job bulletins are pending to create eligible lists from which offers may be made:

- Staff Counsel (Investments)
- Staff Counsel (Benefits)

Internal Recruitments

Interviews continue for the Senior Investment Officer (Global Equities).

The Finance Analyst III (Real Estate) position closed on March 6, 2024. Interviews have been scheduled.

In Development

The recruitments/assessments for the following classification(s) are in development:

- Administrative Services Analyst I, II and III
- Information Technology Specialist I
- Legal Analyst
- Legal Secretary

- Senior Internal Auditor
- Senior Legal Secretary
- Senior Administrative Assistant
- Administrative Assistant
- Intermediate Typist Clerk
- Special Assistant

New Lists Promulgated, Hiring

The Staff Counsel (Investments) List was promulgated on February 13, 2024. An offer was made and accepted. The staff member's start date is April 16, 2024.

The Senior Investment Officer (Real Estate) List was promulgated on March 4, 2024. The Investment Division and Human Resources continues to work through the selection process.

A Human Resources Analyst and Senior Human Resources Assistant for Employee Organizational and Development in Human Resources are currently in the background process.

There are a total of 19 new Retirement Benefits Specialist I (RBS I) (Temporary) Trainees to be made offers for the Core Benefits Training Class. Two (2) trainees are being hired for Retiree Health Care. The expected start date is pending candidate background clearances.

Interns

Resumes continue to be accepted for the 14 Intern positions included in the Fiscal Year 2023-24 Adopted Budget.

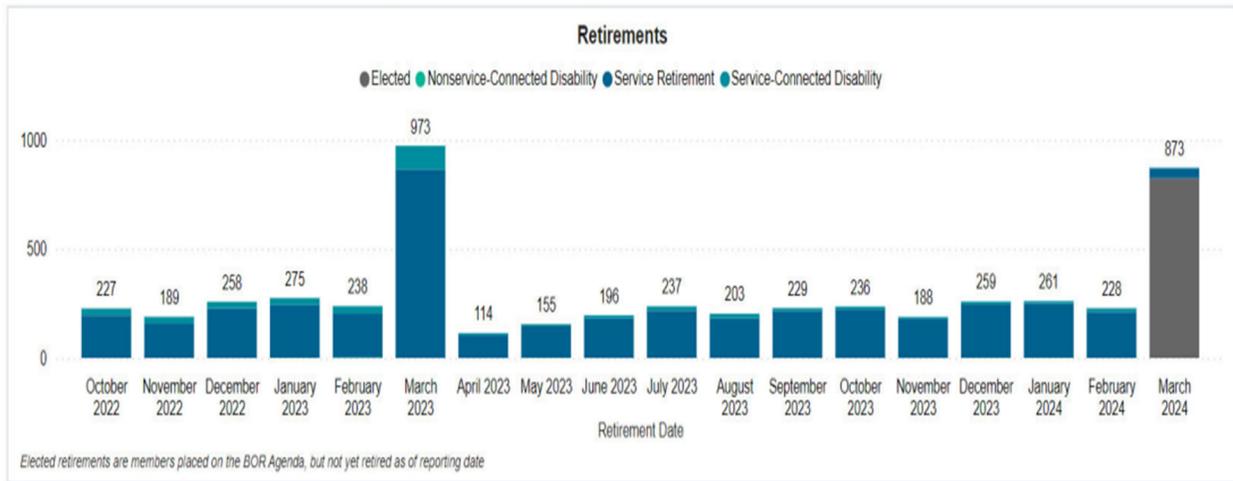
One hundred seventy applications have been received since the bulletin was reposted in August 2023. Fifty-one of the applications did not meet the selection requirements, 21 applications need to be reviewed. Ninety-eight applicants are being considered.

To date, four interns have been chosen for the Summer. Two in the Legal Office to begin in May, one in Human Resources to begin in May/June and, as previously reported, the Executive Office chose one to begin in June. Additionally, the Systems Division is finalizing selections and anticipates three interns to begin in May/June.

CEO Dashboard Update

We have made two new additions to the CEO Dashboard under the Member Snapshot section. These new reports will provide readers with insight into the retirement trends over the last 18 months and the current month's processed and in-process retirements. Please see the notes and descriptions for each below. Both reports are generated on the 15th of the month and reflect all recorded activity through the 15th of the month.

Retirement Trend Report



LACERA processes retirements year-round but every year between December and March we experience a spike in retirements. The 18-month Retirement Trend Report is designed to provide users with an insight into the volume of retirements processed each month and will allow users to compare the previous March Madness season to the current March Madness season. Here are some key details to be aware of regarding this trend report:

- This report will show the volume of retirements by category:
 - Elected (those that are in process)
 - Service Retirements (SR)
 - Non-Service-Connected Disability Retirements (NSCD)
 - Service-Connected Disability Retirements (SCD)
- The vast majority of retirements processed in any given month are Service Retirements.
- The current month in this report, especially during March Madness, will always reflect a high number of “elected” retirements vs. the other three categories. This occurs because staff is working on those retirements, and they have not been categorized yet (which occurs once it is processed). This does not mean there will be delays in these retirements. This is just the normal processing progression.

Monthly Retirements Snapshot Report

Monthly Retirements	
Retirement Type	March 2024
Elected	824
Service Retirement	46
Service-Connected Disability	3
Total	873

- The current month Retirement Snapshot represents the activity in just the current month. Again, this will often show a high number of elected retirements as they are still in process on the 15th of the month.
- The number of SCD and NCSD retirements in this report will not match the number of disability retirements granted in the previous month. This is because the granted disability retirements in any given month have different elected retirement dates. Often, we will not know the retirement date until the transition to the retiree payroll begins.

Retiree Healthcare

Medicare Part B Verification Update

In December 2022, the Retiree Healthcare Division (RHC), in collaboration with IT staff, began using a new interface designed to assist RHC process the thousands of incoming Medicare Part B verification documents received each year.

Prior to December 2022, it would take the RHC division staff several months to review and update about 40,000 Medicare Part B verifications submitted by retirees and their eligible covered dependents each December - February.

Verification Year	Part B Reimbursements Paid	Updated via Automation	Percentage Updated Automatically
2022 for 2023	38,784	21,330	55%
2023 for 2024	39,785	28,770	72%

As a result of improved automation, all Medicare Part B verifications received in December 2023 through February 2024 have been processed. In addition, since utilizing the new interface, and upon the Board of Supervisors approving the continued offering of the Medicare Part B reimbursement program, RHC staff has, for the second year in a row, completed the influx of verifications no later than the end of March. The ability to complete this project so quickly allows RHC staff to focus on the increased volume of healthcare enrollment forms received due to the annual March rush.

Kudos to RHC staff and Systems Division for their assistance with this accomplishment.

Cigna Healthcare Notice of Potential Contract Termination with Providence (Southern CA) - Update

In last month's CEO report, staff reported receiving notification from Cigna Healthcare that Cigna has been negotiating in good faith with Providence (Southern CA) to renew their agreement; noting if an agreement was not reached by April 8, 2024, then Providence will no longer be part of their Cigna provider network. Ending the contract with Providence would impact approximately 35 LACERA members totaling close to \$100,000 in spend over the last 18 months. A targeted mailing was made to those 35 impacted members.

March 26, 2024

Page 7

We recently received notification from our Cigna contact that Providence issued an extension, and the new potential termination date is now 5/31/2024. In the meantime, should members have any questions, they are encouraged to call Cigna's customer service representatives to answer their questions, help them find in-network providers, and arrange for continuity of care if members are eligible should the contract be terminated. We will keep you informed as more information is provided to staff.

SHK

CEO report April 2024.doc

Attachments



CEO DASHBOARD



April 3, 2024

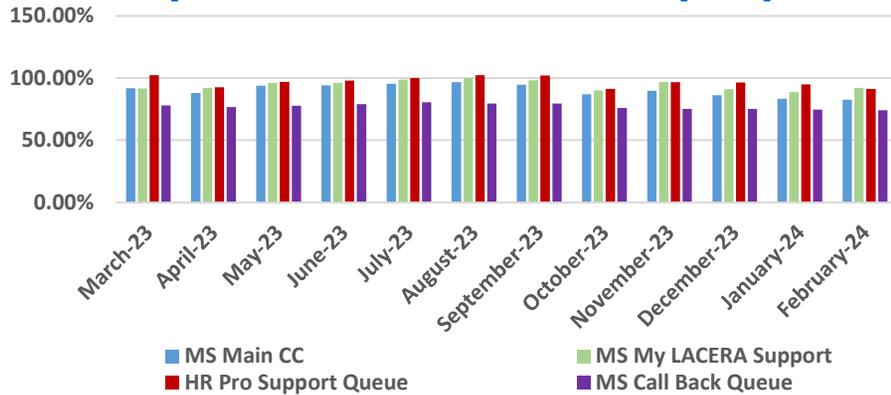


Striving for Excellence

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: February 2024

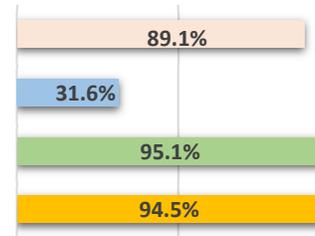
Events / Webinar Attendance Current Mo.: 681 Year-to-Date: 8,212	Events / Webinar Current Mo.: 13 Year-to-Date: 154	Webinar Satisfaction 0% Resp. Rate: 0% Change: 0%	Member Service Center Satisfaction 98.72% Resp. Rate: 18.7% Change: 2.91%	MS Main Queue Total Calls Current Mo. 8,602 3 Month Avg. 8,422				
					Resp. Rate	Change	Resp. Rate	Change
					0%	0%	18.7%	2.91%

Member Service Call Center Queue Key Performance Indicators (KPI)

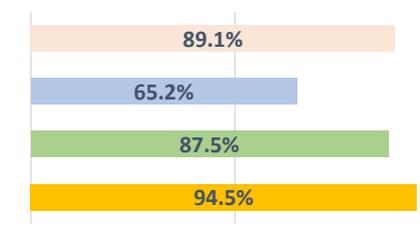


Key Performance Indicator (Components by Queue)

MSCC Main Queue



My LACERA Support Queue



Agent Utilization (Goal: 65%)

After Call Survey (Goal: 90%)

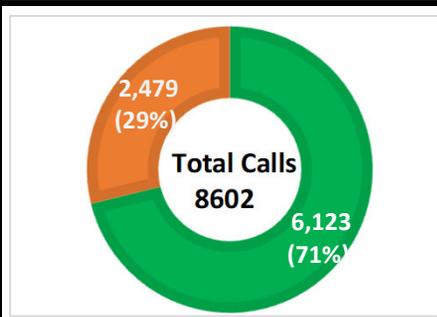
Grade of Service (Goal: 80% in 60 sec)

Call Monitoring (Goal: 95%)

Member Services

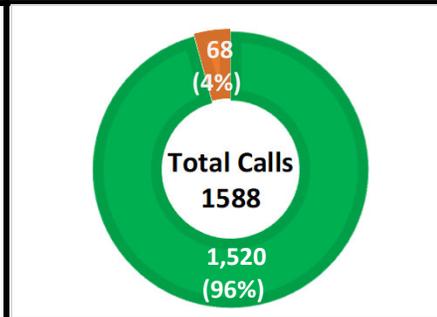
MSCC MAIN QUEUE

■ Calls Answered ■ Calls Abandoned



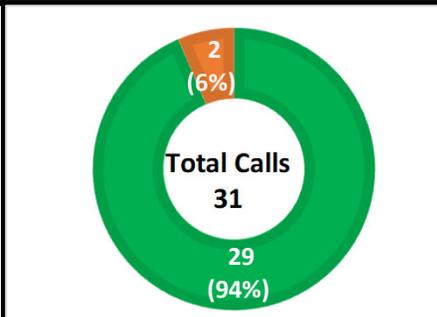
MY LACERA SUPPORT

■ Calls Answered ■ Calls Abandoned



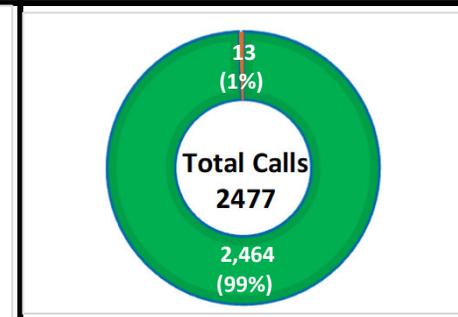
HR PRO SUPPORT

■ Calls Answered ■ Calls Abandoned



MS CALL BACK QUEUE

■ Calls Answered ■ Calls Abandoned



Queue KPI:	82.50%	Queue KPI:	92.10%	Queue KPI:	91.30%	Queue KPI:	74.21%
Avg. Speed of Answer	Average Duration						
0:17:38	12:17:17	0:01:06	0:12:10	0:01:03	0:13:12	0:39:48	0:15:00



Striving for Excellence

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: February 2024

TOP REASONS MEMBERS CONTACT MEMBER SERVICES

Member Services Call Center

Member Service Center (Outreach)

1. Retirement Counseling

1. Retirement Counseling

2. My LACERA

2. Retiree Healthcare

3. Taxes

3. Power of Attorney

Contact Center Email/Secure Message Performance



Emails (welcome@lacera.com):

419

Service Level: 24 Bus. Hours

On Target



Secure Message (My LACERA)

1,082

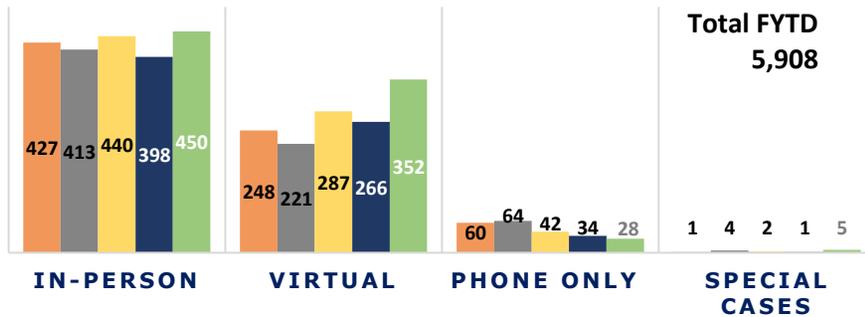
Service Level: 24 Bus. Hours

On Target

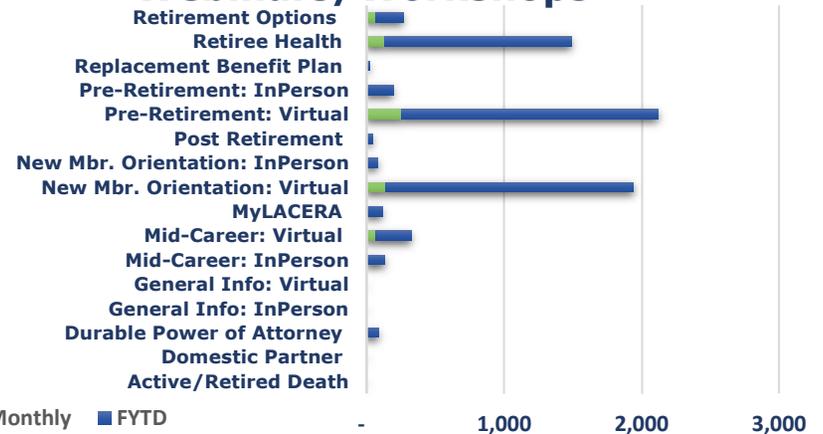
Member Service Center (Outreach)

Member Service Center Appointments

October November December January February



Members Served in Webinars/Workshops



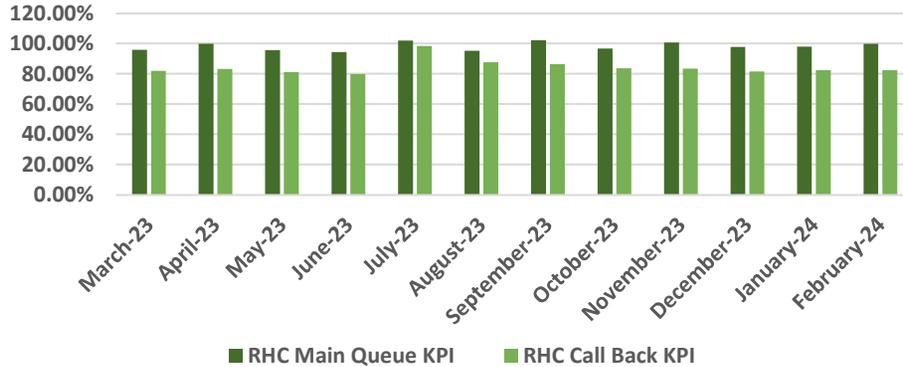
Member Services



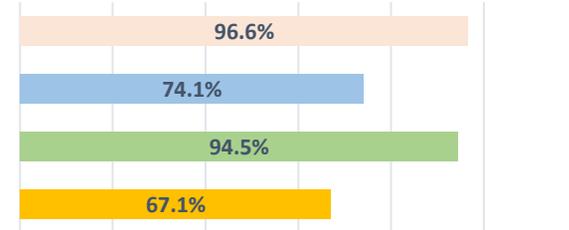
Striving for Excellence

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: February 2024

RHC Call Center Queue Key Performance Indicator (KPI)



Key Performance Indicator (Components by Queue) Main RHC Call Center Queue

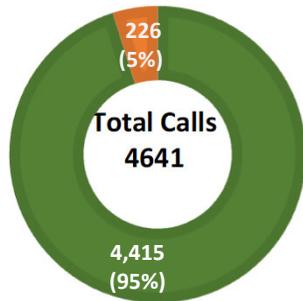


Agent Utilization (Goal:65%)	Grade of Service (Goal: 80% in 60 sec)
After Call Survey (Goal: 90%)	Call Monitoring (Goal: 95%)

Retiree Healthcare

RHC MAIN QUEUE

■ Calls Answered ■ Calls Abandoned

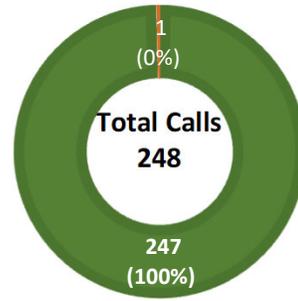


Queue KPI: 99.80%

Avg. Speed of Answer	Average Duration
0:02:03	0:12:02

RHC CALL BACK QUEUE

■ Calls Answered ■ Calls Abandoned



Queue KPI: 82.40%

Avg. Speed of Answer	Average Duration
0:05:38	0:07:55

TOP RHC CALL TOPICS

1. Medical/Dental Enrollments
2. Insurance Benefits
3. General Inquiries

RHC Email/Secure Message Performance



Emails (healthcare@lacera.com): 484

Service Level: 24 Bus. Hours

On Target



Secure Message (My LACERA) 517

Service Level: 24 Bus. Hours

On Target



Striving for Excellence

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: February 2024

Disability

Applications

899

Pending: Applications
in Process

86 Received: New Applications
 539 Received Year-to-Date
 0 Re-Opened Applications
 0 Re-Opened Year-to-Date
 62 To Board - Initial (Presented to BOR)
 284 To Board - Initial Year-to-Date*
 79 Closed (Incomplete/Withdrawn)
 440 Closed Year-to-Date*

*Excludes cases prior to 9/12/23 due to transition to Sol case management system.

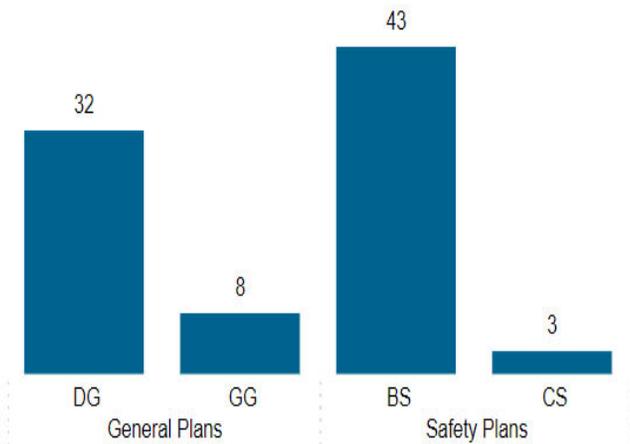
Appeals

72

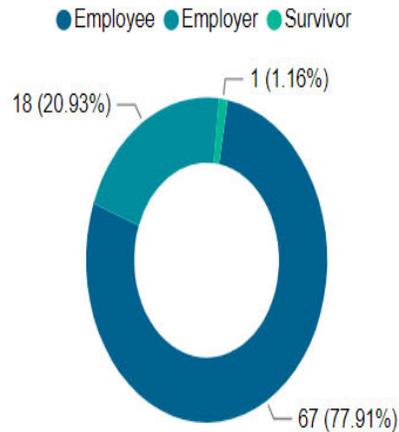
Pending: Appeals in
Process

3 Received: New Appeals
 11 Received Year-to-Date
 1 Admin Closed
 10 Admin Closed Year-to-Date
 1 Referee Recommendations
 7 Referee Recommendations Year-to-Date
 0 DLO Recommendations
 0 DLO Recommendations Year-to-Date

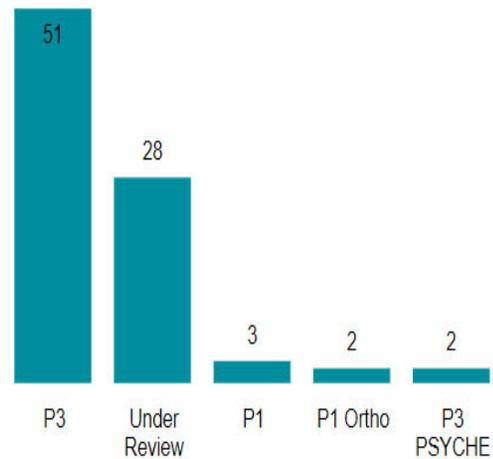
Applications Filed by Plan



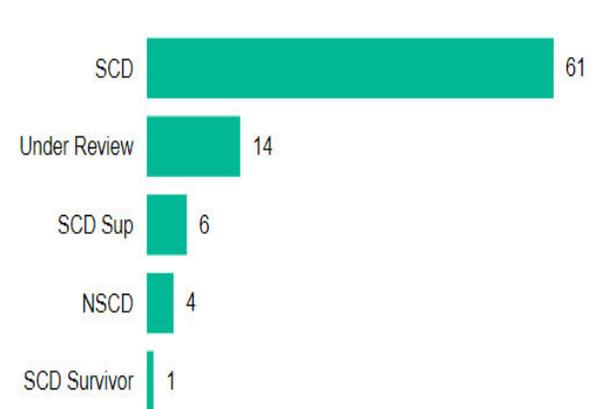
Applications Filed by Source



Applications Filed by Priority Level



Applications Filed by Type





Striving for Excellence in Quality

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: February 2024

Quality Assurance



Monthly Recap

	Samples	Accuracy
February 2024		
Data Entry	120	98.21%
Payment Contract	142	97.99%
Retirement Election	501	98.12%
Total	763	98.11%



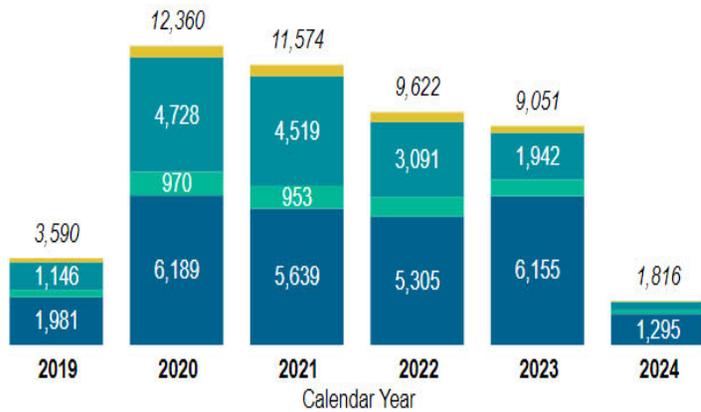
Service On-Line for All

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: February 2024

Serving Members Through LACERA.com and MyLACERA

Annual MyLACERA Registrations*

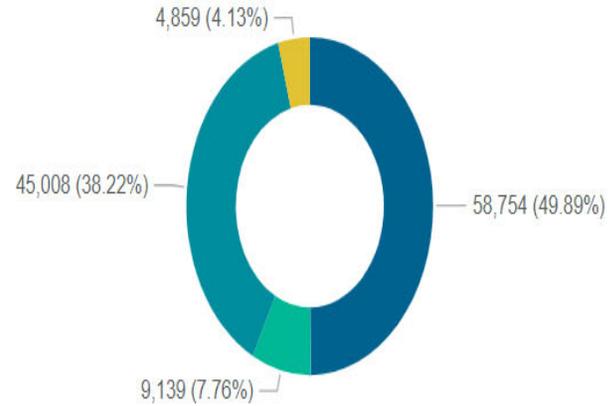
● Active Members ● Deferred & Inactive Members ● Retirees & Survivors ● Non-Members



Data labels may not appear for all values

Total Registrations by Member Type*

● Active Members ● Deferred & Inactive Members ● Retirees & Survivors ● Non-Members



Total Registered Members

112,901

% of Total Members

58%

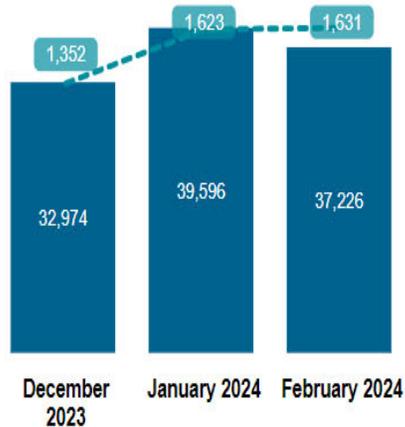
Excludes Non-Members

*Data as of March 15, 2024

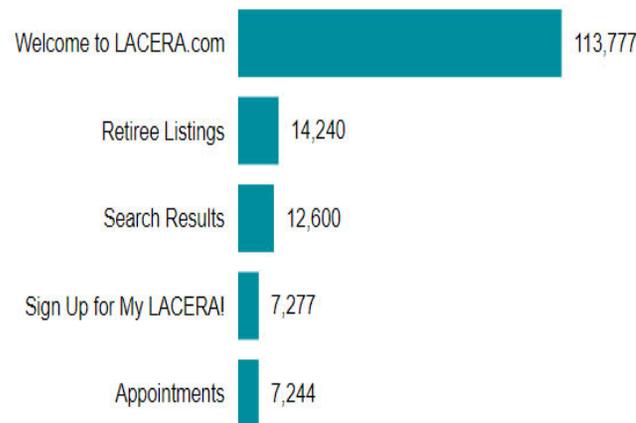
Non-Members include legal split payees and withdrawn members.

LACERA.com User Traffic

● First Time Visitors ● Average Per Day



Top Five LACERA.com Page Views



Busiest Day

02/29/2024

Home Page Tile	Views	% of Change
Forms & Publications	278	17.80%
Board Meetings and Agendas	4,263	11.68%
Investments	2,298	-14.73%
Careers	2,452	-15.59%
Sign Up for My LACERA!	7,277	-18.59%
Pre-Retirement Workshops	2,348	-46.07%

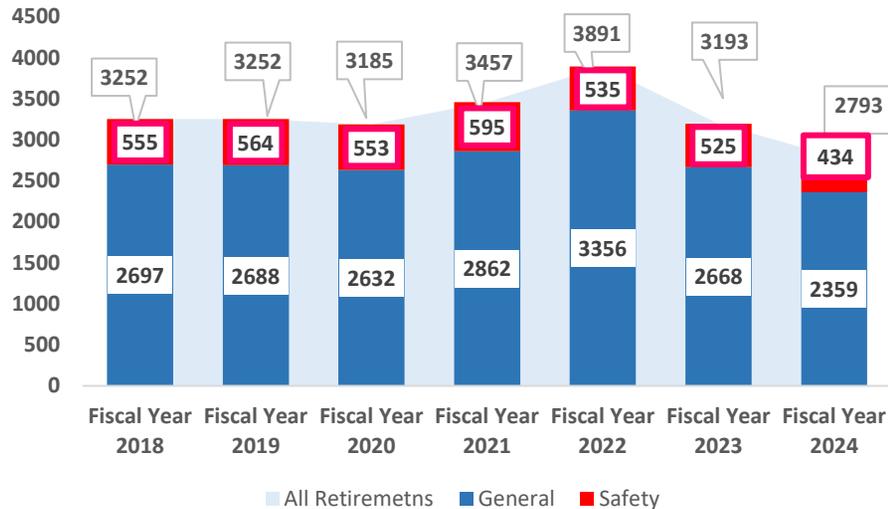


Member Snapshot

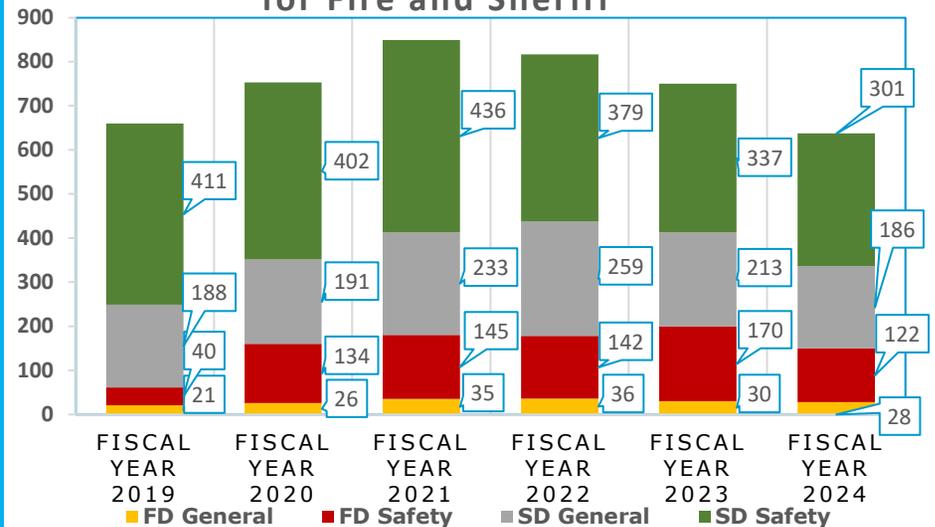
Membership Count as of: March 15, 2024

	PLAN	ACTIVE		INACTIVE		RETIRED				Totals by Plan/Type
		Vested	Non-Vested	Vested	Non-Vested	Service	SCD - Disability	NSCD - Disability	Survivors	
General	PLAN A	41	-	11	32	11,402	901	154	4,007	16,548
	PLAN B	10	-	5	3	560	38	7	75	698
	PLAN C	13	-	5	8	354	38	7	65	490
	PLAN D	32,805	110	4,490	3,251	19,491	2,061	446	2,083	64,737
	PLAN E	12,479	9	2,791	83	15,579	-	-	1,711	32,652
	PLAN G	20,512	19,598	1,845	7,171	299	37	8	32	49,502
	TOTAL GENERAL	65,860	19,717	9,147	10,548	47,685	3,075	622	7,973	164,627
Safety	PLAN A	-	-	2	2	1,782	2,327	25	1,648	5,786
	PLAN B	7,205	68	583	230	3,338	4,771	54	441	16,690
	PLAN C	2,881	2,414	149	549	12	22	-	3	6,030
	TOTAL SAFETY	10,086	2,482	734	781	5,132	7,120	79	2,092	28,506
	TOTAL ALL TYPES	75,946	22,199	9,881	11,329	52,817	10,195	701	10,065	193,133

Total Retirements Compared by Type



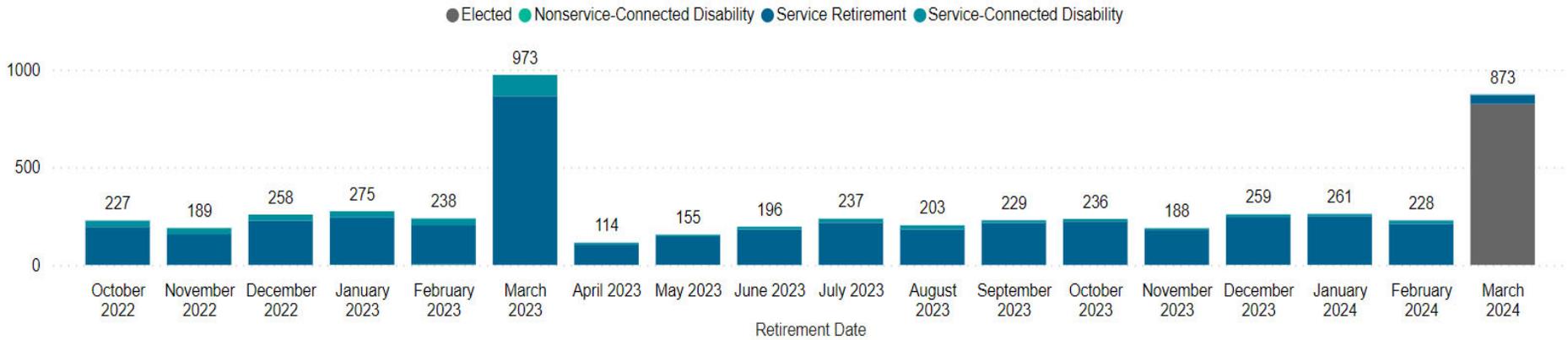
General vs. Safety Retirements for Fire and Sheriff





Member Snapshot

Retirements



Elected retirements are members placed on the BOR Agenda, but not yet retired as of reporting date

Monthly Retirements

Retirement Type	March 2024
Elected	824
Service Retirement	46
Service-Connected Disability	3
Total	873

Average Monthly Benefit Amount:		\$ 4,934.00		
Average Monthly Benefit Allowance Distribution 3/21/2024				
	General	Safety	Total	%
\$0 to \$3,999	30,105	1,329	31,434	49.4%
\$4,000 to \$7,999	14,951	3,424	18,375	28.9%
\$8,000 to \$11,999	4,412	4,294	8,706	13.7%
\$12,000 to \$15,999	1,264	2,485	3,749	5.9%
\$16,000 to \$19,999	430	569	999	1.6%
\$20,000 to \$23,999	127	156	283	0.4%
\$24,000 to \$27,999	35	54	89	0.1%
> \$28,000	31	8	39	0.1%
Totals	51,355	12,319	63,674	100%

Retired Members Payroll

(As of 2/29/2024)

Monthly Payroll	\$378.63m
Payroll YTD	\$3.0b
New Retired Payees Added	420
Seamless %	98.57%
New Seamless Payees Added	2,636
Seamless YTD	97.12%
By Check %	2.00%
By Direct Deposit %	98.00%

Healthcare Program

(Mo. Ending: 2/29/2024)

	Employer	Member
Medical	\$425.9	\$30.0
Dental	\$33.1	\$3.1
Part B	\$62.8	\$0.0
Total	\$521.8	\$33.1

Health Care Enrollments

(Mo. Ending: 2/29/2024)

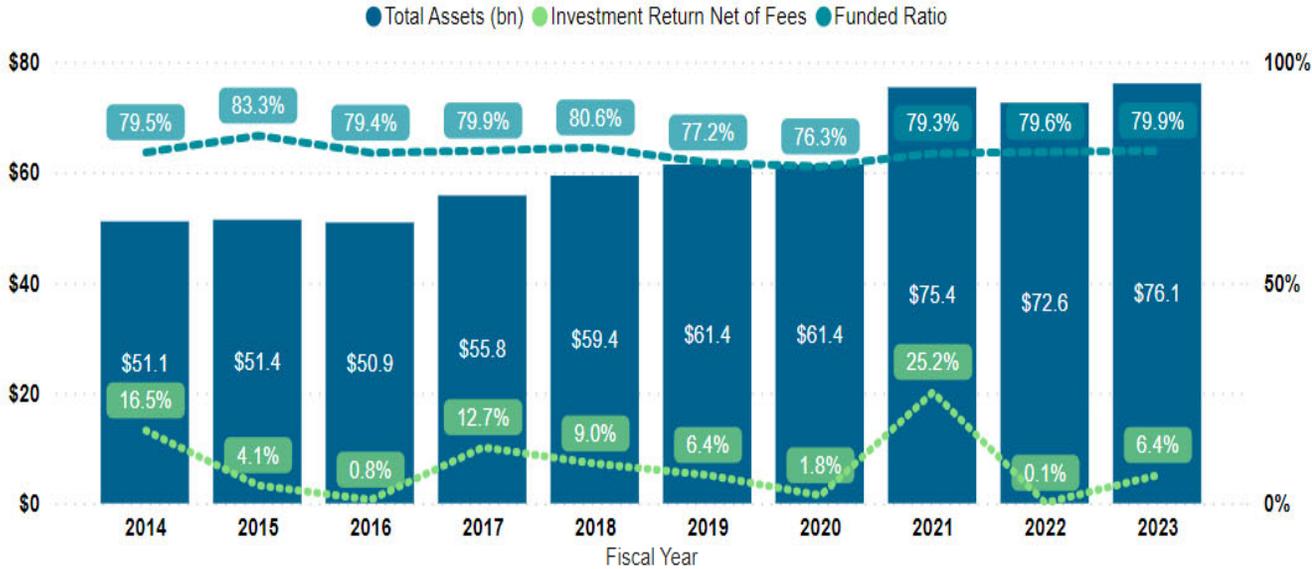
Medical	55,630
Dental	57,638
Part B	38,549
LTC	465
Total	152,282



Key Financial Metrics

(as of June 30, 2023)

Fiscal Year End Financial Update



Funding Metrics

11.01%
Employer NC
14.87%
UAAL
7.00%
Assumed Rate
\$612m
Star Reserve
\$73.9bn
Total Net Assets

Contributions

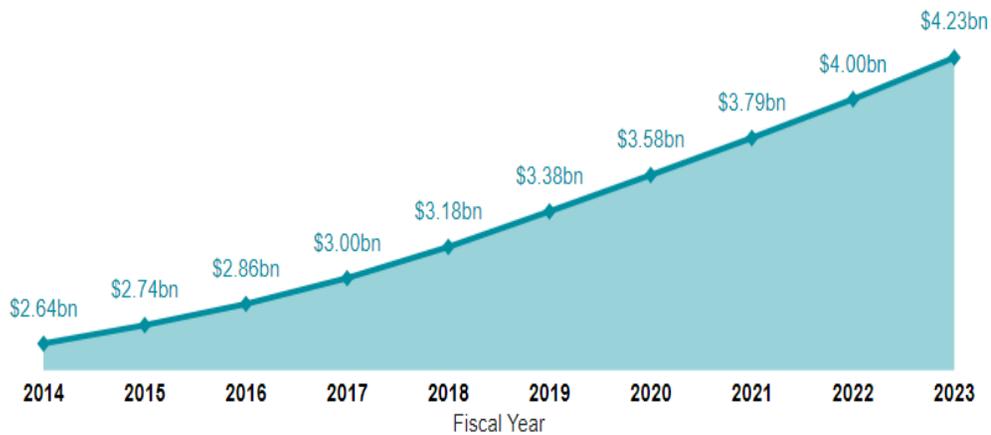
\$2.3bn
Employer Annual Contribution
25.88%
Employer % of Payroll
\$793.2m
Member Annual Contribution
8.37%
Member % of Payroll

Investment Returns

7.6%
5-Year Return
8.1%
10-Year Return

net of fees

Retiree Payroll by Year



LACERA Pension Dollar





QUIET PERIOD LIST FOR TRUSTEES AND STAFF

Last Update
03/26/2024

ADMINISTRATIVE/OPERATIONS

Solicitation Name	Issuing Division	Public Release Date	Solicitation Stage*	Bid Respondents*
RFB: Classification and Compensation Study Services	Human Resources	8/24/2023	Contract Execution	• CBIZ
RFP: Member Experience Communications Platform (MECP)	Systems	11/6/2023	Bid Review	• 8x8, Inc • ConvergeOne, Inc. • Five9 • NWN • RingCentral • Talkdesk • TTEC Government Solutions

*Subject to change

INVESTMENTS

Solicitation Name	Public Release Date	Solicitation Stage*	Bid Respondents *
RFP: Actuarial Consulting and Actuarial Auditing Services	11/15/2023	Proposal Evaluation	• Buck • Cavanaugh Macdonald Consulting • Milliman • Segal
Hedge Funds, Credit, and Real Assets Consultant Search	12/29/2023	Proposal Evaluation	• Albourne • Meketa • Mercer • Wilshire

*Subject to change

Date	Conference
April, 2024	
4-5	IFEBP (International Foundation of Employment Benefit Plans) Health Care Mgmt. Conference Rancho Mirage, CA
15-19	Investment Strategies & Portfolio Management Wharton School, University of Pennsylvania
May, 2024	
3	CALAPRS (California Association of Public Retirement Systems) Round Table – Trustees Virtual
6-7	IFEBP (International Foundation of Employment Benefit Plans) Washington Legislative Update Washington D.C.
7-10	SACRS Spring Conference Santa Barbara, CA
18-19	NCPERS (National Conference on Public Employee Retirement Systems) Trustee Educational Seminar (TEDS) Seattle, WA
18-19	NCPERS (National Conference on Public Employee Retirement Systems) Accredited Fiduciary (NAF) Program Seattle, WA
19-22	NCPERS (National Conference on Public Employee Retirement Systems) Annual Conference & Exhibition (ACE) Seattle, WA
29-30	thINc360 – The Healthcare Innovation Congress (formerly World Healthcare Congress) Washington D.C.
June, 2024	
10-12	National Association of Securities Professionals (NASP) Annual Financial Services Conference Atlanta, GA
11-13	AHIP (America’s Health Insurance Plans) 2024 Las Vegas, NV
17-19	NCPERS (National Conference on Public Employee Retirement Systems) Chief Officers Summit Nashville, TN
21	CALAPRS (California Association of Public Retirement Systems) Round Table – Benefits Northern California - TBD

Date	Conference
June, 2024 24-26	SuperReturn Emerging Markets Event/Private Equity Conference Amsterdam, Netherlands
July, 2024 10-12	Pacific Pension Institute (PPI) Summer Roundtable Amsterdam, Netherlands
15-17	ICGN 2024 Annual Conference London, England
August, 2024 18-20	NCPERS (National Conference on Public Employee Retirement Systems) Public Pension Funding Forum Boston, MA
26-29	CALAPRS (California Association of Public Retirement Systems) Principles of Pension Governance for Trustees Location TBD
September, 2024 9-11	Council of Institutional Investors (CII) Fall Conference Brooklyn, NY
24-26	NCPERS (National Conference on Public Employee Retirement Systems) Public Pension HR Summit Denver, CO
October, 2024 6-9	National Association of Corporate Directors (NACD) Directors Summit 2024 Gaylord National Harbor, Washington D.C.
11	CALAPRS (California Association of Public Retirement Systems) Round Table – Trustees Northern California - TBD
14-18	Investment Strategies & Portfolio Management Wharton School, University of Pennsylvania
23-25	PPI Asia Pacific Roundtable Hong Kong
23-25	PREA (Pension Real Estate Association) Annual Institutional Investor Conference Washington D.C.
23-25	Pacific Pension Institute (PPI) Asia Pacific Roundtable Hong Kong

Date	Conference
October, 2024	
26-27	NCPERS (National Conference on Public Employee Retirement Systems) Program for Advanced Trustee Studies (PATS) Palm Springs, CA
26-27	NCPERS (National Conference on Public Employee Retirement Systems) Accredited Fiduciary (NAF) Program Palm Springs, CA
27-30	NCPERS (National Conference on Public Employee Retirement Systems) Public Safety Conference Palm Springs, CA
November, 2024	
10-13	IFEBP (International Foundation of Employment Benefit Plans) Annual Employee Benefits Conference San Diego, CA
12-14	Institutional Limited Partners Association (ILPA) General Partner Summit New York, NY
12-15	SACRS Fall Conference Monterey, CA
December, 2024	
6	CALAPRS (California Association of Public Retirement Systems) Round Table – Benefits Virtual



Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**



March 26, 2024

TO: Trustees, Board of Retirement

FOR: Board of Retirement Meeting on April 3, 2024

SUBJECT: Ratification of Service Retirement and Survivor Benefit Application Approvals

The attached report reflects service retirements and survivor benefit applications received as of the date of this memo, along with any retirement rescissions and/or changes approved at last month's Board meeting. Any retirement rescissions or changes received after the date of this memo up to the date of the Board's approval, will be reflected in next month's report.

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ONORATO AGRUSA	SHERIFF Dept.#SH	03-31-2024	34 YRS ½ MOS
ANTHONY P. AKINS	LA COUNTY FIRE DEPT Dept.#FR	03-30-2024	23 YRS 05 MOS
DUANE D. ALLEN JR	SHERIFF Dept.#SH	03-30-2024	35 YRS 06½ MOS
LARRY A. ALVA	SHERIFF Dept.#SH	03-30-2024	32 YRS 06 MOS
EDWIN E. ALVAREZ	SHERIFF Dept.#SH	02-26-2024	38 YRS 09 MOS
ANTONIO M. AVILA JR	DISTRICT ATTORNEY Dept.#DA	03-29-2024	17 YRS 05 MOS
JANICE M. BANKS	SHERIFF Dept.#SH	03-30-2024	25 YRS 08 MOS
SEAN D. BANKS	SHERIFF Dept.#SH	03-30-2024	33 YRS 00 MOS
CHRISTOPHER J. BENOIT	LA COUNTY FIRE DEPT Dept.#FR	03-30-2024	33 YRS 07 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROBERT M. BERGENTY	L A COUNTY FIRE DEPT Dept.#FR	03-15-2024	34 YRS 09½ MOS
ARTHUR I. BIAG	SHERIFF Dept.#SH	05-27-2024	34 YRS 06 MOS
KURT P. BOHNEY	L A COUNTY FIRE DEPT Dept.#FR	03-28-2024	20 YRS 05 MOS
IMELDA BOTTOMLEY	SHERIFF Dept.#SH	05-15-2024	22 YRS 01 MOS
RICHARD R. CANFIELD	SHERIFF Dept.#SH	03-30-2024	28 YRS 02 MOS
DEXTER CARTER	SHERIFF Dept.#SH	03-30-2024	31 YRS 01 MOS
VICENTE CASAS	L A COUNTY FIRE DEPT Dept.#FR	03-31-2024	29 YRS 05½ MOS
GILBERT CONTRERAS JR	L A COUNTY FIRE DEPT Dept.#FR	03-29-2024	34 YRS 10 MOS
RICHARD A. CURRY	SHERIFF Dept.#SH	03-30-2024	34 YRS 02 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CHRISTOPHER T. ENRIQUEZ	L A COUNTY FIRE DEPT Dept.#FR	03-31-2024	27 YRS 09½ MOS
JOHN FINNEY	SHERIFF Dept.#SH	03-30-2024	28 YRS 10 MOS
GUILLERMO J. GONZALEZ	L A COUNTY FIRE DEPT Dept.#FR	03-29-2024	25 YRS 09 MOS
JAMES R. GROFF	L A COUNTY FIRE DEPT Dept.#FR	02-21-2024	24 YRS 06½ MOS
JIM HOFFERBER	SHERIFF Dept.#SH	03-31-2024	31 YRS ½ MOS
BYRON G. HOLLOWAY	SHERIFF Dept.#SH	03-27-2024	25 YRS 07 MOS
BRIAN D. JONES	L A COUNTY FIRE DEPT Dept.#FR	03-31-2024	34 YRS 05½ MOS
PAUL H. KASHANI	SHERIFF Dept.#SH	03-30-2024	23 YRS 10 MOS
JAMES G. LANZANO	SHERIFF Dept.#SH	04-30-2024	23 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MATHEW R. LEVESQUE	LA COUNTY FIRE DEPT Dept.#FR	03-30-2024	27 YRS 05 MOS
MICHAEL E. LEWIS	LA COUNTY FIRE DEPT Dept.#FR	03-31-2024	29 YRS 09 MOS
CARLOS E. LOPEZ	SHERIFF Dept.#SH	03-30-2024	28 YRS 05 MOS
DALE C. LOVVIK	SHERIFF Dept.#SH	03-28-2024	32 YRS 10 MOS
BRIAN P. MCMAHON	SHERIFF Dept.#SH	03-31-2024	25 YRS 09½ MOS
CLARENCE MCZEAL	SHERIFF Dept.#SH	03-31-2024	27 YRS 09½ MOS
ROBERT R. MENDOZA	LA COUNTY FIRE DEPT Dept.#FR	03-29-2024	33 YRS 07 MOS
DEREK D. MEYER	LA COUNTY FIRE DEPT Dept.#FR	03-29-2024	03 YRS 05 MOS
LYNETTE R. O'BRIEN	SHERIFF Dept.#SH	03-30-2024	28 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
YOLANDA M. PALOMO	SHERIFF Dept.#SH	03-30-2024	33 YRS 02½ MOS
PAULINE P. PANIS	SHERIFF Dept.#SH	03-30-2024	33 YRS 10 MOS
VINCE A. PENA	L A COUNTY FIRE DEPT Dept.#FR	03-31-2024	42 YRS 07½ MOS
RICHARD T. PHARES	SHERIFF Dept.#SH	03-30-2024	36 YRS 07 MOS
DOUGLAS A. PITTS	L A COUNTY FIRE DEPT Dept.#FR	03-31-2024	23 YRS 08½ MOS
JOHN A. RIVERA	L A COUNTY FIRE DEPT Dept.#FR	03-29-2024	25 YRS 00 MOS
PAUL R. SCHNEIDER	L A COUNTY FIRE DEPT Dept.#FR	03-31-2024	35 YRS 03½ MOS
JOHN M. SMOLIN	L A COUNTY FIRE DEPT Dept.#FR	03-31-2024	29 YRS 08½ MOS
RICHARD L. SPEED JR	SHERIFF Dept.#SH	03-30-2024	26 YRS 11 MOS

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BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JAMES P. SULLIVAN	L A COUNTY FIRE DEPT Dept.#FR	03-29-2024	36 YRS 08 MOS
ROBERT S. TEIXEIRA	L A COUNTY FIRE DEPT Dept.#FR	03-25-2024	28 YRS 04 MOS
JEFFREY R. WILLIAMS	SHERIFF Dept.#SH	02-22-2024	27 YRS 02½ MOS
JOSEPH C. WILLIAMS	L A COUNTY FIRE DEPT Dept.#FR	03-30-2024	29 YRS 03 MOS
JEFFREY B. WILSON	L A COUNTY FIRE DEPT Dept.#FR	03-30-2024	25 YRS 00 MOS
JOHN E. YOUNG JR	SHERIFF Dept.#SH	03-29-2024	25 YRS 03 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MATTEO ADRAGNA	PROBATION DEPARTMENT Dept.#PB	05-31-2024	15 YRS 03½ MOS
MARTIN S. ALLEN	SHERIFF Dept.#SH	03-30-2024	35 YRS 01 MOS
OSCAR B. ALVAREZ	MENTAL HEALTH Dept.#MH	03-29-2024	26 YRS 06 MOS
CHRISTOPHER M. ANDERSON	CHIEF EXECUTIVE OFFICE Dept.#AO	03-28-2024	33 YRS 10 MOS
MARCIA ARMIJO	SHERIFF Dept.#SH	04-27-2024	34 YRS 09 MOS
MICHAEL N. ARTIS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-29-2024	30 YRS 05 MOS
ALEJANDRA AVILA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-31-2024	29 YRS 04½ MOS
BETTY G. AYALA	PROBATION DEPARTMENT Dept.#PB	03-30-2024	32 YRS 11 MOS
RUBEN BAEZA JR	COUNTY COUNSEL Dept.#CC	03-30-2024	28 YRS 10 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JOLIET BAGHDASARIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-26-2024	17 YRS 01 MOS
DESIREE M. BAILEY	PROBATION DEPARTMENT Dept.#PB	05-31-2024	38 YRS 06½ MOS
ENRIQUE BALDENEBRO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2024	39 YRS 05 MOS
ANGELICA M. BALLESTEROS	SHERIFF Dept.#SH	02-22-2024	34 YRS 02½ MOS
PATRICIA L. BECKER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-28-2024	25 YRS 11 MOS
SHERRI M. BELL-HARRIS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2024	36 YRS 11 MOS
RENEE L. BERRY	ASSESSOR Dept.#AS	03-29-2024	33 YRS 02 MOS
DEBRA A. BERZON-LEITE	MENTAL HEALTH Dept.#MH	03-30-2024	20 YRS 10 MOS
RAMESH BHAKTA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-29-2024	34 YRS 09 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SHOHEIL F. BHANJI	CORRECTIONAL HEALTH Dept.#HC	03-31-2024	30 YRS 07½ MOS
VIRGINIA A. BLACANO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	23 YRS ½ MOS
LEOPOLDO O. BLACANO JR.	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2024	17 YRS 11½ MOS
CORD A. BLAKE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2024	40 YRS 01 MOS
WILLIAM C. BOWERS	CORRECTIONAL HEALTH Dept.#HC	03-31-2024	29 YRS 10½ MOS
EVA BRIGGS	MENTAL HEALTH Dept.#MH	05-30-2024	26 YRS 06 MOS
BEATRICE D. BRIZUELA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	33 YRS 02 MOS
SHARON BROWN	PUBLIC WORKS Dept.#PW	03-30-2024	30 YRS 01 MOS
GERALD L. BRYANT SR	INTERNAL SERVICES Dept.#IS	03-30-2024	22 YRS 10 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DENNIS CANCHOLA	L A COUNTY FIRE DEPT Dept.#FR	03-30-2024	33 YRS 01 MOS
GUSTAVO R. CANTOS	L A COUNTY FIRE DEPT Dept.#FR	05-31-2024	27 YRS 03½ MOS
RACHEL C. CAPIRAL	CORRECTIONAL HEALTH Dept.#HC	05-31-2024	17 YRS 02½ MOS
ROSA I. CARDENAS	SHERIFF Dept.#SH	04-30-2024	41 YRS 01 MOS
DON E. CARTER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	34 YRS 02 MOS
SHARALINE M. CARTER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	45 YRS 00 MOS
TORY F. CARTER	PROBATION DEPARTMENT Dept.#PB	03-01-2024	22 YRS 01 MOS
MELODY A. CASILLAS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2024	41 YRS 01 MOS
FRANCISCA CASTRO RODRI	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2024	10 YRS 01 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LUPE T. CHACON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2024	26 YRS 10 MOS
NOREEN S. CHAN	PARKS AND RECREATION Dept.#PK	03-31-2024	19 YRS 04½ MOS
PAO-HUA CHAO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	19 YRS 00 MOS
SUSAN D. CHASWORTH	DISTRICT ATTORNEY Dept.#DA	03-30-2024	31 YRS 11½ MOS
PRESENTACION L. CHIN CHIU	SHERIFF Dept.#SH	03-29-2024	25 YRS 05 MOS
BETTY M. CHONG	MENTAL HEALTH Dept.#MH	04-27-2024	25 YRS 00 MOS
INJA CHUN	CHILD SUPPORT SERVICES Dept.#CD	03-30-2024	40 YRS 03 MOS
SARAH K. CHUNG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2024	20 YRS 00 MOS
JULIE M. CLARK	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	13 YRS 09½ MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PATRICIA A. COLE	PUBLIC LIBRARY Dept.#PL	04-30-2024	35 YRS 09 MOS
LITA L. COOK	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	28 YRS 07 MOS
KIPP D. COOMES	PROBATION DEPARTMENT Dept.#PB	05-10-2024	29 YRS 01½ MOS
OLIVIA CORDOBA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2024	48 YRS 09 MOS
KATHRYN L. CRAIN	MENTAL HEALTH Dept.#MH	03-29-2024	24 YRS 07 MOS
BRENDA J. CRAWFORD	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	49 YRS 06 MOS
MARIA N. CRULEY	PUBLIC HEALTH PROGRAM Dept.#PH	03-30-2024	29 YRS 09½ MOS
ROSILAND CRUMP	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-29-2024	44 YRS 09 MOS
FROILAN R. CRUZ	PUBLIC WORKS Dept.#PW	03-29-2024	29 YRS 01 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

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GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SONIA N. DAVI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	12 YRS 01½ MOS
CONCEPCION M. DE LA CERDA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-30-2024	34 YRS 04 MOS
ESTER S. DEL ROSARIO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	25 YRS 03 MOS
LIGIA DEL VALLE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	45 YRS 09 MOS
LINETTE DEMIRJIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-16-2024	25 YRS 10 MOS
MARILYN A. DENNIS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	05-01-2024	20 YRS 00 MOS
ANTHONY M. DESHIELDS	PROBATION DEPARTMENT Dept.#PB	03-31-2024	29 YRS 05½ MOS
CHRISTOPHE R. DEYAN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-30-2024	23 YRS 01½ MOS
AMY N. DIAMOND	MENTAL HEALTH Dept.#MH	03-12-2024	10 YRS 10 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MIKE M. DICESARE	SHERIFF Dept.#SH	03-30-2024	13 YRS 02½ MOS
THERESA DOMPREH-MENS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-27-2024	11 YRS 10½ MOS
MICHAEL J. DRAYER	PROBATION DEPARTMENT Dept.#PB	03-29-2024	25 YRS 05 MOS
CAROLINA DUARTE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	05-01-2024	34 YRS 10 MOS
ANGEL D. DUCTOC	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-20-2024	37 YRS 08½ MOS
DEBRA DURAN	AMBULATORY CARE NETWORK Dept.#HN	04-30-2024	32 YRS ½ MOS
KOHAR EBOKIAN	CHILDREN & FAMILY SERVICES Dept.#CH	04-13-2024	16 YRS 08 MOS
SAMUEL EHIMUAN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2024	32 YRS 02½ MOS
ROSEMARIE ESCARCEGA	PUBLIC HEALTH PROGRAM Dept.#PH	03-30-2024	34 YRS 05 MOS

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GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
AMY EUBANKS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	05-31-2024	33 YRS ½ MOS
THOMAS N. FAUST	PROBATION DEPARTMENT Dept.#PB	02-16-2024	02 YRS 08½ MOS
MARIA LUISA G. FELIX	CORRECTIONAL HEALTH Dept.#HC	03-30-2024	17 YRS 08 MOS
ELIAS FERRER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-19-2024	29 YRS 01 MOS
JEFFREY J. FERRO	PUBLIC DEFENDER Dept.#PD	03-30-2024	23 YRS 07 MOS
KENNETH W. FOREMAN SR	BEACHES & HARBORS Dept.#BH	05-31-2024	43 YRS 08½ MOS
MARILIE M. FUMAR	CORRECTIONAL HEALTH Dept.#HC	03-30-2024	17 YRS 08½ MOS
ELVIRA GALLEGOS	AMBULATORY CARE NETWORK Dept.#HN	03-30-2024	21 YRS 11 MOS
DANNY L. GAMMAGE	CHILD SUPPORT SERVICES Dept.#CD	02-23-2024	16 YRS 00 MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ELIZABETH T. GARCIA	CHIEF EXECUTIVE OFFICE Dept.#AO	03-30-2024	40 YRS 09½ MOS
FLOYD L. GARY	PROBATION DEPARTMENT Dept.#PB	04-27-2024	43 YRS 08 MOS
JAIME GARZA	SHERIFF Dept.#SH	03-04-2024	29 YRS 02½ MOS
MIRIAN P. GEORGE	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-30-2024	36 YRS 08 MOS
EDWARD C. GERLITS	PUBLIC WORKS Dept.#PW	03-29-2024	36 YRS 10 MOS
JOHN DALE D. GERNADE	AUDITOR - CONTROLLER Dept.#AU	05-31-2024	30 YRS 01½ MOS
JACQUELINE GHARIBIAN	CHILD SUPPORT SERVICES Dept.#CD	04-27-2024	26 YRS 02 MOS
KARMEN GHAZARIAN	DISTRICT ATTORNEY Dept.#DA	03-16-2024	17 YRS ½ MOS
LEONARD M. GIMA	DISTRICT ATTORNEY Dept.#DA	03-30-2024	15 YRS 05½ MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PAUL D. GOLUB	PUBLIC DEFENDER Dept.#PD	03-30-2024	38 YRS 02 MOS
SANDRA GOMEZ	PUBLIC HEALTH PROGRAM Dept.#PH	03-18-2024	25 YRS 03 MOS
ALEX A. GOMEZ	PROBATION DEPARTMENT Dept.#PB	05-31-2024	37 YRS 07 MOS
ARTURO GONZALEZ	PROBATION DEPARTMENT Dept.#PB	03-01-2024	38 YRS 08 MOS
SANDRA S. GOYAL	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2024	22 YRS 04 MOS
MARTIN GRANADO	PROBATION DEPARTMENT Dept.#PB	04-22-2024	34 YRS 08 MOS
CHERYL Y. GREER	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	05-31-2024	38 YRS 04½ MOS
DUANE GROVE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	05-31-2024	35 YRS 01½ MOS
MARY ROBIN GUAY	PUBLIC LIBRARY Dept.#PL	03-31-2024	20 YRS 02½ MOS

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BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GIRLIE E. GUEVARRA	AUDITOR - CONTROLLER Dept.#AU	03-30-2024	30 YRS 06 MOS
ROSEMARY GURROLA	PUBLIC LIBRARY Dept.#PL	03-31-2024	38 YRS 01½ MOS
LYDIA C. GUTIERREZ	AMBULATORY CARE NETWORK Dept.#HN	03-30-2024	27 YRS 00 MOS
MARGIE GUTIERREZ	SHERIFF Dept.#SH	03-15-2024	41 YRS 09½ MOS
ROSA GUTIERREZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	33 YRS 03 MOS
SYLVIA J. HALL-DAVIS	CHILDREN & FAMILY SERVICES Dept.#CH	04-30-2024	43 YRS 04 MOS
COLLETTE G. HAMILTON	PROBATION DEPARTMENT Dept.#PB	03-30-2024	20 YRS ½ MOS
MINA HARANDY	PUBLIC HEALTH PROGRAM Dept.#PH	03-30-2024	34 YRS 01 MOS
SHAUNTEZ HARDY	AMBULATORY CARE NETWORK Dept.#HN	04-29-2024	20 YRS 04 MOS

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GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
WELETA HAREGEWEIN	REGIONAL PLANNING Dept.#RP	03-29-2024	25 YRS 11½ MOS
MICHAEL B. HARRIS	HEALTH SERVICES ADMINISTRATION Dept.#HS	05-31-2024	45 YRS 06 MOS
WALTER E. HAWKINS	INTERNAL SERVICES Dept.#IS	03-30-2024	31 YRS 00 MOS
SHIFTEH F. HEDAYATZADEH	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-30-2024	20 YRS 06 MOS
SOCORRO HERRERA	AMBULATORY CARE NETWORK Dept.#HN	03-30-2024	18 YRS 06 MOS
TONY C. HO	AUDITOR - CONTROLLER Dept.#AU	04-30-2024	23 YRS 00 MOS
DUONG V. HOANG	INTERNAL SERVICES Dept.#IS	03-30-2024	22 YRS 04½ MOS
DENISE A. HOBBY	CHILDREN & FAMILY SERVICES Dept.#CH	05-31-2024	25 YRS ½ MOS
ROBERT G. HORAN	SHERIFF Dept.#SH	03-25-2024	27 YRS 04 MOS

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BENEFIT APPROVAL LIST

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<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SARA HOUGH	MENTAL HEALTH Dept.#MH	03-30-2024	29 YRS ½ MOS
HAILINE HU	CHILD SUPPORT SERVICES Dept.#CD	03-30-2024	29 YRS 03 MOS
YAPING HU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	23 YRS 03 MOS
BRENDA S. HUBBARD	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-04-2024	24 YRS 03 MOS
MARIA Y. HURTADO	PUBLIC HEALTH PROGRAM Dept.#PH	03-29-2024	34 YRS 04 MOS
ALFRED L. JACKSON	L A COUNTY FIRE DEPT Dept.#FR	03-31-2024	32 YRS 05½ MOS
SHARON D. JACKSON	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-31-2024	44 YRS 07½ MOS
MARIE LEE KA JEAN	CORRECTIONAL HEALTH Dept.#HC	03-30-2024	18 YRS 05 MOS
SHIRLEY A. JEFFERSON	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	04-15-2024	20 YRS 02½ MOS

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<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DEIRDRE R. JENKINS	PUBLIC WORKS Dept.#PW	05-31-2024	25 YRS 01½ MOS
YU JIN	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2024	17 YRS 03 MOS
BLOSSIE L. JOHNSON	SHERIFF Dept.#SH	02-24-2024	23 YRS 05 MOS
LAURIE L. JOHNSON	AGING DEPARTMENT Dept.#AG	03-29-2024	28 YRS 06½ MOS
MARIA E. JONES	CHILDREN & FAMILY SERVICES Dept.#CH	05-31-2024	27 YRS ½ MOS
GREGORY JONES	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-03-2024	16 YRS 04½ MOS
MARTIN P. JUAREZ	PROBATION DEPARTMENT Dept.#PB	05-31-2024	37 YRS 08½ MOS
NIPA KAEWIMONRAT	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-28-2024	29 YRS 00 MOS
GEORGE KHOURY	PUBLIC WORKS Dept.#PW	03-29-2024	34 YRS 02 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MELISSA C. KIM	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-25-2024	29 YRS 03 MOS
JOEL C. KOURY	PUBLIC DEFENDER Dept.#PD	03-08-2024	18 YRS 01½ MOS
HSI-MEI KU	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	37 YRS 03 MOS
RAVIN R. KUMAR	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	05-31-2024	21 YRS 09 MOS
STANLEY G. LAM	PROBATION DEPARTMENT Dept.#PB	03-31-2024	25 YRS 02½ MOS
MIRIAM T. LAZOS	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2024	39 YRS 02½ MOS
GRACE H. LEE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2024	30 YRS 06 MOS
ANDY P. LEE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-30-2024	25 YRS 05 MOS
JEANETTE LEE	CHILDREN & FAMILY SERVICES Dept.#CH	03-27-2024	31 YRS 08 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MOON S. LEW	CHIEF EXECUTIVE OFFICE Dept.#AO	03-30-2024	29 YRS 04½ MOS
ALICIA B. LEWIS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2024	17 YRS 10 MOS
SUSAN LEYKIS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-10-2024	07 YRS 04 MOS
MARIA LOK	SHERIFF Dept.#SH	03-31-2024	15 YRS 02½ MOS
THERESA B. LOLLIS	INTERNAL SERVICES Dept.#IS	05-31-2024	30 YRS 08 MOS
RANDALL R. LOPEZ	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-25-2024	38 YRS 04½ MOS
ANGELA R. LOPEZ	PUBLIC HEALTH PROGRAM Dept.#PH	03-29-2024	25 YRS 06 MOS
MARTHA E. LOPEZ	PUBLIC HEALTH PROGRAM Dept.#PH	04-30-2024	43 YRS 04 MOS
ROSALINDA T. LOZANO	SHERIFF Dept.#SH	03-30-2024	44 YRS 03½ MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RICARDO LOZANO	SHERIFF Dept.#SH	03-30-2024	34 YRS 00 MOS
HERMELINDA LUNA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	30 YRS 00 MOS
HOAN K. LUONG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-01-2024	28 YRS 11 MOS
HUNG Q. LUONG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-27-2024	17 YRS 00 MOS
DANNY C. LY	HEALTH SERVICES ADMINISTRATION Dept.#HS	04-27-2024	36 YRS 03½ MOS
MYANH T. LY	INTERNAL SERVICES Dept.#IS	03-29-2024	32 YRS 07 MOS
JACQUELYN S. MADISON	CHILDREN & FAMILY SERVICES Dept.#CH	03-29-2024	37 YRS 02 MOS
CRISTINA L. MAHRER	SHERIFF Dept.#SH	05-31-2024	34 YRS 07½ MOS
TALENE M. MANAHAN	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-29-2024	33 YRS 02 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LUVIA A. MARTINEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-10-2024	34 YRS 02½ MOS
REBECCA MARTINEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	26 YRS 01 MOS
AMELITA G. MASCARINAS	AUDITOR - CONTROLLER Dept.#AU	03-30-2024	20 YRS 04½ MOS
STEPHANIE S. MAXBERRY	ECONOMIC AND WORKFORCE DEV Dept.#EW	03-27-2024	46 YRS 01 MOS
JONATHAN B. MCALLISTER	PROBATION DEPARTMENT Dept.#PB	05-16-2024	31 YRS 02 MOS
JENNIFER L. MCGREGOR	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-30-2024	17 YRS 05 MOS
ALICIA F. MCINTOSH	SHERIFF Dept.#SH	03-29-2024	26 YRS 09 MOS
MARICELA T. MELCHOR	PROBATION DEPARTMENT Dept.#PB	03-30-2024	25 YRS 01½ MOS
ODILIA C. MENDEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-29-2024	21 YRS 08 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CARMEN MENOR	CHILDREN & FAMILY SERVICES Dept.#CH	03-30-2024	39 YRS 02½ MOS
MARY L. MERA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-30-2024	38 YRS 00 MOS
ROGELIO D. MESINA JR.	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	02-20-2024	10 YRS 01 MOS
LAURA L. MEZA	CHILDREN & FAMILY SERVICES Dept.#CH	04-27-2024	34 YRS 07 MOS
JEDEDIAH S. MINOFF	PROBATION DEPARTMENT Dept.#PB	05-01-2024	22 YRS 00 MOS
TANYA Y. MONTGOMERY	ASSESSOR Dept.#AS	03-30-2024	28 YRS 07 MOS
SHARON M. MONTGOMERY	MENTAL HEALTH Dept.#MH	03-30-2024	38 YRS ½ MOS
TAMMY L. MOOTS	CHILDREN & FAMILY SERVICES Dept.#CH	04-26-2024	34 YRS 08 MOS
RITA MORADIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-16-2024	16 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GERARDO MORALES	SHERIFF Dept.#SH	06-01-2024	16 YRS 08 MOS
MARTHA N. MORGA	CHILDREN & FAMILY SERVICES Dept.#CH	03-30-2024	33 YRS 04½ MOS
CHARLENE L. MORLEY	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-29-2024	25 YRS 09½ MOS
JERRY L. MORRIS	TREASURER AND TAX COLLECTOR Dept.#TT	04-30-2024	15 YRS 05 MOS
SHARON L. MORRIS	CHILDREN & FAMILY SERVICES Dept.#CH	03-30-2024	35 YRS 11½ MOS
GLORIA M. MUNIZ CALDWE	CHILDREN & FAMILY SERVICES Dept.#CH	03-30-2024	24 YRS 01½ MOS
ESTELA MURGA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-29-2024	30 YRS 01 MOS
MARIA D. NAVAR	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	04-27-2024	30 YRS 00 MOS
PAULINE NEWMAN	CHILD SUPPORT SERVICES Dept.#CD	03-06-2024	28 YRS 09½ MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JIN X. NG	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-30-2024	35 YRS 00 MOS
TIFFANY T. NGO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	25 YRS 00 MOS
JESSIE M. NOLLER	SHERIFF Dept.#SH	03-30-2024	22 YRS 05 MOS
MICHAEL G. NORRIS	INTERNAL SERVICES Dept.#IS	03-29-2024	38 YRS 11 MOS
MEHRDAD M. NOSRAT	PUBLIC WORKS Dept.#PW	03-30-2024	40 YRS 07½ MOS
DIANA NOWAKOWSKI	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-31-2024	32 YRS 07½ MOS
EMEKA A. OBODO	PROBATION DEPARTMENT Dept.#PB	03-30-2024	25 YRS 06 MOS
LORI OKAMURA	PUBLIC HEALTH PROGRAM Dept.#PH	02-22-2024	35 YRS 10½ MOS
MARTHA E. OLIVARES	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2024	24 YRS 05 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
KAMRAN OMIDSALAR	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2024	34 YRS 04 MOS
RONALD OROZ	CHILDREN & FAMILY SERVICES Dept.#CH	03-30-2024	39 YRS 04½ MOS
IVONNE M. PADRO JUSINO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-30-2024	07 YRS 04½ MOS
DORA G. PALACIO	MENTAL HEALTH Dept.#MH	03-29-2024	13 YRS 02 MOS
CLAUDIA A. PALOS-VALIEN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-03-2024	23 YRS ½ MOS
AIDA L. PASCUAL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-22-2024	38 YRS 08 MOS
PATRICIA D. PEGRAM	AMBULATORY CARE NETWORK Dept.#HN	01-30-2024	08 YRS 04 MOS
ISABEL PEREZ	SUPERIOR COURT/COUNTY CLERK Dept.#SC	05-03-2024	35 YRS 05½ MOS
WINSTON PEREZ	LA COUNTY FIRE DEPT Dept.#FR	05-31-2024	38 YRS 05½ MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
STEVEN PEREZ	SHERIFF Dept.#SH	03-30-2024	25 YRS 02 MOS
SHOGIK PETROSYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-31-2024	30 YRS 02½ MOS
CHRISTINA E. PHELPS	PUBLIC HEALTH PROGRAM Dept.#PH	03-30-2024	28 YRS 04 MOS
ROSA M. PINEDO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	04-30-2024	26 YRS 03 MOS
ELEANOR U. PINTAL	CORRECTIONAL HEALTH Dept.#HC	03-31-2024	19 YRS 04½ MOS
DAVID M. PONCE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2024	32 YRS ½ MOS
JESUSA PORTILLO	JUVENILE COURT HEALTH SERVICES Dept.#HJ	03-30-2024	24 YRS 00 MOS
FREDERICK J. PRICE	MENTAL HEALTH Dept.#MH	05-31-2024	30 YRS 10½ MOS
MARIA ELISA F. PUENTEBELLA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-27-2024	15 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
FRANCES QIU	PARKS AND RECREATION Dept.#PK	03-29-2024	35 YRS 03 MOS
JOSE E. RAMIREZ	PUBLIC WORKS Dept.#PW	03-29-2024	33 YRS 03 MOS
JOSEPH A. RAPPARD	CORRECTIONAL HEALTH Dept.#HC	02-22-2024	32 YRS 04 MOS
JEFF J. REDFORD	BOARD OF SUPERVISORS Dept.#BS	03-30-2024	35 YRS 05 MOS
LESLIE G. REED-HARRIS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2024	42 YRS 02 MOS
PHYLLIS A. RENSWICK	SHERIFF Dept.#SH	05-31-2024	18 YRS 04½ MOS
NORMA T. RESPICIO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-27-2024	26 YRS 03 MOS
MARIA R. REYNA	SHERIFF Dept.#SH	03-30-2024	16 YRS 01½ MOS
MAGDY E. RIAD	MENTAL HEALTH Dept.#MH	04-30-2024	15 YRS 07 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BRIDGETT ROBERTS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2024	29 YRS 01 MOS
BRIAN C. ROBINSON	PROBATION DEPARTMENT Dept.#PB	03-30-2024	46 YRS 02 MOS
MARGIE M. ROBINSON	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-30-2024	38 YRS 10 MOS
MYRNA ROBINSON	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-05-2024	29 YRS 07½ MOS
GUADALUPE ROBLES	MENTAL HEALTH Dept.#MH	03-16-2024	36 YRS 04 MOS
LARRY D. RODARTE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-26-2024	34 YRS 09 MOS
ALICIA L. RODRIGUEZ	MENTAL HEALTH Dept.#MH	03-30-2024	15 YRS 06 MOS
ANITA RODRIGUEZ	PROBATION DEPARTMENT Dept.#PB	03-16-2024	50 YRS 09 MOS
ALEX RODRIGUEZ	PROBATION DEPARTMENT Dept.#PB	05-31-2024	37 YRS 07½ MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MA ROSUETA RODRIGUEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	06-05-2024	25 YRS ½ MOS
MARTIN A. RODRIGUEZ	SHERIFF Dept.#SH	05-31-2024	33 YRS 04½ MOS
JOSEPHINE P. ROWE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2024	11 YRS 01 MOS
CAROL RUIZ	PUBLIC HEALTH PROGRAM Dept.#PH	05-31-2024	23 YRS 01½ MOS
MARIA E. RUIZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2024	23 YRS 07 MOS
MARIA D. SANCHEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-29-2024	43 YRS 02½ MOS
THEOPHILUS SANDERS	PROBATION DEPARTMENT Dept.#PB	05-30-2024	39 YRS 09½ MOS
GREGORY G. SARPY	PUBLIC WORKS Dept.#PW	03-29-2024	36 YRS 02 MOS
TRACEY L. SAUNDERS	AMBULATORY CARE NETWORK Dept.#HN	05-30-2024	30 YRS 01½ MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROBERTO L. SEPULVEDA	PROBATION DEPARTMENT Dept.#PB	03-30-2024	35 YRS 11 MOS
TRENEE L. SIMS	PROBATION DEPARTMENT Dept.#PB	04-30-2024	27 YRS 09½ MOS
KATHLEEN A. SINCLAIR	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2024	20 YRS 05½ MOS
SAMIRA SINDAHA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-29-2024	25 YRS 00 MOS
TAMERA S. SMITH	CHILDREN & FAMILY SERVICES Dept.#CH	04-15-2024	26 YRS 02½ MOS
MOONYENE F. SMITH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-29-2024	33 YRS 04 MOS
SHARI SMITH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	46 YRS 05 MOS
MARYBETH SMITH-WALKER	PROBATION DEPARTMENT Dept.#PB	03-30-2024	42 YRS 06 MOS
SOPHIA A. SOLIS	SHERIFF Dept.#SH	04-30-2024	44 YRS 00 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SUNNY K. SONG	CORRECTIONAL HEALTH Dept.#HC	05-31-2024	23 YRS 01½ MOS
LARRY S. SOTRO	LA COUNTY FIRE DEPT Dept.#FR	03-29-2024	30 YRS ½ MOS
JOSEPH R. STEFFANY	PROBATION DEPARTMENT Dept.#PB	02-08-2024	27 YRS ½ MOS
LEANNE M. STEINHAUS	PROBATION DEPARTMENT Dept.#PB	03-30-2024	38 YRS 10 MOS
ARMINEH STEPHAN	AGING DEPARTMENT Dept.#AG	03-30-2024	29 YRS 01 MOS
MICHAEL K. SUZUKI	PUBLIC DEFENDER Dept.#PD	04-30-2024	38 YRS 08 MOS
JONATHAN T. SYDES	CHILDREN & FAMILY SERVICES Dept.#CH	03-30-2024	27 YRS 05 MOS
JIAN TAN	SHERIFF Dept.#SH	03-08-2024	30 YRS 08½ MOS
NADINE Y. TAPIA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-19-2024	23 YRS 02 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
GINA E. TAX	CHILDREN & FAMILY SERVICES Dept.#CH	03-30-2024	16 YRS 04 MOS
WELLINGTON L. TOUCH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	25 YRS 01 MOS
MICHAEL TREDINNICK	MENTAL HEALTH Dept.#MH	04-27-2024	12 YRS 00 MOS
LYNETTE J. TRUESDALE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-11-2024	51 YRS 04½ MOS
ERIC Y. TSENG	PUBLIC WORKS Dept.#PW	03-31-2024	11 YRS 03½ MOS
KENNETH TYNES	PROBATION DEPARTMENT Dept.#PB	05-31-2024	32 YRS 02 MOS
REGINA UMIKORO	PUBLIC HEALTH PROGRAM Dept.#PH	05-31-2024	35 YRS ½ MOS
MELITON VALENZUELA	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	03-29-2024	30 YRS 03 MOS
ANTONIO G. VALERA	PUBLIC HEALTH PROGRAM Dept.#PH	03-29-2024	25 YRS 06 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
RUBEN VARGAS	MENTAL HEALTH Dept.#MH	03-30-2024	31 YRS 10 MOS
GINA F. VASQUEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	25 YRS 01 MOS
RUTH VEGA GARCIA	PROBATION DEPARTMENT Dept.#PB	05-31-2024	18 YRS 01½ MOS
SYLVIA VILLEGAS	INTERNAL SERVICES Dept.#IS	03-28-2024	45 YRS 00 MOS
JORDAN D. VIX	MENTAL HEALTH Dept.#MH	05-11-2024	10 YRS 07 MOS
MICHELLE M. WALKER	CORRECTIONAL HEALTH Dept.#HC	03-30-2024	29 YRS 09 MOS
ALLEANER M. WARD	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2024	50 YRS 04½ MOS
AARON K. WEAVER	PROBATION DEPARTMENT Dept.#PB	03-30-2024	35 YRS 03 MOS
ALAN S. WEISBART	CHILDREN & FAMILY SERVICES Dept.#CH	04-30-2024	40 YRS 03 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
LAURENCE M. WELLS	AMBULATORY CARE NETWORK Dept.#HN	03-30-2024	28 YRS 00 MOS
ROGER WHITEHURST	CHILDREN & FAMILY SERVICES Dept.#CH	03-28-2024	31 YRS 04 MOS
PATRICIA A. WIGE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-01-2024	32 YRS 06 MOS
PAMELA WILSON	AMBULATORY CARE NETWORK Dept.#HN	03-30-2024	35 YRS ½ MOS
MULI M. WU	PROBATION DEPARTMENT Dept.#PB	05-22-2024	25 YRS 03 MOS
LATRICIA YATES	SHERIFF Dept.#SH	03-30-2024	21 YRS 11½ MOS
STELLA YOUSEFIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2024	25 YRS 07 MOS
DEBRA A. ZAMUDIO	CHILDREN & FAMILY SERVICES Dept.#CH	03-21-2024	06 YRS 09 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

SAFETY SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MAGGIE DIAZ	SHERIFF Dept.#SH	08-16-2023	16 YRS 06½ MOS
WIFE of ALEJANDRO DIAZ dec'd on 08-15-2023, Sect. #31781.1			

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
VICTOR S. AGUILAR	MENTAL HEALTH Dept.#MH	10-12-2023	06 YRS 11½ MOS
HUSBAND of LAURA C KAWAR-AGUILA dec'd on 10-11-2023, Sect. #31781.3			
SEBASTIAN ANDERSON HER	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-14-2023	06 YRS 02½ MOS
SON of FLOR D ANDERSON-HER dec'd on 03-13-2023, Sect. #31781.1			
MICHELLE BARYCKI	HEALTH SERVICES ADMINISTRATION Dept.#HS	01-12-2024	21 YRS 10½ MOS
WIFE of ANTHONY V BARYCKI dec'd on 01-11-2024, Sect. #31781.1			
ALBERT GARCIA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	10-11-2019	19 YRS 09 MOS
SPOUSE of AGNES PEREZ dec'd on 10-10-2019, Sect. #31781.3			
LAURO J. LITERTE	CHILDREN & FAMILY SERVICES Dept.#CH	12-28-2023	24 YRS 10 MOS
HUSBAND of ESTER A LITERTE dec'd on 12-27-2023, Sect. #31781.1			
RENEE L. MARTINEZ	PUBLIC HEALTH PROGRAM Dept.#PH	02-01-2024	18 YRS 06 MOS
WIFE of LUIS L MARTINEZ dec'd on 01-31-2024, Sect. #31781.1			

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROY SENERES	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	10-23-2023	13 YRS 02½ MOS
HUSBAND of MARIA C MILLERA dec'd on 10-22-2023, Sect. #31781.1			
MARLIANA SIMBOLON	CHIEF EXECUTIVE OFFICE Dept.#AO	11-29-2023	07 YRS 03 MOS
WIFE of NICHOLAS N SIMBOLON dec'd on 11-28-2023, Sect. #31781.3			
ROBERT TONG	CORRECTIONAL HEALTH Dept.#HC	12-19-2023	17 YRS 06 MOS
HUSBAND of SARAH J TONG dec'd on 12-18-2023, Sect. #31781.3			

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DAMITA R. BOWERS	SHERIFF Dept.#SH	03-20-2024	13 YRS 01½ MOS
ANTHONY P. ELLIS	DISTRICT ATTORNEY Dept.#DA	02-26-2024	00 YRS 07 MOS
DAWNA L. JENNINGS	SHERIFF Dept.#SH	02-22-2024	06 YRS 08 MOS
TRACI L. NAVARRETTE	SHERIFF Dept.#SH	03-06-2024	06 YRS 06 MOS
AARON RODRIGUEZ	SHERIFF Dept.#SH	01-27-2024	01 YRS 10 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CECILIA A. ALVARADO	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	12-31-2023	02 YRS 00 MOS
RHENA M. CARUSILLO	PUBLIC HEALTH PROGRAM Dept.#PH	03-22-2024	07 YRS 10 MOS
JACQUELINE CLEAVER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-01-2024	28 YRS 03 MOS
RONALD P. COLCOL J R	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-07-2024	32 YRS 04½ MOS
SANDRA CONTRERAS-TO	CHILDREN & FAMILY SERVICES Dept.#CH	02-01-2024	43 YRS 07½ MOS
JOY L. CORNELL	MENTAL HEALTH Dept.#MH	04-10-2024	12 YRS 03½ MOS
ROSEMARIE S. DE VERA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-07-2024	13 YRS 09 MOS
ANGELA M. EMBRY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-29-2024	13 YRS 04 MOS
SIROMI FERNANDO-SAN	ALTERNATE PUBLIC DEFENDER Dept.#AD	03-01-2024	05 YRS 04 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SANDRA J. GIVINGS	MENTAL HEALTH Dept.#MH	03-20-2024	09 YRS 00 MOS
VALARIEA Y. HARPS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	02-28-2024	16 YRS 10 MOS
SIDNEY R. HARRIS	HEALTH SERVICES ADMINISTRATION Dept.#HS	02-25-2024	14 YRS 07 MOS
DEREK E. HARRIS	DISTRICT ATTORNEY Dept.#DA	03-15-2024	28 YRS 04 MOS
DON M. JELKS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-01-2024	17 YRS 00 MOS
JENNY H. KIM	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	04-08-2024	15 YRS 01 MOS
FEI LI	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-13-2024	21 YRS 04½ MOS
BEVERLY G. MANNS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-12-2024	13 YRS 10 MOS
KATHY C. MOCH	CHILDREN & FAMILY SERVICES Dept.#CH	03-08-2024	03 YRS 05 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CLARICE R. NASH	PUBLIC WORKS Dept.#PW	04-19-2024	37 YRS 04½ MOS
SCOTT J. NORD	SUPERIOR COURT/COUNTY CLERK Dept.#SC	02-06-2024	08 YRS 08½ MOS
DEBBIE R. PERRY	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-15-2024	32 YRS 06 MOS
SHIRLEY A. PIERCE	DISTRICT ATTORNEY Dept.#DA	11-20-2023	10 YRS 00 MOS
STACEY Y. RICHARDSON	AMBULATORY CARE NETWORK Dept.#HN	02-06-2024	31 YRS 09 MOS
RONALD RODRIGUEZ	PROBATION DEPARTMENT Dept.#PB	03-06-2024	28 YRS 07½ MOS
ROBERTO RODRIGUEZ II	PUBLIC WORKS Dept.#PW	03-31-2024	08 YRS 04 MOS
SUZAN F. SAGINIAN	SHERIFF Dept.#SH	02-28-2024	12 YRS 04 MOS
RENE W. SEIDEL	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2024	07 YRS 02 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
DARLENE STEVENSON	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-06-2024	22 YRS 03 MOS
SANDRA D. SULLIVAN	PROBATION DEPARTMENT Dept.#PB	05-22-2024	27 YRS 06 MOS
LEN TARLTON JR	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-23-2024	20 YRS 09 MOS
FREDRICK B. THOMPSON	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-01-2024	15 YRS 04 MOS
CAROL A. TORRES	L A COUNTY FIRE DEPT Dept.#FR	02-08-2024	27 YRS 05 MOS
JAVIER TREJO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	02-13-2024	15 YRS 00 MOS
LILLIAN VEGA	DISTRICT ATTORNEY Dept.#DA	02-08-2024	05 YRS 09 MOS
LETICIA A. VICTORINO	CHILDREN & FAMILY SERVICES Dept.#CH	03-22-2024	16 YRS 07 MOS
NAOMI L. VIGIL	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-15-2024	18 YRS 04 MOS

BOARD OF RETIREMENT MEETING OF APRIL 3, 2024

BENEFIT APPROVAL LIST

GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
VERNON E. WINGO	PUBLIC WORKS Dept.#PW	02-28-2024	44 YRS ½ MOS
JOAN WRIGHT	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-20-2024	13 YRS 08 MOS
NAPOLEON ZAMORA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	02-01-2024	05 YRS 08 MOS

**BOARD OF RETIREMENT MEETING OF APRIL 3, 2024 RESCISSIONS/CHANGES
FROM BENEFIT APPROVAL LIST
APPROVED ON MARCH 6, 2024**

SAFETY MEMBER APPLICATIONS FOR SERVICE RETIREMENT

NAME	DEPARTMENT	UPDATE
MARTIN MERCADO	SHERIFF	CHANGE OF DATE TO May 29, 2024
JOHN L LINDSAY	SHERIFF	CHANGE OF DATE TO May 15, 2024
JEANE M BARRETT	L A COUNTY FIRE DEPT	CHANGE OF DATE TO March 31, 2024

GENERAL MEMBER APPLICATIONS FOR SERVICE RETIREMENT

NAME	DEPARTMENT	UPDATE
RICHARD BROUWER	COUNTY COUNSEL	RESCISSION OF RETIREMENT
CATHERINE H TRAN	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO January 27, 2024
JANET ARAUJO	PUBLIC DEFENDER	CHANGE OF DATE TO March 9, 2024
TERRI D BOYKINS	MENTAL HEALTH	CHANGE OF DATE TO March 31, 2024
CHRISTOPHER TUMA	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO March 30, 2024
SILVA REYES	COASTAL CLUSTER-HARBOR/UCLA MC	RESCISSION OF RETIREMENT
JEFFERY C SMITH	CHILDREN & FAMILY SERVICES	CHANGE OF DATE TO February 29, 2024
CHARLES MALONE	PROBATION DEPARTMENT	RESCISSION OF RETIREMENT
MAVIS PHUNG	CHILD SUPPORT SERVICES	CHANGE OF DATE TO March 30, 2024
KRISTIN R MASKET	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO March 30, 2024
HONGDAO T NGUYEN	INTERNAL SERVICES	CHANGE OF DATE TO March 29, 2024
ARNOLD B FIELDS	PROBATION DEPARTMENT	CHANGE OF DATE TO March 30, 2024
DAVID T CHENG	DISTRICT ATTORNEY	CHANGE OF DATE TO March 30, 2024
LESLIE R SMITH	COUNTY COUNSEL	RESCISSION OF RETIREMENT

BARBARA J HOLMES	COUNTY COUNSEL	CHANGE OF DATE TO March 30, 2024
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March 21, 2024

TO: Each Trustee
Board of Retirement

FROM: Insurance, Benefits and Legislative Committee
Les Robbins, Chair
Vivian H. Gray, Vice Chair
Shawn R. Kehoe
Ronald Okum
David Ryu, Alternate

FOR: April 3, 2024 Board of Retirement Meeting

SUBJECT: **Assembly Bill 2770—Public Employees’ Retirement**

Author: Committee on Public Employment and Retirement
Sponsor: State Association of County Retirement Systems
Amended: March 11, 2024
Introduced: February 15, 2024
Status: Re-referred to Committee on Public Employment and Retirement. (03/12/2024)

IBLC Recommendation: Support (03/06/2024)
Staff Recommendation: Support

RECOMMENDATION

That the Board of Retirement adopt a “Support” position on Assembly Bill 2770, which would provide clarification and technical updates to the County Employees Retirement Law of 1937.

LEGISLATIVE POLICY STANDARD

The Board of Retirement’s legislative policy standard is to support proposals that provide clarification, technical updates, or conforming changes to the County Employees Retirement Law of 1937, the California Public Employees’ Pension Reform Act of 2013, or other applicable provisions under California law related to public retirement systems (Legislative Policy, page 6).

SUMMARY

AB 2770 is an omnibus bill that contains additions and amendments to the County Employees Retirement of Law of 1937 (CERL) that provide administrative flexibility and more efficient and effective service to members and stakeholders.

ANALYSIS

Return Receipt Requirement (31628, 31783.5)

If a terminated member does not file proper application to withdraw their accumulated contributions, the board shall send to the member's last known address a registered or certified letter, notifying them of their rights or obligations. In the case of an estate or person entitled to a deceased member's accumulated contributions or other benefits, the board shall attempt to locate the claimant through reasonable means, including sending a registered or certified letter to the claimant's last known address.

This bill would remove the requirement of a return receipt for the letters since recipient signatures can be provided electronically.

PTSD Presumption Sunset Date (31720.91)

For the purposes of determining a service-connected disability retirement, post-traumatic stress disorder (PTSD) is presumed to arise out of and the course of employment. Current law repeals the presumption on January 1, 2025.

The bill would instead repeal the presumption on January 1, 2029, to align with the same repeal date for the PTSD presumption that is related to workers' compensation claims.

IT IS THEREFORE RECOMMENDED THAT THE BOARD adopt a "Support" position on Assembly Bill 2770, which would provide clarification and technical updates to the County Employees Retirement Law of 1937.

Attachments

Attachment 1—Board Positions Adopted on Related Legislation

Attachment 2—Support and Opposition

AB 2770 (Committee on Public Employment and Retirement) as amended on March 11, 2024

cc: Santos H. Kreimann
Luis Lugo
JJ Popowich
Laura Guglielmo
Steven P. Rice
Jean Kim
Frank Boyd
Naomi Padron, MKP Government Relations

BOARD POSITIONS ADOPTED ON RELATED LEGISLATION

AB 1824 (Chapter 231, Statutes of 2022) made various clarifications and technical updates to the County Employees Retirement Law of 1937. County Employees Retirement Law of 1937. The Board of Retirement adopted a “Support” position.

AB 1971 (Chapter 524, Statutes of 2022) made various amendments to the County Employees Retirement Law of 1937 to provide administrative flexibility and more efficient and effective service to members and stakeholders. The Board of Retirement adopted a “Support” position.

AB 2376 (Chapter 134, Statutes of 2016) clarified the definition of Plan D for purposes of a prospective plan transfer and the applicability of the reciprocal provision on nonconcurrent retirement to Plan E members. The Board of Retirement adopted a “Support” position.

AB 992 (Chapter 40, Statutes of 2015) clarified the ability of members to change their retirement option after being granted a disability retirement. The Board of Retirement adopted a “Support” position.

AB 2474 (Chapter 741, Statutes of 2014) made various amendments to the County Employees Retirement Law of 1937 to conform with the California Public Employees’ Pension Reform Act of 2013. The Board of Retirement adopted a “Support” position.

AB 2473 (Chapter 740, Statutes of 2014) made various amendments to the County Employees Retirement Law of 1937 to conform with federal law. The Board of Retirement adopted a “Support” position.

SB 13 (Chapter 528, Statutes 2013) made various technical and clarifying amendments to the County Employees Retirement Law of 1937 and California Public Employees’ Pension Reform Act of 2013. The Board of Retirement adopted a “Support” position.

AB 1380 (Chapter 247, Statutes 2013) amended various provisions of the County Employees Retirement Law of 1937 to conform with the California Public Employees’ Pension Reform Act of 2013. The Board of Retirement adopted a “Watch” position.

SB 996 (Chapter 792, Statutes of 2012) clarified that for purposes of disability retirement the presumption of heart trouble is a rebuttable presumption. The Board of Retirement adopted a “Support” position.

AB 1902 (Chapter 86, Statutes of 2010) provided technical and clarifying amendments to the provisions of Plan E related to prospective plan transfers and disability retirement, reciprocity, and the crediting of service. The Board of Retirement adopted a “Support” position.

SB 1479 (Chapter 158, Statutes of 2010) provided technical and clarifying amendments to the County Employees Retirement Law of 1937 related to the commencement of membership, exclusion from membership based on monthly compensation rate, advance payments of employer contributions from districts, and compliance with Internal Revenue Service procedures. The Board of Retirement adopted a “Watch” position.

AB 1354 (Chapter 188, Statutes of 2010) amended the County Employees Retirement Law of 1937 to conform with federal law on tax qualification requirements and benefits related to deaths due to military service. The Board of Retirement adopted a “Support” position.

AB 1355 (Chapter 9, Statutes of 2009) updated cross-references related to the calculation of survivor allowances and made other technical changes. The Board of Retirement adopted a “Watch” position.

AB 2770
Attachment 2—Support and Opposition
Board of Retirement
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Page 1

SUPPORT

State Association of County Retirement Systems (*Sponsor*)

OPPOSITION

None on file.

AMENDED IN ASSEMBLY MARCH 11, 2024

CALIFORNIA LEGISLATURE—2023–24 REGULAR SESSION

ASSEMBLY BILL

No. 2770

**Introduced by Committee on Public Employment and Retirement
(Assembly Members McKinnor (Chair), Boerner, Hart,
Stephanie Nguyen, and Luz Rivas)**

February 15, 2024

An act to amend Sections 22801, 23200, 23201, 23202, 24600, and 26004 of the Education Code, and to amend Sections 31628, 31720.91, 31783.5, 75521, 75553, 75570, and 75571.5 of the Government Code, relating to public employees' retirement.

LEGISLATIVE COUNSEL'S DIGEST

AB 2770, as amended, Committee on Public Employment and Retirement. Public employees' retirement.

(1) Existing law, the Teachers' Retirement Law, establishes the State Teachers' Retirement System (STRS), and sets forth the provisions for its administration and the delivery of benefits to its members. Existing law authorizes a member to request to purchase additional service credit and to redeposit accumulated retirement contributions returned to the member, as provided. *Existing law specifies the basis for the contribution amount, depending on whether the member is or is not employed to perform creditable service subject to coverage by the Defined Benefit Program on the date of the request to purchase additional service credit. Existing law requires additional regular interest to be added to the contributions, as specified, if the member is not employed to perform creditable service subject to coverage by the Defined Benefit Program on the date of the request to purchase additional service credit.*

This bill would *revise that interest calculation*. The bill would require the member to sign and return the completed statement of contributions and interest required from STRS to purchase service credit at a specific cost no later than 35 calendar days from the date of the offer. The bill would also require a member to sign and return the completed election to repay accumulated retirement contributions from STRS to redeposit at a specific cost no later than 35 calendar days from the date of the offer.

Existing law authorizes members to request to redeposit all or a portion of specified contributions with regular interest from the date of refund to the date of payment.

This bill would instead authorize members to request to redeposit all or a portion of specified contributions with regular interest from the date of refund to the date STRS receives the request to redeposit.

This bill would also make various technical changes.

(2) Existing law, the County Employees Retirement Law of 1937 (CERL), prescribes the rights, benefits, and duties of members of the retirement systems established pursuant to its provisions.

Existing law provides that participants in certain membership categories may be entitled to special benefits if the injury that causes their disability arises in the course of their employment. Existing law creates a presumption, for purposes of qualification for disability retirement benefits for specified members, that certain injuries, including post-traumatic stress disorder, as defined, arose out of, or in the course of, the member's employment. Existing law authorizes the presumption relating to these injuries to be rebutted by evidence to the contrary, but unless controverted, the applicable governing board of a public retirement system is required to find in accordance with the presumption. Existing law repeals the provisions related to post-traumatic stress disorder on January 1, 2025.

This bill would instead repeal the provisions related to post-traumatic stress disorder on January 1, 2029.

Existing law prescribes the procedures the retirement board must follow to pay a member their accumulated contributions, if the service of a member is discontinued other than by death or retirement, including requiring the board to send to the member, a registered or certified letter, return receipt requested, as specified. Existing law also requires the board to attempt to locate a person or estate entitled to payment of a member's accumulated contributions or any other benefit that fails to claim the payment or cannot be located through means that the board

in its sound discretion deems reasonable including, but not limited to, a registered or certified letter, return receipt requested, as specified.

This bill would remove the return receipt requirements.

(3) The Judges’ Retirement Law prescribes retirement benefits for judges, as defined, who were first elected or appointed to judicial office before November 9, 1994. Existing law establishes the Judges’ Retirement System II, which provides retirement and other benefits to its members and is administered by the Board of Administration of the Public Employees’ Retirement System . Existing law requires a judge who leaves judicial office before accruing at least 5 years of service to be paid the amount of the judge’s contributions to the system.

Under the Judges’ Retirement System II, a judge is eligible to retire upon attaining both 65 years of age and 20 or more years of service, or upon attaining 70 years of age with a minimum of 5 years of service . Existing law, on and after January 1, 2024, and until January 1, 2029, additionally authorizes a judge who is 60 years of age and has 15 years or more of service or 65 years of age and has 10 years or more of service who is not eligible to retire pursuant to the provisions described above to elect to retire and defer receipt of a monthly allowance, subject to specified formulations . Existing law grants a judge who elects to retire under the provisions operative January 1, 2024, benefits and options given to a judge who elects to retire upon attaining both 65 years of age and 20 or more years of service, or upon attaining 70 years of age with a minimum of 5 years of service, including, among others, requiring the retirement allowance to be increased for the cost of living.

Existing law also permits a member of the Judges’ Retirement System II to select from various optional settlements for the purpose of structuring their retirement benefits.

This bill would make various technical changes to these provisions of the Judges’ Retirement Law.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

- 1 SECTION 1. Section 22801 of the Education Code is amended
- 2 to read:
- 3 22801. (a) A member who requests to purchase additional
- 4 service credit as provided in this chapter and Chapter 14.2
- 5 (commencing with Section 22820) shall pay, prior to retirement,

1 all contributions with respect to that service at the contribution
2 rate for additional service credit, adopted by the board as a plan
3 amendment, in effect on the date of the request to purchase
4 additional service credit. A member shall sign and return the
5 completed statement of contributions and interest required from
6 the system to purchase service credit at a specific cost no later than
7 35 calendar days from the date of the offer. If the system is unable
8 to inform the member or beneficiary of the amount required to
9 purchase additional service credit prior to the effective date of the
10 applicable allowance, the member or beneficiary may make the
11 required payment within 30 working days after the date of mailing
12 of the statement of contributions and interest required or the
13 effective date of the appropriate allowance, whichever is later,
14 except as provided in subdivision (i). The payment shall be paid
15 in full before a member or beneficiary receives any adjustment in
16 the appropriate allowance due because of that payment.
17 Contributions shall be made in a lump sum, or in not more than
18 120 monthly installments, not to exceed 10 years. No installment,
19 except the final installment, shall be less than twenty-five dollars
20 (\$25).

21 (b) If the member is employed to perform creditable service
22 subject to coverage by the Defined Benefit Program on the date
23 of the request to purchase additional service credit, the
24 contributions shall be based upon the compensation earnable in
25 the current school year or either of the two immediately preceding
26 school years, whichever is highest.

27 (c) If the member is not employed to perform creditable service
28 subject to coverage by the Defined Benefit Program on the date
29 of the request to purchase additional service credit, the
30 contributions shall be based upon the compensation earnable in
31 the last school year of credited service or either of the two
32 immediately preceding school years, whichever is highest, and
33 additional regular interest shall be added to the contributions from
34 July 1 of the subsequent year in which the member last performed
35 creditable service subject to coverage by the Defined Benefit
36 Program to ~~20 days after~~ the date of the request.

37 (d) The employer may pay the amount required as employer
38 contributions for additional service credited under paragraphs (7),
39 (8), (9), and (10) of subdivision (a) of Section 22803.

1 (e) The Public Employees' Retirement System shall transfer
2 the actuarial present value of the assets of a person who makes an
3 election pursuant to paragraph (11) of subdivision (a) of Section
4 22803.

5 (f) Regular interest shall be charged on the monthly unpaid
6 balance if the member pays in installments. Regular interest may
7 not be charged or be payable for the period of a delay caused by
8 the system's inability or failure to determine and inform the
9 member or beneficiary of the amount of contributions and interest
10 that is payable. The period of delay shall commence on the 20th
11 calendar day following the day on which the member or beneficiary
12 who wishes to make payment evidences in writing to the system
13 that they are ready, willing, and able to make payment to the
14 system. The period of delay shall cease on the first day of the
15 month following the mailing of notification of contributions and
16 interest payable.

17 (g) If the payment described in subdivision (a) is not received
18 at the system's headquarters office within 120 calendar days of
19 the due date, the election pursuant to this section shall be canceled.
20 The member shall receive credit for additional service based on
21 the payments that were made or the member may request a return
22 of their payments.

23 (h) If the election to purchase additional service credit is
24 canceled as described in subdivision (g), the member may, prior
25 to the effective date of their retirement, elect to purchase additional
26 service credit pursuant to this section.

27 (i) The measurement of time within which the purchase of
28 additional service credit described in subdivision (a) shall be made
29 is subject to Section 22337.

30 SEC. 2. Section 23200 of the Education Code is amended to
31 read:

32 23200. (a) If a person, whose accumulated retirement
33 contributions have been refunded, again becomes a member of the
34 Defined Benefit Program or is subject to Section 23201, the person
35 may request to redeposit all or a portion of those contributions
36 with regular interest from the date of refund to the date the system
37 receives the request to redeposit.

38 (b) If a nonmember spouse, as defined in Section 22651,
39 withdraws accumulated contributions in accordance with Section
40 22661, the member may redeposit all or a portion of those

1 contributions pursuant to subdivision (a), providing they are not
2 receiving an allowance under Chapter 26 (commencing with
3 Section 24100) or Chapter 27 (commencing with Section 24201).

4 (c) If a member requests to redeposit a portion of all
5 accumulated retirement contributions that were previously refunded
6 subject to requirements imposed by the board, the member shall
7 receive pro rata service credit in proportion to the amount
8 redeposited.

9 SEC. 3. Section 23201 of the Education Code is amended to
10 read:

11 23201. Any person whose accumulated retirement contributions
12 were refunded, who wishes to establish concurrent membership,
13 and who has received, or will qualify to receive, a retirement
14 allowance from one or more of the retirement systems defined in
15 Section 22115.2, may request to redeposit all or a portion of the
16 accumulated retirement contributions that were refunded, with
17 regular interest from the date of refund to the date the system
18 receives the request to redeposit, without being employed to
19 perform creditable service subject to coverage under the Defined
20 Benefit Program.

21 SEC. 4. Section 23202 of the Education Code is amended to
22 read:

23 23202. (a) An election pursuant to Section 23200 to redeposit
24 accumulated retirement contributions may be made by a member
25 anytime prior to the effective date of the member's retirement
26 under this part.

27 (b) An election to redeposit accumulated retirement
28 contributions returned to the member shall be considered as an
29 election to repay accumulated retirement contributions previously
30 returned, up to but not exceeding the amount required to restore
31 the total service credit returned, under the provisions of this
32 chapter. A member shall sign and return the completed election
33 to repay accumulated retirement contributions from the system to
34 redeposit at a specific cost no later than 35 calendar days from the
35 date of the offer.

36 (c) If any payment due because of this election is not received
37 at the system's headquarters office within 120 calendar days of its
38 due date, the election shall be canceled. Upon the cancellation of
39 election, the member shall receive credit for the payments made

1 under the election or, at the request of the member, those payments
2 shall be returned.

3 (d) If the election is canceled, the member may at any time prior
4 to the effective date of retirement under this part, again elect to
5 redeposit accumulated retirement contributions previously
6 withdrawn or returned, in accordance with Section 23200 and all
7 the laws, rules, and regulations pertaining thereto.

8 SEC. 5. Section 24600 of the Education Code is amended to
9 read:

10 24600. (a) A retirement allowance under this part begins to
11 accrue on the effective date of the member's retirement and ceases
12 on the earlier of the day of the member's death or the day on which
13 the retirement allowance is terminated for a reason other than the
14 member's death.

15 (b) A retirement allowance payable to an option beneficiary
16 under this part begins to accrue on the day following the day of
17 the retired member's death and ceases on the day of the option
18 beneficiary's death.

19 (c) A disability allowance under this part begins to accrue on
20 the effective date of the member's disability allowance and ceases
21 on the earlier of the day of the member's death or the day on which
22 the disability allowance is terminated for a reason other than the
23 member's death.

24 (d) A family allowance under this part begins to accrue on the
25 day following the day of the member's death and ceases on the
26 day of the event that terminates eligibility for the allowance.

27 (e) A survivor benefit allowance payable to a surviving spouse
28 under this part pursuant to Chapter 23 (commencing with Section
29 23850) begins to accrue on the day the member would have
30 attained normal retirement age or on the day following the day of
31 the member's death, as elected by the surviving spouse, and ceases
32 on the day of the surviving spouse's death.

33 (f) (1) Except as provided in paragraph (2), a child's portion
34 of an allowance under this part begins to accrue on the effective
35 date of that allowance and ceases on the earlier of either the
36 termination of the child's eligibility or the termination of the
37 allowance.

38 (2) A child's portion of a disability retirement allowance under
39 Chapter 26 (commencing with Section 24100) ceases on the earlier
40 of either:

1 (A) The termination date of the child's eligibility.

2 (B) The termination of the allowance for reasons other than
3 death.

4 (g) Supplemental payments issued under this part pursuant to
5 Sections 24412 and 24415 to retired members, disabled members,
6 and beneficiaries shall begin to accrue pursuant to Sections 24412
7 and 24415 and shall cease to accrue as of the termination dates
8 specified in subdivisions (a) to (f), inclusive, of this section.

9 (h) Notwithstanding any other provision of this part or other
10 law, distributions payable under the plan with respect to the
11 Defined Benefit Program and the Defined Benefit Supplement
12 Program shall be made in accordance with Section 401(a)(9) of
13 the Internal Revenue Code of 1986 and related regulations.

14 (1) In the case of a refund of contributions, as described in
15 Chapter 18 (commencing with Section 23100) of this part and
16 distribution of an amount equal to the balance of credits in a
17 member's Defined Benefit Supplement account, as described in
18 Chapter 38 (commencing with Section 25000) of this part,
19 payments shall commence not later than April 1 of the calendar
20 year following the later of (A) the calendar year in which the
21 member attains the age at which the Internal Revenue Code of
22 1986 requires a distribution of benefits or (B) the calendar year in
23 which the member terminates employment within the meaning of
24 subdivision (i).

25 (2) In the case of a retirement allowance, as defined in Section
26 22166, payments shall commence not later than April 1 of the
27 calendar year following the later of (A) the calendar year in which
28 the member attains the age at which the Internal Revenue Code of
29 1986 requires a distribution of benefits or (B) the calendar year in
30 which the member terminates employment within the meaning of
31 subdivision (i), to continue over the life of the member or the lives
32 of the member and the member's option beneficiary, or over the
33 life expectancy of the member or the life expectancy of the member
34 and the member's option beneficiary.

35 (i) For purposes of subdivision (h), the phrase "terminates
36 employment" means the later of:

37 (1) The date the member ceases to perform creditable service
38 subject to coverage under this plan.

39 (2) The date the member ceases employment in a position
40 subject to coverage under another public retirement system in this

1 state if the compensation earnable while a member of the other
2 system may be considered in the determination of final
3 compensation pursuant to Section 22134 or 22134.5.

4 (3) In the case of a monthly allowance resulting from the death
5 of a member:

6 (A) If the spouse is the sole beneficiary, payments shall
7 commence not later than December 31 of the later of the calendar
8 year in which the member would have attained the age at which
9 the Internal Revenue Code of 1986 requires a distribution of
10 benefits or the calendar year following the calendar year of the
11 member's death.

12 (B) If the spouse is not the sole beneficiary, payments shall
13 commence not later than December 31 of the calendar year
14 following the calendar year of the member's death.

15 (4) In the case of a distribution of the member's accumulated
16 retirement contributions resulting from the death of a member or
17 beneficiary or other lump-sum death benefit that represents the
18 member's entire interest in the plan, including the death payment,
19 payments shall be completed in accordance with Section 401(a)(9)
20 of Title 26 of the United States Code and the regulations
21 thereunder.

22 SEC. 6. Section 26004 of the Education Code is amended to
23 read:

24 26004. Notwithstanding any other provision of law:

25 (a) The benefits payable to any participant or beneficiary under
26 this part shall be subject to the limitations imposed by Section 415
27 of Title 26 of the United States Code.

28 (b) The amount of compensation that is taken into account in
29 computing benefits under this part for a plan year shall not exceed
30 the annual compensation limit applicable to that plan year in
31 accordance with Section 401(a)(17) of Title 26 of the United States
32 Code as that section read on the effective date of this section and
33 as that section may be amended after that date. The determination
34 of compensation for a 12-month period shall be subject to the
35 annual compensation limit in effect for the calendar year in which
36 the 12-month period begins. In a determination of average
37 compensation over more than one 12-month period, the amount
38 of compensation taken into account for each 12-month period shall
39 be subject to the respective annual compensation limit applicable
40 to that period.

1 (c) Distributions from the plan under this part shall be made in
2 accordance with Section 401(a)(9) of Title 26 of the United States
3 Code, including the incidental death benefit requirements of
4 Section 401(a)(9)(G) and the regulations thereunder. The required
5 beginning date of benefit payments that represent the entire interest
6 of the participant shall be as follows:

7 (1) In the case of a lump-sum distribution of a retirement benefit,
8 disability benefit, or termination benefit, the lump-sum payment
9 shall be made not later than April 1 of the calendar year following
10 the later of (A) the calendar year in which the participant attains
11 the age at which the Internal Revenue Code of 1986 requires a
12 distribution of benefits or (B) the calendar year in which the
13 participant terminates all employment subject to coverage by the
14 plan.

15 (2) In the case of a retirement benefit or disability benefit that
16 is to be paid in the form of an annuity, payment of the annuity
17 shall begin not later than April 1 of the calendar year following
18 the later of (A) the calendar year in which the participant attains
19 the age at which the Internal Revenue Code of 1986 requires a
20 distribution of benefits or (B) the calendar year in which the
21 participant terminates employment in all positions subject to
22 coverage by the plan, with the annuity to continue over the life of
23 the participant or the life of the participant and the participant's
24 option beneficiary, or over a period not to exceed the life
25 expectancy of the participant or the life expectancy of the
26 participant and the participant's option beneficiary.

27 (3) In the case of a death benefit, distributions shall commence
28 as follows:

29 (A) The normal form of the benefit or other lump-sum
30 distribution shall be paid in accordance with Section 401(a)(9) of
31 Title 26 of the United States Code and the regulations thereunder.

32 (B) Annuity payments shall commence as follows:

33 (i) If the spouse is the sole beneficiary, not later than December
34 31 of the later of the calendar year in which the participant would
35 have attained the age at which the Internal Revenue Code of 1986
36 requires a distribution of benefits or the calendar year following
37 the calendar year of the participant's death.

38 (ii) If the spouse is not the sole beneficiary, not later than
39 December 31 of the calendar year following the calendar year of
40 the participant's death.

1 (d) If a person becomes entitled to a distribution from the plan
2 under this part that constitutes an eligible rollover distribution
3 within the meaning of Section 401(a)(31) of Title 26 of the United
4 States Code, the person may elect under terms and conditions
5 established by the board to have the distribution or a portion thereof
6 paid directly to a plan that constitutes an eligible retirement plan
7 within the meaning of Section 401(a)(31), as specified by that
8 person. Upon the exercise of the election by a person with respect
9 to a distribution or a portion thereof, the distribution from the plan
10 of the amount so designated, once distributable under the terms of
11 the plan, shall be made in the form of a direct rollover to the
12 eligible retirement plan so specified.

13 (e) The amount of any benefit from the plan under this part that
14 is determined on the basis of actuarial assumptions shall be based
15 on actuarial assumptions adopted by the board pursuant to Section
16 26213 as a plan amendment with respect to the Cash Balance
17 Benefit Program and those assumptions shall preclude employer
18 discretion and comply with Section 401(a)(25) of Title 26 of the
19 United States Code.

20 SEC. 7. Section 31628 of the Government Code is amended
21 to read:

22 31628. If the service of a member is discontinued other than
23 by death or retirement, upon proper application submitted to the
24 retirement board, they shall be paid all of their accumulated
25 contributions, in accordance with this chapter, minus a withdrawal
26 charge, if a withdrawal charge has been provided for by the
27 regulations of the board. The board may order payment in whole
28 or in part withheld for a period not to exceed six months after date
29 of separation. If a member does not file the proper application, the
30 board shall send to the member, not more than 90 days after
31 termination of service, at their last known address, a registered or
32 certified letter stating that they have money to their credit on the
33 books of the retirement system and that if they do not claim the
34 money within 10 years after date of notice, in the case of persons
35 first employed before January 1, 1976, or within five years in the
36 case of persons first employed on and after January 1, 1976, the
37 money will be deposited in and become a part of the current
38 pension reserve fund.

39 SEC. 8. Section 31720.91 of the Government Code is amended
40 to read:

1 31720.91. (a) For purposes of a member who retires for
2 disability from a system established under this chapter on the basis
3 of being permanently incapacitated from the performance of their
4 usual and customary duties because of an injury, as described in
5 paragraph (1) of subdivision (c), it shall be presumed that the
6 disability arose out of, or in the course of, the member's
7 employment.

8 (b) The presumption described in subdivision (a) may be
9 rebutted by evidence to the contrary, but unless controverted, the
10 board shall be bound to find in accordance with the presumption.

11 (c) For purposes of this section:

12 (1) "Injury" means "post-traumatic stress disorder," as diagnosed
13 according to the most recent edition of the Diagnostic and
14 Statistical Manual of Mental Disorders published by the American
15 Psychiatric Association and that develops or manifests itself during
16 a period while a member is in the service of a department, office,
17 or unit, consistent with paragraph (2).

18 (2) "Member" means a member of a public retirement system,
19 established under this chapter, whose job classification is listed in
20 Section 3212.15 of the Labor Code.

21 (d) The presumption described in subdivision (a) shall
22 additionally apply to a member following termination of service
23 for a period of 3 calendar months for each full year of the requisite
24 service, but shall not to exceed 60 months regardless of the
25 member's length of service, commencing with the last date the
26 member actually worked in the classification specified in paragraph
27 (2) of subdivision (c).

28 (e) This section shall remain in effect only until January 1, 2029,
29 and as of that date is repealed.

30 SEC. 9. Section 31783.5 of the Government Code is amended
31 to read:

32 31783.5. (a) Whenever a person or estate entitled to payment
33 of a member's accumulated contributions or any other benefit fails
34 to claim the payment or cannot be located, the amount owed from
35 the retirement fund shall be administered in accordance with
36 subdivision (c).

37 (b) The board shall attempt to locate the claimant through means
38 that the board in its sound discretion deems reasonable including,
39 but not limited to, a registered or certified letter mailed to the last
40 known address of the claimant.

1 (c) Notwithstanding any provision of law to the contrary, the
2 amounts described in subdivision (a) shall be held for the claimant.
3 If the amounts are not claimed within five years after the last
4 attempted contact with the claimant, the amounts shall be deposited
5 in and become a part of the pension reserve fund. The board may
6 at any time after transfer of unclaimed amounts upon receipt of
7 information satisfactory to it, authorize the return of amounts so
8 held in reserve to the credit of the claimant. Those amounts shall
9 be paid only to claimants who have not yet attained the age for
10 mandatory distribution under the Internal Revenue Code.

11 SEC. 10. Section 75521 of the Government Code is amended
12 to read:

13 75521. (a) A judge who leaves judicial office before accruing
14 at least five years of service shall be paid the amount of the judge's
15 contributions to the system, and no other amount.

16 (b) Except as provided in Section 75522.5, a judge who leaves
17 judicial office after accruing five or more years of service and who
18 is not eligible to elect to retire under Section 75522 shall be paid
19 the amount of the judge's monetary credits determined pursuant
20 to Section 75520, including the credits added under subdivision
21 (b) of that section computed to the last day of the month preceding
22 the date of distribution, and no other amount.

23 (c) Judges who leave office as described in subdivision (b) are
24 "retired judges" for purposes of a concurrent retirement with
25 respect to the benefits provided under Section 20639 and
26 assignment pursuant to Article 2 (commencing with Section
27 68540.7) of Chapter 2 and are eligible for benefits provided under
28 Section 22814.

29 (d) After a judge has withdrawn their accumulated contributions
30 or the amount of their monetary credits upon leaving judicial office,
31 the service shall not count in the event they later become a judge
32 again, until the judge pays into the Judges' Retirement System II
33 Fund the amount withdrawn, plus interest thereon at the rate of
34 interest then being required to be paid by members of the Public
35 Employees' Retirement System under Section 20750 from the date
36 of withdrawal to the date of payment.

37 SEC. 11. Section 75553 of the Government Code is amended
38 to read:

39 75553. (a) If a member leaves judicial office after a
40 nonmember has received a share of the member's contributions

1 or a share of the member’s monetary credits pursuant to Section
2 75551, the member’s retirement fund rights shall be determined
3 pursuant to this section.

4 (b) If the member has redeposited the amount paid to the
5 nonmember, with interest, pursuant to Section 75552, the payment
6 to the nonmember shall be ignored and the member’s rights shall
7 be determined as though the payment to the nonmember had not
8 occurred; and subdivisions (c), (d), and (e) shall not apply.

9 (c) If the member leaves judicial office before accruing at least
10 five years of service, the member shall be paid the dollar amount
11 of the member’s contributions to the system minus the amount
12 paid to the nonmember, and no other amount.

13 (d) If the member leaves office after accruing five or more years
14 of service and either: (1) elects, pursuant to subdivision (e) of
15 Section 75522, to receive the amount of the member’s monetary
16 credits; or (2) is entitled, pursuant to subdivision (b) or (c) of
17 Section 75521 to receive only the amount of the member’s
18 monetary credits, the member shall be paid the amount of the
19 member’s monetary credits as provided in Section 75521 or
20 subdivision (e) of Section 75522, reduced as provided in
21 subdivision (d) of Section 75551.

22 (e) If the member elects to retire and receive a monthly
23 allowance pursuant to either subdivision (d) of Section 75522 or
24 Section 75522.5, the judge’s monthly allowance shall equal the
25 monthly allowance that would have been payable pursuant to
26 subdivision (d) of Section 75522 or Section 75522.5, as applicable,
27 based on the judge’s service and salary, multiplied by a fraction
28 equal to:

$$\begin{array}{ccc}
 \text{NMS} & & 50\% \text{ (MS)} \\
 \hline
 \text{S} & + & \hline
 & & \text{S}
 \end{array}$$

34 where: “S” = the member’s total service
 35 “MS” = the member’s service while married to
 36 the nonmember prior to their separation
 37 “NMS” = the member’s service while not married
 38 to the nonmember
 39

1 (f) If, notwithstanding paragraph (1) of subdivision (a) of Section
2 75551, the judgment did not specify the number of years of service
3 that accrued during the marriage or other necessary facts, the
4 system may make its own determination in order to make the
5 computation in subdivision (e).

6 SEC. 12. Section 75570 of the Government Code is amended
7 to read:

8 75570. (a) In lieu of electing the unmodified allowance for
9 the judge's life alone, a judge who elects to retire and receive a
10 monthly allowance under either subdivision (d) of Section 75522
11 or Section 75522.5 may elect, on or before the date of retirement,
12 to have the actuarial equivalent of the judge's retirement allowance
13 as of the date of retirement applied to a lesser retirement allowance,
14 in accordance with one of the optional settlements specified in
15 Section 75571 if the judge retires on or before December 31, 2017,
16 or Section 75571.5 if the judge retires on or after January 1, 2018.

17 (b) That election, revocation, or change of election shall be
18 made by a writing filed with the system within 30 calendar days
19 after the making of the first payment on account of any retirement
20 allowance.

21 (c) If there is a spouse who would qualify for the survivor
22 allowance under subdivision (b) of Section 75590, then the
23 election, with respect to any optional settlement other than the
24 optional settlement in subdivision (a) of Section 75571 or
25 subdivision (b) of Section 75571.5, shall apply only to the portion
26 of the retirement allowance that exceeds the amount of the
27 allowance deemed payable to the surviving spouse.

28 SEC. 13. Section 75571.5 of the Government Code is amended
29 to read:

30 75571.5. This section shall apply to any judge who retires on
31 or after January 1, 2018.

32 (a) The unmodified allowance consists of the right to have the
33 maximum retirement allowance paid to the judge for the judge's
34 life alone. A continuing allowance to the surviving spouse, other
35 than the benefit provided in subdivision (c) or (d) of Section 75590,
36 is not provided and there is not a return of unused accumulated
37 contributions after the death of the judge.

38 (b) The Return of Remaining Contributions Option 1 consists
39 of the right to have a retirement allowance paid to the judge for
40 the judge's life alone and if the judge dies before the judge receives

1 in annuity payments the amount of the judge's accumulated
2 contributions at retirement, to have the balance at death paid to
3 the judge's surviving spouse, or if none, to the judge's designated
4 beneficiary, or if none, to the judge's estate.

5 (c) (1) The 100 Percent Beneficiary Option 2 consists of the
6 right to have a retirement allowance paid to the judge until the
7 judge's death and thereafter to have the same monthly allowance
8 paid to the judge's surviving spouse for life, provided that, with
9 respect to a judge subject to subdivision (c) or (d) of Section 75590,
10 the surviving spouse shall receive that portion of the judge's
11 monthly allowance that exceeds the amount of the allowance
12 deemed payable pursuant to subdivision (c) or (d) of Section 75590.

13 (2) Upon the death of both the judge and the surviving spouse,
14 any remaining balance of the judge's accumulated contributions
15 at retirement not used to fund the allowances paid to the judge and
16 the surviving spouse pursuant to this subdivision will be paid in a
17 lump sum to the designated beneficiary of the deceased, or if none,
18 to the estate of the deceased.

19 (d) (1) The 100 Percent Beneficiary Option 2 with Benefit
20 Allowance Increase consists of the right to have a retirement
21 allowance paid to the judge until the judge's death and thereafter
22 to have the same monthly allowance paid to the judge's surviving
23 spouse for life; provided that with respect to a judge subject to
24 subdivision (c) or (d) of Section 75590, the surviving spouse shall
25 receive that portion of the judge's monthly allowance that exceeds
26 the amount of the allowance deemed payable pursuant to
27 subdivision (c) or (d) of Section 75590.

28 (2) If the judge's spouse predeceases the judge and the judge
29 elected this optional settlement, the judge's allowance shall be
30 adjusted effective the first day of the month following the death
31 of the spouse to reflect the benefit that would have been paid had
32 the judge not elected an optional settlement.

33 (3) If the marriage of a retired judge is dissolved or a legal
34 separation filed, and the judgment dividing the community property
35 between the judge and the surviving spouse awards the total interest
36 in this system to the retired judge, or the marriage is annulled and
37 confirmed by a court, the retired judge's allowance shall be
38 adjusted effective the first day of the month following the filing
39 of the judgment with the board to reflect the benefit that would
40 have been paid had the judge not elected an optional settlement.

1 (e) (1) The 50 Percent Beneficiary Option 3 consists of the
2 right to have a retirement allowance paid to the judge until the
3 judge's death and thereafter to have one-half of the monthly
4 allowance paid to the judge's surviving spouse for life; provided
5 that with respect to a judge subject to subdivision (c) or (d) of
6 Section 75590, the surviving spouse shall receive one-half of that
7 portion of the judge's monthly allowance that exceeds the amount
8 of the allowance deemed payable pursuant to subdivision (c) or
9 (d) of Section 75590.

10 (2) Upon the death of both the judge and the surviving spouse,
11 any remaining balance of the judge's accumulated contributions
12 at retirement not used to fund the allowances paid to the judge and
13 the surviving spouse pursuant to this subdivision will be paid in a
14 lump sum to the designated beneficiary of the deceased, or if none,
15 to the estate of the deceased.

16 (f) (1) The 50 Percent Beneficiary Option 3 with Benefit
17 Allowance Increase consists of the right to have a retirement
18 allowance paid to the judge until the judge's death and thereafter
19 to have one-half of the monthly allowance paid to the judge's
20 surviving spouse for life; provided that with respect to a judge
21 subject to subdivision (c) or (d) of Section 75590, the surviving
22 spouse shall receive one-half of that portion of the judge's monthly
23 allowance that exceeds the amount of the allowance deemed
24 payable pursuant to subdivision (c) or (d) of Section 75590.

25 (2) If the judge's spouse predeceases the judge and the judge
26 elected this optional settlement, the judge's allowance shall be
27 adjusted effective the first day of the month following the death
28 of the spouse to reflect the benefit that would have been paid had
29 the judge not elected an optional settlement.

30 (3) If the marriage of a retired judge is dissolved or a legal
31 separation filed, and the judgment dividing the community property
32 between the judge and the surviving spouse awards the total interest
33 in this system to the retired judge, or the marriage is annulled and
34 confirmed by a court, the retired judge's allowance shall be
35 adjusted effective the first day of the month following the filing
36 of the judgment with the board to reflect the benefit that would
37 have been paid had the judge not elected an optional settlement.

38 (g) The Flexible Beneficiary Option 4 consists of the right to
39 have a retirement allowance paid to a judge until the judge's death,
40 and thereafter to have a monthly allowance paid to the judge's

1 surviving spouse for life. Subject to Section 75570.5, the judge
2 may select the monthly allowance payable to the surviving spouse
3 from the options below:

4 (1) Specific Dollar Amount to a Surviving Spouse. The judge
5 may specify that upon the judge's death after retirement, a monthly
6 allowance in an amount determined by the judge be paid to the
7 judge's surviving spouse for life.

8 (2) Specific Percentage to a Surviving Spouse. The judge may
9 specify that upon the judge's death after retirement, a monthly
10 allowance in an amount equivalent to a specified percentage of
11 the judge's allowance be paid to the judge's surviving spouse for
12 life.

O

March 27, 2024

TO: Each Trustee,
Board of Retirement
Board of Investments

FROM: Joint Organizational Governance Committee
Vivian Gray, Chair
Patrick Jones, Vice Chair
Keith Knox
Herman Santos
Debbie Martin
Shawn Kehoe
Les Robbins
JP Harris

FOR: April 3, 2024 Board of Retirement Meeting
April 10, 2024 Board of Investments Meeting

SUBJECT: Ethics and Compliance as Strategic Priorities:
LACERA Ethics and Compliance Program Framework

RECOMMENDATION

That the Board of Retirement and Board of Investments refer the proposed Ethics and Compliance Program Charter to the Audit Committee for further development, and then return it to the Boards for final approval.

LEGAL AUTHORITY

Establishment of LACERA's Ethics and Compliance Program (Program) is one of the goals of the 2023-2028 Board of Retirement Strategic Plan. Ethics and compliance impact the entire organization. Therefore, the authority for the approval of the Ethics and Compliance Program Charter includes several of LACERA's governing bodies. The Board of Retirement reviewed and discussed the proposed Charter at its January 3, 2024 meeting and referred the issue to the Joint Organizational Governance Committee (JOGC) for consideration.

The referral to the JOGC was appropriate because the JOGC includes in its scope recommendations to the Board of Retirement and the Board of Investments regarding "Joint Board Policy Development for system-wide policies such as ethics, conflict of interest . . ." (JOGC Charter, Sections 4, 7.2.) At its February 29, 2024 meeting, the JOGC made some comments on the proposed Charter and voted to recommend to the Board

of Retirement and Board of Investments that they refer the Charter to the Audit Committee for further development, and then return it to the Boards for final approval.

This path through LACERA's Board governance process is consistent with applicable governing documents. The Boards have jointly adopted a Code of Ethical Conduct and a Conflict of Interest Code comprehensively addressing organizational compliance and ethics, but without a dedicated and accountable oversight process for ethics and compliance. The proposed Program Charter will address this gap by creating and defining a dedicated ethics and compliance risk management process, as explained in detail below and in the attached Presentation and proposed Charter.

The authority for the Boards to refer the Ethics and Compliance Program Charter to the Audit Committee is found in the committee's Charter. The Charter states that the Audit Committee was established to:

assist the Boards in fulfilling their fiduciary oversight duties for the:

- A. Internal Audit Activity
- B. Professional Service Provider Activity
- C. Financial Reporting Process
- D. Values and Ethics, and
- E. Organizational Governance
- F. Audit Committee and Internal Audit Budget

(Audit Committee Charter, Section II.)

The Audit Committee's responsibilities include "Organizational Governance" and assessing the adequacy of LACERA's "System of Compliance" by:

- a. Annually, review the effectiveness of Management's system of compliance with laws, regulations, policies, and procedures that are business critical.
- b. As needed, review the observations and findings of any examinations by regulatory agencies.
- c. Obtain regular updates from Management and LACERA's Legal Office regarding compliance matters.
- d. At least annually, review reported activity to ensure issues of fraud, noncompliance, and/or inappropriate activities are being addressed.

(Audit Committee Charter, Section VII(E)(4).) The JOGC's recommendation that the Boards refer the Ethics and Compliance Program Charter to the Audit Committee is in line with the Audit Committee's responsibility for receiving reports on compliance matters and for reviewing the effectiveness of compliance controls.

The Audit Committee will be responsible for refining the Ethics and Compliance Program Charter and recommending it to the joint Boards for final approval. The Audit Committee

may also revise its existing Audit Committee Charter incorporating specific language describing the elements of the Committee's new responsibility for oversight, with the Boards and the CEO, of the Ethics and Compliance Program.

This review process will include both Boards and their joint committees in the approval of the Ethics and Compliance Program with respect to their respective areas of authority and oversight. The process and the Program will support the ethics and compliance needs of the entire organization, building upon and expanding the existing organizational responsibilities of the Audit Committee (which will be renamed the Audit, Compliance, Risk, and Ethics (ACRE) Committee) and the overall oversight of the Boards and the Chief Executive Officer.

DOCUMENTS

The supporting documents for the JOGC's consideration of this item are:

Attachment A – Presentation

Attachment B – Proposed Ethics and Compliance Program Charter

Attachment C – Redlined Charter. The redline shows changes from the version of the Charter considered by the JOGC at its February 29, 2024 meeting based on the JOGC's comments.

SUMMARY

This new Ethics and Compliance Program will be part of management, and the Chief Ethics and Compliance Officer will functionally report to, and the Program will be overseen by, the Boards, the Audit Committee, and the CEO. The Program will enhance the ability of the Boards, the Audit Committee, and the CEO to oversee ethics and compliance risks within LACERA's operations. This new program will be separate from but complementary to Internal Audit, which is independent and outside of management to provide assurance as to the adequacy of management's controls. Internal Audit will have the ability to audit the Ethics and Compliance Program.

The organizational chart for the Ethics and Compliance Program is below, showing solid-line direct functional oversight by the ACRE Committee, the Boards, and the CEO. The Program will be located administratively in the Legal Office because of synergies given the highly legal nature of LACERA's operations. The relationship with Legal is shown by a dotted line. However, the Chief Ethics and Compliance Officer will report directly and independently to the ACRE Committee, Boards, and the CEO.

First, the Board of Retirement and JOGC asked questions concerning the role of the Boards and the placement of the Ethics and Compliance Office in the Legal Division, with the Chief Ethics and Compliance Officer (CECO) reporting *administratively* to the Chief Counsel. The revised Charter expands and clarifies the CECO will report *functionally* to the Boards, the ACRE Committee, and the Chief Executive Officer (CEO). This is clear throughout the attached Charter. The CEO will be the CECO's appointing authority, and the CEO will seek the ACRE Committee and Boards' input and approval on hiring, firing, and disciplining, and on the CECO's annual performance evaluation. The CECO will have the independent authority to directly report to the CEO, ACRE Committee, and the Boards. The reporting relationship of the CECO to Chief Counsel is for administrative support. The JOGC requested a solid line be added from the CECO to the Boards to confirm the Boards' oversight role of the Program. The organizational chart provided with this memo includes a solid line from the CECO (and Ethics and Compliance Office) to the Boards as well as to the ACRE Committee and the CEO.

The presentation (at Attachment A – Slide 22) shows that some of LACERA's peer systems provide a lead oversight role for General Counsel. Slide 22 also shows that, in some systems, the reporting relationship runs to the CEO, the Deputy CEO, or Chief Operating Officer. In some of these systems, the line of reporting is combined with other functions, including Legal, and still involves counsel. The proposed LACERA Program is most similar to the latter group given the strong functional oversight role of the CEO, the ACRE Committee, and the Boards.

Second, the Board of Retirement asked whether the ethics and compliance function is duplicative of Internal Audit or could weaken Internal Audit. At the January 2024 Board of Retirement meeting and at the February 2024 JOGC meeting, Mr. Bendall stated that an ethics and compliance program is a necessary part of a mature risk management system and additive to Internal Audit. The attached presentation includes slides from Mr. Bendall further explaining Internal Audit's perspective. (Attachment A – Slides 28-29.) In addition, the proposed Charter has been revised to clarify that ethics and compliance is a management responsibility, not an independent assurance function like Internal Audit.

Third, the Board of Retirement asked whether it is necessary to incur the cost of an ethics and compliance function. The attached presentation (Attachment A – Slides 12-16) includes the legal and other authority providing that a dedicated ethics and compliance function is a best practice in organizational governance and should be adequately resourced. This authority includes: (1) the fiduciary duties of loyalty and prudence owed by the Boards under the California Constitution and CERL (Slide 12); (2) The Institute of Internal Auditors' *Three Lines Model* of risk management (Slide 13); (3) the Federal Sentencing Guidelines for Organizations (Slide 14); (4) the Securities and Exchange Commission in its investigation of public pension systems (Slide 15); and (5) the trend among peer pension systems (Slide 16). LACERA's ethics and compliance counsel and advisor Rebecca Walker will be present at the Board meetings to provide her input if

requested (see Attachment A – Slides 25-27). The cost of the Program is necessary to comply with these best practices in the management of LACERA's ethics and compliance risks. Additionally, the Program is a form of organizational protection as the Federal Sentencing Guidelines provide that a well-designed, adequately resourced Program may serve to mitigate any potential liability in the event of an investigation (Slide 14).

The proposed Charter has been revised in Attachments B and C to address all three of these comments and to further confirm the Charter's alignment with LACERA's fiduciary duty, legal and other authorities, and best practices.

CONCLUSION

Creation of an organization-wide LACERA Ethics and Compliance Program is a step in the development of a separate formal and independent ethics and compliance function within management and in the maintenance of a strong culture of ethics and compliance as provided in the Board of Retirement's Strategic Plan. The Program will assist the CEO, senior leaders, the Audit Committee, and the Boards in their oversight of ethics and compliance. The Program will be subject to regular review and evaluation, particularly in its initial stages. The Program will be complementary to the separate independent assurance responsibilities of Internal Audit.

The JOGC recommends that the Board of Retirement and Board of Investments refer the proposed Ethics and Compliance Program Charter to the Audit Committee for further development, and then return it to the Boards for final approval.

Attachments

c: Santos H. Kreimann
Luis A. Lugo
Jonathan Grabel
JJ Popowich
Laura Guglielmo
Ted Granger
Chaitanya Errande
Richard Bendall
Carly Ntoya, Ph.D.
Christina Logan

ATTACHMENT A
Presentation

Ethics and Compliance as Strategic Priorities: LACERA Ethics and Compliance Program Framework

Board of Retirement Meeting
Board of Investments Meeting
April 3 and 10, 2024



1. The Strategic Plan and Management's Perspective
2. Ethics and Compliance are Strategic Concepts
 - A. Ethics Comes First
 - B. Compliance is a Requirement
 - C. Authority supporting Need for an E&C Risk Management Process
3. LACERA's Proposed Ethics and Compliance Program
4. Outside Counsel Perspective
5. Internal Audit Perspective
6. Next Steps

Board of Retirement Strategic Plan



On July 6, 2023, the Board of Retirement approved its 2023-2028 Strategic Plan. The Plan includes as one of its five goals:



Strategic Priority 4: Compliance and Enterprise Risk Management

Adopt and implement compliance and enterprise risk frameworks aimed at mitigating risk and vulnerabilities

With respect to ethics and compliance, the Plan's objective is:

4.1 Develop an enterprise compliance plan and program to ensure adherence to established processes, procedures, policies, and governing rules and regulations

Management supports the Program as an important and necessary step forward in LACERA's ethics and compliance risk management.

On January 3, 2024, the Board of Retirement reviewed the proposed Ethics and Compliance Program Charter, provided input, and referred it to the JOGC as the next step in achieving this priority of the Strategic Plan. On February 29, 2024, the JOGC provided input and voted to recommend that the Boards forward the Program to the Audit Committee for further development, subject to final approval by the Boards.



Ethics and Compliance are Strategic Concepts

- > Ethics**
- > Compliance**
- > Authority for E&C Program**

Ethics and Compliance are Strategic in Three Dimensions



Ethics and Compliance are:

 **Ways of Thinking and Acting** that are essential to LACERA's fiduciary duties of prudence and loyalty and its Mission to produce, protect, and provide the promised benefits to our members

 **Risks** to LACERA if not followed

 **Process** to support the Boards and management in:

- Oversight of important aspects of LACERA's operations
- Providing encouragement and support to the entire organization with regard to ethics and compliance
- Risk mitigation

Ethics Comes First



“Ethics” means the application of sound judgment and the diligent practice of LACERA’s Values of accountability, collaboration, inclusivity, innovation, integrity, and transparency in order to always **do the right thing**, even when hard or inconvenient, in furtherance of the fund's fiduciary duty and its Mission to produce, protect, and provide the promised benefits to our members.

Ethics comes first because decisions must be based on what *should* be done under applicable standards, not just what *can* be done.



Ethics is the **compass** when navigating decisions.

Values

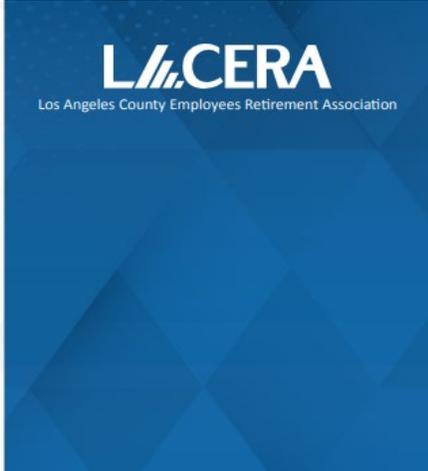
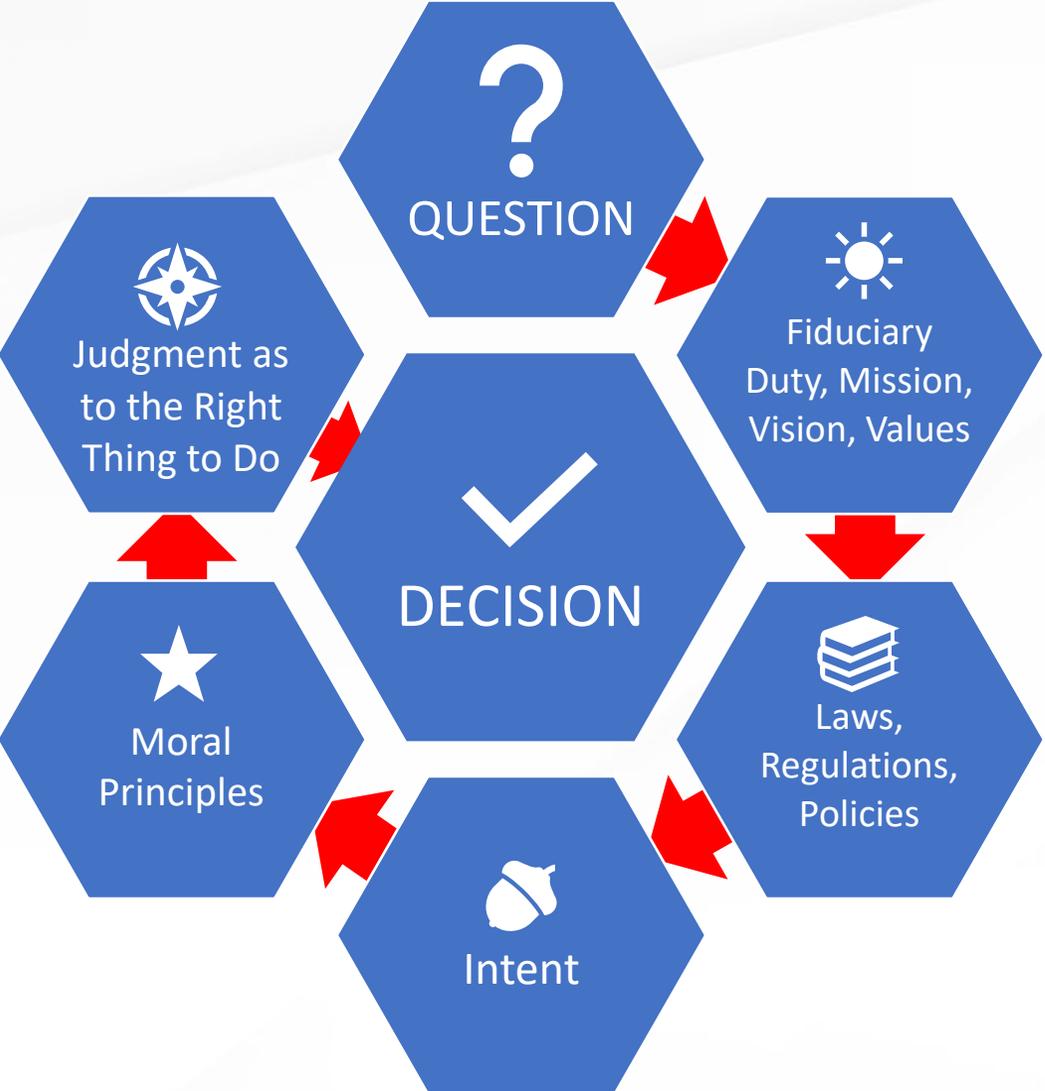
- Accountability**
We take responsibility for our actions and operate with the highest standards of professionalism and respect in fulfilling our mission and duty as prudent stewards of the pension funds.
- Collaboration**
We are One LACERA. We promote unity by successfully working together across all levels of the organization to deliver on our strategic priorities and objectives.
- Inclusivity**
We welcome all individuals, honoring and respecting their unique stories and perspectives, and celebrating the value that diversity adds to the organization in meeting our mission and strategic priorities.
- Innovation**
We cultivate a creative, forward-thinking approach in seeking out new ideas and novel solutions to continually improve our operations and the member experience.
- Integrity**
We earn trust by our dedication to honesty, fairness, and ethical conduct in the service of our members and each other.
- Transparency**
We clearly communicate our knowledge, ideas, and expertise in an open, fair, and honest environment, resulting in better organizational outcomes and decision-making.

Ethical Decision-Making

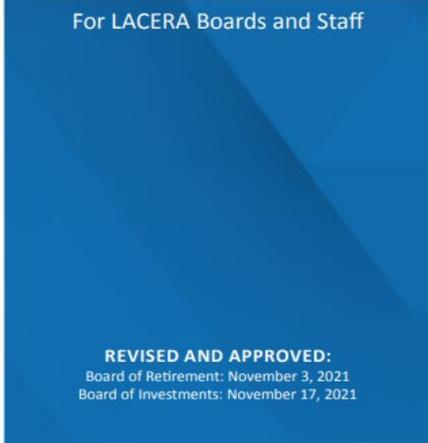


“Ethics is knowing the difference between what you have a right to do and what is right to do.”

-U.S. Supreme Court Justice Potter Stewart



CODE OF ETHICAL CONDUCT





✘ Misconduct/Violations of Laws, Regulations, Policies, and Procedures

📉 Shading of Standards

- Just within the line of compliance, but not consistent with ethical standards and norms
- Such conduct:
 - Increases the perception of being unethical,
 - Increases the risk of claims of violations, and
 - Weakens the fabric of ethics in the organization, and
 - Increases the risk of misconduct or substandard conduct in the performance of LACERA's fiduciary duty to members and beneficiaries
- Examples of Being Compliant but Unethical
 - Taking an allowed advantage or benefit that is not necessary to achieve business objective as fiduciaries



Acting Contrary to Public Service and Fiduciary Duty to Members and Beneficiaries

Business Case for Ethics as a Priority



Research* supports that strong ethics programs result in:

- ✓ Improved staff experience because an ethical culture fosters feelings of trust, purpose, and teamwork:
 - Enhanced staff morale and engagement
 - Aid in staff retention/loyalty and recruitment
- ✓ Improved organizational performance following from the positive effect on staff:
 - Improved productivity and efficiency
 - Increased member service and satisfaction
 - More innovation and adaptability to new initiatives
 - Reputational gains with all stakeholders
- ✓ Reduced misconduct, claims, and lawsuits; protection for trustees/management; increased internal reporting that in turn reinforces the other positive effects; and reduced organizational costs from misconduct and claims
- ✓ Improved Board and management oversight of and response to ethical issues

*Harvard Business Review, Gartner, numerous industry organizations, and other sources

Compliance is a Requirement



“Compliance” means adhering to the laws, regulations, policies, and procedures that govern LACERA – following the rules.

Compliance Risks

✘ Misconduct/Violation of Applicable Legal and Policy Standards

↑↓ Inconsistent Adherence and Interpretation of Standards

👥 Absence of Board and Management Awareness of Noncompliance

🧭 Lack of Understanding of Role of Ethics, Leading to Compliant but Unethical Conduct

Business Case for Compliance as a Priority



Research* supports that strong compliance structures lead to:

- ✓ Reduced misconduct, claims, and lawsuits; trustees/management protected when claims do arise; increased internal reporting reinforcing the other positive effects; and reduced organizational costs from misconduct and claims
- ✓ Greater Board and management knowledge and oversight of and response to compliance issues; proactive risk identification and mitigation, rather than reacting to problems after they have occurred, leading to improved organizational performance
- ✓ Improved staff experience from trust based on understanding and uniformity of standards and consistent treatment
- ✓ Additional benefits when compliance is linked to ethics as described on Slide 9

*Harvard Business Review, KPMG, Gartner, numerous industry organizations, and other sources

Authority for Ethics and Compliance Program –

(1) Fiduciary Duty of Oversight under Constitution, CERL



The Boards have “plenary authority and fiduciary responsibility for investment of moneys and administration of the system,” subject to:



DUTY OF LOYALTY “(b) ... solely in the interest of, and for the exclusive purposes of providing benefits to, participants and their beneficiaries ...”



DUTY OF PRUDENCE “(c) ... with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with these matters would use ...” in the conduct of a public pension system.

-- California Constitution, Art. XVI, Section 17; see CERL, Government Code §31595

Loyalty and Prudence require that the Boards have a process to oversee the ethical and compliant operation of LACERA and respond to ethics and compliance risks and issues. The fiduciary duty of oversight also applies to management and staff.

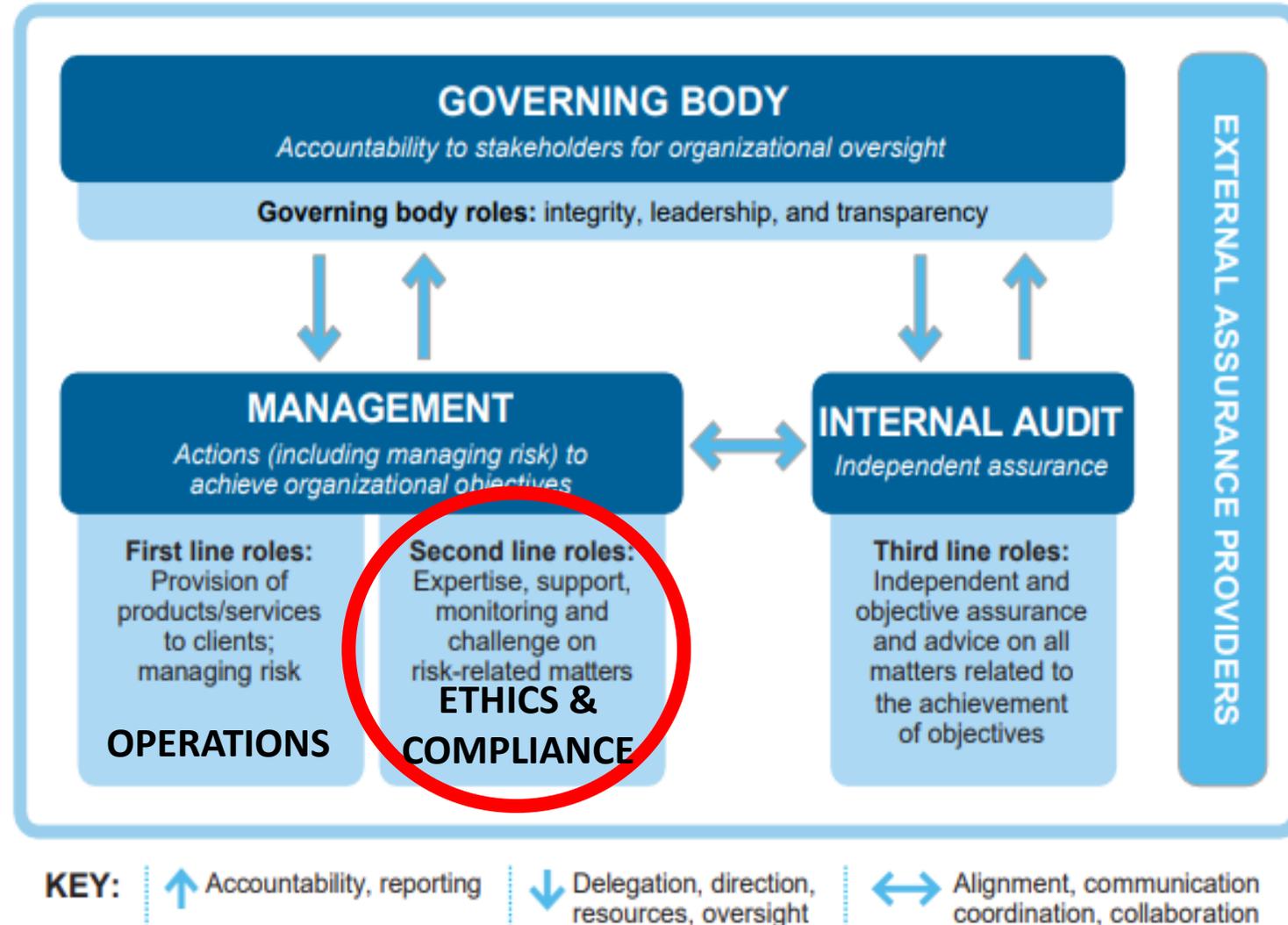
Authority for Ethics and Compliance Program –

(2) The Institute of Internal Auditors' *Three Lines Model*



The Institute of Internal Auditors' *Three Lines Model*:

- Under this model, compliance is the second line under management and the Boards as a separate function to provide support in the management of risk associated with ethical conduct and compliance in LACERA's operations.
- The first line under management is delivery of services in LACERA's divisions ethically and in compliance with applicable requirements.
- The third line is Internal Audit, which provides independent assurance as to LACERA's controls in addressing risk and is complementary to the first and second lines.



Based on IIA's Three Lines Model (2020)

13

Authority for Ethics and Compliance Program –

(3) Guidance under Federal Sentencing Guidelines



In 1991, Federal Sentencing Guidelines for Organizations were promulgated, creating the first broad-based incentive for organizations to establish ethics and compliance programs. The Guidelines promise reduced fines following criminal violations if an organization has an effective ethics and compliance program. The Department of Justice continues to issue guidance in this area, most recently in 2023. This guidance is recognized as a best practices roadmap:



Is the Program Well-Designed?

- Risk Assessment
- Policies and Procedures
- Training and Communication
- Reporting
- Investigation Process
- Third-Party Management



Is the Program Adequately Resourced?

- Commitment and Oversight by Boards and Management
- Autonomy and Resources
- Consequence Management



Does the Program Work in Practice?

- Continuous Improvement, Testing, and Review of Program
- Investigation of Misconduct
- Identification, Analysis, and Remediation of Misconduct

As the Department of Justice has stated, “Beyond compliance structures, policies, and procedures, it is important for a company to **create and foster a culture of ethics and compliance** with the law. The effectiveness of a compliance program requires a high-level commitment by company leadership to implement a culture of compliance from the top.”

Authority for Ethics and Compliance Program –

(4) Securities and Exchange Commission



In 2008, the SEC issued a report of its investigation of potential securities laws violations by The Retirement Systems of Alabama (RSA) relating to use of material, nonpublic information (MNPI). The report reminded pension funds of their responsibilities under federal laws and warned them that they assume a greater risk of violations if they do not have adequate compliance policies and procedures in place.

“We issue this Report to remind investment managers, public and private, of their obligation to comply with the federal securities laws and the risks they undertake by operating without an adequate compliance program. **RSA's conduct could have been prevented with appropriate policies, procedures and training.**”

Report of Investigation Pursuant to Section 21(a) of the Securities Exchange Act of 1934: The Retirement Systems of Alabama, <https://www.sec.gov/litigation/investreport/34-57446.htm>.

Authority for Ethics and Compliance Program – (5) Developing Practice among Peer Public Pensions



Systems

An increasing number of LACERA's peer public pension systems have ethics and compliance programs, plans, and staff. For example:



37 Act Systems:

CCCERA

OCERS

SDCERA



Other California Systems:

CalPERS

CalSTRS

SDCERS



Other Systems:

Florida State Board of Administration

Iowa Public Employees' Retirement System

MassPRIM

Oregon Public Employees Retirement System

State of Michigan Investment Board

State of Wisconsin Investment Board

Teacher Retirement System of Texas

Tennessee Consolidated Retirement System

Virginia Retirement System

Washington State Investment Board

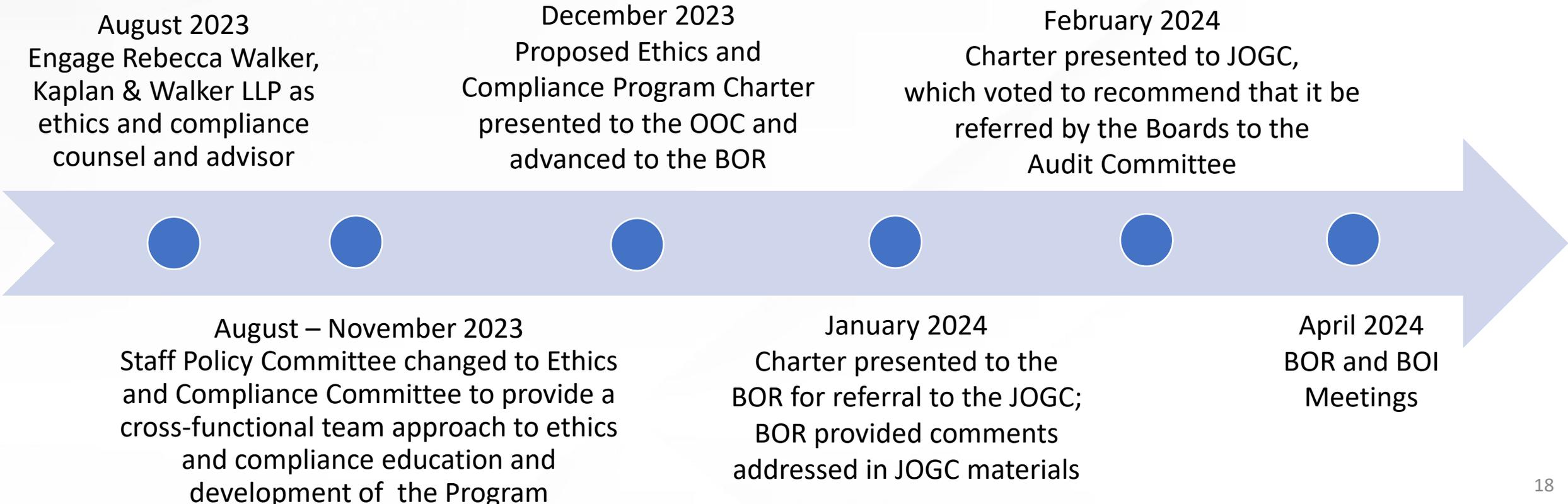


LACERA's Proposed Ethics and Compliance Program

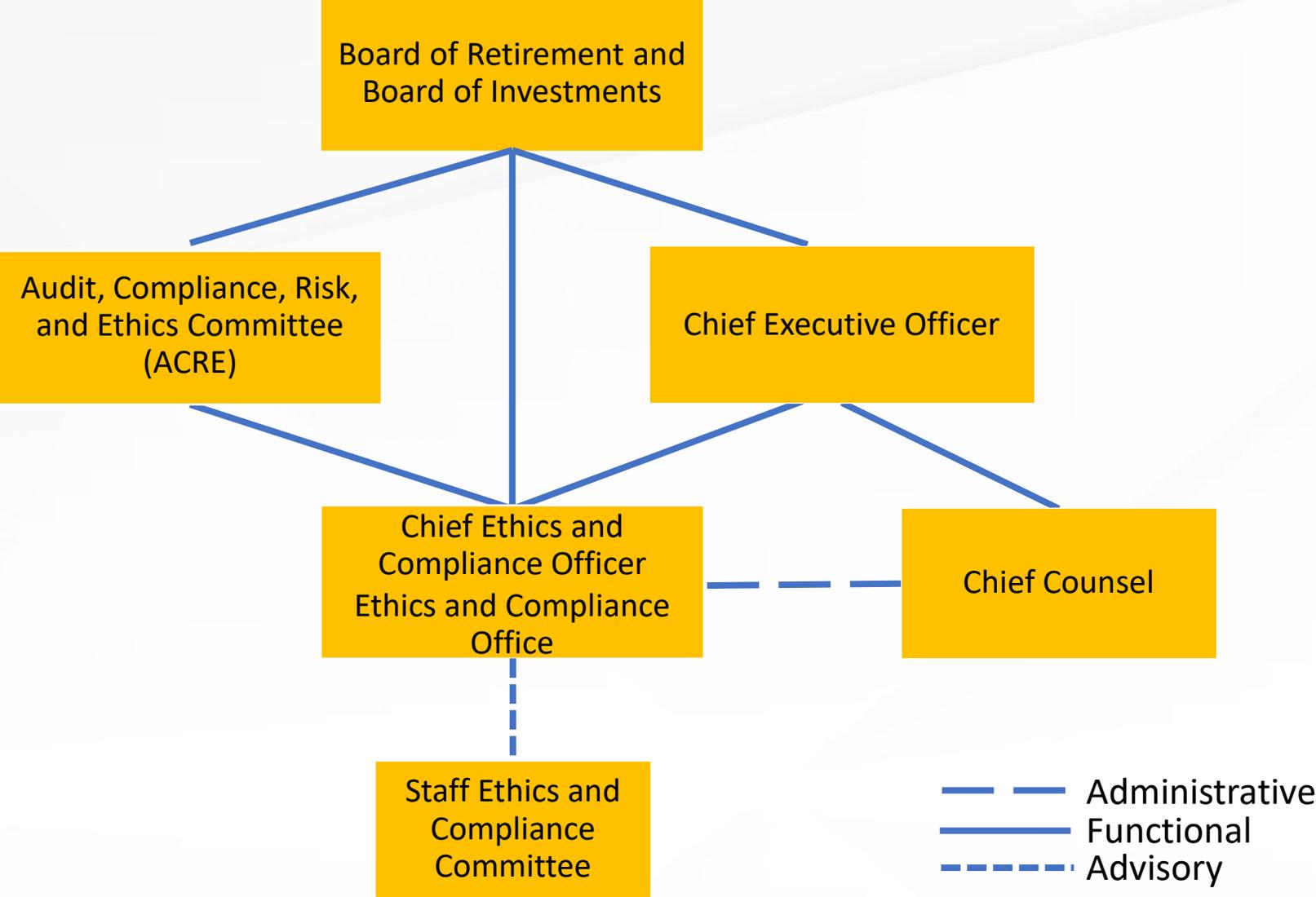
Progress



The approved BOR Strategic Plan provides that the first step in addressing compliance is to form a working group across divisional lines to develop a compliance charter. The attached Charter was developed with review by the staff Ethics and Compliance Committee and other management and staff input.



Proposed Ethics and Compliance Structure



Under the attached proposed Program Charter:

The Chief Ethics and Compliance Officer will have independent authority to report functionally to the Audit Committee (to be renamed the Audit, Compliance, Risk and Ethics (ACRE) Committee), the Boards, and the CEO. The Chief Ethics and Compliance Officer will report administratively to Chief Counsel.

The Chief Ethics and Compliance Officer will lead an Ethics and Compliance Office within the Legal Division and will rely on the staff Ethics and Compliance Committee for advice and to share and receive information to enhance staff engagement and culture.

Roles of ACRE Committee, Boards, CEO, and Chief Counsel



- **ACRE Committee** – The Committee:
 - Provides functional oversight of the Program
 - Receives reporting of information on ethics and compliance issues and concerns to enable the Committee to monitor the Program and respond
 - Provides input and approval on the hiring, firing, discipline, and annual performance evaluation of the Chief Ethics and Compliance Officer
- **Boards** – Provide functional oversight of the Program, receive reports and recommendations from the ACRE Committee and directly from the Chief Ethics and Compliance Officer, and respond to ethics and compliance issues; input and approval on Chief Ethics and Compliance Officer hiring, firing, discipline and annual performance evaluation
- **CEO** – Provides functional oversight of the Program, receives regular reporting on ethics and compliance issues, and responds to issues as indicated; reports are also made to senior leaders as needed; hiring, firing, and discipline and annual performance evaluation with ACRE Committee and Board input and approval
- **Chief Counsel** – Provides administrative oversight and support of the Chief Ethics and Compliance Officer and the E&C Program within the Legal Office

Roles of Managers, Supervisors, and Staff



- **Managers and supervisors** have a duty to communicate and model ethical standards and to follow all laws, regulations, policies, procedures, and business practices, including LACERA's Code of Ethical Conduct. They are to promote a culture of ethics and compliance by providing a space to report concerns without retaliation.
- **Staff members**, including permanent staff, temporary workers, and contractors, are responsible to perform day-to-day work with the highest ethical standards and to follow all laws, regulations, policies, procedures, and business practices, including the Code of Ethical Conduct. Staff has a duty to report concerns.

Peer System Reporting Lines for E&C



General Counsel is also Chief Compliance Officer:

SDCERS
Teacher Retirement System of Texas
State of Michigan Investment Board

Compliance Reports to General Counsel or in Legal Office:

OCERS
SDCERA (also has direct CEO involvement)
CalPERS
CalSTRS
Iowa Public Employees' Retirement System
(compliance officers in Legal Division)
State of Wisconsin Investment Board

Compliance Reports to Executive Director/CEO:

CCCERA
Florida State Board of Administration
Oregon Public Employees Retirement System
(combined with Internal Audit and Risk)
Virginia Retirement System

Compliance Overseen by Deputy Director/COO:

MassPRIM (General Counsel oversees legal compliance)
Tennessee Consolidated Retirement System
(counsel leads combined Legal, Compliance, and Internal Audit unit)
Washington State Investment Board



LACERA's proposed Program is on this side of the spectrum because of its strong ACRE Committee, Board, and CEO functional oversight

Scope of Program Activities



- Program will provide a structure for ethics and compliance support for all of LACERA
- Based on LACERA's needs and best practices, the Chief Ethics and Compliance Officer will be responsible for the following activities:
 - Ethics and Compliance Program Framework
 - Ethics and Compliance Risk Assessment
 - Monitoring of Ethics and Compliance in LACERA's Operations and Business Practices
 - Review of Policies and Procedures
 - Vendor/Third Party Ethics, Compliance, and Risk
 - Privacy
 - Training, Communications, and Incentives relating to Ethics and Compliance Issues
 - Channels for Staff to Report Ethics and Compliance Concerns
 - Investigation, Response, Remedial Measures, and Commitment to Non-Retaliation
 - Measure Organizational Culture of Ethics and Compliance
 - Regular Reporting to the CEO, Senior Leaders, ACRE Committee, and Boards
 - Annual Self-Assessment and Review of Program, including Internal Audit and External Review

Program Responsibilities and Accountability



In addition to implementation of the E&C Program, the Chief E&C Officer will have the responsibility and accountability to regularly report to the ACRE Committee, Boards, CEO, and senior leaders regarding:

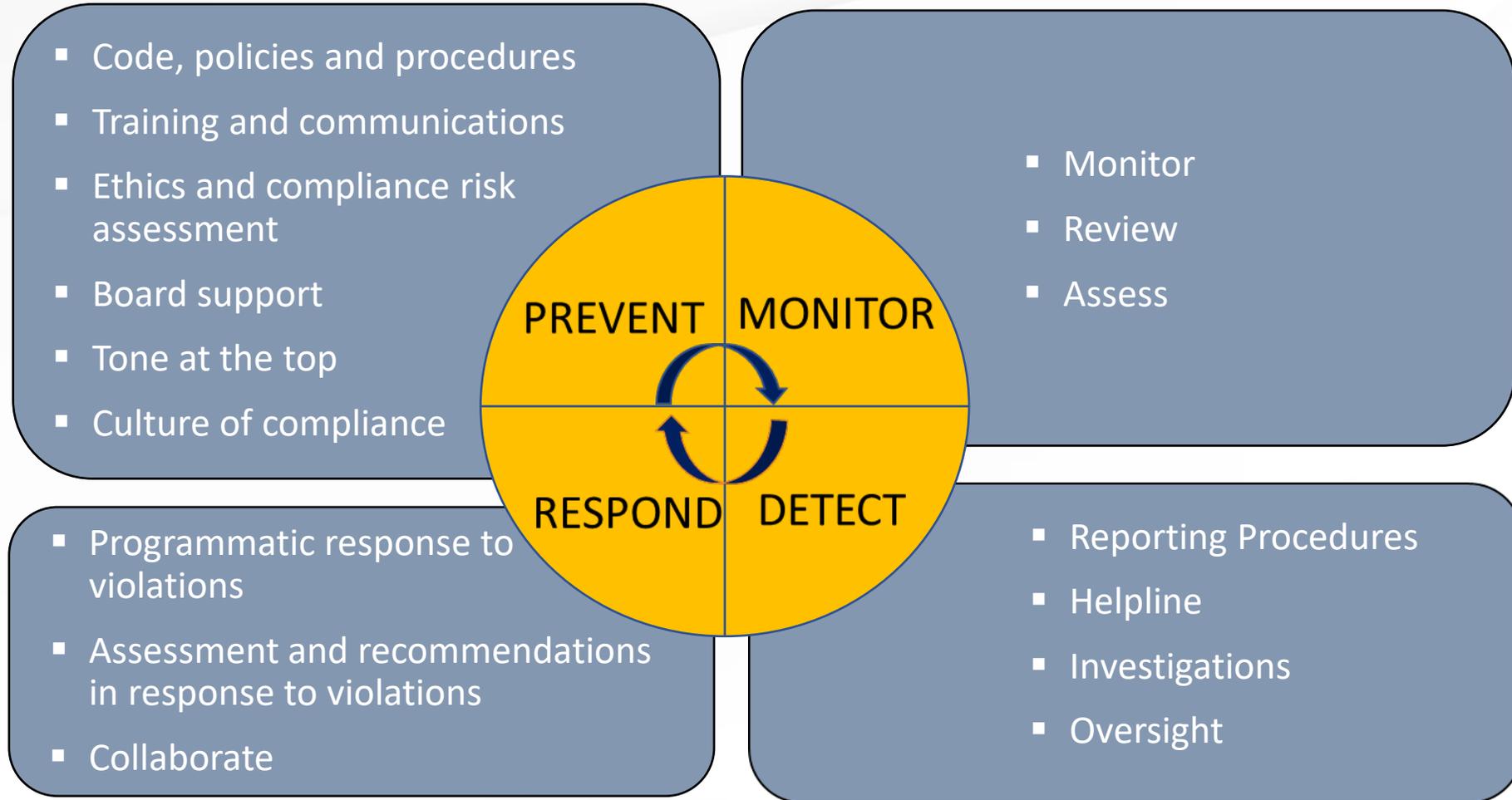
- Selection, training, and development of Ethics and Compliance Office staff
- Development of processes for LACERA staff input and involvement in the Program, including the staff Ethics and Compliance Committee
- Annually present E&C Program work plan for approval to the ACRE Committee, Boards, and CEO
- Annually provide a budget for the Program
- Provide quarterly reports to the ACRE Committee, Boards, and CEO on:
 - Investigations
 - Policies and procedure review
- Provide annual reports on:
 - The status of the Program and LACERA's overall ethics and compliance performance
 - Privacy of member information and other organizational information
 - Self-assessment and Program reviews



Outside Counsel Perspective

Rebecca Walker, Kaplan & Walker LLP
Ethics and Compliance Counsel and Advisor

Ethics and Compliance Program Structure





Level of Oversight Will Vary with Risk Ownership

Levels of Oversight by Ethics & Compliance (“EC”)



Direct Ownership

- EC owns and defines policies & procedures
- EC establishes controls, which may be implemented centrally or regionally/locally
- EC defines and monitors metrics



Shared Ownership

- Risk owner defines policies and procedures in collaboration with EC
- Risk owner establishes controls, while EC tests design and effectiveness of the controls on a periodic basis
- EC agrees with risk owners on metrics to be reported on a defined cadence (monthly)
- EC provides significant support to risk owners



Moderate Oversight

- EC agrees with risk owners on metrics to be reported on a defined cadence (at least quarterly)
- EC provides moderate compliance oversight evaluation support to risk owners



Minimal Oversight

- Only significant issues/regulatory inquiries are escalated
- Limited metrics may be reported on a defined cadence (at least annually)

When EC assumes direct or shared ownership of compliance risks

1. Higher-level legal risks
2. No clear owner
3. EC has appropriate expertise
4. Need for objectivity
5. Need for centralized approach to controls



Internal Audit Perspective

Richard Bendall

Chief Audit Executive

Internal Audit Perspective on the Additive Value of a Formal Ethics and Compliance Function



1. Governance Risk and Control (GRC) Maturity

- Moving the mark from Initial/Repeatable to Defined/Managed and ultimately to Optimized
- Other specific enhancements to the control environment include:
 - ✓ Enhanced risk assessment
 - ✓ Improved control environment
 - ✓ Increased transparency and accountability
 - ✓ Early detection of issues
 - ✓ Regulatory alignment
 - ✓ Stakeholder confidence
 - ✓ Cost savings
 - ✓ Continuous improvement
 - ✓ Strategic alignment

2. Support for Audit Activities

- A well-established ethics and compliance function provides valuable documentation and evidence that can support Internal Audit activities, helping to focus and streamline audit processes and enhance their effectiveness



Next Steps

Next Steps



At its February 29, 2024 meeting, JOGC recommended that the Boards forward the proposed Ethics and Compliance Program Charter to the Audit Committee for further development to be returned to the Boards for final approval.



ATTACHMENT B

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Ethics and Compliance Program Charter

LACERA ETHICS AND COMPLIANCE PROGRAM CHARTER

I. STATEMENT OF PURPOSE

The LACERA Ethics and Compliance Program (Program) promotes a strong and engaged culture of ethical conduct and compliance with all applicable laws, regulations, policies, and procedures across the organization.

At LACERA, ethics comes first. “Ethics” means the application of sound judgment and the diligent practice of LACERA’s Values of accountability, collaboration, inclusivity, innovation, integrity, and transparency in order to do the right thing, even when hard or inconvenient, in furtherance of the fund’s fiduciary duty and Mission to produce, protect, and provide the promised benefits to our members. Compliance is also a requirement. “Compliance” means adhering to laws, regulations, policies, and procedures. Ethics guides LACERA’s compliance – and both are necessary – because decisions must be made based on what *should* be done under applicable standards, not just what *can* be done.

The Program supports the Audit, Compliance, Risk, and Ethics (ACRE) Committee, the Board of Retirement and Board of Investments, and management in performing their duty of oversight by providing an independent structure for assessment, mitigation, and reporting of LACERA’s ethics and compliance risks throughout the organization. The Program seeks to provide ongoing assurance and accountability, in a changing environment, that LACERA’s operations are conducted in an ethical and compliant manner. The Program promotes continuous improvement of LACERA’s ethics and compliance controls.

II. AUTHORITY

LACERA’s Program is established under [Article XVI, Section 17 of the California Constitution](#) and [California Government Code Section 31595 of the County Employees Retirement Law of 1937 \(CERL\)](#) in furtherance of the Boards’ fiduciary duty of prudence and loyalty, which requires oversight of the organization’s ethics and compliance. The Program is consistent with LACERA’s Mission, Vision, and Values.

A formal Ethics and Compliance Program is one of the goals of the [Board of Retirement’s “2023-2028 Strategic Plan”](#) for LACERA and is supported by best practices in the public pension community.

The Program is consistent with [The Institute of Internal Auditors’ “Three Lines Model” publication \(2020\)](#), which describes a dedicated ethics and compliance function within management as a second line to supplement the first line of ethics and compliance in LACERA’s operational divisions. A third line of independent assurance outside management is provided by the Internal Audit Division.

The Program also aligns with the principles outlined in the [United States Sentencing Commission's Sentencing Guidelines for Organizations \(2023\)](#), which is the most influential of applicable compliance program standards, and the [U.S. Department of Justice "Evaluation of Corporate Compliance Programs" \(March 2023\)](#), which describes the factors used to determine the adequacy and effectiveness of an organization's compliance program by federal prosecutors in the context of a criminal investigation.

III. ROLES AND REPORTING STRUCTURE

- The ACRE Committee approves this Charter, under authority granted by the Board of Retirement and Board of Investments (Boards) in the ACRE Committee Charter.
- The ACRE Committee, the Board of Retirement and Board of Investments, and the Chief Executive Officer (CEO) oversee the Program, with reporting as provided in this Charter and in the ACRE Committee Charter.
- The Program resides in a separate Ethics and Compliance Office in the Legal Division. The Program is led by the Chief Ethics and Compliance Officer (CECO), who has the authority and responsibility to independently implement the Program. The CECO reports administratively to the Chief Counsel and functionally to the ACRE Committee, both Boards, and the CEO.
 - Functionally, the CECO reports to the ACRE Committee, both Boards, and the CEO with respect to the activities of the Program as provided in this Charter and the ACRE Committee Charter to facilitate the ACRE Committee, Board, and CEO oversight of ethics and compliance risk mitigation. The CEO will be the CECO's appointing authority. The ACRE Committee and the Boards will respond to substantive ethics and compliance issues presented by the CECO; approve the CEO's hiring, firing, and discipline of the CECO and contribute to the CEO's performance evaluation of the CECO; and approve the Ethics and Compliance Office budget for inclusion in LACERA's annual administrative budget.
 - Administratively, the Chief Ethics and Compliance Officer reports to the Chief Counsel, who will support the day to day operations of the Ethics and Compliance Office and serve as a resource and provide guidance to the CECO on ethics and compliance issues when requested by the CECO. The CECO has direct and independent access to the ACRE Committee, both Boards, and the CEO.
- The CEO and Chief Counsel will not be involved in any matter as to which they have a conflict of interest. In the event of such a conflict, the CECO will consult with the ACRE Committee and/or the Boards. In the event the CECO has a

conflict, the Chief Counsel – or the CEO if Chief Counsel has a conflict – will confer with the ACRE Committee and/or the Boards as to the proper handling of the matter.

IV. OBJECTIVES

- **Ethics and Compliance Objectives.**

The Program independently and objectively performs the following functions:

- A. Establish and maintain the Program as a sound and durable structure in LACERA;
- B. Conduct ethics and compliance risk assessments;
- C. Monitor, review, evaluate, and make recommendations to improve ethics and compliance in LACERA's operational processes and business practices;
- D. Review and provide input on ethics and compliance-related policies and procedures;
- E. Promote third-party/vendor risk management practices;
- F. Protect privacy of member information and confidentiality of other sensitive information in LACERA's possession;
- G. Provide ethics and compliance training and communications;
- H. Maintain channels for LACERA staff and others to report suspected misconduct and seek guidance on ethics and compliance matters;
- I. Respond to, investigate, or participate in investigations, and make recommendations regarding ethics and compliance concerns;
- J. Measure and promote an organizational culture of ethics and compliance;
- K. Report to the CEO and other senior leaders, the ACRE Committee, and the Boards regarding the Program and organizational ethics and compliance; and
- L. Annually self-assess, and adjust as needed, subject to periodic independent review of the Program.

- **Consulting and Advisory Objectives.**

The Program will work collaboratively with other divisions, management, the ACRE Committee, and the Boards to provide formal or informal consultation and advice to identify, evaluate, and mitigate potential ethics and compliance risks and make recommendations regarding ethics and compliance controls.

V. STANDARDS FOR INDEPENDENT AND OBJECTIVE OPERATION OF THE PROGRAM

- Charters. The Program is designed and implemented in accordance with the terms of this Charter and the ACRE Committee Charter.
- Best Practices and Education. The Program incorporates best practices among public pension ethics and compliance programs and is designed and

implemented considering LACERA's fiduciary duty and other legal responsibilities as outlined in Section II above. The CECO and other participants in the Program will regularly seek continuing education in ethics and compliance.

- *Independence and Objectivity.* The Program operates independently and objectively. Annually, the CECO will assure the CEO, the ACRE Committee, and the Boards that the Program is operating independently and without interference or conflicts of interest. The CECO will immediately disclose to the CEO, the ACRE Committee, and the Boards all actual or attempted interference with the Program and all conflicts of interest.
- *Collaboration.* The Program will employ a collaborative approach with all LACERA divisions, while maintaining independence and objectivity.

VI. AUTHORITY FOR ACCESS

The CECO and Ethics and Compliance Office staff, with strict accountability for confidentiality and the safeguarding of records and information, are authorized to have full, free, and unrestricted access to any and all of LACERA's hard copy and electronic records, data maintained within information technology systems or databases, physical properties, and personnel pertinent to carrying out the work of the Program, excluding the work papers of Internal Audit. All LACERA staff are required to cooperate with and assist the CECO and the Ethics and Compliance Office in connection with work in the scope of the Program's roles and responsibilities.

The CECO has unrestricted access to communicate directly with the CEO, the ACRE Committee, and the Boards, subject to the requirements of the Ralph M. Brown Act and other applicable laws, regulations, policies, and procedures.

VII. SCOPE OF PROGRAM ACTIVITIES

The Program seeks to identify and address ethics and compliance risks; monitor and evaluate operations for compliance and ethical conduct; review and provide input on policies, procedures, and practices; maintain channels for LACERA staff and others to report suspected misconduct and seek guidance; provide training to ensure organizational ethics and compliance awareness; investigate potential issues and violations and make recommendations; and advise and report on LACERA's ethics and compliance to the ACRE Committee, the Boards, the CEO, and other senior leaders. The Program's activities will be implemented in accordance with all applicable laws, regulations, policies, and procedures. The Program includes consulting and advisory work, as requested. The CECO may hire outside advisors when necessary and approved by the ACRE Committee or the Boards.

- A. *Ethics and Compliance Program Framework.* The Program will be adequately resourced and staffed within the Ethics and Compliance Office. The Program's authority and independence will be protected through the CECO's direct reporting to the CEO, ACRE Committee, and the Boards. The multi-divisional staff Ethics and Compliance Committee will assist the CECO in operationalizing the Program and by providing staff input and support. The Program will also use other methods to promote and obtain staff input, involvement, and engagement.
- B. *Ethics and Compliance Risk Assessment.* The Program will perform ethics and compliance risk assessments no less than every two years to review organization-wide conduct, operations, and processes to proactively anticipate, identify, evaluate, and monitor potential ethics and compliance risks, control gaps and key risk indicators. Assessment findings will be reported to the CEO, other senior leaders, the ACRE Committee, and the Boards, along with recommendations on upgrading current or establishing new controls to mitigate any identified ethics and compliance risks.
- C. *Monitoring.* The Program will review and monitor LACERA's relevant operations, business practices, and key risk indicators, as appropriate, to promote organization-wide compliance with laws, regulations, policies, procedures, and standards of ethical conduct. The Program will make recommendations for improvement in compliance and ethics controls, working collaboratively with all divisions to receive and provide information.
- D. *Review of Policies and Procedures.* The Program, with the participation of the Ethics and Compliance Committee, will review and provide input on new and revised policies before they are finalized and will ensure that existing policies are reviewed on a regular cycle and updated as indicated. The Program will:
1. Serve as a resource for ethics and compliance questions or issues relating to the development of policies and procedures.
 2. Provide guidance on the drafting of policies and procedures, including the LACERA Code of Ethical Conduct and the Conflict of Interest Code.
 3. Identify operations or ethics and compliance control gaps and risks requiring new policies and procedures and recommend new policies and procedures as indicated.
 4. Determine the timing for periodic review and updating of policies and procedures as indicated by the scope of the particular policy or procedure.
- E. *Vendor/Third-Party Ethics, Compliance, and Risk Management.* The Program will review and monitor ethics and compliance controls for vendor management and other third-parties with which LACERA does business, including ethics and compliance risk management, ethics and compliance controls related to

procurement and contracting, background checks, performance of and ethics and compliance-related contract requirements, investigation of ethics and compliance-related concerns, and enforcement of ethics and compliance-related rights.

- F. Privacy. The Program will review and monitor ethics and compliance controls to protect the privacy of member information and confidentiality of other information created, received, or shared by LACERA, including internal and external vendor activities. The CECO will serve as LACERA's Privacy Officer.
- G. Training, Communications, and Incentives. The Program will provide risk-based compliance training and communications to the ACRE Committee, the Boards, the CEO, and staff on LACERA's governing laws, regulations, policies, and procedures on a regular cycle. The Program will also provide training and communications on LACERA's values and ethics, including LACERA's Code of Ethical Conduct, Conflict of Interest Code, and other ethical standards and expectations. The Program will include training and communications on the purpose and importance of an ethics and compliance program. The Program will coordinate with Human Resources in development of positive incentives for improving and modeling ethics and compliance and demonstrating ethical leadership.
- H. Channels for Staff to Report Ethics and Compliance Concerns. The Program will provide and monitor channels through which staff and others will be encouraged to report suspected misconduct and express other comments and concerns about organizational ethics and compliance.
- I. Program Investigation, Response, and Commitment to Non-Retaliation. The Program will evaluate, investigate, respond to, and recommend remediation or other resolution, as needed, of internal and external reports, comments, and concerns regarding ethics and compliance and any alleged violations of applicable laws, regulations, policies, procedures, and ethical standards. The Program will promote understanding of LACERA's commitment to non-retaliation against those who express concerns or participate in investigations.
- J. Measure Organizational Culture of Ethics and Compliance. The Program will periodically conduct a survey of LACERA's culture of ethics and compliance to assist in measuring the success of organizational controls and promoting the Program's objectives.
- K. Reporting. The Program will report to the ACRE Committee, the Boards, and CEO concerning the Program's status and operations, including information to facilitate oversight of the Program and awareness of ethics and compliance risks and concerns. Reporting will also be made to senior leaders as needed

relating to ethics and compliance issues under their authority.

- L. Self-Assessment and Review of Program. The Program will conduct an annual self-assessment against the requirements of this Charter, best practices, and key performance indicators to provide quality assurance and improvement of the Program. The ACRE Committee and the Boards will also undertake a periodic independent review of the Program. The Internal Audit Division, when and as it deems appropriate within its authority, may independently review the adequacy of the Program as a system of control for ethics and compliance risks.

VIII. ACCOUNTABILITY OF THE PROGRAM

Under the leadership of the CECO, the Program shall be accountable to the ACRE Committee, Boards, and the CEO for the following:

- A. Program Staff. Select, train, develop, and retain competent Ethics and Compliance Office staff that collectively have the ability to meet the requirements of this Charter. Staff development procedures and changes in Program staff will be reported to the ACRE Committee and the Boards.
- B. LACERA Staff Input, Involvement, and Reporting. Develop a process for staff input into the Program, which will be reported to the ACRE Committee and the Boards. The process will include an Ethics and Compliance Committee (ECC) consisting of a cross-section of LACERA senior leaders to serve an advisory function to the CECO. The ECC will meet monthly to discuss ethics and compliance issues, review policies and procedures, maintain a list of ethics and compliance training opportunities, and discuss Program implementation issues.
- C. Annual Work Plan. Annually develop a written Program work plan, which is presented to the CEO and the ACRE Committee for review and approval and provide quarterly status reports thereafter. The approved work plans will also be provided to the Boards.
- D. Program Budget. Annually obtain approval from the ACRE Committee of a budget for the Ethics and Compliance Office for inclusion in the administrative budget approved by the Boards.
- E. Risk Assessment. Report to the ACRE Committee and the Boards the results of all risk assessments and key risk indicators.
- F. Investigations. Provide written reports to the ACRE Committee, Boards, and CEO of alleged ethics and compliance risks, concerns, and violations that are received, the results of any investigations, the recommended response, and the outcome.

- G. Policies and Procedures. Provide written quarterly reports to the ACRE Committee of any policy and procedure review and to the Boards on review related to their areas of authority.
- H. Vendor/Third-Party Ethics, Compliance, and Risk Management. Provide quarterly reports to the ACRE Committee on vendor/third-party ethics and compliance risks and organizational management and response and to the Boards related to their areas of authority.
- I. Annual Ethics and Compliance Report. Provide a written annual report to the ACRE Committee and the Boards regarding the status of the Program, the approved work plan, consulting, or advisory engagements, and LACERA's overall ethics and compliance performance. The report will document exceptions to ethics and compliance standards and the basis for the exceptions.
- J. Annual Privacy Report. Provide a written annual report to the ACRE Committee and the Boards regarding the status of LACERA's efforts to protect the privacy of member information and the confidentiality of other organizational information held internally and by LACERA vendors.
- K. Work Papers. The Program will preserve its work papers and other documentation pursuant to a protocol approved by the ACRE Committee and the CEO.
- L. Self-Assessment and Program Review. Provide the CEO, other senior leaders, and the ACRE Committee and the Boards with a written report of the annual Program self-assessment and review, including metrics based on key performance criteria, for review and discussion.

IX. RESPONSIBILITIES OF MANAGERS, SUPERVISORS, AND STAFF

Every LACERA manager, supervisor, and staff member, temporary worker, and contractor has a significant role in implementing and supporting the Program and in promoting ethical and compliant conduct in the organization.

- A. Managers and Supervisors. Every LACERA manager and supervisor has a duty to communicate and model the requisite ethical standards and to act in accordance with all laws, regulations, policies, procedures, and business practices, including but not limited to LACERA's Code of Ethical Conduct. In addition to modeling an ethics and compliance mindset and setting behavioral norms, managers and supervisors have a duty to promote a culture of ethics and compliance by creating a space for all staff members, temporary workers, and contractors to safely report any ethics and compliance concerns without retaliation.

Additional manager and supervisor responsibilities include, but are not limited to: collaborating with the Program on identification of ethics and compliance risks and the development of risk mitigation strategies; participating in compliance monitoring and control implementation to strengthen compliance; encouraging staff to identify and report ethics and compliance risks or violations; monitoring for retaliation; ensuring LACERA's commitment to nonretaliation; communicating with division staff about ethics and compliance; participating in ethics and compliance training; ensuring staff complete ethics and compliance training; encouraging employees to review and use the Code of Ethical Conduct and helping them understand their responsibilities under the Code; and escalating issues or reports of suspected misconduct to an appropriate resource.

- B. *Staff Members*. Every LACERA staff member, temporary worker, and contractor has a responsibility to perform day-to-day operations in accordance with the highest ethical standards and to adhere to all laws, regulations, policies, procedures, and business practices, including but not limited to LACERA's Code of Ethical Conduct. Every LACERA staff member, temporary worker, and contractor has a duty to report any activity or behavior that falls below those standards or does not comply with laws, regulations, policies, procedures, and business practices. Every LACERA staff member, temporary worker, and contractor is responsible and accountable for completing all assigned ethics and compliance training.

X. **RESOURCES**

- A. [Board of Retirement's "2023-2028 Strategic Plan"](#)
B. [Article XVI, Section 17 of the California Constitution](#)
C. [California Government Code Section 31595](#)
D. [The Institute of Internal Auditors' "Three Lines Model" publication \(2020\)](#)
E. [United States Sentencing Commission's *Sentencing Guidelines for Organizations* \(2023\)](#)
F. [U.S. Department of Justice "Evaluation of Corporate Compliance Programs" \(March 2023\)](#)

XI. **CHARTER REVIEW**

This Charter shall be annually reviewed by the ACRE Committee and the Boards until the Program is fully operational and the Ethics and Compliance Office is developed and staffed. Once those milestones are met, review shall be every three years by the ACRE Committee, unless otherwise indicated.

ATTACHMENT C

Redlined Copy

Ethics and Compliance Program Charter

LACERA ETHICS AND COMPLIANCE PROGRAM CHARTER

I. STATEMENT OF PURPOSE

The LACERA Ethics and Compliance Program (Program) promotes a strong and engaged culture of ethical conduct and compliance with all applicable laws, regulations, policies, and procedures across the organization.

At LACERA, ethics comes first. “Ethics” means the application of sound judgment and the diligent practice of LACERA’s Values of accountability, collaboration, inclusivity, innovation, integrity, and transparency in order to do the right thing, even when hard or inconvenient, in furtherance of the fund’s fiduciary duty and Mission to produce, protect, and provide the promised benefits to our members. Compliance is also a requirement. “Compliance” means adhering to laws, regulations, policies, and procedures. Ethics guides LACERA’s compliance – and both are necessary – because decisions must be made based on what *should* be done under applicable standards, not just what *can* be done.

The Program supports the Audit, Compliance, Risk, and Ethics (ACRE) Committee, the Board of Retirement and Board of Investments, and management in performing their duty of oversight by providing an independent structure for assessment, mitigation, and reporting of LACERA’s ethics and compliance risks throughout the organization. The Program seeks to provide ongoing assurance and accountability, in a changing environment, that LACERA’s operations are conducted in an ethical and compliant manner. The Program promotes continuous improvement of LACERA’s ethics and compliance controls.

II. AUTHORITY

LACERA’s Program is established under [Article XVI, Section 17 of the California Constitution](#) and [California Government Code Section 31595 of the County Employees Retirement Law of 1937 \(CERL\)](#) in furtherance of the Boards’ fiduciary duty of prudence and loyalty, which requires oversight of the organization’s ethics and compliance. The Program is consistent with LACERA’s Mission, Vision, and Values.

A formal Ethics and Compliance Program is one of the goals of the [Board of Retirement’s “2023-2028 Strategic Plan”](#) for LACERA and is supported by best practices in the public pension community.

The Program is consistent with [The Institute of Internal Auditors’ “Three Lines Model” publication \(2020\)](#), which describes a dedicated ethics and compliance function within management as a second line to supplement the first line of ethics and compliance in LACERA’s operational divisions. A third line of independent assurance outside management is provided by the Internal Audit Division.

The Program also aligns with the principles outlined in the [United States Sentencing Commission's Sentencing Guidelines for Organizations \(2023\)](#), which is the most influential of applicable compliance program standards, ~~and~~ ~~the~~ [U.S. Department of Justice "Evaluation of Corporate Compliance Programs" \(March 2023\)](#), which describes the factors used to determine the adequacy and effectiveness of an organization's compliance program by federal prosecutors in the context of a criminal investigation.

III. ROLES AND REPORTING STRUCTURE

- The ACRE Committee approves this Charter, under authority granted by the Board of Retirement and Board of Investments (Boards) in the ACRE Committee Charter.
- The ACRE Committee, ~~the Board of Retirement and Board of Investments~~, and the Chief Executive Officer (CEO) oversee the Program, with reporting ~~to the Boards~~ as provided in this Charter and in the ACRE Committee Charter.
- The Program resides in a separate Ethics and Compliance Office in the Legal Division. The Program is led by the Chief Ethics and Compliance Officer (CECO), who has the authority and responsibility to independently implement the Program. The CECO reports administratively to the Chief Counsel and functionally to the ACRE Committee, ~~both Boards~~, and the CEO.
 - Functionally, the CECO reports to the ACRE Committee, ~~both Boards~~, and ~~the~~ CEO with respect to the activities of the Program as provided in this Charter ~~and the ACRE Committee Charter~~ to facilitate the ~~CEO and~~ ACRE Committee, ~~Board, and CEO's~~ oversight of ethics and compliance risk mitigation. The CEO will be the CECO's appointing authority. The ACRE Committee ~~and the Boards~~ will respond to substantive ethics and compliance issues presented by the CECO; approve the CEO's hiring, firing, and discipline of the CECO and contribute to the CEO's performance evaluation of the CECO; and approve the Ethics and Compliance Office budget for inclusion ~~by the Boards~~ in LACERA's annual administrative budget.
 - Administratively, ~~the Chief Counsel Ethics and Compliance Officer~~ reports to the Chief Counsel, who will ~~support the day to day operations of the Ethics and Compliance Office and~~ serve as a resource and provide guidance to the CECO on ethics and compliance issues ~~as when~~ requested by the CECO ~~and support the day to day operations of the Ethics and Compliance Office~~. The CECO has direct and independent access to the ~~CEO, ACRE Committee, and~~ both Boards, ~~and the CEO~~.
- The CEO and Chief Counsel will not be involved in any matter as to which they

have a conflict of interest. In the event of such a conflict, the CECO will consult with the ACRE Committee and/or the Boards. In the event the CECO has a conflict, the Chief Counsel – or the CEO if Chief Counsel has a conflict – will confer with the ACRE Committee and/or the Boards as to the proper handling of the matter.

IV. OBJECTIVES

- **Ethics and Compliance Objectives.**

The Program independently and objectively performs the following functions:

- A. Establish and maintain the Program as a sound and durable structure in LACERA;
- B. Conduct ethics and compliance risk assessments;
- C. Monitor, review, evaluate, and make recommendations to improve ethics and compliance in LACERA's operational processes and business practices;
- D. Review and provide input on ethics and compliance-related policies and procedures;
- E. Promote third-party/vendor risk management practices;
- F. Protect privacy of member information and confidentiality of other sensitive information in LACERA's possession;
- G. Provide ethics and compliance training and communications;
- H. Maintain channels for LACERA staff and others to report suspected misconduct and seek guidance on ethics and compliance matters;
- I. Respond to, investigate, or participate in investigations, and make recommendations regarding ethics and compliance concerns;
- J. Measure and promote an organizational culture of ethics and compliance;
- K. Report to the CEO and other senior leaders, the ACRE Committee, and the Boards regarding the Program and organizational ethics and compliance; and
- L. Annually self-assess, and adjust as needed, subject to periodic independent review of the Program.

- **Consulting and Advisory Objectives.**

The Program will work collaboratively with other divisions, management, the ACRE Committee, and the Boards to provide formal or informal consultation and advice to identify, evaluate, and mitigate potential ethics and compliance risks and make recommendations regarding ethics and compliance controls.

V. STANDARDS FOR INDEPENDENT AND OBJECTIVE OPERATION OF THE PROGRAM

- Charters. The Program is designed and implemented in accordance with the terms of this Charter and the ACRE Committee Charter.

- *Best Practices and Education.* The Program incorporates best practices among public pension ethics and compliance programs and is designed and implemented considering LACERA's fiduciary duty and other legal responsibilities as outlined in Section II above. The CECO and other participants in the Program will regularly seek continuing education in ethics and compliance.
- *Independence and Objectivity.* The Program operates independently and objectively. Annually, the CECO will assure the CEO, ~~and~~ the ACRE Committee, and the Boards that the Program is operating independently and without interference or conflicts of interest. The CECO will immediately disclose to the CEO, ~~and~~ the ACRE Committee, and the Boards all actual or attempted interference with the Program and all conflicts of interest.
- *Collaboration.* The Program will employ a collaborative approach with all LACERA divisions, while maintaining independence and objectivity.

VI. **AUTHORITY FOR ACCESS**

The CECO and Ethics and Compliance Office staff, with strict accountability for confidentiality and the safeguarding of records and information, are authorized to have full, free, and unrestricted access to any and all of LACERA's hard copy and electronic records, data maintained within information technology systems or databases, physical properties, and personnel pertinent to carrying out the work of the Program, excluding the work papers of Internal Audit. All LACERA staff are required to cooperate with and assist the CECO and the Ethics and Compliance Office in connection with work in the scope of the Program's roles and responsibilities.

The CECO has unrestricted access to communicate directly with the CEO, the ACRE Committee, and the Boards, subject to the requirements of the Ralph M. Brown Act and other applicable laws, regulations, policies, and procedures.

VII. **SCOPE OF PROGRAM ACTIVITIES**

The Program seeks to identify and address ethics and compliance risks; monitor and evaluate operations for compliance and ethical conduct; review and provide input on policies, procedures, and practices; maintain channels for LACERA staff and others to report suspected misconduct and seek guidance; provide training to ensure organizational ethics and compliance awareness; investigate potential issues and violations and make recommendations; and advise and report on LACERA's ethics and compliance to the ACRE Committee, the Boards, the CEO, and other senior leaders. The Program's activities will be implemented in accordance with all applicable laws, regulations, policies, and procedures. The Program includes consulting and advisory work, as requested. The CECO may hire outside advisors when necessary and approved by the ACRE Committee or

the Boards.

- A. *Ethics and Compliance Program Framework.* The Program will be appropriately adequately resourced and staffed within the Ethics and Compliance Office. The Program's authority and independence will be protected through the CECO's direct reporting to the CEO, ACRE Committee, and the Boards. The multi-divisional staff Ethics and Compliance Committee will assist the CECO in operationalizing the Program and by providing staff input and support. The Program will also use other methods to promote and obtain staff input, involvement, and engagement.
- B. *Ethics and Compliance Risk Assessment.* The Program will perform ethics and compliance risk assessments no less than every two years to review organization-wide conduct, operations, and processes to proactively anticipate, identify, evaluate, and monitor potential ethics and compliance risks, control gaps and key risk indicators. Assessment findings will be reported to the CEO, other senior leaders, ~~and~~ the ACRE Committee, and the Boards, along with recommendations on upgrading current or establishing new controls to mitigate any identified ethics and compliance risks.
- C. *Monitoring.* The Program will review and monitor LACERA's relevant operations, business practices, and key risk indicators, as appropriate, to promote organization-wide compliance with laws, regulations, policies, procedures, and standards of ethical conduct. The Program will make recommendations for improvement in compliance and ethics controls, working collaboratively with all divisions to receive and provide information.
- D. *Review of Policies and Procedures.* The Program, with the participation of the Ethics and Compliance Committee, will review and provide input on new and revised policies before they are finalized and will ensure that existing policies are reviewed on a regular cycle and updated as indicated. The Program will:
1. Serve as a resource for ethics and compliance questions or issues relating to the development of policies and procedures.
 2. Provide guidance on the drafting of policies and procedures, including the LACERA Code of Ethical Conduct and the Conflict of Interest Code.
 3. Identify operations or ethics and compliance control gaps and risks requiring new policies and procedures and recommend new policies and procedures as indicated.
 4. Determine the timing for periodic review and updating of policies and procedures as indicated by the scope of the particular policy or procedure.
- E. *Vendor/Third-Party Ethics, Compliance, and Risk Management.* The Program will review and monitor ethics and compliance controls for vendor management

and other third-parties with which LACERA does business, including ethics and compliance risk management, ethics and compliance controls related to procurement and contracting, background checks, performance of and ethics and compliance-related contract requirements, investigation of ethics and compliance-related concerns, and enforcement of ethics and compliance-related rights.

- F. Privacy. The Program will review and monitor ethics and compliance controls to protect the privacy of member information and confidentiality of other information created, received, or shared by LACERA, including internal and external vendor activities. The CECO will serve as LACERA's Privacy Officer.
- G. Training, Communications, and Incentives. The Program will provide risk-based compliance training and communications to the ACRE Committee, the Boards, the CEO, and staff on LACERA's governing laws, regulations, policies, and procedures on a regular cycle. The Program will also provide training and communications on LACERA's values and ethics, including LACERA's Code of Ethical Conduct, Conflict of Interest Code, and other ethical standards and expectations. The Program will include training and communications on the purpose and importance of an ethics and compliance program. The Program will coordinate with Human Resources in development of positive incentives for improving and modeling ethics and compliance and demonstrating ethical leadership.
- H. Channels for Staff to Report Ethics and Compliance Concerns. The Program will provide and monitor channels through which staff and others will be encouraged to report suspected misconduct and express other comments and concerns about organizational ethics and compliance.
- I. Program Investigation, Response, and Commitment to Non-Retaliation. The Program will evaluate, investigate, respond to, and recommend remediation or other resolution, as needed, of internal and external reports, comments, and concerns regarding ethics and compliance and any alleged violations of applicable laws, regulations, policies, procedures, and ethical standards. The Program will promote understanding of LACERA's commitment to non-retaliation against those who express concerns or participate in investigations.
- J. Measure Organizational Culture of Ethics and Compliance. The Program will periodically conduct a survey of LACERA's culture of ethics and compliance to assist in measuring the success of organizational controls and promoting the Program's objectives.
- K. Reporting. The Program will report to the ACRE Committee, the Boards, and CEO concerning the Program's status and operations, including information to

facilitate oversight of the Program and awareness of ethics and compliance risks and concerns. Reporting will also be made to ~~the Boards and~~ senior leaders as needed relating to ethics and compliance issues under their authority.

- L. *Self-Assessment and Review of Program.* The Program will conduct an annual self-assessment against the requirements of this Charter, best practices, and key performance indicators to provide quality assurance and improvement of the Program. The ACRE Committee and the Boards will also undertake a periodic independent review of the Program. The Internal Audit Division, when and as it deems appropriate within its authority, may independently review the adequacy of the Program as a system of control for ethics and compliance risks.

VIII. ACCOUNTABILITY OF THE PROGRAM

Under the leadership of the CECO, the Program shall be accountable to the ACRE Committee, Boards, and the CEO for the following:

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- B. *LACERA Staff Input, Involvement, and Reporting.* Develop a process for staff input into the Program, which will be reported to the ACRE Committee and the Boards. The process will include an Ethics and Compliance Committee (ECC) consisting of a cross-section of LACERA senior leaders to serve an advisory function to the CECO. The ECC will meet monthly to discuss ethics and compliance issues, review policies and procedures, maintain a list of ethics and compliance training opportunities, and discuss Program implementation issues.
- C. *Annual Work Plan.* Annually develop a written Program work plan, which is presented to the CEO and the ACRE Committee for review and approval and provide quarterly status reports thereafter. The approved work plans will also be provided to the Boards.
- D. *Program Budget.* Annually obtain approval from the ACRE Committee of a budget for the Ethics and Compliance Office for inclusion in the administrative budget approved by the Boards.
- E. *Risk Assessment.* Report to the ACRE Committee and the Boards the results of all risk assessments and key risk indicators.
- F. *Investigations.* Provide written ~~quarterly qualitative~~ reports to the ACRE

Committee, Boards, and CEO on the subject matter of alleged ethics and compliance risks, concerns, and violations that are received, the results of any investigations, the recommended response, and the outcome.

- G. Policies and Procedures. Provide written quarterly reports to the ACRE Committee of any policy and procedure review and to the Boards on review related to their areas of authority.
- H. Vendor/Third-Party Ethics, Compliance, and Risk Management. Provide quarterly reports to the ACRE Committee on vendor/third-party ethics and compliance risks and organizational management and response and to the Boards related to their areas of authority.
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- L. Self-Assessment and Program Review. Provide the CEO, other senior leaders, and the ACRE Committee and the Boards with a written report of the annual Program self-assessment and review, including metrics based on key performance criteria, for review and discussion.

IX. RESPONSIBILITIES OF MANAGERS, SUPERVISORS, AND STAFF

Every LACERA manager, supervisor, and staff member, temporary worker, and contractor has a significant role in implementing and supporting the Program and in promoting ethical and compliant conduct in the organization.

- A. Managers and Supervisors. Every LACERA manager and supervisor has a duty to communicate and model the requisite ethical standards and to act in accordance with all laws, regulations, policies, procedures, and business practices, including but not limited to LACERA's Code of Ethical Conduct. In addition to modeling an ethics and compliance mindset and setting behavioral norms, managers and supervisors have a duty to promote a culture of ethics

and compliance by creating a space for all staff members, temporary workers, and contractors to safely report any ethics and compliance concerns without retaliation.

Additional manager and supervisor responsibilities include, but are not limited to: collaborating with the Program on identification of ethics and compliance risks and the development of risk mitigation strategies; participating in compliance monitoring and control implementation to strengthen compliance; encouraging staff to identify and report ethics and compliance risks or violations; monitoring for retaliation; ~~and~~ ensuring LACERA's commitment to nonretaliation; communicating with division staff about ethics and compliance; participating in ethics and compliance training; ensuring staff complete ethics and compliance training; encouraging employees to review and use the Code of Ethical Conduct and helping them understand their responsibilities under the Code; and escalating issues or reports of suspected misconduct to an appropriate resource.

- B. *Staff Members*. Every LACERA staff member, temporary worker, and contractor has a responsibility to perform day-to-day operations in accordance with the highest ethical standards and to adhere to all laws, regulations, policies, procedures, and business practices, including but not limited to LACERA's Code of Ethical Conduct. Every LACERA staff member, temporary worker, and contractor has a duty to report any activity or behavior that falls below those standards or does not comply with laws, regulations, policies, procedures, and business practices. Every LACERA staff member, temporary worker, and contractor is responsible and accountable for completing all assigned ethics and compliance training.

X. **RESOURCES**

- A. [Board of Retirement's "2023-2028 Strategic Plan"](#)
- B. [Article XVI, Section 17 of the California Constitution](#)
- C. [California Government Code Section 31595](#)
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- E. [United States Sentencing Commission's *Sentencing Guidelines for Organizations* \(2023\)](#)
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XI. **CHARTER REVIEW**

This Charter shall be annually reviewed by the ACRE Committee and the Boards until the Program is fully operational and the Ethics and Compliance Office is developed and staffed. Once those milestones are met, review shall be every three years by the ACRE Committee, unless otherwise indicated.



March 15, 2024

TO: Each Trustee
Board of Retirement

FROM: Tamara Caldwell, Manager 
Disability Retirement Services Division

FOR: April 3, 2024, Board of Retirement Meeting

SUBJECT: **DISMISS WITH PREJUDICE THE APPEAL OF DORIS TICSAY**

Ms. Doris Ticsay applied for a service-connected disability retirement on December 7, 2020. On September 6, 2023, the Board denied her application for service-connected disability retirement.

On February 26, 2024, Ms. Ticsay advised LACERA that she did not wish to proceed with the appeal for a service-connected disability retirement.

IT IS THEREFORE RECOMMENDED THAT THE BOARD:

Dismiss with prejudice Doris Ticsay's appeal for a service-connected disability retirement.

FJB: TC: rl

Ticsay, Doris.docx

Attachment

NOTED AND REVIEWED:

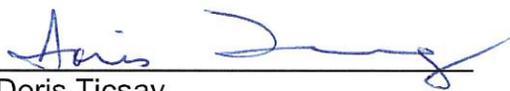

Francis J. Boyd, Sr. Staff Counsel

Date: 03/15/2024

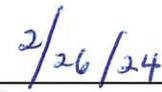
Doris Ticsay
Subject: Disability Retirement Appeal for Service-connected Disability
Date: January 11, 2024
Page 3

WITHDRAWAL OF APPEAL

I, Doris Ticsay, want to withdraw my disability retirement appeal filed on 10/05/2023. My appeal requested an administrative hearing with regard to the denial of application for disability retirement. **I understand that once the withdrawal is approved by the Board of Retirement, it becomes final, and no further action will or can be taken. The matter will be closed.**



Doris Ticsay



Date

March 11, 2024

TO: Each Trustee
Board of Retirement

FROM: Tamara Caldwell, Division Manager
Disability Retirement Services



FOR: April 3, 2024, Board of Retirement meeting

SUBJECT: SERVICE PROVIDER INVOICE APPROVAL REQUEST

On January 1, 2015, the Board of Retirement adopted a policy whereby staff is authorized to approve and pay vendor invoices up to a cumulative amount of \$15,000.00 per vendor. Invoices from vendors exceeding \$15,000.00 per case shall be submitted to the Board of Retirement for approval prior to payment.

Dr. Neil Ghodadra has completed his initial report and record review for the application of Evelyn J. Hall. and is requesting approval for payment of the attached invoice. This was an extensive case with 30.5 hours of record review. The total outstanding balance is \$15,892.50.

IT IS THEREFORE RECOMMENDED THAT the Board approve the service provider invoice for Neil Ghodadra, M.D.

TC:rl

Confidential Attachment



Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**

FOR INFORMATION ONLY

March 22, 2024

TO: Each Trustee,
Board of Retirement
Board of Investments

FROM: Steven P. Rice *SPR*
Chief Counsel

FOR: April 3, 2024 Board of Retirement Meeting
April 10, 2024 Board of Investments Meeting

SUBJECT: Succession Process Following the Retirement of BOR/BOI Trustee Knox
and BOI Trustee Santos

At the end of March 2024, both Trustee Keith Knox and Trustee Herman Santos retired after many years of public service on both Boards. Trustee Knox served as the ex officio member of the Board of Retirement (BOR) and Board of Investments (BOI) based on his position as the Los Angeles County Treasurer and Tax Collector (TTC). Trustee Santos, at the time of his retirement, served as the Third Member of the Board of Investments elected by general members. Both Trustee Knox and Trustee Santos also served on various board and joint board Committees.

This memo will summarize the process for filling their positions.

I. Ex Officio Member of Both Boards

A. Board Membership

Under the County Employees Retirement Law of 1937 (CERL), the sitting Los Angeles County Treasurer and Tax Collector is an ex officio member of both LACERA Boards. See Cal. Gov't Code §§ 31520.1, 31520.2. Membership on LACERA's Boards is automatically a part of the duties of the TTC position, and no action is required by LACERA. The Deputy TTC serves as the TTC's alternate.

Therefore, when Trustee Knox's successor is appointed by the Board of Supervisors, that person will become the ex officio member of both Boards. If there is an interim appointment pending selection of a permanent successor, the interim TTC will serve on the Boards. If there is any delay by the County in making interim or permanent appointments or in any other absence by the TTC, the Deputy TTC may serve on the LACERA Boards.

Trustee Knox was Vice Chair of the BOI at the time of his retirement. A new Vice Chair will be ratified by the BOI at its April 10, 2024 meeting in accordance with the BOI Board Officer Rotation Policy.

B. BOR and BOI Committee Membership

Trustee Knox was a member of the BOR's Operations Oversight Committee, Vice Chair of the BOI's Corporate Governance Committee, and alternate member of the BOI Real Assets Committee. These committee appointments were personal to Trustee Knox individually and are not filled by the Deputy TTC in his absence.

Under each Boards' Regulations or Bylaws, Charters, and the BOR's Standing Committee Charter, the Chairs of the Boards make appointments to the separate committees of their Board, including officer and alternate positions. Therefore, BOR Chair Kehoe will appoint a replacement for the Operations Oversight Committee, and BOI Chair Jones will appoint replacements for the Vice Chair of the Corporate Governance Committee and the alternate member of the Real Assets Committee.

C. Joint Committee Membership

Trustee Knox was also a member of two joint board committees – the Audit Committee and the Joint Organizational Governance Committee. Under the Audit Committee Charter, the TTC's membership on the Audit Committee is ex officio; therefore, the new interim and/or permanent TTC will fill Trustee Knox's position, and the Deputy TTC may sit in their absence. Under the JOGC Charter, Trustee Knox served on the JOGC because of his position as BOI Vice Chair; the TTC is not an ex officio member of that committee. The newly elected BOI Vice Chair will automatically serve on the JOGC in place of Trustee Knox.

II. Third Member of the Board of Investments

A. Board Membership, and General Member Elections

Under CERL, when there is a vacancy in an elected seat on the Board of Investments, an election to select a replacement shall be had at the earliest possible date. See Cal. Gov't Code § 31523.1. Under CERL, the County runs LACERA trustee elections. See Cal. Gov't Code §§ 31520.1, 31523.1. Assistant Executive Officer JJ Popowich contacted the County regarding the timing of an election. The County advised that, given the amount of time it takes to organize and conduct a general member election, the election to replace Trustee Santos will be folded into the already scheduled election in the summer of 2024, for seats that expire at the end of this year for both the BOR and BOI, which includes the Third Member seat vacated by Trustee Santos.

Trustee Santos's term of office was scheduled to end on December 31, 2024, which is less than six months from the expected date the replacement election will be held. Therefore, under Section 31523.1, the person who is elected for the Third Member seat may take office immediately upon certification of the election results and will serve the rest of Trustee Santos's term as well as the following three-year term. (Alternatively, if

the election is held more than six months before Trustee Santos's term is to end, then the newly elected trustee would only serve until December 31, 2024, and there be a separate Third Member election this year for the term beginning January 1, 2025. As noted above, we do not believe this alternate rule will apply given the County's expected timing for the election.)

Staff will update the Trustees on the election schedule and mechanics once the County has finalized its 2025 election plan. .

B. BOI Committee Membership

Trustee Santos was Chair of the BOI's Equity: Public/Private and a member of the Corporate Governance, Credit and Risk Mitigation, and Real Assets Committee. As stated above, each Board's Chair has the authority to make appointments to their Board's committees and committee officer and alternate positions. BOI Chair Jones will make an appointment to fill each of the BOI committee positions held by Trustee Santos.

C. Joint Committee Membership

Trustee Santos was elected by the BOI to the Audit Committee and the JOGC. The BOI will hold an election at its April 10, 2024 meeting to make new selections for the vacancies on those committees caused by Trustee Santos's retirement.

c: Santos H. Kreimann
Jonathan Gabel
Luis A. Lugo
JJ Popowich
Laura Guglielmo
Barry Lew

FOR INFORMATION ONLY

March 25, 2024

TO: Each Trustee
Board of Retirement
Board of Investments

FROM: Barry W. Lew 
Legislative Affairs Officer

FOR: April 3, 2024 Board of Retirement Meeting
April 10, 2024 Board of Investments Meeting

SUBJECT: **Monthly Status Report on Legislation**

Attached is the monthly report on the status of legislation that staff is monitoring. Bills on which LACERA has adopted a position are highlighted in yellow.

Reviewed and Approved:



Luis Lugo, Deputy Chief Executive Officer

Attachments

LACERA Legislative Report Index
LACERA Legislative Report

cc: Santos H. Kreimann
Luis Lugo
JJ Popowich
Laura Guglielmo
Steven P. Rice
Jon Gabel
Scott Zdrzil
Tony Roda, Williams & Jensen
Naomi Padron, MKP Government Relations

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1.

California Assembly Bill 148 (2023-2024)

CA A 148 | Budget | Pending | Fiscal Committee (Yes) | Urgency Clause (No) |
Senate Budget & Fiscal Review Committee

State Employment: State Bargaining Units: Agreements

Provides that existing law provides that a provision of a memorandum of understanding reached between the State employer and a recognized employee organization representing State civil service employees that requires the expenditure of funds does not become effective unless approved by the Legislature in the annual Budget Act. Approves provisions of agreements entered into by the State employer and State Bargaining Units 1, 3, 4, 5, 11, 12, 13, 14, 15, 17, 19, 20, and 21. Appropriates funds.

Code:

An act ~~relating to the Budget Act of 2023.~~ to amend Sections 7522.34, 19829.9851, 19829.9852, 19829.9853, 19878.5, and 22944.5 of, and to add Sections 19829.9854, 20677.61, 20677.92, 20677.93, 20677.94, and 22871.10 to, the Government Code, relating to public employment, and making an appropriation therefor, to take effect immediately, bill related to the budget.

Status:

Sep 13, 2023: Re-referred to SENATE Committee on BUDGET AND FISCAL REVIEW.

[+ Show full status history](#)



PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

2.

California Assembly Bill 597 (2023-2024)

CAA 597 | [Freddie Rodriguez \(D-053\)](#) | Failed | Fiscal Committee (Yes) | Urgency Clause (No) | ASSEMBLY

Workers' Compensation: First Responders: Stress

Provides that for certain State and local firefighting personnel and peace officers, the term injury includes post-traumatic stress that develops or manifests during a period in which the injured person is in the service. Requires the compensation awarded pursuant to this provision to include full hospital, surgical, medical treatment, disability indemnity, and death benefits. Makes this applicable to emergency medical technicians and paramedics for injuries on and after certain date.

Code:

An act to amend Section 3212.15 of the Labor Code, relating to workers' compensation.

Status:

Feb 1, 2024: From Committee: Filed with the Chief Clerk pursuant to Joint Rule 56.

Feb 1, 2024: In ASSEMBLY. Died pursuant to Art. IV, Sec. 10(c) of the Constitution.

[+ Show full status history](#)



WORKERS_COMPENSATION

| No tags, commentary, or attachment applied

3.

California Assembly Bill 738 (2023-2024)

CAA 738 | [Tom Lackey \(R-034\)](#) | Failed | Fiscal Committee (Yes) | Urgency Clause (No) | ASSEMBLY

State Actuarial Advisory Panel: Reports

Relates to existing law under which the State Actuarial Advisory Panel is required to report to the Legislature on or before February 1 of each year. Changes the deadline for that report to January 31 of each year.

Code:

An act to amend Section 7507.2 of the Government Code, relating to retirement.

Status:

Feb 1, 2024: From Committee: Filed with the Chief Clerk pursuant to Joint Rule 56.

Feb 1, 2024: In ASSEMBLY. Died pursuant to Art. IV, Sec. 10(c) of the Constitution.

[+ Show full status history](#)



PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

4.

California Assembly Bill 739 (2023-2024)

CAA 739 | [Tom Lackey \(R-034\)](#) | Failed | Fiscal Committee (Yes) | Urgency Clause (No) | ASSEMBLY

Public Retirement Systems: Defined Benefit Plans

Revises the conditions for suspending contributions to a public retirement system defined benefit plan to increase the threshold percentage amount of plan funding to more than 130%.

Code:

An act to amend Section 7522.52 of the Government Code, relating to public employees' retirement.

Status:

Feb 1, 2024: From Committee: Filed with the Chief Clerk pursuant to Joint Rule 56.

Feb 1, 2024: In ASSEMBLY. Died pursuant to Art. IV, Sec. 10(c) of the Constitution.

[+ Show full status history](#)



PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

5.

California Assembly Bill 817 (2023-2024)

CAA 817 | [Blanca Pacheco \(D-064\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (No) | SENATE

Open Meetings: Teleconferencing: Subsidiary Body

Provides that the Ralph M. Brown Act requires, with specified exceptions, each legislative body of a local agency to provide notice of the time and place for its regular meetings. Provides that existing law authorizes the legislative body of a local agency to use alternate teleconferencing provisions during a proclaimed state of emergency. Authorizes, until specified date, a subsidiary body to use alternative teleconferencing provisions and imposes requirements for notice, agenda, and public participation.

Code:

An act to add and repeal Section 54953.05 ~~to~~ of the Government Code, relating to local government.

Status:

Jan 25, 2024: In ASSEMBLY. Read third time. Passed ASSEMBLY. *****To SENATE.

[+ Show full status history](#)

 BROWN_ACT

| No tags, commentary, or attachment applied

6.

California Assembly Bill 1020 (2023-2024)

CA A 1020 | [Timothy S. Grayson \(D-015\)](#) | Enacted | Fiscal Committee (No) | Urgency Clause (No) | Chaptered | Effective Dates: 01/01/2024

County Employees Retirement Law 1937: Disability

Requires the presumption that the member's heart trouble arose out of and in the course of employment to be extended following termination of service for a prescribed length of time not to exceed specified number of months.

Code:

An act to amend Section 31720.5 of, to add Sections 31720.92, 31720.93, 31720.94, 31720.95, 31720.96, and 31720.97 to, and to add and repeal Section 31720.91 of, the Government Code, relating to county employees' retirement.

[Approved by Governor October 08, 2023. Filed with Secretary of State October 08, 2023.]

Status:

Oct 8, 2023: Chaptered by Secretary of State. Chapter No. 2023-554

Oct 8, 2023: Signed by GOVERNOR.

[+ Show full status history](#)

 **DISABILITY_RET**

Tags:

Staff_Recommendation: Neutral

IBLC_Recommendation: Neutral

BOR_Position: Neutral

7.

California Assembly Bill 1107 (2023-2024)

CAA 1107 | [Devon J. Mathis \(R-033\)](#) | Failed | Fiscal Committee (Yes) | Urgency Clause (No) | ASSEMBLY

Workers' Compensation: Presumptive Injuries

Makes a workers' compensation system provision applicable to additional members and employees of the Department of Corrections and Rehabilitation, including members of the Office of Correctional Safety or the Office of Internal Affairs.

Code:

An act to amend Section 3212.15 of the Labor Code, relating to workers' compensation.

Status:

Feb 1, 2024: From Committee: Filed with the Chief Clerk pursuant to Joint Rule 56.

Feb 1, 2024: In ASSEMBLY. Died pursuant to Art. IV, Sec. 10(c) of the Constitution.

[+ Show full status history](#)



WORKERS_COMPENSATION

| No tags, commentary, or attachment applied

8.

California Assembly Bill 1156 (2023-2024)

CAA 1156 | [Mia Bonta \(D-018\)](#) | Failed | Fiscal Committee (Yes) | Urgency Clause (No) | ASSEMBLY

Workers' Compensation: Hospital Employees

Defines injury, for a hospital employee who provides direct patient care in an acute care hospital, to include infectious diseases, cancer, musculoskeletal injuries, post-traumatic stress disorder, and respiratory diseases. Includes the 2019 novel coronavirus disease (COVID-19) from SARS-CoV-2 and its variants, among other conditions, in the definitions of infectious and respiratory diseases.

Code:

An act to add Sections 3212.21, 3212.22, 3212.24, 3212.26, and 3212.28 to the Labor Code, relating to workers' compensation.

Status:

Feb 1, 2024: From Committee: Filed with the Chief Clerk pursuant to Joint Rule 56.

Feb 1, 2024: In ASSEMBLY. Died pursuant to Art. IV, Sec. 10(c) of the Constitution.

[+ Show full status history](#)



WORKERS_COMPENSATION

| No tags, commentary, or attachment applied

9.

California Assembly Bill 1246 (2023-2024)

CA A 1246 | [Stephanie Nguyen \(D-010\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) | Senate Inactive File

Public Employees' Retirement System Optional Settlement

Provides that existing law permits a member of the Public Employees' Retirement System to elect from among several other optional settlements for the purpose of structuring their retirement allowance. Permits a member who elected to receive a specified optional settlement at retirement, if the member's former spouse was named as beneficiary and a legal judgment awards only a portion of the interest in the retirement system to the member, to elect to add their new spouse as the beneficiary.

Code:

An act to amend Sections ~~21462 and 21481~~ 21462, 21481, and 75523 of the Government Code, relating to retirement.

Status:

Sep 11, 2023: In SENATE. From Special Consent Calendar. To Inactive File.

[+ Show full status history](#)

Hearing Dates:

04/01/2024 Senate Inactive File



PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

10.

California Assembly Bill 1379 (2023-2024)

CAA 1379 | [Diane Papan \(D-021\)](#) | Failed | Fiscal Committee (Yes) | Urgency Clause (No) | ASSEMBLY

Open Meetings: Local Agencies: Teleconferences

Relates to teleconferencing. Requires a legislative body electing to use teleconferencing to post agendas at a singular designated physical meeting location, as defined, rather than at all teleconference locations.

Code:

An act to amend ~~Section 53908 of~~ and repeal Section 54953 of the Government Code, relating to local government.

Status:

Feb 1, 2024: From Committee: Filed with the Chief Clerk pursuant to Joint Rule 56.

Feb 1, 2024: In ASSEMBLY. Died pursuant to Art. IV, Sec. 10(c) of the Constitution.

[+ Show full status history](#)



BROWN_ACT

| No tags, commentary, or attachment applied

11.

California Assembly Bill 1997 (2023-2024)

CAA 1997 | [Tina McKinnor \(D-061\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) | Assembly Public Employment and Retirement...

Teachers Retirement Law

Relates to the Teachers Retirement System and the Defined Benefit Program of the State Teachers Retirement Plan, which provides a defined benefit to members of the program, based on final compensation, creditable service, and age at retirement.

Redefines annualized pay rate to mean the salary a person could earn during a school term in a position subject to membership if creditable service were performed for that position on a full-time basis, to be determined pursuant to a publicly available pay schedule.

Code:

An act to amend and repeal Section 22119.2 of, to amend, repeal, and add Sections 22104.8, 22115, 22119.3, 22119.5, 22121, 22170, 22317.5, 22701, and 22905 of, and to add Sections 22156.07, 22156.08, and 22324.5 to, the Education Code, relating to retirement, and making an appropriation therefor.

Status:

Mar 11, 2024: In ASSEMBLY. Read second time and amended. Re-referred to Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

Mar 11, 2024: From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT With author's amendments.

[+ Show full status history](#)

Hearing Dates:

04/03/2024 Public Employment and Retirement



PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

12.

California Assembly Bill 2153 (2023-2024)

CAA 2153 | [Josh Lowenthal \(D-069\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) | Assembly Judiciary Committee

California Public Records Act: Public Agency Employees

Requires each agency, upon receipt of a request for a copy of, or the inspection of, any personnel, medical, or similar records of a public agency employee or any record that would disclose a public agency employee's personal identity in connection with the performance of that employee's work duties, to promptly and prior to the release of the records, provide written notice of the request to that public agency employee.

Code:

An act to amend Section 7922.535 of the Government Code, relating to public records.

Status:

Feb 20, 2024: To ASSEMBLY Committee on JUDICIARY.

[+ Show full status history](#)

 PUBLIC_RECORDS_ACT

| No tags, commentary, or attachment applied

13.

California Assembly Bill 2183 (2023-2024)

CA A 2183 | [Reginald B. Jones-Sawyer \(D-057\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (No) | ASSEMBLY

Public Employees' Retirement Benefits: Compensation

Makes a nonsubstantive change to a provision of the California Public Employees' Pension Reform Act of 2013 (PEPRA).

Code:

An act to amend Section 7522.32 of the Government Code, relating to public employees' retirement.

Status:

Feb 7, 2024: INTRODUCED.



PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

14.

California Assembly Bill 2283 (2023-2024)

CA A 2283 | [Blanca Pacheco \(D-064\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) | Assembly Judiciary Committee

Public Records: Employee Personnel Records: Notice

Requires a public agency that receives a request for the personnel records of one of the public agency's employees to provide written notice, as prescribed, to the employee within 48 hours of receipt of the request if specified conditions are met.

Code:

An act to add Section 7922.550 to the Government Code, relating to public records.

Status:

Feb 26, 2024: To ASSEMBLY Committee on JUDICIARY.

[+ Show full status history](#)



PUBLIC_EMPLOYMENT

| No tags, commentary, or attachment applied

15.

California Assembly Bill 2284 (2023-2024)

CA A 2284 | [Timothy S. Grayson \(D-015\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (No) | Assembly Public Employment and Retirement...

County Employees' Retirement: Compensation

Authorizes a retirement system, to the extent it has not defined grade under specified circumstances, to define grade to mean a number of employees considered together because they share similarities in job duties, schedules, unit recruitment requirements, work location, collective bargaining unit, or other logical work-related grouping.

Code:

An act to amend Section 31461 of the Government Code, relating to retirement.

Status:

Feb 26, 2024: To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

[+ Show full status history](#)

 PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

16.

California Assembly Bill 2301 (2023-2024)

CA A 2301 | [Stephanie Nguyen \(D-010\)](#) and 4 Co-sponsors | Pending | Fiscal Committee (No) | Urgency Clause (Yes) | Assembly Public Employment and Retirement...

Sacramento Area Sewer District Pension Protection Act

Enacts the Sacramento Area Sewer District Pension Protection Act of 2024. Provides that the sewer district would assume the rights, obligations, and status previously occupied by the County of Sacramento with regard to the portion of the county safety plan, which is that portion of the county's defined benefit plan attributed to retirement system members and beneficiaries of the Sacramento Area Sewer District to, among other things, the replacement benefits program.

Code:

An act to add Article 4.6 (commencing with Section 31574) to Chapter 3 of Part 3 of Division 4 of Title 3 of the Government Code, relating to public employee retirement, and declaring the urgency thereof, to take effect immediately.

Status:

Mar 21, 2024: In ASSEMBLY. Read second time and amended. Re-referred to Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

Mar 21, 2024: From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT With author's amendments.

[+ Show full status history](#)

Hearing Dates:

04/03/2024 Public Employment and Retirement

 PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

17.

California Assembly Bill 2302 (2023-2024)

CA A 2302 | [Dawn Addis \(D-030\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (No) |
Assembly Local Government Committee

Open Meetings: Local Agencies: Teleconferences

Relates to existing law which imposes prescribed restrictions on remote participation by a member of a legislative body of a local agency under alternative teleconferencing provisions. Revises the limits, instead prohibiting such participation for more than a specified number of meetings per year, based on how frequently the legislative body regularly meets.

Code:

An act to amend Section 54953 of the Government Code, relating to local government.

Status:

Feb 26, 2024: To ASSEMBLY Committee on LOCAL GOVERNMENT.

[+ Show full status history](#)

Hearing Dates:

04/10/2024 Local Government

 BROWN_ACT

| No tags, commentary, or attachment applied

18.

California Assembly Bill 2350 (2023-2024)

CAA 2350 | [Josh Hoover \(R-007\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) |
Assembly Local Government Committee

Open Meetings: School Boards: Emergencies: Notification

Authorizes a school board holding an emergency meeting to fulfill the premeeting notification requirement by email instead of by telephone. Provides that if the internet and telephone services are not functioning, the bill would similarly waive the premeeting notification requirement and require the postmeeting notification.

Code:

An act to amend Section 54956.5 of the Government Code, relating to open meetings.

Status:

Feb 26, 2024: To ASSEMBLY Committee on LOCAL GOVERNMENT.

[+ Show full status history](#)



BROWN_ACT

| No tags, commentary, or attachment applied

19.

California Assembly Bill 2362 (2023-2024)

CA A 2362 | [Tom Lackey \(R-034\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (No) | Assembly Public Employment and Retirement...

County Fire Service Retirement Law: Report

Requires the County Foresters, Firewardens, and County Fire Protection District Firemen's Retirement Board to report to the board of supervisors on or before February 1 each year.

Code:

An act to amend Section 32266 of the Government Code, relating to public retirement.

Status:

Feb 26, 2024: To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

[+ Show full status history](#)



PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

20.

California Assembly Bill 2421 (2023-2024)

CA A 2421 | [Evan Low \(D-026\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) | Assembly Public Employment and Retirement...

Employer-Employee Relations: Confidential Communication

Prohibits a local public agency employer, a state employer, a public school employer, a higher education employer, or the district from questioning any employee or employee representative regarding communications made in confidence between an employee and an employee representative in connection with representation relating to any matter within the scope of the recognized employee organization's representation.

Code:

An act to amend Sections 3506.5, 3519, 3543.5, and 3571 of the Government Code, and to amend Section 28858 of the Public Utilities Code, relating to employer-employee relations.

Status:

Feb 26, 2024: To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

[+ Show full status history](#)

Hearing Dates:

04/03/2024 Public Employment and Retirement



PUBLIC_EMPLOYMENT

| No tags, commentary, or attachment applied

21.

California Assembly Bill 2474 (2023-2024)

CA A 2474 | [Tom Lackey \(R-034\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (No) | Assembly Public Employment and Retirement...

Retirement: County Employees Retirement Law of 1937

Relates to the County Employees Retirement Law of 1937. Authorizes the monthly warrant, check, or electronic fund transfer for the retirement allowance or benefit to be delivered to a prepaid account. Defines account of the retired member or survivor of a deceased retired member to include an account held in a living trust or an income-only trust.

Code:

An act to amend Sections 31452.6, 31590, 31680.2, 31680.3, and 31680.6 of, and to add Section 31680.9 to, the Government Code, relating to retirement.

Status:

Feb 26, 2024: To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

[+ Show full status history](#)

 PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

22.

California Assembly Bill 2631 (2023-2024)

CAA 2631 | [Mike Fong \(D-049\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) |
Assembly Appropriations Committee

Local Agencies: Ethics Training

Requires the Fair Political Practices Commission, in consultation with the Attorney General, to create, maintain, and make available to local agency officials an ethics training course, as specified.

Code:

An act to amend Section 53235 of the Government Code, relating to local government.

Status:

Mar 20, 2024: From ASSEMBLY Committee on ELECTIONS: Do pass to Committee on APPROPRIATIONS.

[+ Show full status history](#)



PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

23.

California Assembly Bill 2715 (2023-2024)

CAA 2715 | [Tasha Boerner \(D-077\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (No) | Assembly Local Government Committee

Ralph M. Brown Act: Closed Session

Authorizes a closed session of a legislative body of a local agency to consider or evaluate matters related to cybersecurity, as specified, provided that any action taken on those matters is done in open session.

Code:

An act to amend Section 54957 of the Government Code, relating to local government.

Status:

Mar 4, 2024: To ASSEMBLY Committee on LOCAL GOVERNMENT.

[+ Show full status history](#)

 BROWN_ACT

| No tags, commentary, or attachment applied

24.

California Assembly Bill 2770 (2023-2024)

CA A 2770 | Public Employment and Retirement | Pending | Fiscal Committee (Yes) | Urgency Clause (No)
| Assembly Public Employment and Retirement...

Public Employees Retirement

Relates to the Teachers Retirement System. Provides that existing law authorizes a member to request to purchase additional service credit and to redeposit accumulated retirement contributions returned to the member. Provides that existing law requires additional regular interest to be added to the contributions if the member is not employed to perform creditable service subject to coverage by the Defined Benefit Program on the date of the request to purchase additional credit. Revises interest calculation.

Code:

An act to amend Sections 22801, 23200, 23201, 23202, 24600, and 26004 of the Education Code, and to amend Sections 31628, 31720.91, 31783.5, 75521, 75553, 75570, and 75571.5 of the Government Code, relating to public employees' retirement.

Status:

Mar 11, 2024: In ASSEMBLY. Read second time and amended. Re-referred to Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

Mar 11, 2024: From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT With author's amendments.

[+ Show full status history](#)

Hearing Dates:

04/03/2024 Public Employment and Retirement

PUBLIC_RETIREMENT

Tags:

Staff_Recommendation: Support

IBLC_Recommendation: Support

25.

California Assembly Bill 3025 (2023-2024)

CA A 3025 | [Avelino Valencia \(D-068\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (No) | Assembly Public Employment and Retirement...

County Employees Retirement: Disallowed Compensation

Requires a retirement system established under the County Employees Retirement Law of 1937, upon determining that the compensation reported for a member is disallowed compensation, to require the employer to discontinue reporting the disallowed compensation. Requires, for an active member, the retirement system to credit all contributions made on the disallowed compensation against future contributions to the benefit of the employer that reported the disallowed compensation.

Code:

~~An act to amend Section 31461 of the Government Code, relating to retirement.~~

An act to add Section 31541.2 to the Government Code, relating to county employees' retirement.

Status:

Mar 18, 2024: In ASSEMBLY. Read second time and amended. Re-referred to Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

Mar 18, 2024: From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT With author's amendments.

Mar 18, 2024: To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

[+ Show full status history](#)

 PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

26.

California Senate Bill 252 (2023-2024)

CAS 252 | [Lena A. Gonzalez \(D-033\)](#) and 3 Co-sponsors | Pending | Fiscal Committee (Yes) | Urgency Clause (No) | Assembly Public Employment and Retirement...

Public Retirement Systems: Fossil Fuels: Divestment

Prohibits the boards of the Public Employees' Retirement System and the State Teachers' Retirement System from making new investments or renewing existing investments of public employee retirement funds in a fossil fuel company. Requires the boards to liquidate investments in a fossil fuel company on or before specified date. Suspends, temporarily, the liquidation provision upon a good faith determination by the board that certain conditions materially impact normal market mechanisms for pricing assets.

Code:

An act to amend Section 16642 of, and to add Section 7513.76 to, the Government Code, relating to public retirement systems.

Status:

June 8, 2023: To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

[+ Show full status history](#)

 PUBLIC_INVESTMENT

| No tags, commentary, or attachment applied

27.

California Senate Bill 300 (2023-2024)

CAS 300 | [Kelly Seyarto \(R-032\)](#) and 3 Co-sponsors | Failed | Fiscal Committee (Yes) | Urgency Clause (No)
| SENATE

Public Employees Retirement: Fiscal Impact: Information

Requires the Legislative Analyst to prepare a pension fiscal impact analysis on any measure introduced on or after specified date that is referred to the Senate Labor, Public Employment and Retirement Committee, or any successor committee, as specified, and that requires a public retirement system to take prescribed action, including the divestiture of an existing investment.

Code:

An act to add ~~Section 20005~~ [Sections 7513.2 and 9144.5](#) to the Government Code, relating to public employees' retirement.

Status:

Feb 1, 2024: In SENATE. Returned to Secretary of Senate pursuant to Joint Rule 56.

[+ Show full status history](#)

 PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

28.

California Senate Bill 537 (2023-2024)

CAS 537 | [Josh Becker \(D-013\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (Yes) | Assembly Inactive File

Open Meetings: Multijurisdictional

Relates to the Ralph M. Brown Act. Expand the circumstances of just cause to apply to the situation in which an immunocompromised child, parent, grandparent, or other specified relative requires the member to participate remotely. Requires the legislative body to provide a record of attendance of the members of the legislative body, the number of community members in attendance in the teleconference meeting, and the number of public comments on its internet website after a teleconference meeting.

Code:

An act to amend Section 54953 of, and to add and repeal Section 54953.4 of, the Government Code, relating to local government, and declaring the urgency thereof, to take effect immediately.

Status:

Sep 14, 2023: In ASSEMBLY. To Inactive File.

[+ Show full status history](#)

Hearing Dates:

04/01/2024 Assembly Inactive File

 BROWN_ACT

| No tags, commentary, or attachment applied

29.

California Senate Bill 660 (2023-2024)

CAS 660 | [Marie Alvarado-Gil \(D-004\)](#) | Failed | Fiscal Committee (Yes) | Urgency Clause (No) | SENATE

Public Employees' Retirement Systems

Establishes the California Public Retirement System Agency Cost and Liability Panel, located in the Controller's office. Assigns responsibilities to the panel related to retirement benefit costs, including determining how costs and unfunded liability are apportioned to a public agency when a member changes employers within the same public retirement system or when a member concurrently retires with a specified number or more retirement systems that have entered into reciprocity agreements.

Code:

An act to ~~amend Section 20790 of~~ add Section 7514.9 to the Government Code, relating to public employees' retirement.

Status:

Feb 1, 2024: In SENATE. Returned to Secretary of Senate pursuant to Joint Rule 56.

[+ Show full status history](#)



PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

30.

California Senate Bill 908 (2023-2024)

CAS 908 | [Dave Cortese \(D-015\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) | Senate Rules Committee

Fentanyl: Child Deaths

Provides that the State Department of Public Health administers the California Overdose Surveillance Dashboard that provides data on State and local-level drug-related overdose outcomes for the State. Requires the department to utilize its data to monitor and identify current trends of fentanyl-related deaths of children between specified ages. Requires the department to develop guidance and spread awareness of the trends to protect and prevent children from fentanyl exposure.

Code:

~~An act to add Section 9081 to, and to add Article 6 (commencing with Section 7928.500) to Chapter 14 of Part 5 of Division 10 of Title 1 of, the Government Code, relating to public records.~~

An act to add and repeal Section 11758.05 of the Health and Safety Code, relating to public health.

Status:

Mar 20, 2024: In SENATE. Read second time and amended. Re-referred to Committee on RULES.

Mar 20, 2024: From SENATE Committee on RULES with author's amendments.

Mar 20, 2024: To SENATE Committee on RULES.

[+ Show full status history](#)



PUBLIC_RECORDS_ACT

| No tags, commentary, or attachment applied

31.

California Senate Bill 962 (2023-2024)

CAS 962 | [Steve Padilla \(D-018\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (Yes) | Senate Labor, Public Employment and Retirement...

San Diego Unified Port District: Employee Benefits

Approves a specified defined benefit formula applicable to employees of the San Diego Unified Port District.

Code:

An act relating to the public employee pension benefits, and declaring the urgency thereof, to take effect immediately.

Status:

Feb 14, 2024: To SENATE Committee on LABOR, PUBLIC EMPLOYMENT AND RETIREMENT.

[+ Show full status history](#)

 PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

32.

California Senate Bill 1034 (2023-2024)

CAS 1034 | [Kelly Seyarto \(R-032\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) |
Senate Judiciary Committee

California Public Records Act: State of Emergency

Revises the unusual circumstances under which the time limit may be extended to include the need to search for, collect, appropriately examine, and copy records during a state of emergency proclaimed by the Governor when the state of emergency has affected the agency's ability to timely respond to requests due to decreased staffing or closure of the agency's facilities. Relates to the California Public Records Act.

Code:

An act to amend Section 7922.535 of the Government Code, relating to public records.

Status:

Feb 14, 2024: To SENATE Committee on JUDICIARY.

[+ Show full status history](#)

Hearing Dates:

04/02/2024 Judiciary



PUBLIC_RECORDS_ACT

| No tags, commentary, or attachment applied

33.

California Senate Bill 1189 (2023-2024)

CAS 1189 | [Monique Limon \(D-019\)](#) | Pending | Fiscal Committee (No) | Urgency Clause (No) |
Senate Labor, Public Employment and Retirement...

County Employees Retirement Law of 1937

Authorizes a board of retirement to appoint a chief technology officer.

Code:

An act to amend Section 31522.10 of the Government Code, relating to retirement.

Status:

Feb 21, 2024: To SENATE Committee on LABOR, PUBLIC EMPLOYMENT AND RETIREMENT.

[+ Show full status history](#)

 RETIREMENT_PERSONNEL

| No tags, commentary, or attachment applied

34.

California Senate Bill 1240 (2023-2024)

CAS 1240 | [Marie Alvarado-Gil \(D-004\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (Yes) | Senate Labor, Public Employment and Retirement...

Public Employees Retirement System: Contracting

Relates to the Public Employees' Retirement System. Authorizes a successor agency for the El Dorado County Fire Protection District and the Diamond Springs Fire Protection District to provide employees the defined benefit plan or formula that those employees received from their respective employer prior to the annexation.

Code:

An act to add Section 20508.3 to the Government Code, relating to public employees' retirement, and declaring the urgency thereof, to take effect immediately.

Status:

Mar 21, 2024: In SENATE. Read second time and amended. Re-referred to Committee on LABOR, PUBLIC EMPLOYMENT AND RETIREMENT.

Mar 21, 2024: From ASSEMBLY Committee on LABOR AND EMPLOYMENT with author's amendments.

[+ Show full status history](#)

Hearing Dates:

04/10/2024 Labor, Public Employment and Retirement

 PUBLIC_RETIREMENT

| No tags, commentary, or attachment applied

35.

California Senate Bill 1260 (2023-2024)

CAS 1260 | [Roger W. Niello \(R-006\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) |
Senate Rules Committee

High-Speed Rail: Third-Party Analysis

Provides that the State High-Speed Rail Act creates the High-Speed Rail Authority to develop and implement a high-speed train system in the State. Requires the Authority to approve and submit an independent third-party analysis, as contracted by the State Auditor, of the high-speed train system to the Director of Finance, the independent peer review group, and the policy committees with jurisdiction over transportation matters and the fiscal committees of both houses of the Legislature.

Code:

~~An act to add Section 7507.6 to the Government Code, relating to public employees' retirement administration.~~

[An act to add Section 2704.79 to the Streets and Highways Code, relating to high-speed rail.](#)

Status:

Mar 20, 2024: Re-referred to SENATE Committee on RULES.

[+ Show full status history](#)



RETIREMENT_PERSONNEL

| No tags, commentary, or attachment applied

36.

California Senate Bill 1379 (2023-2024)

CAS 1379 | [Bill Dodd \(D-003\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (Yes) | Senate Labor, Public Employment and Retirement...

Public Employees' Retirement Law: Reinstatement

Relates to existing law under which a retired member of PERS is generally subject to a limit of 960 hours of employment within a calendar or fiscal year for specified employers without reinstating in the system. Creates an exception to the limit for retired members hired by the City of Vallejo or the County of Solano to perform a function or functions regularly performed by a peace officer, any evidence or dispatch personnel, or any administrative or records personnel.

Code:

An act to amend, repeal, and add Sections 7522.56, 21221, and 21224 of the Government Code, relating to public retirement, and declaring the urgency thereof, to take effect immediately.

Status:

Feb 29, 2024: To SENATE Committee on LABOR, PUBLIC EMPLOYMENT AND RETIREMENT.

[+ Show full status history](#)

Hearing Dates:

04/10/2024 Labor, Public Employment and Retirement



PUBLIC_EMPLOYMENT

| No tags, commentary, or attachment applied

37.

California Senate Bill 1441 (2023-2024)

CAS 1441 | [Ben Allen \(D-024\)](#) | Pending | Fiscal Committee (Yes) | Urgency Clause (No) |
Senate Judiciary Committee

Examination of Petitions Time Limitations

Requires an examination under the California Public Records Act to conclude no later than 60 days after it commenced the bill would also require the proponent to reimburse all costs incurred by the county elections official due to the examination within 30 days after the examination concludes.

Code:

An act to amend Section 7924.110 of the Government Code, relating to petitions.

Status:

Feb 29, 2024: To SENATE Committees on JUDICIARY and ELECTIONS AND CONSTITUTIONAL AMENDMENTS.

[+ Show full status history](#)

Hearing Dates:

04/02/2024 Judiciary



PUBLIC_RECORDS_ACT

| No tags, commentary, or attachment applied

38.

California Proposition TBD (2024)

CA 2024 6 | State ID: 1935.(21-0042A1) | Eligible for Ballot: 02/02/2023 | Qualification: 02/02/2023 | Election: 11/05/2024

Taxpayer Protection and Government Accountability Act

Requires, for new or increased state taxes currently enacted by two-thirds vote of Legislature, a statewide election and majority voter approval. Limits voters' ability to pass voter-proposed local special taxes by raising vote requirement to two-thirds. Eliminates voters' ability to advise how to spend revenues from proposed general tax on same ballot as the proposed tax. Expands definition of taxes.



BALLOT_INITIATIVES

| No tags, commentary, or attachment applied

39.

United States House Bill 82 (2023-2024)

US H 82 | [Garret Graves \(R-LA 06\)](#) and 310 Co-sponsors | Pending | House Ways and Means Committee

Pension Offset and Windfall Elimination Repeal

Amends title II of the Social Security Act to repeal the Government pension offset and windfall elimination provisions.

Status:

Jan 9, 2023: To HOUSE Committee on WAYS AND MEANS.

Jan 9, 2023: INTRODUCED.

[+ Show full status history](#)

Related:

[US H 4583](#) |

[US S 597](#) |

[US S 2280](#)

SOCIAL_SECURITY

Tags:

Staff_Recommendation: Support

IBLC_Recommendation: Support

BOR_Position: Support

40.

United States House Bill 957 (2023-2024)

US H 957 | [Abigail A. Spanberger \(D-VA 07\)](#) and 14 Co-sponsors | Pending | House Ways and Means Committee

Internal Revenue Code Retirement Plan Exclusion

Amends the Internal Revenue Code of 1986 to increase the amount excluded from gross income by reason of distributions from governmental retirement plans for health and long-term care insurance for public safety officers.

Status:

Feb 9, 2023: To HOUSE Committee on WAYS AND MEANS.

Feb 9, 2023: INTRODUCED.

[+ Show full status history](#)

HEALTHCARE

Tags:

Staff_Recommendation:

IBLC_Recommendation:

BOR_Position:

41.

United States House Bill 4260 (2023-2024)

US H 4260 | [Richard E. Neal \(D-MA 01\)](#) and 102 Co-sponsors | Pending | House Ways and Means Committee

Equitable Social Security Formula

Amends specified title of the Social Security Act to provide an equitable Social Security formula for individuals with noncovered employment and to provide relief for individuals currently affected by the Windfall Elimination Provision.

Status:

June 21, 2023: To HOUSE Committee on WAYS AND MEANS.

June 21, 2023: INTRODUCED.

[+ Show full status history](#)

SOCIAL_SECURITY

Tags:

Staff_Recommendation: Support

IBLC_Recommendation: Support

BOR_Position: Support

42.

United States House Bill 5342 (2023-2024)

US H 5342 | [Jodey Cook Arrington \(R-TX 19\)](#) and 30 Co-sponsors | Pending |
House Ways and Means Committee

Windfall Elimination Provision Replacement

Amends title II of the Social Security Act to replace the windfall elimination provision with a formula equalizing benefits for certain individuals with noncovered employment.

Status:

Sep 5, 2023: To HOUSE Committee on WAYS AND MEANS.

Sep 5, 2023: INTRODUCED.

[+ Show full status history](#)

SOCIAL_SECURITY

Tags:

Staff_Recommendation: Support

IBLC_Recommendation: Support

BOR_Position: Support

43.

United States Senate Bill 597 (2023-2024)

US S 597 | [Sherrod Brown \(D-OH\)](#) and 52 Co-sponsors | Pending | Senate Finance Committee

Government Pension Offset Repeal

Amends title II of the Social Security Act to repeal the Government pension offset and windfall elimination provisions.

Status:

Mar 1, 2023: To SENATE Committee on FINANCE.

Mar 1, 2023: In SENATE. Read second time.

Mar 1, 2023: INTRODUCED.

[+ Show full status history](#)

Related:

[US H 82](#) |

[US H 4583](#) |

[US S 2280](#)

SOCIAL_SECURITY

Tags:

Staff_Recommendation:

IBLC_Recommendation:

BOR_Position:

FOR INFORMATION ONLY

March 26, 2024

TO: Each Trustee
Board of RetirementFROM: Tamara Caldwell, Division Manager 
Disability Retirement Services

FOR: April 3, 2024 Board of Retirement Meeting

SUBJECT: **Application Processing Time Snapshot Reports**

The following chart shows the total processing time from receipt of the application to the first Board action for all cases on the April 3, 2024, Disability Retirement Applications Agenda.

Consent & Non-Consent Calendar	
Number of Applications	55*
Average Processing Time (in Months)	16.31
Revised/Held Over Calendar	
Number of Applications	1
Processing Time Per Case (in Months)	36

*plus 1 confidential case, processing information is not tracked by DRS.

DISABILITY RETIREMENT SERVICES

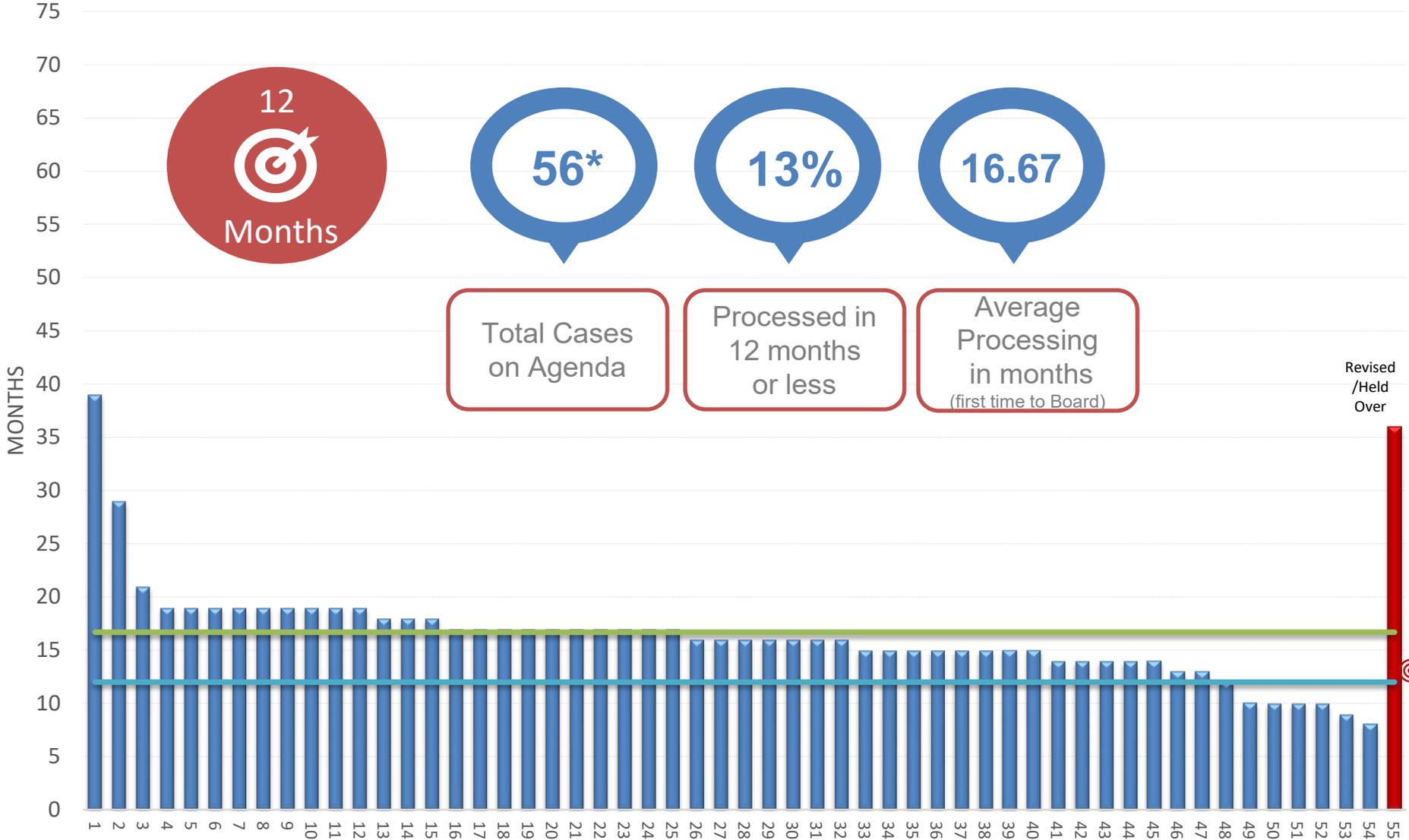
Application Processing Time



Total Cases on Agenda

Processed in 12 months or less

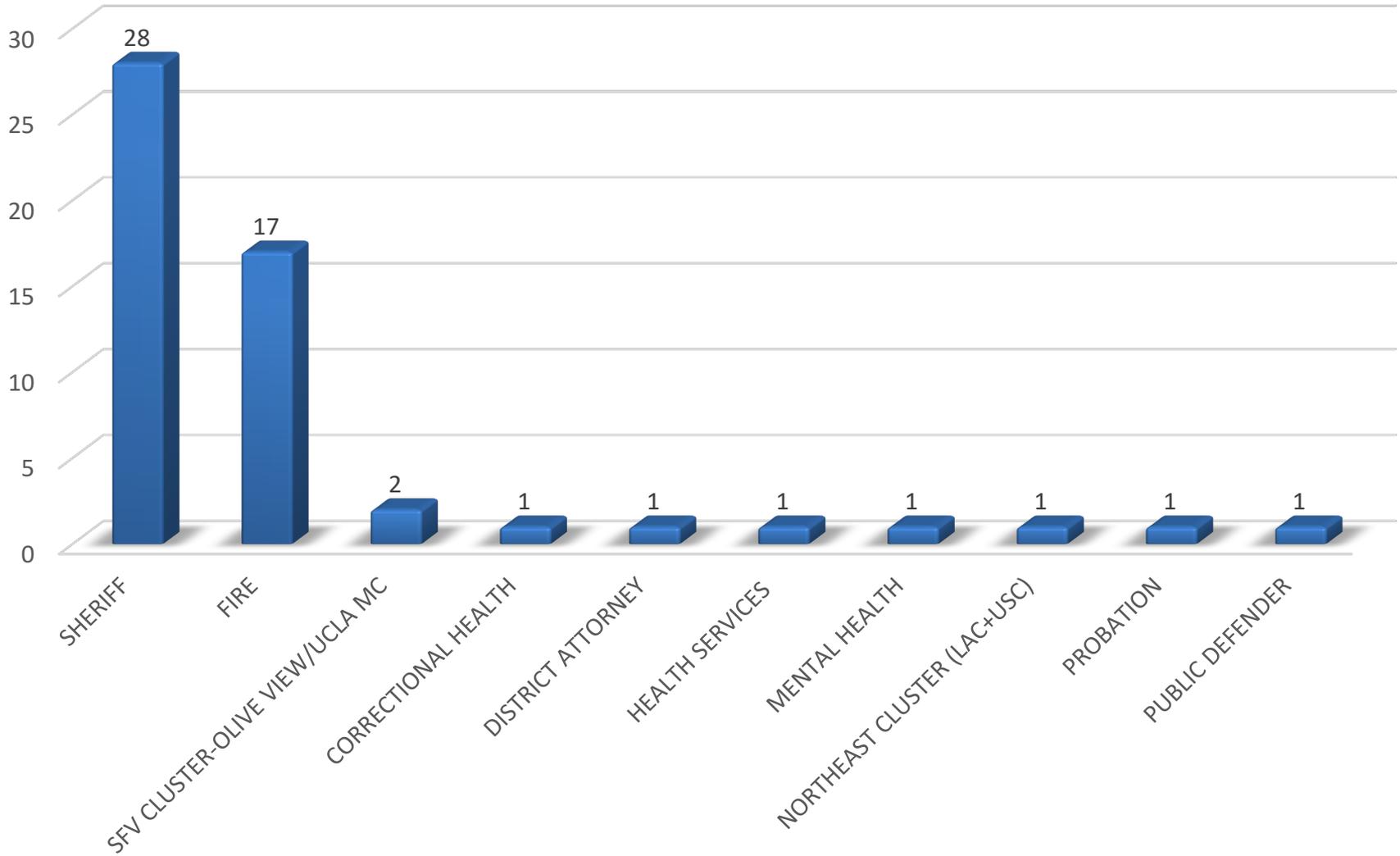
Average Processing in months (first time to Board)



Revised /Held Over

DISABILITY RETIREMENT SERVICES

Total Applications by Department on April 3, 2024 Agenda



DISABILITY RETIREMENT SERVICES

Pending Applications/Months



As of 3/26, 2024

*Cases on the April 3, 2024 agenda are not included

Disability Retirement Services

Applications by Department

Department Name	# of Applications	% of Inventory
SHERIFF	458	48.98%
L A COUNTY FIRE DEPT	148	15.83%
PROBATION DEPARTMENT	108	11.55%
DEPT OF PUBLIC SOCIAL SERVICES	33	3.53%
CHILDREN & FAMILY SERVICES	25	2.67%
NORTHEAST CLUSTER (LAC+USC)	19	2.03%
DISTRICT ATTORNEY	16	1.71%
PUBLIC HEALTH PROGRAM	15	1.60%
MENTAL HEALTH	13	1.39%
PUBLIC WORKS	11	1.18%
SUPERIOR COURT/COUNTY CLERK	11	1.18%
COASTAL CLUSTER-HARBOR/UCLA MC	9	0.96%
CORRECTIONAL HEALTH	9	0.96%
HEALTH SERVICES ADMINISTRATION	8	0.86%
INTERNAL SERVICES	7	0.75%
PARKS AND RECREATION	7	0.75%
PUBLIC DEFENDER	6	0.64%
RANCHO LOS AMIGOS HOSPITAL	5	0.53%
AMBULATORY CARE NETWORK	4	0.43%
SFV CLUSTER-OLIVE VIEW/UCLA MC	4	0.43%
ASSESSOR	3	0.32%
COUNTY COUNSEL	3	0.32%
MEDICAL EXAMINER-CORONER	2	0.21%
ALTERNATE PUBLIC DEFENDER	1	0.11%
ANIMAL CONTROL	1	0.11%
ARTS AND CULTURE	1	0.11%
AUDITOR - CONTROLLER	1	0.11%
CHIEF EXECUTIVE OFFICE	1	0.11%
CHILD SUPPORT SERVICES	1	0.11%
DEPARTMENT OF HUMAN RESOURCES	1	0.11%
PUBLIC LIBRARY	1	0.11%
REGIONAL PLANNING	1	0.11%
TREASURER AND TAX COLLECTOR	1	0.11%
Grand Total	935	100.00%

**FOR INFORMATION ONLY**

March 20, 2024

TO: Each Trustee
Board of Retirement
Board of Investments

FROM: Ted Granger 
Chief Financial Officer

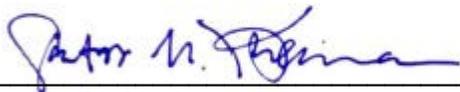
FOR: April 3, 2024 Board of Retirement Meeting
April 10, 2024 Board of Investments Meeting

SUBJECT: MONTHLY TRUSTEE TRAVEL & EDUCATION REPORT – FEBRUARY 2024

Attached for your review is the Trustee Travel & Education Report. This report includes all events (i.e., attended and canceled) from the beginning of the fiscal year through February 2024.

Trustees attend monthly Board and Committee meetings at LACERA's office which are considered administrative meetings per the Trustee Travel Policy. In order to streamline report volume and information, these regular meetings are excluded from the monthly travel reports but are included in the quarterly travel expenditure reports.

Staff travel and education reports are provided to the Chief Executive Officer monthly and to the Boards quarterly.

REVIEWED AND APPROVED:

Santos H. Kreimann
Chief Executive Officer

TG/EW/SC/SE/gj

Attachments

c: L. Lugo
J. Popowich
L. Guglielmo
J. Grabel
S. Rice
R. Contreras

TRUSTEE TRAVEL AND EDUCATION REPORT
FOR FISCAL YEAR 2023 - 2024
FEBRUARY 2024

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
Alan Bernstein			
A	1 Edu - PREA's 33rd Annual Institutional Investor Conference - Boston MA	10/18/2023 - 10/20/2023	Attended
B	- Edu - NACD DE&I Deep Dive: A Road Map for Becoming an Inclusion-Focused Board - Laguna Beach CA	08/22/2023 - 08/23/2023	Attended
Vivian Gray			
A	1 Edu - Prosper Africa U.S. Institutional Investors Delegation Trip & GEBF Thought Leadership Conference - Johannesburg and Cape Town South Africa	09/30/2023 - 10/06/2023	Attended
	2 Edu - NCPERS 2024 Legislative Conference - Washington DC	01/22/2024 - 01/24/2024	Attended
	3 Edu - NIRS 15th Annual Retirement Policy Conference - Washington, DC	02/26/2024 - 02/27/2024	Attended
B	- Admin - SACRS Board of Directors and Committee Meeting - San Mateo CA	08/07/2023 - 08/08/2023	Attended
	- Admin - RELAC Annual Luncheon - Alhambra CA	08/10/2023 - 08/10/2023	Attended
	- Admin - TLF Trustee Peer Coaching Program - Boston MA	10/26/2023 - 10/27/2023	Attended
	- Admin - CALAPRS Intermediate Course in Retirement Plan Administration - Burbank CA	11/01/2023 - 11/03/2023	Attended
	- Edu - SACRS 2023 Fall Conference - Rancho Mirage CA	11/07/2023 - 11/10/2023	Attended
	- Admin - NASP New York Symposium - New York City NY	11/14/2023 - 11/15/2023	Attended
X	- Edu - Pension Bridge Private Credit Conference - San Diego CA	02/26/2024 - 02/27/2024	Canceled
David Green			
A	1 Edu - 2023 SuperInvestor International - Zürich Switzerland	11/14/2023 - 11/17/2023	Attended
Jason Green			
A	1 Edu - 2023 SuperInvestor International - Zürich Switzerland	11/14/2023 - 11/17/2023	Attended
B	- Edu - CII 2023 Fall Conference Next Frontier in Governance - Long Beach CA	09/11/2023 - 09/13/2023	Attended
James Harris			
B	- Admin - RELAC Annual Luncheon - Alhambra CA	08/10/2023 - 08/10/2023	Attended
Onyx Jones			
X	- Edu - NCPERS 2023 Fall Conference - Las Vegas NV	10/21/2023 - 10/25/2023	Canceled
Patrick Jones			
A	1 Edu - 2023 Investment Diversity Advisory Council (IDAC) Global Summit - Chicago IL	09/19/2023 - 09/20/2023	Attended
	2 Edu - NCPERS 2023 Fall Conference - Las Vegas NV	10/21/2023 - 10/25/2023	Attended
B	- Edu - PPI 2023 Summer Roundtable - San Francisco CA	07/19/2023 - 07/21/2023	Attended
	- Edu - SACRS 2023 Fall Conference - Rancho Mirage CA	11/07/2023 - 11/10/2023	Attended
	- Edu - Los Angeles Black Heritage Real Estate Tour - Los Angeles CA	02/29/2024 - 02/29/2024	Attended

**TRUSTEE TRAVEL AND EDUCATION REPORT
FOR FISCAL YEAR 2023 - 2024
FEBRUARY 2024**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
Shawn Kehoe			
B	- Admin - Professional Peace Officers Association (PPOA) Offsite - Carlsbad CA	01/27/2024 - 01/27/2024	Attended
Keith Knox			
A	1 Edu - 2023 PPI Executive Seminar and Asia Roundtable - Tokyo Japan	10/22/2023 - 10/27/2023	Attended
B	- Admin - RELAC Annual Luncheon - Alhambra CA	08/10/2023 - 08/10/2023	Attended
Nicole Mi			
A	1 Edu - NCPERS 2024 Legislative Conference - Washington DC	01/22/2024 - 01/24/2024	Attended
Wayne Moore			
A	1 Edu - NCPERS 2024 Legislative Conference - Washington DC	01/22/2024 - 01/24/2024	Attended
Les Robbins			
B	- Admin - RELAC Annual Luncheon - Alhambra CA	08/10/2023 - 08/10/2023	Attended
Gina Sanchez			
A	1 Edu - 2023 Investment Diversity Advisory Council (IDAC) Global Summit - Chicago IL	09/19/2023 - 09/20/2023	Attended
	2 Edu - Midwest and West Coast Investor Insight Summit - Chicago IL	10/05/2023 - 10/06/2023	Attended
	3 Edu - 2023 LAVCA Week Conference - New York City NY	10/10/2023 - 10/13/2023	Attended
	4 Edu - 2023 PPI Executive Seminar and Asia Roundtable - Tokyo Japan	10/22/2023 - 10/27/2023	Attended
B	- Edu - PPI 2023 Summer Roundtable - San Francisco CA	07/19/2023 - 07/21/2023	Attended
	- Edu - SACRS 2023 Fall Conference - Rancho Mirage CA	11/07/2023 - 11/10/2023	Attended
Herman Santos			
A	1 Edu - 2023 LAVCA Week Conference - New York City NY	10/10/2023 - 10/13/2023	Attended
	2 Edu - 2023 PPI Executive Seminar and Asia Roundtable - Tokyo Japan	10/22/2023 - 10/27/2023	Attended
	3 Edu - NCPERS 2024 Legislative Conference - Washington DC	01/22/2024 - 01/24/2024	Attended
	4 Edu - NIRS 15th Annual Retirement Policy Conference - Washington, DC	02/26/2024 - 02/27/2024	Attended
B	- Admin - RELAC Annual Luncheon - Alhambra CA	08/10/2023 - 08/10/2023	Attended
	- Edu - SACRS 2023 Fall Conference - Rancho Mirage CA	11/07/2023 - 11/10/2023	Attended

Category Legend:

A - Pre-Approved/Board Approved Educational Conferences

B - 1) Board Approved Administrative Meetings and 2) Pre-Approved Educational Conferences in CA where total cost is no more than \$3,000 provided that a Trustee may not incur over \$15,000 for all expenses of attending all such Educational Conferences and Administrative Meetings in a fiscal year per Trustee Travel Policy; Section III.A

C - Second of two conferences and/or meetings counted as one conference per Trustee Education Policy Section IV.C.2 and Trustee Travel Policy Section IV.

V - Virtual Event

X - Canceled events for which expenses have been incurred.



Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**



Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.

**For further information, contact:
LACERA
Attention: Public Records Act Requests
300 N. Lake Ave., Suite 620
Pasadena, CA 91101**



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